

**COUNTY OF RACINE
FINANCE & HUMAN RESOURCES COMMITTEE**

Supervisor Robert N. Miller, Chairman
Supervisor Q.A. Shakoor, II, Vice Chairman
Supervisor Rusty Clark, Secretary
Supervisor Nick Demske
Supervisor Tom Pringle

Supervisor Don Trotter
Supervisor John A. Wisch
William Klaus, Youth in Governance Representative
Owen Thomsen, Youth in Governance Representative

*** THIS LOCATION IS HANDICAP ACCESSIBLE. If you have other special needs, please contact the Racine County Board Office, 730 Wisconsin Avenue, Racine, Wisconsin 53403 (262) 636-3571, fax (262) 636-3491 or the TTD/RELAY 1-800-947-3529. ***

DO NOT ATTEND THIS MEETING if you are experiencing any of these symptoms or if you have been in contact with anyone with these symptoms: **shortness of breath or difficulty breathing, cough, chills, nasal congestion, sore throat, fatigue, loss of sense of taste or smell, fever greater than 100.4.**

The public may also access this meeting by:

Browsing to this web address on a computer or smartphone:

<https://racinecounty.webex.com/racinecounty/onstage/g.php?MTID=e6bfcc4f43f524ea6f494dfaa4fbc9e06>

Password: Budget2022

Or by calling: 1-408-418-9388 Access code: 2490 818 6867

In the event of any technical difficulties with the above-referenced options, the conference line listed below will be activated for virtual participation. Note that the conference line provided below will only be activated in the event of technical difficulties with the above-referenced options.

Or by calling: 1-414-455-2762

Access code: 1-858-648-9977

*****AMENDED*****

NOTICE OF MEETING OF THE

FINANCE AND HUMAN RESOURCES COMMITTEE

DATE: **THURSDAY OCTOBER 21, 2021**

TIME: **5:00 P.M.**

PLACE: **IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE
STURTEVANT, WISCONSIN 53177**

AGENDA –

1. Convene Meeting
2. Chairman Comments – Youth in Governance/Comments
3. Public Comments
4. Approval of Minutes from the October 13, 2021, committee meeting.

5. The Committee will review the following individual Department Budgets with Department Heads:
 - a) Register of Deeds – Governmental Services
 - b) County Clerk – Governmental Services
 - c) ARPA - American Rescