

**COUNTY OF RACINE
FINANCE & HUMAN RESOURCES COMMITTEE**

Supervisor Don Trottier, Chairman
Supervisor Robert Miller, Vice Chairman
Supervisor Jody Spencer, Secretary
Supervisor Renee Kelly
Supervisor Brett Nielsen

Supervisor John Wisch
Supervisor Jason Eckman
Madhura Patil, Youth in Governance Representative
Juliana Aburto, Youth in Governance Representative

NOTICE OF MEETING OF THE
FINANCE AND HUMAN RESOURCES COMMITTEE

DATE: **WEDNESDAY SEPTEMBER 4, 2024**

TIME: **5:00 p.m.**

PLACE: **IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE
STURTEVANT, WISCONSIN 53177**

1. Convene Meeting
2. Chairman Comments – Youth in Governance/Comments
3. Public Comments (PUBLIC HEARING: MEMBERS OF THE PUBLIC WILL HAVE OPPORTUNITY TO SPEAK ON ANY TOPIC INCLUDING COUNTY SALES AND USE TAX AND PROPERTY TAX RELIEF ORDINANCE)
4. Approval of Minutes from the August 21, 2024, committee meeting – Action of the Committee only.
5. Racine County Economic Development Corporation – Andrea Safedis – Status of the Racine County Matching Grant Program – 2nd Quarter 2024 – Action of the Committee only.
6. Finance Department – Gwen Zimmer – Racine County 2024 2nd Quarter Investment Report (Staff from PMA Investments will be available to discuss the materials) – 2024 – Report.
7. Transfers
 - a. County Board – Tom Kramer – Authorize a project with Browns Lake Sanitary District to dredge Browns Lake and transfer of \$1,100,000 within the Monsanto Settlement 2024 Budget – 2024 – Resolution – Action Requested: 1st & 2nd Reading at the September 10, 2024, County Board Meeting.
 - b. Human Services Department – Hope Otto – Authorize acceptance of a WI Department of Justice grant and transfer of \$25,000 within the Public Health 2024 Budget – 2024 – Resolution – Action Requested: 1st and 2nd Reading at the September 10, 2024, County Board Meeting.

8. Human Resources – Sarah Street – 2025 FLSA Changes and their impact on Racine County – 2024 – Information Only.
9. Finance Department – Gwen Zimmer – Racine County 2024 2nd Quarter Accepted Donations – 2024 – Report.
10. Finance Department – Gwen Zimmer – Racine County 2024 2nd Quarter Grant Applications Report – 2024 – Report.
11. Ordinance by The Racine County Finance and Human Resources Committee and Executive Committee Creating Chapter 7, Article IX – county sales and use tax and property tax relief – of the Racine County Code of Ordinances – 2024 – Ordinance – Discussion - Information Only.
12. Closed Session – IT IS ANTICIPATED THAT THE FINANCE AND HUMAN RESOURCES COMMITTEE WILL MEET IN A CLOSED SESSION PURSUANT TO SECTION 19.85(1)(g) OF THE WISCONSIN STATE STATUTES TO DISCUSS WITH LEGAL COUNSEL CLAIMS AGAINST RACINE COUNTY:
 - 1) GERALD KNUTOWSKI. 2) MID CENTURY INSURANCE COMPANY ON BEHALF OF JUDITH HENKHAUS.
13. Communication & Report Referrals from County Board Meeting:

a. Bankruptcy items:

Type of Action:	Person/Persons
Chapter 13 Notice of Case	Rachelle Kline; Taja Washington;
Chapter 13 Order of Discharge	Lonnie Williams Jr; Kimberley Harrison;
Chapter 13 Order Dismissing Case	Dennis Rector; Shawanda Triplett; Dionte King; Russell Murphy; Lisa Murphy;
Chapter 13 Order Confirming Plan	Antonio Oliden; Peter Murillo III; Renisha Murillo;
Chapter 13 Order Modifying Confirmed Plan	Kyle Kinzer; Jessica Kinzer;
Chapter 13 Notice and Motion to Dismiss-Confirmed Plan	Christina Munoz; Patrick Souter; Hope Souter; Megan Krueger;
Chapter 13 Order Continuing Automatic Stay	John Otto;
Chapter 7 Notice of Case	Cynthia Lein; Stephanie Cornwell;

14. Staff Report – No Action Items.

- Finance & Human Resources Committee – Next meeting will be on October 2nd, 2024.

15. Adjournment

FINANCE & HUMAN RESOURCES COMMITTEE ACTION ONLY

Requestor/Originator Finance & Human Resources Committee

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date of Committee Meeting: 9/4/2024

**Signature of Committee Chairperson
/Designee:** _____

Description: Minutes from the August 21, 2024 FHR Meeting

Action: **County Board Supervisors**
 Approve
 Deny

Youth In Governance
 Approve
 Deny

FINANCE AND HUMAN RESOURCES COMMITTEE MEETING
Wednesday, August 21, 2024

IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE
STURTEVANT, WISCONSIN 53177

Meeting attended by: Chairman Trottier, Supervisors Miller, Spencer, Wisch, Nielsen, Kelly, and Eckman.

Other attendees: Interim County Executive Thomas E. Roanhouse, Youth in Governance Representative Aburto and Patil, Finance Director Gwen Zimmer, Racine County Treasurer Jeff Latus, Finance and Budget Manager Byron Dean, Communications Director Jackie Bratz, PFM Director Kristin Hanson, Associate and Managing Director Matthew Schnackenberg, Administrator of Aging & Disability Services Jelena Jones, Director of Corporation Counsel Michael Lanzdorf (Via Webex), and Director of Human Resources Sarah Street (via Webex).

Supervisors in Attendance: County Board Vice Chairman Maier and Supervisor Preusker.

Agenda Item #1 – Convene Meeting

Meeting Called to Order at 5:00 p.m. by Chairman Trottier.

Agenda Item #2 – Youth in Governance/Comments

Youth in Governance statement was read by Youth Representative Patil.

Agenda Item #3 – Public Comments

The following people presented a public comment regarding Agenda Item #5:

Patrick Bohon, 5840 Wynbrook Ct. Mount Pleasant, WI, 53406.

Peter Henkes, 1721 Summit Ave, Racine, WI, 53404.

Thomas Zikowski, 6830 Berkshire Ln, Racine, WI, 53406.

Michael Schrader, 1636 Chatham St, Racine, WI, 53402.

Agenda Item #4 – Approval of Minutes from the August 7, 2024, committee meeting

Action: Approve the minutes from the August 7, 2024, committee meeting.

Motion Passed: Moved: Supervisor Miller. Seconded by Supervisor Eckman. Vote: All Ayes No Nays.
Advisory Vote: All Ayes No Nays.

Agenda Item #5 – Ordinance by The Racine County Finance and Human Resources Committee and Executive Committee Creating Chapter 7, Article IX – county sales and use tax and property tax relief – of the Racine County Code of Ordinances – 2024 – Ordinance – Discussion - Information Only.

This item was presented by Corporation Counsel Michael Lanzdorf and Finance Director Gwen Zimmer.

FINANCE AND HUMAN RESOURCES COMMITTEE MEETING
Wednesday, August 21, 2024

The Committee requested that this item be added to future meetings. The committee also requested that more information be provided regarding the following: Additions to the Sheriff's Department with Foxconn, Sheriff Overtime Actuals, Human Services Department non-mandated Services with costs, Command Staff taking cars home, Billing the City for work RASO Provides, Sheriff Revenue Contracts and municipalities, What are the court delays in counties that do have sales tax, Current Sheriff Vacant Positions, and putting in writing that property tax relief would start in 2026.

Agenda Item #6– Finance Department – Gwen Zimmer with PFM Financial Advisors LLC – Racine County Sale of Series 2024A and 2024B Bond Results – Information only.

This item was presented by PFM Director Kristin Hanson and Associate and Managing Director Matthew Schnackenberg.

Agenda Item #7– County Treasurer – Jeff Latus – Authorizing the County Treasurer to vacate the Order of Judgment of In Rem Property at 4241 Goleys Ln – 2024 – Resolution – Action Requested: 1st and 2nd Reading at the August 27th, 2024, County Board Meeting.

Action: Authorize the County Treasurer to vacate the Order of Judgment of In Rem Property at 4241 Goleys Ln – 2024 – Resolution – Action Requested: 1st and 2nd Reading at the August 27th, 2024, County Board Meeting.

Motion Passed: Moved: Supervisor Miller Seconded: Supervisor Nielsen Vote: Roll-call vote was taken of the members present: All Ayes No Nays.

Agenda Item #8– Transfers:

- a. **Human Services Department – Hope Otto – Authorize acceptance of a WI Department of Health Services grant in the amount of \$200,000 and transfer of funds within the Human Services Department 2024 Budget – 2024 – Resolution – Action Requested: 1st Reading at the August 27, 2024, County Board Meeting.**

This item was presented by Administrator of Aging & Disability Services Jelena Jones.

Action : Authorize acceptance of a WI Department of Health Services grant in the amount of \$200,000 and transfer of funds within the Human Services Department 2024 Budget – 2024 – Resolution – Action Requested: 1st Reading at the August 27, 2024, County Board Meeting

Motion Passed: Moved: Supervisor Miller. Seconded by Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes, No Nays.

- b. **Human Services Department – Hope Otto – Authorize acceptance of a WI Department of Children and Families grant in the amount of \$80,625.84 and transfer of funds within the Human Services Department 2024 Budget - 2024 – Resolution – Action Requested: 1st Reading at the August 27, 2024, County Board Meeting.**

This item was presented by Administrator of Aging & Disability Services Jelena Jones.

Action : Authorize acceptance of a WI Department of Children and Families grant in the amount of \$80,625.84 and transfer of funds within the Human Services Department 2024 Budget - 2024 – Resolution – Action Requested: 1st Reading at the August 27, 2024, County Board Meeting

FINANCE AND HUMAN RESOURCES COMMITTEE MEETING
Wednesday, August 21, 2024

Motion Passed: Moved: Supervisor Miller. Seconded by Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes, No Nays.

Agenda Item #9 – Public Works and Development Services – Roley Behm– Authorizing Racine County Public Works to enter a Memorandum of Understanding between Racine County Public Works, the Village of Union Grove and Union Grove High School for the installation of a Rectangular Rapid Flash Beacon (RRFB) Crossing Dam – 2024 – Resolution – Action Requested: 1st and 2nd Reading at the August 27, 2024, County Board Meeting.

This item was presented by County Board Vice Chairman Scott Maier and Director of Public Works and Development Services Roley Behm.

Supervisor Kelly abstained from this agenda item and exited the room until voting was complete.

Action: Authorizing Racine County Public Works to enter a Memorandum of Understanding between Racine County Public Works, the Village of Union Grove and Union Grove High School for the installation of a Rectangular Rapid Flash Beacon (RRFB) Crossing Dam – 2024 – Resolution – Action Requested: 1st and 2nd Reading at the August 27, 2024, County Board Meeting.

Motion Passed: Moved: Supervisor Miller. Seconded by Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes, No Nays.

Agenda Item #10 – Finance Department – Gwen Zimmer – Preliminary budget information and discussion – Information only.

This item was presented by Finance and Budget Manager Byron Dean.

The committee requested the Finance Department send Year to Date Actuals by Fund and Department each month.

Agenda Item #11 – Communication & Report Referrals from County Board Meeting:

Action: Receive and file item a.

Motion Passed: Moved by Supervisor Miller. Seconded by Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #12 – Staff Report – No Action Item

- Finance & Human Resources Committee – Next meeting will be on September 4, 2024.

Agenda Item #13 – Adjournment.

Action: Adjourn the meeting at 6:46p.m.

Motion Passed: Moved by Supervisor Miller. Seconded by Supervisor Nielsen. Vote: All Ayes, No Nays.

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2024</u>	<input type="checkbox"/>	Resolution Request
		<input type="checkbox"/>	Ordinance Request
		<input type="checkbox"/>	Report Request
		<input checked="" type="checkbox"/>	Information Only

Requestor/Originator: Finance Director- Gwen Zimmer

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) RCEDC - Andrea Safedis
If a person is not in attendance the item may be held over.

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 9/4/2024 Date of County Board Meeting to be Introduced: _____

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County Economic Development Corp. (RCEDC) Status of the Racine County Matching Grant Program and Matching Grant Draft Agreement– 2nd Quarter – 2024.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

MEMORANDUM

TO: RACINE COUNTY BOARD OF SUPERVISORS
FINANCE AND HUMAN RESOURCE COMMITTEE

FROM: ANDREA SAFEDIS, PORTFOLIO SERVICING SPECIALIST
JENNY TRICK, EXECUTIVE DIRECTOR

DATE: JULY 17, 2024

SUBJECT: RACINE COUNTY MATCHING GRANT PROGRAM 2024 Q2

The purpose of this memorandum is to provide Racine County with the following:

- 2024 Q2 Report
- Request for additional funds for 2024, if possible, or in 2025

All items provided are for the Racine County Matching Grant Program (MGP) that is administered by Racine County Economic Development Corporation (RCEDC).

Overview of the Program

Racine County has been supporting small businesses through two grant programs (manufacturing and minority owned businesses) since 2004 and 2006, respectively.

Starting in 2016, RCEDC and the Racine County Board evaluated the program and began to make small changes to streamline the grant program; changes included combining the two grants into one fund, add a job creation criterion, and strive to seek ways to make the approval process more efficient. Two years later after evaluating the changes and the results, in 2018 the Racine County Board approved additional changes to the grant program that included the following:

- 1) Increase the grant amounts from the original level of \$2,500 to \$5,000;
- 2) Add veteran owned businesses as eligible applicants; and
- 3) Reduce time required for the business to have been established and generating sales from two years to one year.

In 2021, the Racine County Board approved the following changes to the program:

- 1) Limit the grant applications from a business and any of its affiliates to a total of \$5,000 annually;
- 2) Limit the number of years an applicant, including all its affiliates, can apply for funds to a maximum of three years; and
- 3) Allow program funds to be used for a new Coaching Council program being established by RCEDC.

And finally, after delivering the mid-2022 report and reflecting that an unusually high balance available (\$36,186.06), the following changes were requested and approved:

- 1) Eligible applicants to include start-ups assuming the following:
 - Has a physical location that is NOT home-based;
 - Has secured funding to establish their business; and
 - Has been open (since the date of first revenues) for a minimum of 30 days.

The following results are as of June 30, 2024:

2023 MGP Carry Forward	\$10,656.49
2024 MGP Allocation	\$75,000.00
Total Available for Grants in 2024	\$85,656.49
2024 Approvals	\$68,070.00
Left to Approve	\$17,586.49

Project results as of June 30, 2024:

- \$68,070 in total grants approved.
- 15 small businesses approved, including:
 - 5 manufacturers
 - 2 veteran-owned
 - 10 women-owned
 - 6 ethnic minority-owned
 - 2 – African American
 - 2 – Hispanic
 - 2 – Asian Pacific
- The location of the 15 small businesses is in the following communities:
 - Burlington: 2
 - City of Racine: 5
 - Mount Pleasant: 3
 - Waterford: 5

One ongoing goal of the program has been job creation, and we are pleased to report that the 15 small businesses approved will add 116 full-time equivalent employees to their companies. To confirm this goal is achieved, RCEDC continues to provide a one-year follow-up survey to confirm the companies have created the proposed job creation.

Ethnic Minority Groups

Furthermore, at the request of Racine County leadership, RCEDC continues to compile data on the ethnic minority groups which have been awarded MGP funds since 2016. This data is detailed below:

2016						
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned	
MGP Awards	4%	4%	4%	0%	27%	
County Demographics	12%	13.6%	1.3%	1.3%	50.4%	
Number of Applicants	1	1	1	0	7	

2017					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	17%	0%	0%	0%	30%
County Demographics	12%	13.6%	1.3%	1.3%	50.4%
Number of Applicants	4	0	0	0	7
2018					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	12%	4%	4%	0%	38%
County Demographics	12%	13.6%	1.3%	1.3%	50.4%
Number of Applicants	3	1	1	0	10
2019					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	14%	0%	3.4%	7.0%	45%
County Demographics	12%	13.6%	1.3%	1.3%	50.4%
Number of Applicants	4	0	1	2	12
2020					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	12%	0%	4%	4%	32%
County Demographics	12%	13.6%	1.3%	1.3%	50.4%
Number of Applicants	3	0	1	2	8
2021					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	19%	4%	4%	4%	46%
County Demographics	12%	13.6%	1.3%	0.7%	50.4%
Number of Applicants	5	1	1	1	12
2022					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	25%	6.3%	3.1%	0%	66%
County Demographics	12.2%	14.8%	1.4%	.7%	50.1%
Number of Applicants	8	2	1	0	21
2023					
	African American	Hispanic	Asian-Pacific	Asian-Indian	Woman Owned
MGP Awards	11%	32%	11%	0%	68%
County Demographics	11.8%	15.1%	1.4%	.1%	50.3%
Number of Applicants	2	6	2	0	13

In review of the multiple year data, you can see that women-owned companies are the primary grant recipients with 68% of the 2023 applicants being to women-owned companies, and in 2023 the greatest grant award increase was in the Hispanic owned-company applicants at 32%.

Conclusion for 2024 Q2 Report

RCEDC is pleased to report that as of the writing of this memorandum, the 2024 grant allocation is almost fully awarded to applicants.

We currently have six pending applications that total \$30,000. As stated, the MGP program at this time only has \$17,586 available.

RCEDC is seeking Racine County's consideration to allocate additional funds to the program, if possible, in 2024, or to be considered in the 2025 budget.

2024 Q2 - Matching Grant Approvals						
Company Name	Community	Primary Product/Service	MGP Grant Award	MGP Partner	MGP Qualifier	MGP Application Job Count
Ying's Kitchen	Mt. Pleasant	Food Seasoning Manufacturer	\$ 5,000.00	RCEDC	Manufacturer; Racial/Ethnic Minority; Women Owned	8
Main Street Mercantile	Waterford (Village)	Gift Shop	\$ 3,120.00	Independent Consultant	Women Owned	4
Payne & Frazier Consultants	City of Racine	Consulting Agency	\$ 5,000.00	Independent Consultant	Racial/Ethnic Minority; Women Owned	2
The Hot Shop Racine Glass Studio and Gallery, LLC	City of Racine	Art studio	\$ 4,000.00	Independent Consultant	Veteran Owned; Women Owned	4
Brown Family Chiropractic	Mt. Pleasant	Chiropractor	\$ 5,000.00	RCEDC	Women Owned	8
Elrik's Hobbies, LTD	Burlington	Hobby Store	\$ 5,000.00	Independent Consultant	Manufacturer; Veteran Owned; Women Owned	4
Ictect, Inc.	Burlington	Computer Software	\$ 5,000.00	Independent Consultant	Racial/Ethnic Minority	6
Waterford Stillhouse	Waterford (Village)	Distillery	\$ 4,500.00	Independent Consultant	Manufacturer; Women Owned	15
Looks of Your Desire Boutique, LLC	City of Racine	Women's Clothing Store	\$ 5,000.00	Independent Consultant	Racial/Ethnic Minority; Women Owned	2
Pawstar	City of Racine	Manufacturer	\$ 5,000.00	RCEDC	Manufacturer	17
Modern Aesthetics	Waterford (Village)	Spa in Waterford	\$ 5,000.00	RCEDC	Women Owned	1
Big City BBQ	Mt. Pleasant	Food Truck	\$ 5,000.00	WWBIC	Racial/Ethnic Minority	1
Righteous Autos Inc	City of Racine	Auto Repair Shop	\$ 5,000.00	RCEDC	Racial/Ethnic Minority	13
Reads by the River Books and Gifts	Waterford (Village)	Bookstore	\$ 1,450.00	Independent Consultant	Women Owned	4
Flitz International, Ltd.	Waterford (Village)	Soap & Other Detergent Manufacturing	\$ 5,000.00	RCEDC	Manufacturer	27
Total: 15			\$ 68,070.00			116

REQUEST FOR COUNTY BOARD ACTION

YEAR <u>2024</u>		<input type="checkbox"/> Resolution Request <input type="checkbox"/> Ordinance Request <input type="checkbox"/> Information Only <input checked="" type="checkbox"/> Report Request
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Requestor/Originator: Finance Director - Gwen Zimmer

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Gwen Zimmer
 If a person is not in attendance the item may be held over.

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources

Date Considered by Committee: 9/4/2024 **Date of County Board Meeting to be Introduced:** _____

1st Reading: **1st & 2nd Reading:** *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County 2024 2nd Quarter Investment Report (Staff from PMA Investments will be available to discuss the materials) – 2024 – Report.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

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THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.



PMA[™]
ASSET MANAGEMENT

Racine County Finance Committee Portfolio Update



Racine County
W I S C O N S I N

Brian Hextell

Senior Vice President,
Institutional Portfolio Manager
PMA Asset Management, LLC

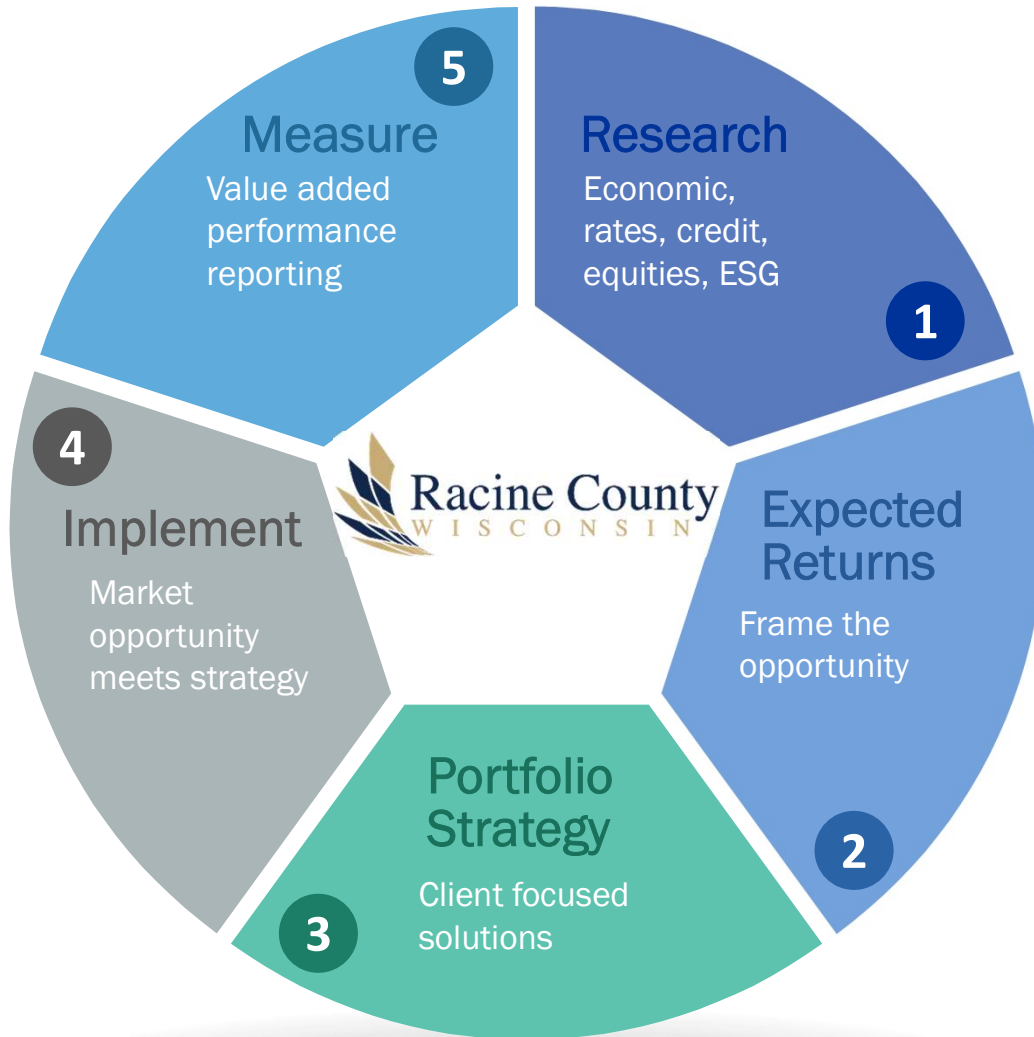
Matt Silkey

Associate Vice President,
Investment Services,
PMA Financial Network, LLC
PMA Securities, LLC

August 7, 2024
6-2



Investment Process



1

Research starts with a holistic approach to the all-encompassing broader market. PMA utilizes research within economic sectors, ESG, rates, credit and equity

2

We use our research to formulate expected returns and frame the opportunity for the client

3

We then create a customized portfolio strategy based on our research, expected returns and client needs

4

Portfolio strategy, idea generation, investment decisions and client input occur throughout the investment process

5

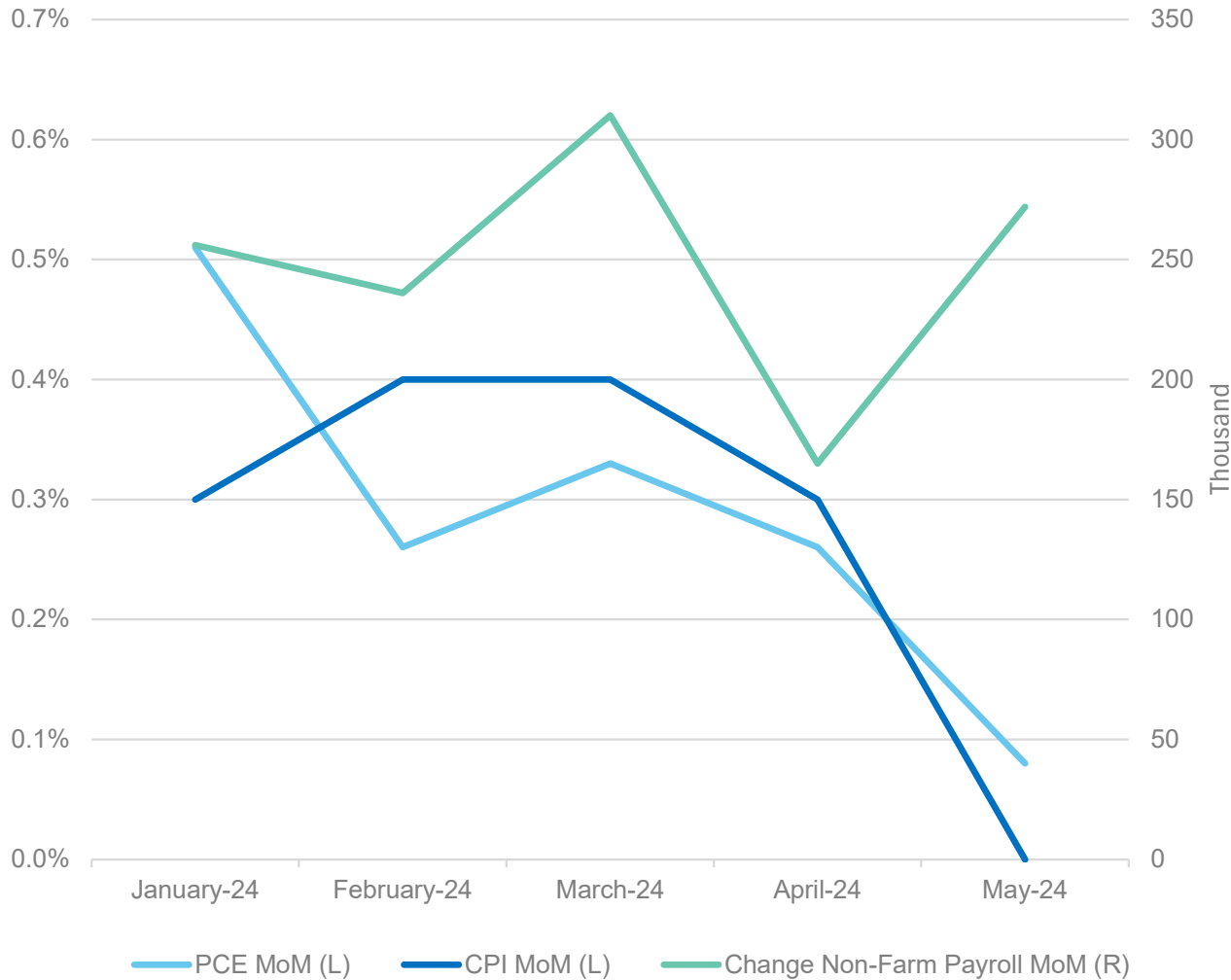
Portfolio returns and characteristics are measured and reported daily to clients

Market & Economic Update



FOMC Mandates

Inflation and Jobs

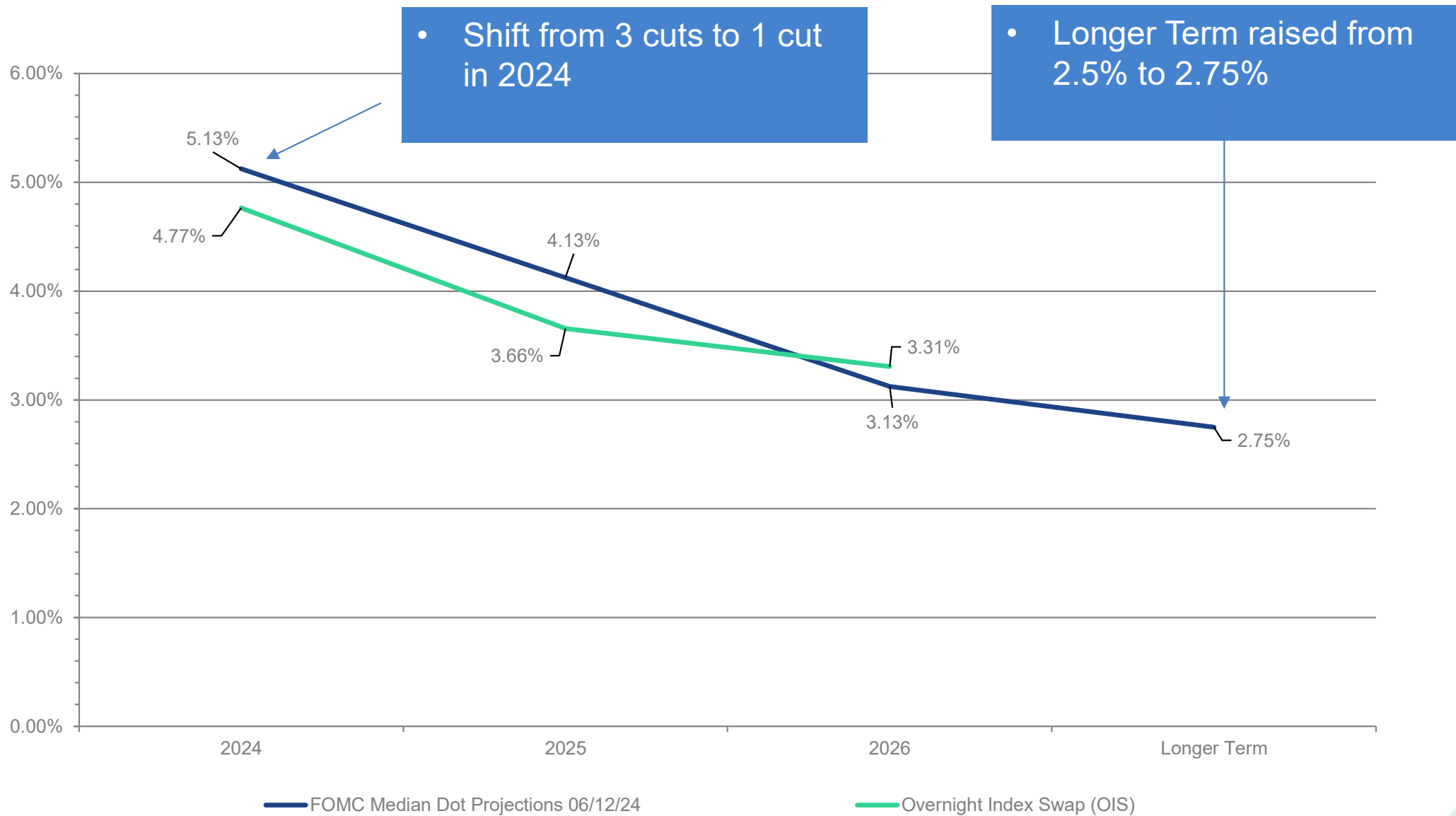


- Inflation returns to a downward trajectory in April and May after pausing earlier in the year
- Employment picture remains strong. In May, the economy added 272,000 Jobs

Source: Bloomberg, Bureau of Labor Statistics, PMA Asset Management as of 06/30/24



Update Dot Plot – Higher for Even Longer

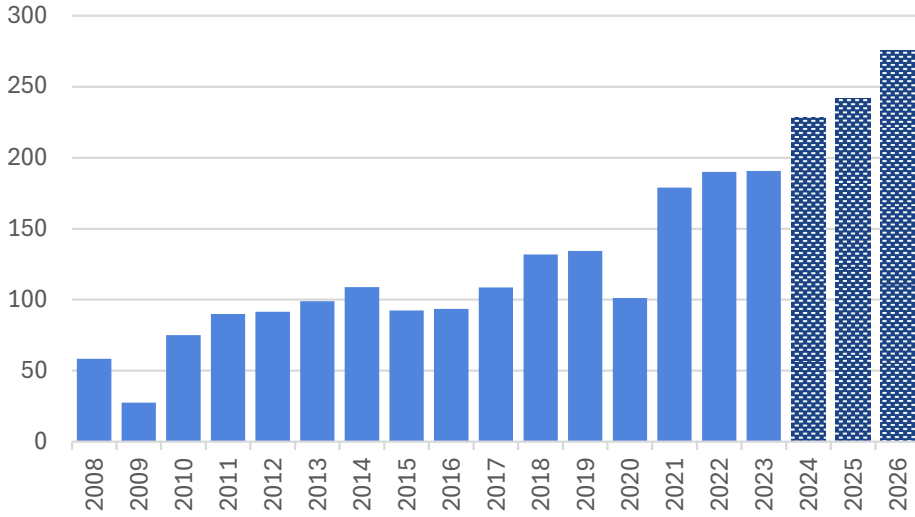


Source: Bloomberg, PMA Asset Management as of 06/12/24

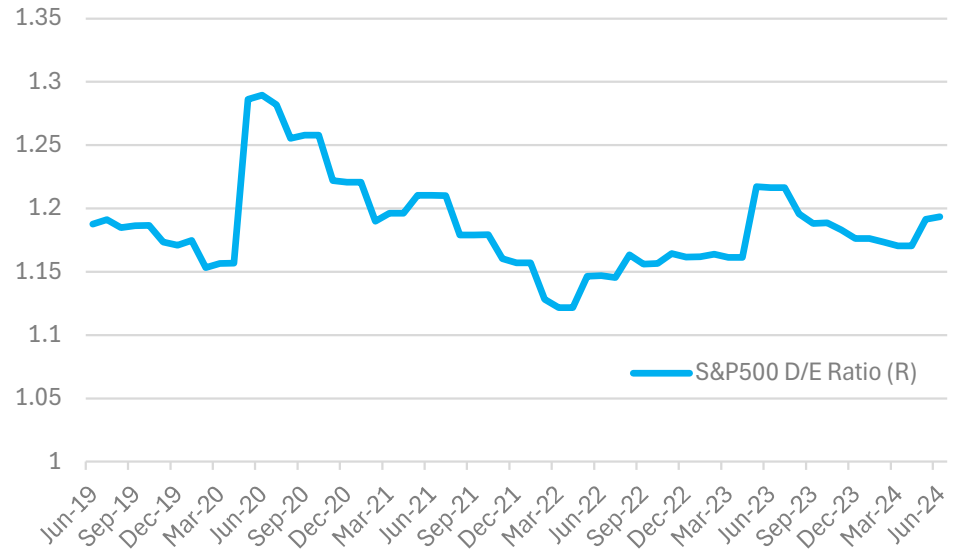


Corporate vs Consumer Financials

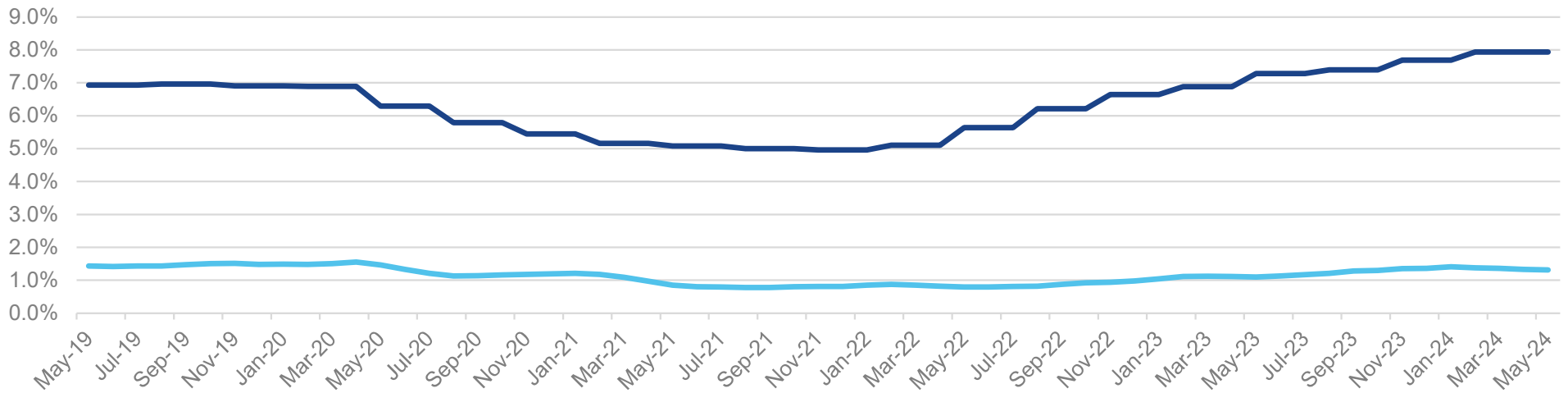
S&P Earnings
w. Bloomberg Forecast



Corporate Leverage



Consumer Delinquencies (>30 Days)



Source: Bloomberg, PMA Asset Management as of 06/30/2024

— Credit Card — Auto



PMA Market Outlook

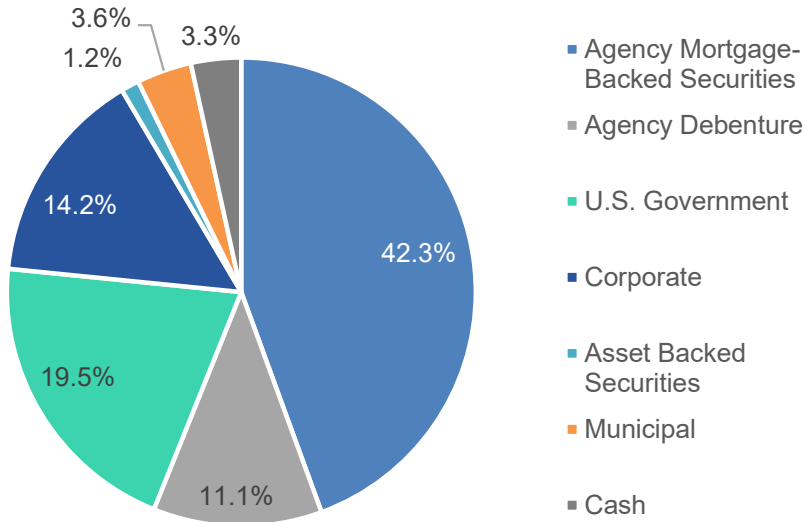
- ▶ Lower Inflation Raises Potential for 2024 Rate Cut
- ▶ Market Volatility Continues on Economic and Policy Uncertainty
- ▶ Lagging Impacts of Higher Rates and Inflation Reduces Spending
- ▶ Domestic and International Geopolitical Risks remain in Focus
- ▶ Corporate Balance Sheets and Earnings Remain Supportive
- ▶ Outlook for Solid Returns Across Asset Classes in 2024



Portfolio Update: Operating Reserves



Operating Reserves



Sector Analysis				
Industry Group	Prior Market Value %	6/30/24 Market Value %	Market Yield %	Duration
Agency Mortgage-Backed Securities	44.7%	42.3%	6.01	3.1
Agency Debenture	22.1%	11.1%	5.37	0.5
U.S. Government	13.7%	19.5%	4.45	3.7
Corporate	14.3%	14.2%	5.06	1.9
Asset Backed Securities	0.0%	1.2%	4.97	2.5
Municipal	3.6%	3.6%	5.43	0.7
Cash	1.6%	3.3%	5.16	0.0

Portfolio Characteristics	Current Portfolio	Benchmark
Yield	5.24	4.64
Duration	2.5	2.6
Quality	AA+	AA+

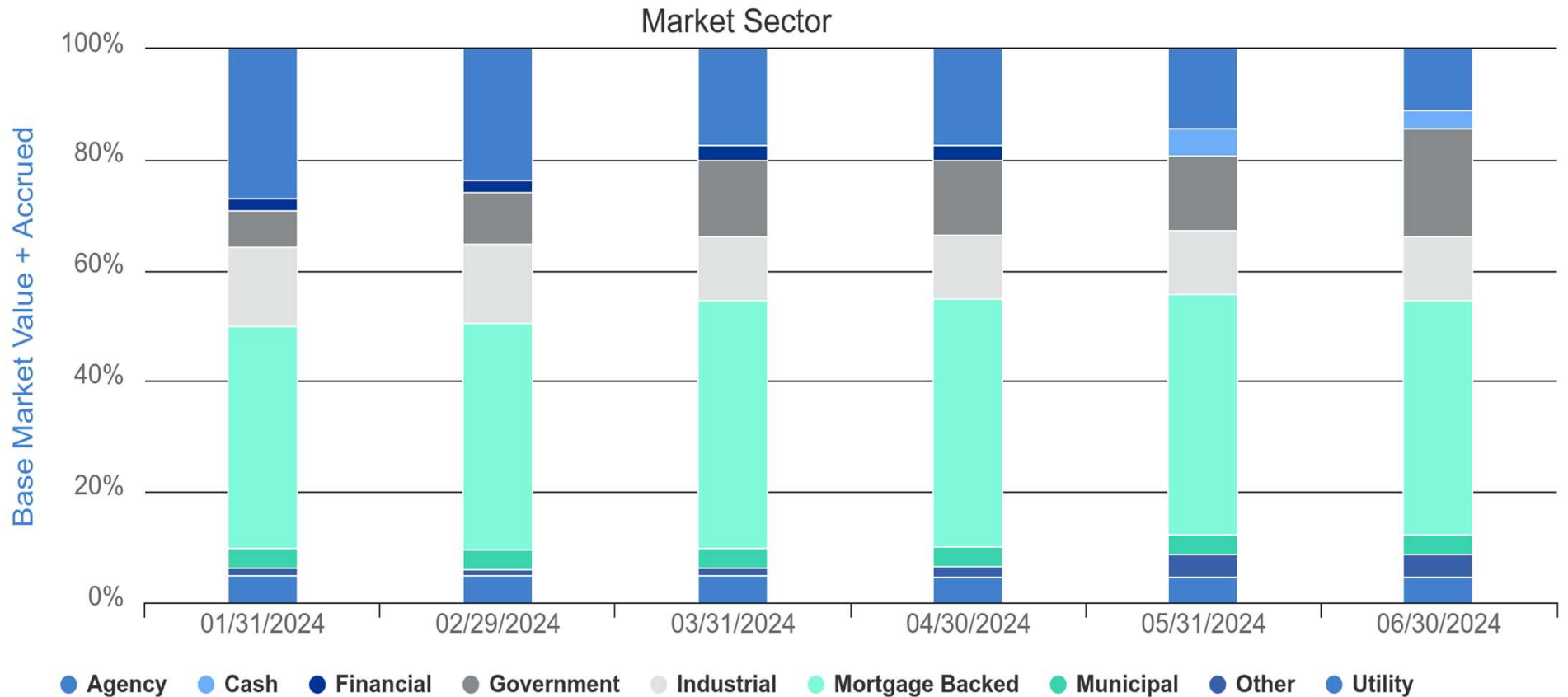
Benchmark: Bloomberg 1-5 Year Government Index
 Source: Clearwater Analytics; As of: 6/30/24

Goals / Objectives

- ▶ Strategically Diversify Across Sectors
- ▶ Maintain High Quality Assets
- ▶ Align Duration with Benchmark
- ▶ Actively Manage Portfolio to Meet Investment Goals



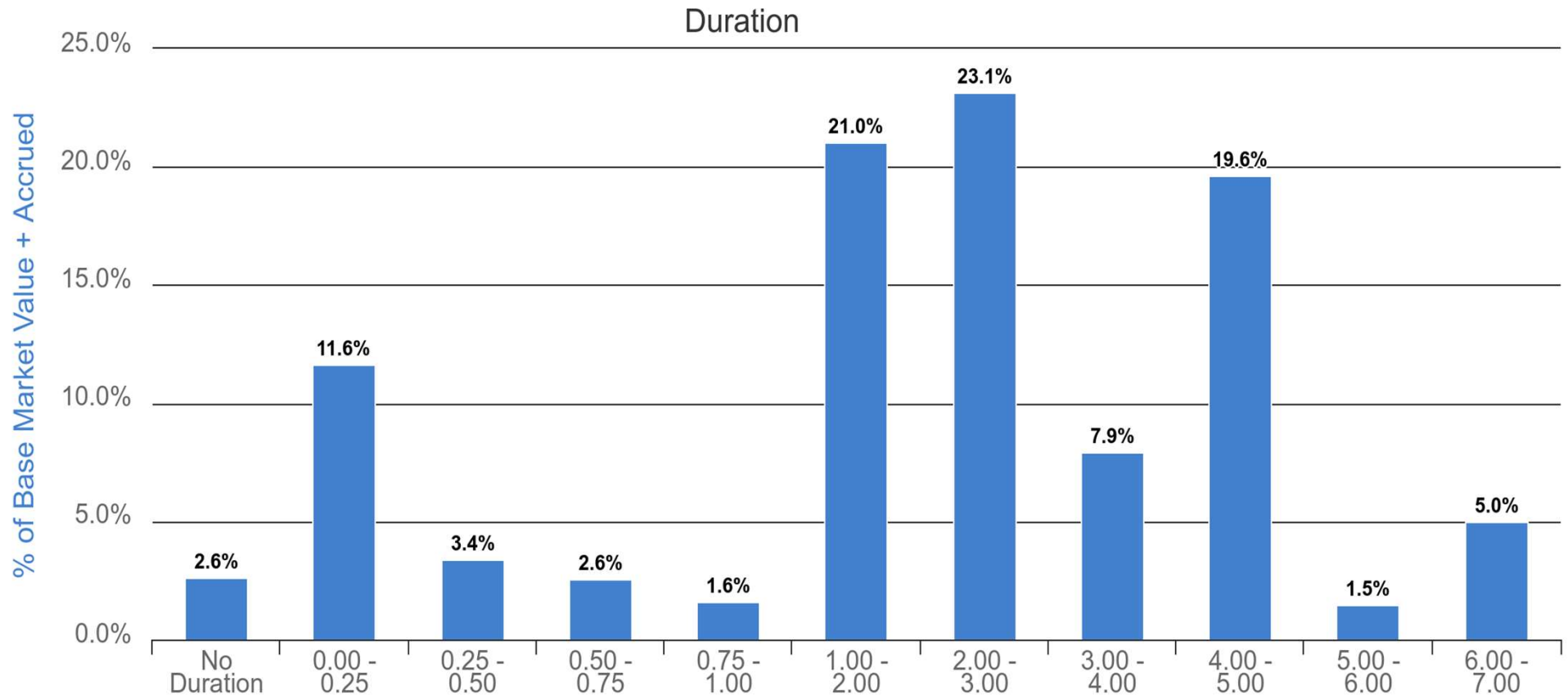
Operating Reserves: Evolving Allocation



Source: Clearwater Analytics; As of: 6/30/24



Operating Reserves: Diversified Maturities



Source: Clearwater Analytics; As of: 6/30/24



Operating Reserves: Gross and Net Return Analysis



	Month to Date	Year to Date	Trailing Year	Trailing 3 Years	Trailing 5 Years	Since Inception
Total Return, Net of Fees	0.88%	—	—	—	—	2.71%
Total Return, Gross of Fees	0.89%	—	—	—	—	2.76%
Benchmark Return	0.71%	—	—	—	—	0.73%

Source: Clearwater Analytics; As of: 6/30/24

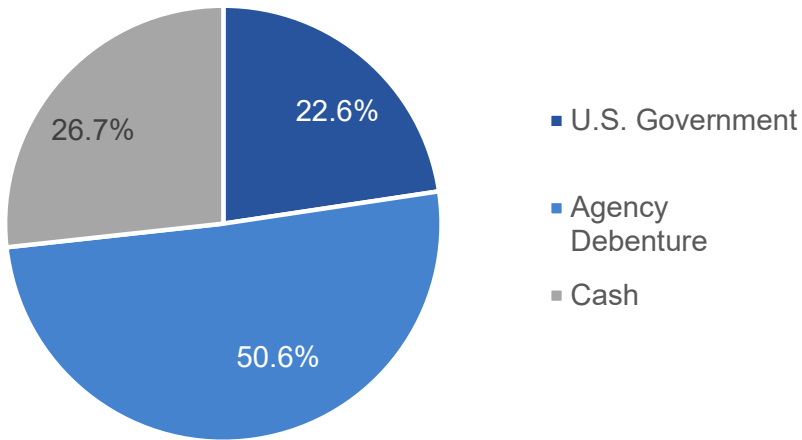
Benchmark: Bloomberg 1-5 Year Government Index. Since Inception: January 1, 2024

Returns for periods greater than 1 year have been annualized. Past performance is no guarantee of future results.



Portfolio Update: ARPA

6-14



Sector Analysis				
Industry Group	Prior Market Value %	6/30/24 Market Value %	Market Yield %	Duration
U.S. Government	22.7%	22.6%	5.30	0.6
Agency Debenture	76.5%	50.6%	5.40	0.5
Cash	0.8%	22.6%	5.16	0.0

Goals / Objectives

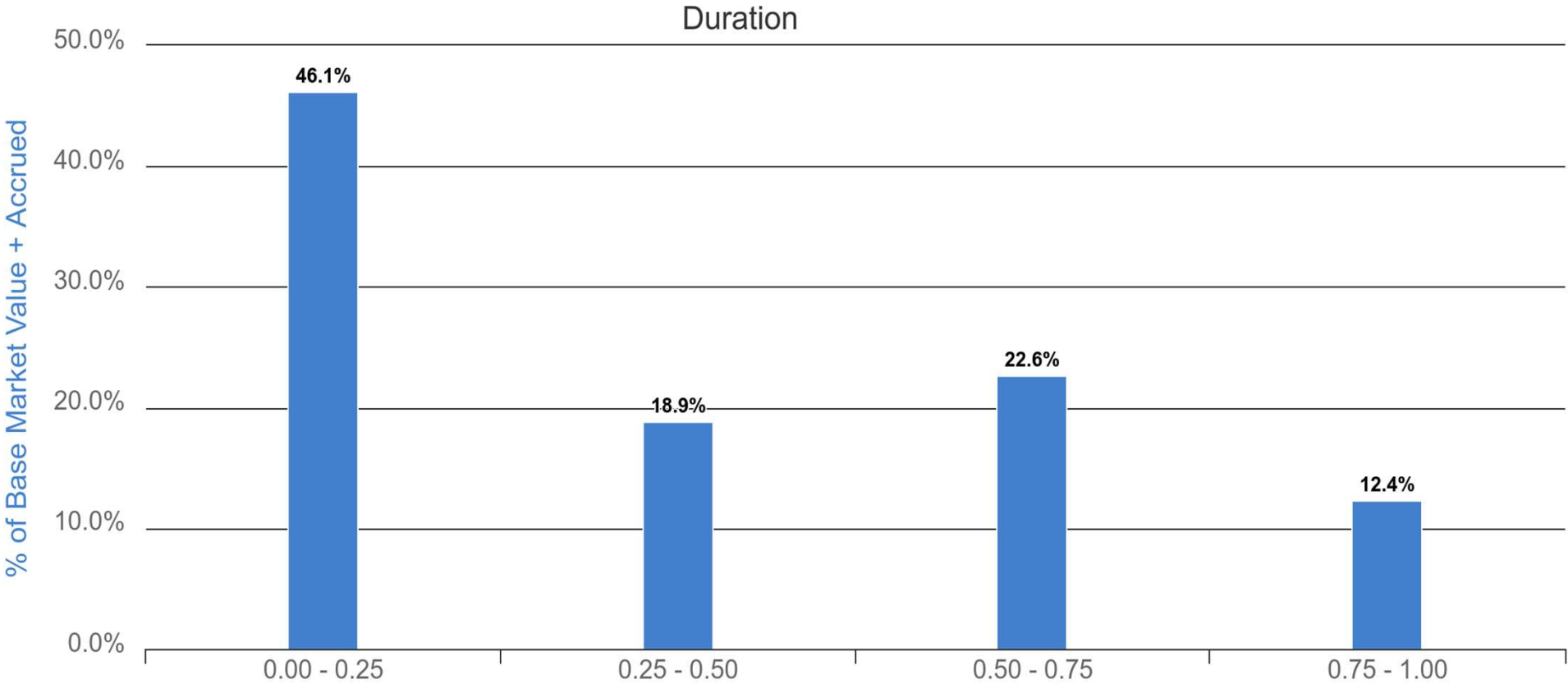
- ▶ Tailor Duration to Meet Cash Needs
- ▶ Maintain High Quality Assets
- ▶ Strategically Diversify Across Sectors
- ▶ Enhance Potential Returns

Portfolio Characteristics	Current Portfolio
Yield	5.31
Duration	0.4
Quality	AA+

Source: Clearwater Analytics; As of: 6/30/24



ARPA: Diversified Maturities



Source: Clearwater Analytics; As of: 6/30/24



ARPA: Gross and Net Return Analysis



	Month to Date	Year to Date	Trailing Year	Trailing 3 Years	Trailing 5 Years	Since Inception
Total Return, Net of Fees	0.38%	—	—	—	—	2.15%
Total Return, Gross of Fees	0.38%	—	—	—	—	2.20%

Source: Clearwater Analytics; As of: 6/30/24

Since Inception: January 1, 2024

Returns for periods greater than 1 year have been annualized. Past performance is no guarantee of future results.



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- Client Service Focus

- Disciplined Investment Philosophy

- History of Results

- Your Trusted Advisor



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2024 RACINE COUNTY INVESTMENT INCOME

MONTH	PMA ¹	PMA (ARPA)	LGIP	BMO HARRIS (net change)	TOTALS
JAN	\$ 122,870.41	\$ 94,147.50	\$ 48,153.08	\$ (355.82)	\$ 264,815.17
FEB	\$ 36,847.78	\$ 28,825.93	\$ 83,412.59	\$ 5,327.10	\$ 154,413.40
MAR	\$ 147,336.49	\$ 18,850.39	\$ 119,799.29	\$ 2,697.24	\$ 288,683.41
APR	(67,664.45)	55,184.77	111,240.31	(10,277.25)	88,483.38
MAY	249,359.92	71,933.48	85,551.31	6,652.13	413,496.84
JUN	210,221.49	54,377.47	68,794.66	3,139.77	336,533.39
JUL					-
AUG					-
SEP					-
OCT					-
NOV					-
DEC					-
2024 GT	698,971.64	323,319.54	516,951.24	7,183.17	1,546,425.59
1ST QTR	\$ 307,054.68	\$ 141,823.82	\$ 251,364.96	\$ 7,668.52	\$ 707,911.98
2nd QTR	391,916.96	181,495.72	265,586.28	(485.35)	838,513.61
3rd QTR	-	-	-	-	-
4th QTR	-	-	-	-	-

¹ Change in Market Value = Income + Realized Gain/Loss + Unrealized Gain/Loss - Admin Expenses

RACINE COUNTY FINANCE 2nd QTR REPORT 2024

AVERAGE MONTHLY	PMA	PMA (ARPA)	LGIP	BMO HARRIS
2nd Q BEGIN BALANCE	\$ 32,542,647.14	\$ 15,429,111.58	\$ 19,943,092.05	\$ 366,833.46
2nd Q END BALANCE	32,996,821.67	15,555,422.53	13,711,066.26	376,625.36
AVERAGE BALANCE	32,775,356.33	15,495,193.06	17,431,864.81	372,314.80
INTEREST EARNED	391,916.96	181,495.72	265,586.28	(485.35)
ACTUAL YIELD	1.20%	1.17%	1.52%	-0.13%
ESTIMATED ANNUAL YIELD**	4.78%	4.69%	6.09%	-0.52%

Respectfully submitted,

Gwen Zimmer
 Racine County Finance Director

* ESTIMATED ANNUAL YIELD:
 based on actual results year-to-date
 before Investment Advisor Fee

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2024</u>	<input checked="" type="checkbox"/>	Resolution Request
		<input type="checkbox"/>	Ordinance Request
		<input type="checkbox"/>	Report Request

Requestor/Originator: County Board

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading)
 If a person is not in attendance the item may be held over. Tom Kramer

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? Yes
 If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 9/4/2014 Date of County Board Meeting to be Introduced: 9/10/2024

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Authorize a project with Browns Lake Sanitary District to dredge Browns Lake and transfer of \$1,100,000 within the Monsanto Settlement 2024 Budget.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

ACCOUNT NAME	ACCOUNT NUMBER	CURRENT BUDGET	CURRENT BALANCE	TRANSFER	BUDGET AFTER TRANSFER	BALANCE AFTER TRANSFER
MONSANTO SETTLEMENT						
Monsanto Expense Budget Only	16410000.400000	2,059,615	2,059,615	(1,100,000)	959,615	959,615
TOTAL SOURCES				(1,100,000)		
New Project	16410000.404500.New Proj	0	0	1,100,000	1,100,000	1,100,000
TOTAL USES				1,100,000		
				0		

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

FOR	AGAINST
<hr/>	<hr/>
<hr/>	<hr/>
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**MEMORANDUM OF UNDERSTANDING BY AND BETWEEN
RACINE COUNTY AND THE BROWNS LAKE SANITARY DISTRICT**

WHEREAS, the Browns Lake Sanitary District is a metropolitan sewerage district established under Wis. Stat. § 200.05; and

WHEREAS, the Browns Lake Sanitary District seeks to protect the lake by removing soft sediment in the lake that impacts safety and access to the lake, interferes with plant growth, and creates algae blooms which closes public beaches; and

WHEREAS, the Browns Lake Sanitary District has received project approval from the Wisconsin Department of Natural Resources; and

WHEREAS, Racine County received a settlement from a Monsanto Company class action suit involving damages that Monsanto paid arising out of Monsanto's design and manufacture of PCBs to be used for PCB monitoring or other remediation efforts including restitution and remediation of contaminated property, stormwater, and/or stormwater systems; and

WHEREAS, Racine County and Browns Lake Sanitary District desire to set out the various obligations and responsibilities of the parties.

NOW, THEREFORE, IT IS MUTUALLY AGREED, by and between Racine County and the Browns Lake Sanitary District as follows:

1. Racine County will remit a check in the full amount of \$1,100,000, as financial assistance to the Browns Lake Sanitary District, subject to the following conditions:
 - A. Racine County and the Browns Lake Sanitary District agree that the committed funds will be used exclusively toward the dredging project at Browns Lake in the Browns Lake Sanitary District;
 - B. The Browns Lake Sanitary District shall provide a written report to the Racine County Executive, no later than December 31, 2025, confirming that the subject funds have been expended for the dredging project at Browns Lake and positive, measurable results were achieved;
 - C. If the Browns Lake Sanitary District fails to provide written notice as set forth above, or otherwise fails to expend the funds as set forth herein, Racine County may require the return of the balance of funds remaining and not so expended and such funds may be deemed forfeited by the Browns Lake Sanitary District;
 - D. The Browns Lake Sanitary District shall not assign any part of this agreement without the express written consent of Racine County;
 - E. The Browns Lake Sanitary District agrees not to discriminate on the basis of age, race, ethnicity, color, gender, disability, marital status, sexual orientation, national origin, cultural differences, ancestry, physical appearance, arrest record of conviction record, military participation or membership in the national guard, state defense force or any other reserve

component of the military forces of the United States, or political beliefs against any person, whether a recipient of services (actual or potential) or an employee or applicant for employment; and

- F. To the fullest extent permitted by law, the Browns Lake Sanitary District agrees to indemnify and hold harmless Racine County, and its officers and its employees, from and against all liability, claims, and demands, on account of any injury, loss, or damage (including costs of investigation and attorney's fees), which arise out of or are connected with the commitment of funds. The Browns Lake Sanitary District shall investigate, handle, respond to, and provide defense for and defend against any such liability, claims, and demands, and to bear all other costs and expenses related thereto, including court costs and attorney's fees. The Browns Lake Sanitary District indemnification shall not be construed to extend to any injury, loss, or damage that is caused by the act, omission, or other fault of Racine County.

(signed) _____
BROWNS LAKE SANITARY DISTRICT _____ DATE

(signed) _____
COUNTY EXECUTIVE _____ DATE

(signed) _____
COUNTY CLERK _____ DATE

(signed) _____
COUNTY BOARD CHAIRPERSON _____ DATE

CERTIFIED TO BE CORRECT AS TO FORM

REVIEWED BY FINANCE DIRECTOR

By _____
CORPORATION COUNSEL

FINANCE DIRECTOR

DATE

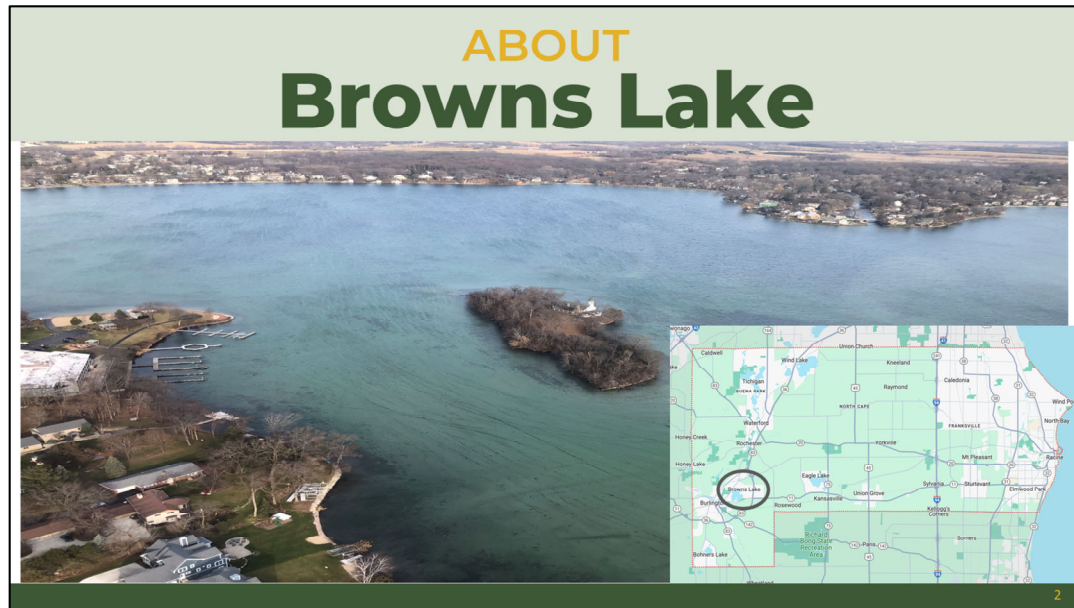
DATE

Browns Lake

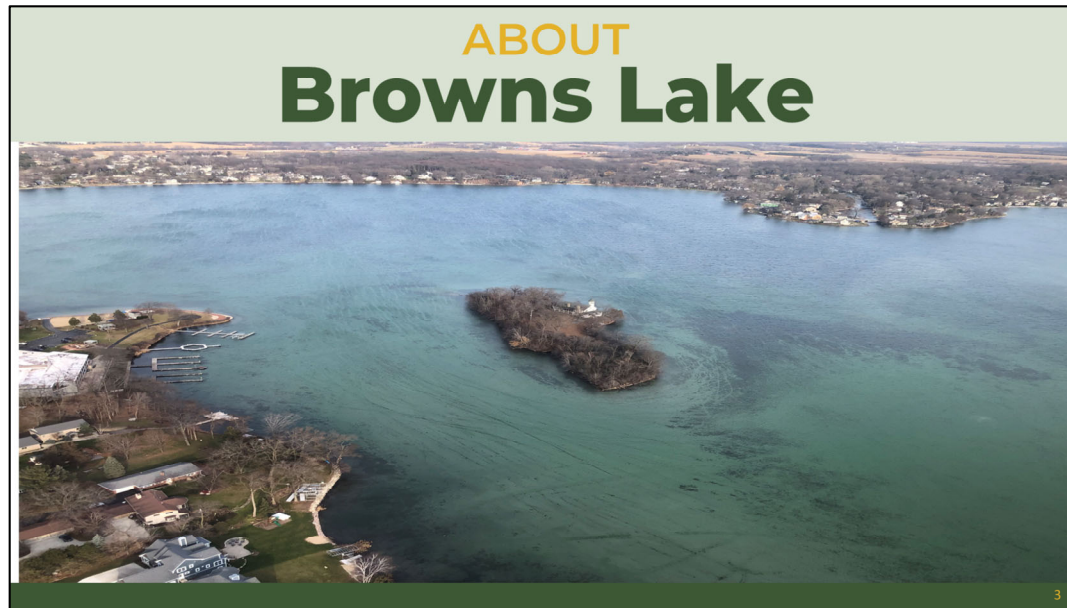
SEDIMENT REMOVAL PROJECT



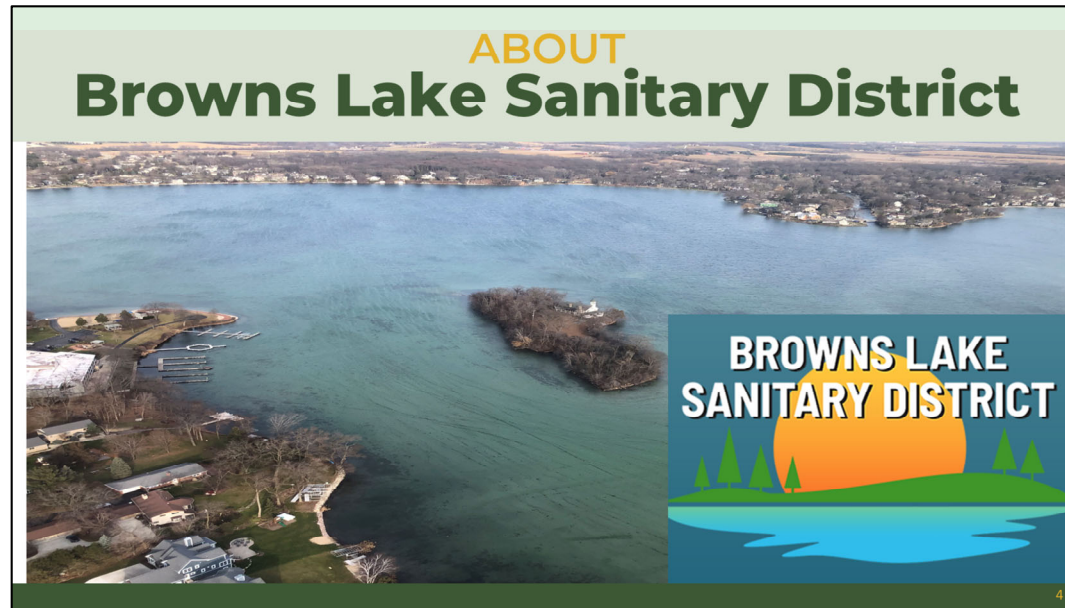
- Good <<morning, afternoon, evening>> I'm <<name>> and I'm here today on behalf of the Browns Lake Sanitary District.
- Thank you for being here today to learn about planned improvements to Browns Lake.
- Before we dive into our update, I want to give you a bit of framework for what we're hoping to accomplish.
- We'll start off telling you a little bit about Browns Lake and then we'll talk a little bit about the sanitary district. But I want to spend the bulk of the time today updating you on our planned dredging project, which has recently been approved by the Wisconsin DNR.



- Browns Lake is a 397-acre lake that is located in Racine County and it is one of the most popular lakes in southeastern Wisconsin.
- Most of the watershed consists of residential land uses. In fact, there are about 400 waterfront property owners along the lake's waterway, and an additional 600 properties within the BLSD.
- Additionally, the lakeshore serves many parks, including a popular Racine County Park, Fischer Park.



- As I mentioned before, Browns Lake is one of the most popular lakes in southeastern Wisconsin.
- It is a year-round attraction, but during its peak season it is standard to see more than two thousand public boat launches.
- It is popular for various recreational purposes, including fishing for species such as panfish, largemouth bass, northern pike and walleye.
- The lake is also a major draw for boating, swimming and water skiing.
- In fact, the award-winning Browns Lake Aquaducks competitive ski team train on the lake and perform ski shows during the summer months.



- Browns Lake falls within the purview of the Browns Lake Sanitary District.
- Browns Lake Sanitary District was formed to provide a controlled way to safely dispose of sanitation waste to prevent contamination of the lake.
- Additionally, for the past 53 years, the Browns Lake Sanitary District has been harvesting aquatic weeds to help control our weed population so swimmers, boaters, aquatic creatures, kayakers, and fishermen can enjoy the lake.
- When we started weed harvesting in 1971, Browns Lake was so overgrown with weeds that boat navigation, and personal enjoyment of our lake activities was a huge challenge. While some amount of weed growth can be beautiful, and even helps detoxify chemicals and provide food for aquatic creatures, eliminating some of the harmful vegetation can help our lake stay vibrant and healthy for visitors as well as the fish and animals that inhabit our lake.
- Today, BLSD practices ongoing vegetation monitoring and harvesting so we can ensure that Browns Lake remains healthy and beautiful for all to enjoy.



- In recent years, we've experienced an increase in soft sediment in the lake.
- It has accumulated and filled along the western shoreline.
- The sediment is impacting safety and access to the lake, interfering with plant growth, drifting within the water, and making boating difficult.
- Also, swimming beaches have been closed due to algal blooms.

PLANNED Dredging Project

The project will remove an estimated 380,000 - 400,000 CY of soft sediment



*Representative
Dredge Photos
Suitable for
Browns Lake*

6

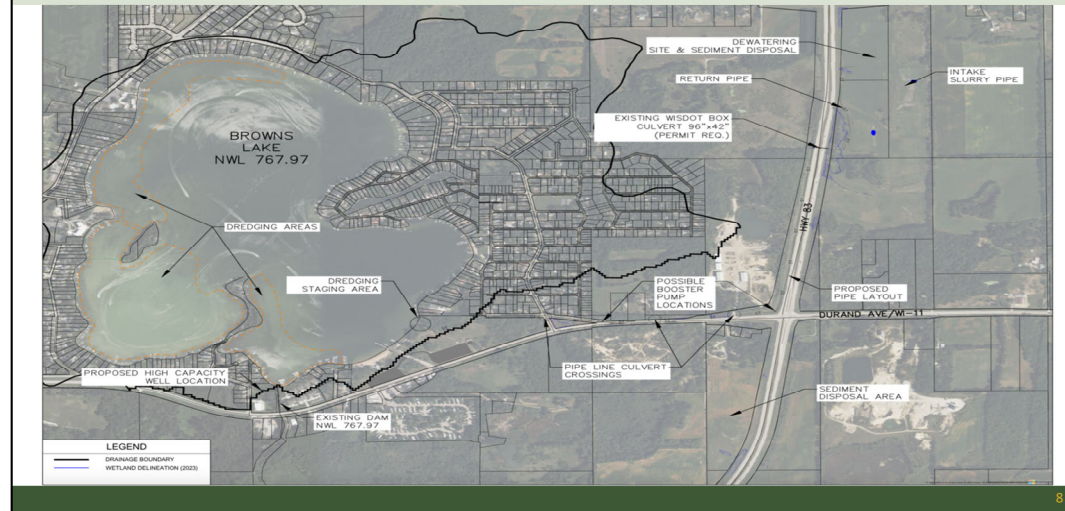
- Understanding the need to protect the lake and ensure it is usable and enjoyable for residents and visitors alike, the BLSD is pursuing a project to remove, or dredge, sediment from the lake. The project has recently been approved by the DNR.
- Throughout the project, we will work in close collaboration with our partners at the DNR.
- When complete, the dredging project will remove an estimated 380,000 to 400,000 cubic yards of soft sediment, making it one of the largest dredging projects, by volume, that the DNR has permitted.
- Removal of the soft sediment will make the lake a better fishing habitat, more enjoyable for recreational use, improve navigability and allow more of the lake itself to be enjoyed.

Dredging Project



- The sediment will be removed from the lake through hydraulic dredging methods.
- The dredging will focus on three key areas where the sediment is most problematic: the northwestern, southwest and south portions of the lake.

Dredging Project



- The sediment will then be moved to a dewatering site, planned to be the North and South Petrie Site.
- Our goal is to have the sediment reused. Clean organic matter existing within the sediment will allow the sediment to be used safely as fertilizer.



- In terms of the timeline for the project, with DNR approval this year we plan to begin preparing the dewatering site in Fall of 2024.
- We anticipate starting the dredging in Spring 2025, with a Summer 2025 completion.
- While dredging is occurring, we will work to maintain full access to the lake for fishing and boating outside the work zone area.
- We ask for your patience throughout this dredging process and look forward to its completion and the full enjoyment of our beautiful lake.

DREDGING PROJECT BENEFITS



- There are multiple ways this project will benefit local residents and the greater community, including increasing the useable shoreline, increasing plant growth, better boating experiences and better swimming conditions.
- It will also greatly benefit fishing in the lake. By dredging, fishermen will have better access to shorelines, and the fish population will be greater, as dredging will uncover rock beds used for spawning.



- We anticipate the cost of the project to be between \$7.5 - \$10 million
- While property owners have indicated a willingness to fund a portion of this project through an increased special charge, they are not the only beneficiaries of a clean, usable lake.
- In fact in 2022 there were over 15,000 paid entrances just to Fischer Park, one of many parks along Browns Lake.
- We believe there is a direct relationship between water quality and the amount of time and money spent by both residents and visitors.
- The local community, Racine County, southeast Wisconsin and the entire state benefits from a well-maintained, clear and navigable Browns Lake.
- The lake attracts tourists from throughout the state, as well as from Illinois. These visitors patronize our local businesses and support our local and regional economy.
- It also increases real estate values.
- We are currently pursuing all avenues to secure funding for the project, including grant applications. Additionally, as we move forward with the project, we would appreciate the opportunity to discuss with Racine County and the Town of Burlington about supporting this important local effort, potentially through bonding authority and financial support.



Thank you for your time today. I'll now open it up for questions.

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2024</u>	X	Resolution Request
			Ordinance Request
			Report Request

Requestor/Originator: Human Services Department - Hope Otto

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Hope Otto
If a person is not in attendance the item may be held over.

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? Yes

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 9/4/2024 Date of County Board Meeting to be Introduced: 9/10/2024

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Authorize acceptance of a WI Department of Justice grant and transfer of \$25,000 within the Public Health 2024 Budget.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

ACCOUNT NAME	ACCOUNT NUMBER	CURRENT BUDGET	CURRENT BALANCE	TRANSFER	BUDGET AFTER TRANSFER	BALANCE AFTER TRANSFER
PUBLIC HEALTH						
STATE FUNDING	4421725.304000	(2,188,446)	(649,181)	(25,000)	(2,213,446)	(674,181)
TOTAL SOURCES				<u>(25,000)</u>		
PUBLIC HEALTH						
SUPPLIES-OTHER	4421725.446020	388,000	6,281	24,745	412,745	31,026
TRAVEL/MILEAGE	4421725.438000	17,965	538	255	18,220	793
TOTAL USES				<u>25,000</u>		
				<u>0</u>		

THE GRANT PERIOD IS 10/01/24-9/30/25

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

FOR	AGAINST

DATE: August 22, 2024

TO: Supervisor Donald Trottier
Chairman, Finance and Human Resources Committee

FROM: Jeffrey Langlieb
Health Officer

RE: State Crisis Intervention Program – Gun Shop Project Grant Acceptance

Racine County Public Health Division (RCPH) is partnering with Wisconsin Department of Justice to bring their State Crisis Intervention Program – Gun Shop Project to Racine County. RCPH will initiate contact with local gun shops to expand the SCIP program including distribution of gun storage units, QPR training, and outreach and awareness initiatives. The goal of this program is to decrease the rates of suicide deaths related to firearms particularly in our male, 65+, and rural population which is the highest risk groups for suicide deaths related to firearms.

Between 2012 and 2021, suicides accounted for 82% of firearm related deaths among Racine County residents. During the same time, the suicide rate by firearm among male residents in Racine County was over 7 times greater than their female counterparts. Furthermore, firearms are the leading method of suicide death for men in Racine County, accounting for 56% of all male suicides (WISH, 2012-2021). Finally, Racine County residents aged 65 and older have the highest percentage of suicide by firearm (84%) followed by those in the 55-64 age group (59%).

This funding will work to support the following objectives:

1. Increase partnership with gun shops for SCIP program
2. Raise awareness about the prevalence of suicide deaths linked to firearms
3. Increase access to free firearm storage at gun shops and firing ranges for individuals at risk

Addressing and improving mental health is a priority health area identified in RCPH's Community Health Improvement Plan, and this funding helps support this important work to prevent suicide. These funds will be used to support outreach, education, supplies and mileage reimbursement. Thank you for your support though acceptance of this funding as we work to improve the health of the community.

**WISCONSIN DEPARTMENT OF JUSTICE
Racine County SCIP Program - Gun Shop Project
Grant Summary Sheet**

Grantee or Unit of Government: **Racine County**

Project Name: **Racine County SCIP Program - Gun Shop Project**

Address: **Central Racine County Health Department, 10005 Northwestern Avenue,
Franksville, Wisconsin, 53126-9573**

Project Director: **Jeff Langlieb**

Phone number: **262-898-4475**

Signing Official: **Thomas Roanhouse, County Executive, Racine County,
730 Wisconsin Avenue, , Wisconsin 53403-1238**

Amount of Federal Award: **\$25,000**

Amount of Match:

Amount of Total Award: **\$25,000**

SUMMARY OF GRANT:

Racine County Public Health Division will initiate contact with local gun shops to expand the SCIP program. Activities include distribution of gun storage units, QPR training, and outreach and awareness initiatives. The goal of implementing this program in Racine County is to decrease the rates of suicide deaths related to firearms particularly in our male, 65+, and rural population which is the highest risk groups for suicide deaths related to firearms.

Name of Program Manager: **Katie Snell**

Phone number: **608—852-9722**

Name of Grants Specialist: **Jannifer Ayers**

Phone number: **608-514-5623**



STATE OF WISCONSIN
DEPARTMENT OF JUSTICE

Josh Kaul
Attorney General

Room 114 East, State Capitol
PO Box 7857
Madison WI 53707-7857
(608) 266-1221
TTY 1-800-947-3529

August 16, 2024

Jeff Langlieb, Health Officer
Central Racine County Health Department
10005 Northwestern Avenue
Franksville, WI 53126-9573

RE: Racine County SCIP Program - Gun Shop Project
DOJ Grant Number: 2023-SCI-01-19113

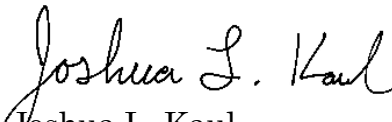
Dear Mr. Langlieb:

The Wisconsin Department of Justice, Division of Law Enforcement Services, has approved a grant award to Racine County in the amount of \$25,000. These funds are from the Byrne State Crisis Intervention Program with funding awarded by the U.S. Department of Justice, Office of Justice Assistance. This grant supports Racine County's SCIP Program - Gun Shop Project.

To accept this award, please have the authorized official sign the *Signatory Page, Certified Assurances and Lobbying and Debarment Forms* in addition to initialing the bottom right corner of Attachments A and B, if enclosed. The project director signs the acknowledgement notice. Please return the signed award document to the Wisconsin Department of Justice within 30 days. Please maintain a copy for your records. Funds cannot be released until all signed documents are received and any special conditions are met.

As project director, you will be responsible for all reporting requirements outlined in the grant award and ensuring that funds are administered according to the approved application materials and certifications. Please refer to the enclosed FAQ sheet for contact information and grant guidelines. We look forward to a collaborative working relationship with you.

Sincerely,


Joshua L. Kaul
Attorney General

JLK:JLA

Enclosures



STATE OF WISCONSIN
DEPARTMENT OF JUSTICE

Josh Kaul
Attorney General

Room 114 East, State Capitol
PO Box 7857
Madison WI 53707-7857
(608) 266-1221
TTY 1-800-947-3529

**BYRNE STATE CRISIS INTERVENTION PROGRAM
Racine County SCIP Program - Gun Shop Project
2023-SCI-01-19113**

The Wisconsin Department of Justice (DOJ) hereby awards to **Racine County**, (hereinafter referred to as the **Grantee**), the amount of **\$25,000** for programs or projects pursuant to the federal Bipartisan Safer Communities Act of 2022.

This grant may be used until **9/30/2025** for the programs consistent with the budget and general conditions in Attachment A, subject to any limitations or conditions set forth in Attachments B and/or C, if included.

The Grantee shall administer the programs or projects for which this grant is awarded in accordance with the applicable rules, regulations, and conditions of the Wisconsin Department of Justice. The submitted application is hereby incorporated as reference into this award.

This grant shall become effective, and funds may be obligated (unless otherwise specified in Attachments A and/or B) when the Grantee signs and returns a signed copy of this grant award to the Wisconsin Department of Justice. In addition, please note that grant activity may not begin until the project start date.

BY: Joshua L. Kaul
JOSHUA L. KAUL
Attorney General
Wisconsin Department of Justice

08/16/2024
Date

The (Grantee), **Racine County**, hereby signifies its acceptance of the above-described grant on the terms and conditions set forth above or incorporated by reference therein.

GRANTEE: **Racine County**

BY: _____
NAME: **Thomas Roanhouse**
TITLE: **County Executive**

Date

**BYRNE STATEWIDE CRISIS INTERVENTION PROGRAM
ATTACHMENT A**

Subgrantee: Racine County

Project Title: Racine County SCIP Program - Gun Shop Project CFDA# 16.738

Grant Period: From 10/1/2024 To 9/30/2025

Grant Number: 2023-SCI-01-19113 UEI Number: NXHRFNLB9TC7

Federal Award Identification Number and Federal Award Date: 15PBJA-23-GG-00041-BSCI 10/1/22

Federal Awarding Agency: U.S Department of Justice, Office of Justice Assistance

APPROVED BUDGET

See your Egrants Application for details

	<u>Federal & Match</u>
Personnel	
Employee Benefits	
Travel (Including Training)	\$255
Supplies & Operating Expenses	\$24,745
Equipment	
Consultants/Contractual	
Other	
Indirect	
FEDERAL TOTAL	\$25,000
MATCH TOTAL	
TOTAL APPROVED BUDGET	\$25,000

Award General Conditions:

- Grant recipients are advised that DOJ will monitor grants to ensure that funds are expended for appropriate purposes and that recipients are complying with state and federal requirements as described in the grant award contract. This includes timely completion of progress and financial reports, active efforts to achieve and measure stated goals and objectives, appropriate documentation of activities and outcomes, on-going submission of participant data, and adherence to any conditions included in the grant award.
- All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.
- The DOJ reserves the right to withhold grant payments if the grant recipient is delinquent paying any obligation to DOJ such as background check fees, etc. Refusal to provide information requested by DOJ may impact the payment of current or approval of future grant funds.
- Please be advised that a hold may also be placed on any current or future application or grant payment if it is deemed that an agency is not in good standing on any DOJ grants or other reporting requirements, has other grants compliance issues (including being out of compliance with special conditions) that would make the applicant agency ineligible to receive future DOJ funding, failure to make progress in obtaining project goals and objectives, and/or is not cooperating with an ongoing DOJ grant review or audit.
- A hold may also be placed on any application or grant payment if it is deemed that an agency is not in compliance with federal civil rights laws and/or is not cooperating with an ongoing federal civil rights investigation.

6. Program Income: To maintain consistent practices with other similar programs, and as a proven practice, projects funded under this announcement are subject to program income guidelines detailed in the federal Office of Justice Programs Financial Guide. Grant award funds received are not program income. Program income is income earned by the recipient, during the funding period, as a direct result of the award. Any fees charged to the participants of your project are considered program income. The amount earned as program income during the length of the grant period must be expended by the end of the grant period and must be used for the purposes and under the condition applicable to the award.
7. All procurement transactions, whether negotiated or competitively bid and without regard to dollar value, shall be conducted in a manner to provide maximum open and free competition.
8. If the grant award budget contains wages, the grantee's records must be maintained in a form that, at any given time, an auditor or DOJ representative would be able to identify the use of Federal and Matching funds. These records should include information such as employee name, rate of pay, hours worked, and amount of time dedicated to the grant project.
9. Award funds will be used to supplement, not supplant, planned or allocated funds.
10. To be allowable under a grant program, all funds (state, federal, and cash match) must be obligated (purchase order issued) or paid for services provided during the grant period. If obligated by the end of the grant period, payment must be made within 30 days of the grant period ending date. Any grant activity outside the project period is not eligible for reimbursement.
11. All budget changes require prior approval from DOJ and must be requested in a grant modification via Egrants.
12. Subgrantees acknowledge that failure to submit an acceptable Equal Employment Opportunity Plan (if required to submit one pursuant to 28 CFR 42.302) that is approved by the Federal Office of Civil Rights, is a violation of its Certified Assurances and may result in the suspension of the grant.
13. Grant funds will be paid to the grantee on a reimbursement basis. Expenses must be incurred and paid for by the agency/organization within the reporting period.
14. Any changes in personnel involved with the grant including the Project Director, Financial Officer, and/or Signatory must be reported to DOJ in a grant modification via Egrants.
15. Fees for independent consultants may not exceed the federal rate of \$650 per eight-hour day unless prior approval is received from DOJ.
16. Reimbursement for travel (i.e., mileage, meals, and lodging) is limited to state rates.
17. Recipient fully understands that DOJ has the right to suspend or terminate grant funds to any recipient that fails to conform to the requirements (special/general conditions and general operating policies) or that fails to comply with the terms and conditions of its grant award.
18. All contracts pertaining to this grant must be submitted to DOJ within 30 days of receipt of Grant Award Documents.
19. If the grant award contains equipment, a request for reimbursement should only be submitted once the equipment is installed and testing has been completed.
20. Positions funded by this grant must have a position description. Submit the position description and name of employee in Egrants within the Monitoring Section under Project Document Attachment.
21. The recipient agrees to cooperate with WI DOJ monitoring to ensure compliance of US DOJ Grants guidelines, Financial Guide, and OJP guidelines, protocols and procedures. Recipient agrees to cooperate with WI DOJ (including the Program Contact, Fiscal Contact, Grants Specialist Monitor, Supervisors, and/or Administration) for this award, including requests related to desk reviews and/or onsite/virtual visits. The recipient agrees to provide to WI DOJ all documentation necessary for WI DOJ to complete the monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set out by WI DOJ for providing the requested documents. Failure to cooperate with WI DOJ monitoring activities may result in actions that affect the recipient's WI DOJ awards, including, but not limited to: withholding and/or other restrictions on the recipient's access to award funds, referral to the WI DOJ designation of High-Risk grantees, or terminate of an award(s).

BYRNE STATEWIDE CRISIS INTERVENTION PROGRAM
ATTACHMENT B

Award Special Conditions:

1. Reimbursement of cost will be contingent on submission of a roster which must contain event name, dates, attendee name, and agency affiliation. The roster along with copies of paid invoices must be uploaded to the Egrants Fiscal Report within the Monitoring Section. All training events require a roster. Multi-day trainings must have a roster for each day of the training.

2. Any written, visual, or audio publications, published utilizing grant funds shall contain the following statements: "This project was supported by Grant No. 15PBJA-23-GG0041-BSCI awarded to WI DOJ by the Bureau of Justice Assistance (BJA). Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice."

Additional guidance relating to publication and printing costs is set out in DOJ Grants Financial Guide under section III, 3.9 Allowable Costs, and in the Code of Federal Regulations (CFR) 2 C.F.R. § 200.461, 2 C.F.R. § 200.315 and Chapter 3.7

**BYRNE STATEWIDE CRISIS INTERVENTION PROGRAM
ACKNOWLEDGEMENT NOTICE**

Grantee: **Racine County** Date: **August 2024**
Grant No. **2023-SCI-01-19113**
Project Title: **Racine County SCIP Program - Gun Shop Project**

The following regulations and obligations (referenced below) apply to your grant award.

Note: Reports due 04/12 include January, February, and March program activity
Reports due 07/12 include April, May, and June program activity
Reports due 10/12 include July, August, and September program activity
Reports due 01/12 include October, November, and December program activity

QUARTERLY PERFORMANCE MEASURE REPORTS must be submitted on a scheduled basis and must be completed in the federal web-based Performance Measurement Tool (PMT). Additional information on this system and instructions will be provided by DOJ. Performance Measure reports on the status of your project are due in the PMT on:

<u>01/12/25</u>	<u>04/12/25</u>	<u>07/12/25</u>	<u>10/12/25 FINAL</u>
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QUARTERLY PROGRAM REPORTS must be submitted on a scheduled basis and must be completed in Egrants. Narrative reports on the status of your project and are due to DOJ on:

<u>01/12/25</u>	<u>04/12/25</u>	<u>07/12/25</u>	<u>10/12/25 FINAL</u>
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QUARTERLY FINANCIAL REPORTS must be submitted on a scheduled basis and must be completed and certified in Egrants. Supporting documentation must be attached to the Egrants Fiscal Report and are due to DOJ on:

<u>01/12/25</u>	<u>04/12/25</u>	<u>07/12/25</u>	<u>10/30/25 FINAL</u>
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INVENTORY REPORTS must be submitted in Egrants for all equipment purchases and are due to DOJ on:

<u>10/30/25</u>

EEOP CERTIFICATION FORM The Office of Justice Programs requires that all subgrantees complete the EEOP Certification form and submit it to the Office for Civil Rights. The EEO Program reporting tool can be accessed at https://ocr-eeop.ncjrs.gov/layouts/15/eeopLogin2/customLogin.aspx?ReturnUrl=%2f_layouts%2f15%2fAuthenticate.aspx%3fSource%3d%252F&Source=%2F

A copy of the completed Certification Form must be returned with the signed grant award.



OTHER: Complete and return Certified Assurances and Lobbying/Debarment Forms, enclosed.

ACKNOWLEDGEMENT

The materials referenced above were received and reviewed by the appropriate members of this organization. I also acknowledge receipt of the Grant Award and any attached Special Conditions, as well as receipt of the General Conditions which were previously provided in the Instructions for Filing and Application. I understand that this grant is awarded subject to our compliance with all Conditions, Regulations, and Obligations described in the above materials.

, Project Director

.....
Date

.....
Jeff Langlieb

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the U.S. Department of Justice ("Department") determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by 31 U.S.C. § 1352, as implemented by 28 C.F.R. Part 69, the Applicant certifies and assures (to the extent applicable) the following:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, or the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If the Applicant's request for Federal funds is in excess of \$100,000, and any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal grant or cooperative agreement, the Applicant shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities" in accordance with its (and any DOJ awarding agency's) instructions; and

(c) The Applicant shall require that the language of this certification be included in the award documents for all subgrants and procurement contracts (and their subcontracts) funded with Federal award funds and shall ensure that any certifications or lobbying disclosures required of recipients of such subgrants and procurement contracts (or their subcontractors) are made and filed in accordance with 31 U.S.C. § 1352.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

A. Pursuant to Department regulations on nonprocurement debarment and suspension implemented at 2 C.F.R. Part 2867, and to other related requirements, the Applicant certifies, with respect to prospective participants in a primary tier "covered transaction", as defined at 2 C.F.R. § 2867.20(a), that neither it nor any of its principals--

(a) is presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) has within a three-year period preceding this application been convicted of a felony criminal violation under any Federal law, or been convicted or had a civil judgment rendered against it for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, tribal, or local) transaction or private agreement or transaction;

(c) is presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, tribal, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and/or

(d) has within a three-year period preceding this application had one or more public transactions (Federal, State, tribal, or local) terminated for cause or default.

B. Where the Applicant is unable to certify to any of the statements in this certification, it shall attach an explanation to this application. Where the Applicant or any of its principals was convicted, within a three-year period preceding this application, of a felony criminal violation under any Federal law, the Applicant also must disclose such felony criminal conviction in writing to the Department (for OJP Applicants, to OJP at Ojpcompliancereporting@usdoj.gov; for OVW Applicants, to OVW at OVW.GFMD@usdoj.gov; or for COPS Applicants, to COPS at AskCOPSRC@usdoj.gov), unless such disclosure has already been made.

3. FEDERAL TAXES

A. If the Applicant is a corporation, it certifies either that (1) the corporation has no unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, or (2) the corporation has provided written notice of such an unpaid tax liability (or liabilities) to the Department (for OJP Applicants, to OJP at Ojpcompliancereporting@usdoj.gov; for OVW Applicants, to OVW at OVW.GFMD@usdoj.gov; or for COPS Applicants, to COPS at AskCOPSRC@usdoj.gov).

B. Where the Applicant is unable to certify to any of the statements in this certification, it shall attach an explanation to this application.

4. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, as implemented at 28 C.F.R. Part 83, Subpart F, for grantees, as defined at 28 C.F.R. §§ 83.620 and 83.650:

A. The Applicant certifies and assures that it will, or will continue to, provide a drug-free workplace by--

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in its workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about--

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the award, the employee will--

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of the employee's conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the Department, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title of any such convicted employee to the Department, as follows:

For OJP and OVW award recipients - U.S. Department of Justice, Office of Justice Programs, ATTN: Control Desk, 810 7th Street, N.W., Washington, D.C. 20531.

Notice shall include the identification number(s) of each affected award;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

Central Racine County Health Department, 10005 Northwestern Avenue, Franksville, Wisconsin, 53126-9573

Grantee Name and Address

Racine County SCIP Program - Gun Shop Project
Project Name

Thomas Roanhouse, County Executive
Signature of Chief Executive (Co. Board Chair, Co. Executive, Mayor)

Date

STANDARD ASSURANCES

On behalf of the Applicant, and in support of this application for a grant or cooperative agreement, I certify under penalty of perjury to the U.S. Department of Justice ("Department"), that all of the following are true and correct:

(1) I have the authority to make the following representations on behalf of myself and the Applicant. I understand that these representations will be relied upon as material in any Department decision to make an award to the Applicant based on its application.

(2) I certify that the Applicant has the legal authority to apply for the federal assistance sought by the application, and that it has the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project costs) to plan, manage, and complete the project described in the application properly.

(3) I assure that, throughout the period of performance for the award (if any) made by the Department based on the application--

- a. the Applicant will comply with all award requirements and all federal statutes and regulations applicable to the award;
- b. the Applicant will require all subrecipients to comply with all applicable award requirements and all applicable federal statutes and regulations; and
- c. the Applicant will maintain safeguards to address and prevent any organizational conflict of interest, and also to prohibit employees from using their positions in any manner that poses, or appears to pose, a personal or financial conflict of interest.

(4) The Applicant understands that the federal statutes and regulations applicable to the award (if any) made by the Department based on the application specifically include statutes and regulations pertaining to civil rights and nondiscrimination, and, in addition--

- a. the Applicant understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. § 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794); section 901 of the Education Amendments of 1972 (20 U.S.C. § 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. § 6102);
- b. the Applicant understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. § 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. § 20110(e)); section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); and that the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. § 12291(b)(13)), which will apply to all awards made by the Office on Violence Against Women, also may apply to an award made otherwise;
- c. the Applicant understands that it must require any subrecipient to comply with all such applicable statutes (and associated regulations); and
- d. on behalf of the Applicant, I make the specific assurances set out in 28 C.F.R. §§ 42.105 and 42.204.

(5) The Applicant also understands that (in addition to any applicable program-specific regulations and to applicable federal regulations that pertain to civil rights and nondiscrimination) the federal regulations applicable to the award (if any) made by the Department based on the application may include, but are not limited to, 2 C.F.R. Part 2800 (the DOJ "Part 200 Uniform Requirements") and 28 C.F.R. Parts 22 (confidentiality - research and statistical information), 23 (criminal intelligence systems), 38 (regarding faith-based or religious organizations participating in federal financial assistance programs), and 46 (human subjects protection).

(6) I assure that the Applicant will assist the Department as necessary (and will require subrecipients and contractors to assist as necessary) with the Department's compliance with section 106 of the National Historic Preservation Act of 1966 (54 U.S.C. § 306108), the Archeological and Historical Preservation Act of 1974 (54 U.S.C. §§ 312501-312508), and the National Environmental Policy Act of 1969 (42 U.S.C. §§ 4321-4335), and 28 C.F.R. Parts 61 (NEPA) and 63 (floodplains and wetlands).

(7) I assure that the Applicant will give the Department and the Government Accountability Office, through any authorized representative, access to, and opportunity to examine, all paper or electronic records related to the award (if any) made by the Department based on the application.

(8) I assure that, if the Applicant is a governmental entity, with respect to the award (if any) made by the Department based on the application--

- a. it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. §§ 4601-4655), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and
- b. it will comply with requirements of 5 U.S.C. §§ 1501-1508 and 7324-7328, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

I acknowledge that a materially false, fictitious, or fraudulent statement (or concealment or omission of a material fact) in this certification, or in the application that it supports, may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 34 U.S.C. §§ 10271-10273), and also may subject me and the Applicant to civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. §§ 3729-3730 and 3801-3812). I also acknowledge that the Department's awards, including certifications provided in connection with such awards, are subject to review by the Department, including by its Office of the Inspector General.

FEDERAL AWARD CONDITIONS

1

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

2

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

3

Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2022 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2022 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2022 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include

performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.334.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

4

Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

5

Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" (ojp.gov/funding/Explore/LegalNotices-AwardReqs.htm), and incorporated by reference into the award.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

6

Employment eligibility verification for hiring under the award

1. The recipient (and any subrecipient at any tier) must--

A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).

B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--

(1) this award requirement for verification of employment eligibility, and

(2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).

D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

4. Rules of construction

A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify (www.e-verify.gov), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (<https://www.e-verify.gov/>) or email E-Verify at E-Verify@dhs.gov. E-Verify employer agents can email E-Verify at [E-VerifyEmployerAgent@dhs.gov](mailto:VerifyEmployerAgent@dhs.gov).

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

7

OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://www.ojp.gov/funding/implement/training-guiding-principles-grantees-and-subgrantees>.

8

Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

9

Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

10

Compliance with general appropriations-law restrictions on the use of federal funds (FY 2022)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2022, are set out at <https://www.ojp.gov/funding/Explore/FY22AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

11

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

12

Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

13**Requirement to report actual or imminent breach of personally identifiable information (PII)**

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

14**Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

15**Requirement for data on performance and effectiveness under the award**

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

16**Compliance with DOJ Grants Financial Guide**

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

17**Encouragement of policies to ban text messaging while driving**

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

18**Restrictions and certifications regarding non-disclosure agreements and related matters**

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

1. In accepting this award, the recipient--

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--

a. it represents that--

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

19

Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

20

Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

21

Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

22

Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

23

Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

24

All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

25

Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

26

Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute

specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

27

Cooperating with OJP Monitoring

The recipient agrees to cooperate with OJP monitoring of this award pursuant to OJP's guidelines, protocols, and procedures, and to cooperate with OJP (including the grant manager for this award and the Office of Chief Financial Officer (OCFO)) requests related to such monitoring, including requests related to desk reviews and/or site visits. The recipient agrees to provide to OJP all documentation necessary for OJP to complete its monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by OJP for providing the requested documents. Failure to cooperate with OJP's monitoring activities may result in actions that affect the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to award funds; referral to the DOJ OIG for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of an award(s).

28

Required monitoring of subawards

The recipient must monitor subawards under this award in accordance with all applicable statutes, regulations, award conditions, and the DOJ Grants Financial Guide, and must include the applicable conditions of this award in any subaward. Among other things, the recipient is responsible for oversight of subrecipient spending and monitoring of specific outcomes and benefits attributable to use of award funds by subrecipients. The recipient agrees to submit, upon request, documentation of its policies and procedures for monitoring of subawards under this award.

29

Use of program income

Program income (as defined in the Part 200 Uniform Requirements) must be used in accordance with the provisions of the Part 200 Uniform Requirements. Program income earnings and expenditures both must be reported on the quarterly Federal Financial Report, SF 425.

30

Justice Information Sharing

Information sharing projects funded under this award must comply with DOJ's Global Justice Information Sharing Initiative (Global) guidelines. The recipient (and any subrecipient at any tier) must conform to the Global Standards Package (GSP) and all constituent elements, where applicable, as described at: https://it.ojp.gov/gsp_grantcondition. The recipient (and any subrecipient at any tier) must document planned approaches to information sharing and describe compliance with the GSP and appropriate privacy policy that protects shared information, or provide detailed justification for why an alternative approach is recommended.

31

Avoidance of duplication of networks

To avoid duplicating existing networks or IT systems in any initiatives funded by BJA for law enforcement information sharing systems which involve interstate connectivity between jurisdictions, such systems shall employ, to the extent possible, existing networks as the communication backbone to achieve interstate connectivity, unless the recipient can demonstrate to the satisfaction of BJA that this requirement would not be cost effective or would impair the functionality of an existing or proposed IT system.

32

Compliance with 28 C.F.R. Part 23

With respect to any information technology system funded or supported by funds under this award, the recipient (and any subrecipient at any tier) must comply with 28 C.F.R. Part 23, Criminal Intelligence Systems Operating Policies, if OJP determines this regulation to be applicable. Should OJP determine 28 C.F.R. Part 23 to be applicable, OJP may, at its discretion, perform audits of the system, as per the regulation. Should any violation of 28 C.F.R. Part 23 occur, the recipient may be fined as per 34 U.S.C. 10231(c)-(d). The recipient may not satisfy such a fine with federal funds.

33

Protection of human research subjects

The recipient (and any subrecipient at any tier) must comply with the requirements of 28 C.F.R. Part 46 and all OJP policies and procedures regarding the protection of human research subjects, including obtainment of Institutional Review Board approval, if appropriate, and subject informed consent.

34

Confidentiality of data

The recipient (and any subrecipient at any tier) must comply with all confidentiality requirements of 34 U.S.C. 10231 and 28 C.F.R. Part 22 that are applicable to collection, use, and revelation of data or information. The recipient further agrees, as a condition of award approval, to submit a Privacy Certificate that is in accord with requirements of 28 C.F.R. Part 22 and, in particular, 28 C.F.R. 22.23.

35

The award recipient agrees to participate in a data collection process measuring program outputs and outcomes. The data elements for this process will be outlined by the Office of Justice Programs.

36

The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.

37

Any Web site that is funded in whole or in part under this award must include the following statement on the home page, on all major entry pages (i.e., pages (exclusive of documents) whose primary purpose is to navigate the user to interior content), and on any pages from which a visitor may access or use a Web-based service, including any pages that provide results or outputs from the service: "This Web site is funded in whole or in part through a grant from the Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice. Neither the U.S. Department of Justice nor any of its components operate, control, are responsible for, or necessarily endorse, this Web site (including, without limitation, its content, technical infrastructure, and policies, and any services or tools provided)." The full text of the foregoing statement must be clearly visible on the home page. On other pages, the statement may be included through a link, entitled "Notice of Federal Funding and Federal Disclaimer," to the full text of the statement.

38

Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee's or government's expense, shall contain the following statements: "This project was supported by Grant No. <AWARD_NUMBER> awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice." The current edition of the DOJ Grants Financial Guide provides guidance on allowable printing and publication activities.

39

Justification of consultant rate

Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the OJP program office prior to obligation or expenditure of such funds.

40

Submission of eligible records relevant to the National Instant Background Check System

Consonant with federal statutes that pertain to firearms and background checks -- including 18 U.S.C. 922 and 34 U.S.C. ch. 409 -- if the recipient (or any subrecipient at any tier) uses this award to fund (in whole or in part) a specific project or program (such as a law enforcement, prosecution, or court program) that results in any court dispositions, information, or other records that are "eligible records" (under federal or State law) relevant to the National Instant Background Check System (NICS), or that has as one of its purposes the establishment or improvement of records systems that contain any court dispositions, information, or other records that are "eligible records" (under federal or State law) relevant to the NICS, the recipient (or subrecipient, if applicable) must ensure that all such court dispositions, information, or other records that are "eligible records" (under federal or State law) relevant to the NICS are promptly made available to the NICS or to the "State" repository/database that is electronically available to (and accessed by) the NICS, and -- when appropriate -- promptly must update, correct, modify, or remove such NICS-relevant "eligible records".

In the event of minor and transitory non-compliance, the recipient may submit evidence to demonstrate diligent monitoring of compliance with this condition (including subrecipient compliance). DOJ will give great weight to any such evidence in any express written determination regarding this condition.

41

The recipient understands and agrees that no more than 10 percent of the total amount of this award may be used by the recipient for direct costs associated with administering the award.

42

Applicants must ensure that Limited English Proficiency persons have meaningful access to the services under this program(s). National origin discrimination includes discrimination on the basis of limited English proficiency (LEP). To ensure compliance with Title VI and the Safe Streets Act, recipients are required to take reasonable steps to ensure that LEP persons have meaningful access to their programs. Meaningful access may entail providing language assistance services, including oral and written translation when necessary. The U.S. Department of Justice has issued guidance for grantees to help them comply with Title VI requirements. The guidance document can be accessed on the Internet at www.lep.gov.

43

Body armor - compliance with NIJ standards and other requirements

Ballistic-resistant and stab-resistant body armor purchased with award funds may be purchased at any threat level, make or model, from any distributor or manufacturer, as long as the body armor has been tested and found to comply with applicable National Institute of Justice ballistic or stab standards, and is listed on the NIJ Compliant Body Armor Model List. In addition, ballistic-resistant and stab-resistant body armor purchased must be made in the United States and must be uniquely fitted, as set forth in 34 U.S.C. 10202(c)(1)(A). The latest NIJ standard information and the NIJ Compliant Body Armor List may be found by following the links located on the NIJ Body Armor page: <https://nij.ojp.gov/topics/equipment-and-technology/body-armor>. In addition, if recipient uses funds under this award to purchase body armor, the recipient is strongly encouraged to have a "mandatory wear" policy in effect. There are no requirements regarding the nature of the policy other than it be a mandatory wear policy for all uniformed officers while on duty.

44

The recipient agrees that no funds under this grant award (including via subcontract or subaward, at any tier) may be used for unmanned aircraft systems (UAS), which includes unmanned aircraft vehicles (UAV), or for any accompanying accessories to support UAS.

CERTIFICATION

Lead Agency's Chief Executive: I certify that applicant will comply with the above-certified assurances.

Signature of Chief Executive (Co. Board Chair, Co. Executive, Mayor)

Thomas Roanhouse, County Executive

Date

Telephone Number

August 12, 2024

TO: Don Trottier
Chairman, Finance and Human Resources Committee

FROM: Sarah Street
Human Resources Director

RE: Upcoming 2025 FLSA Changes and their Impact on Racine County

The U.S. Department of Labor (DOL) has recently announced updates to the Fair Labor Standards Act (FLSA) that will take effect on January 1, 2025. These changes include adjustments to the salary thresholds for exempt status under the FLSA, these changes will have an impact on 55 unique positions and approximately 85 employees within Racine County. This memo provides an overview of the upcoming changes and our plan for addressing these changes.

Summary of 2025 FLSA Changes:

1. **Increased Salary Threshold for Exempt Status:**
The minimum salary level for employees to qualify as exempt under the executive, administrative, and professional (EAP) categories will increase from \$684 per week (\$35,568 annually) to \$1,059 per week (\$55,068 annually).
2. **Automatic Updates to Salary Threshold:**
Going forward, the salary threshold will be subject to automatic updates every four years to reflect changes in average wages.

Impact on Racine County Positions:

The FLSA changes will require a review of our current job classifications and compensation structures to ensure compliance. The impacts include:

1. **Reclassification of Positions:**
Positions currently classified as exempt but earning below the new threshold will need to be reclassified as non-exempt.
2. **Overtime Eligibility:**
Employees reclassified as non-exempt will become eligible for overtime pay at 1.5 times their regular hourly rate for any hours worked over 40 in a workweek. This will require careful monitoring of work hours.
3. **Employee Relations:**
The reclassification of employees from exempt to non-exempt will require effective communication to ensure a smooth transition.

Transition plan:

To address these changes, the following steps are underway:

1. **Job Audit:**
Conduct a comprehensive audit of all job classifications to identify positions that may be affected by the new FLSA thresholds.

2. **Compensation Analysis:**
Meet with managers to review the job duties of the impacted positions to ensure they are classified appropriately.
3. **Employee Communication:**
Provide impacted employees with the opportunity to attend in person trainings and provide them with resource materials to understand the changes and their impact.
4. **Training for Supervisors:**
Provide training to supervisors on managing non-exempt employees, including overtime management and time keeping.

Please feel free to contact me if you have any questions or require further information.

Sincerely,

A handwritten signature in black ink that reads "James Street". The signature is written in a cursive style with a large, prominent initial "J" and a long horizontal stroke at the end.

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2024</u>	<input type="checkbox"/>	Resolution Request
		<input type="checkbox"/>	Ordinance Request
		<input type="checkbox"/>	Information Only
		<input checked="" type="checkbox"/>	Report Request

Requestor/Originator: Finance Director - Gwen Zimmer

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading)
If a person is not in attendance the item may be held over. Gwen Zimmer

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources

Date Considered by Committee: 9/4/2024 Date of County Board Meeting to be Introduced: _____

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County 2024 2nd Quarter Accepted Donations – 2024 – Report.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

September 4th, 2024

To: Donald Trottier, Chairman, Finance and Human Resources Committee

From: Racine County

Re: **2024 2nd Quarter Racine County Accepted Donations**

In compliance with Section 7-2c of the Racine County Code of Ordinances, I am hereby notifying you of those gifts and donations that I have accepted on behalf of Racine County between April 1st, 2024- June 30th, 2024. Gifts of real estate are approved by the Board of Supervisors, and therefore not included on this list.

Donor	Donor Program	Donation
L. Sturczyk	K9 Patrol Dogs	\$100.00
C&B White	K9 Patrol Dogs	\$250.00
Union House Marching Band INC	Racine County Foster Care	\$200.00
Martin Baur	Racine County Foster Care	\$50.00
Moose Chapter 631	Racine County Foster Care	\$535.00
Racine Community Foundation, INC	Summer Youth Employment Program	\$2,500.00
Morris & Esperanza Reece	Summer Youth Employment Program	\$100.00
Trinal Inc	Summer Youth Employment Program	\$500.00
Jody & Bryon Spencer	Summer Youth Employment Program	\$100.00
Donald Trottier	Summer Youth Employment Program	\$100.00
Vittoria Colonna Lodge	Summer Youth Employment Program	\$100.00
Butter Buds Inc	Summer Youth Employment Program	\$1,000.00
Katharine Keenan	Summer Youth Employment Program	\$50.00
Insinkerator	Summer Youth Employment Program	\$2,000.00
Elevance Health, INC	Voices of Black Mothers United	\$3,000.00
	Total	\$ 10,585.00

I hope that you will join me in thanking all these donors, who have been so civic minded and generous.
 Sincerely

Thomas Roanhouse
 Interim County Executive

REQUEST FOR COUNTY BOARD ACTION

YEAR <u>2024</u>		<input type="checkbox"/> Resolution Request <input type="checkbox"/> Ordinance Request <input type="checkbox"/> Information Only <input checked="" type="checkbox"/> Report Request
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Requestor/Originator: Finance Director - Gwen Zimmer

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Gwen Zimmer
 If a person is not in attendance the item may be held over.

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources

Date Considered by Committee: 9/4/2024 **Date of County Board Meeting to be Introduced:** _____

1st Reading: **1st & 2nd Reading:** *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County 2024 2nd Quarter Grant Applications Report – 2024 – Report.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

To: Finance and Human Resources Committee
 From: Gwen Zimmer, Finance Director
 Subject: 2nd Quarter 2024 grant applications
 Date: September 4th, 2024

In compliance with Racine County ordinance 7-271, please see the grants applied for during the period of 4/01/2024-06/30/2024.

Grant Name	Grant Awarding Agency	Grant Amount Applied for	Racine County Match	Grant Submission Date	Expected Grant Response Date	Reoccurring Grant?
Transportation Alternatives Program (TAP) Grant	WIDOT	\$1,560,000	\$399,000	05/01/25	N/A	No
SCIP Expansion-Racine County	Wisconsin DOJ	\$25,000	N/A	06/21/24	N/A	No
Maternal Health Innovation Project: Hello Baby Racine County - Filling the 4th Trimester Gap	Wisconsin Department of Health Services	\$100,000	\$0	06/21/24	7/1/2024	Yes
Medication Assisted Treatment in a Jail Setting (NNAI-MAT)	DHS	\$88,005	N/A	06/20/24	N/A	Yes
FY 2024 Port Security Grant Program (PSGP)	Dept. Homeland Security & FEMA	\$550,000	\$137,500	06/17/24	N/A	Yes

Wisconsin Works Programs	Department of Children and Families	\$6,000,000	N/A	05/30/24	7/1/2024	Yes
988 Improvement Funding Opportunity	DHS- Division of Care and Treatment Services (DCTS)	\$200,000	N/A	05/14/24	5/14/2024	No
Foster Parent Grant	Wisconsin Department of Children and Families	\$52,904	None	05/13/24	N/A	N/A
Federal Motor Carrier Safety Administration (FMCSA) Grants	U.S. Department of Transportation (DOT)	\$768,722	\$0	04/19/24	N/A	No
One-Time Funding for Putting Families First: Family First Funds	Wisconsin Department of Children and Families	\$108,599	None	04/16/24	N/A	No
Racine County Sheriff's Office	Wisconsin DOJ 2024 DTR	\$7,847	N/A	04/01/24	N/A	No
Racine County Youth Development & Care Center Micro-Nursery	National Association of Conservation Districts	\$60,000	\$15,000	04/01/24	Late Spring	N/A

Sincerely,
Gwen Zimmer, Finance Director

REQUEST FOR COUNTY BOARD ACTION

YEAR <u>2024</u>		<input type="checkbox"/> Resolution Request <input type="checkbox"/> Ordinance Request <input checked="" type="checkbox"/> Information Only <input type="checkbox"/> Report Request
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1st Reading: **1st & 2nd Reading:** *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Ordinance by The Racine County Finance and Human Resources Committee and Executive Committee Creating Chapter 7, Article IX – county sales and use tax and property tax relief – of the Racine County Code of Ordinances.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

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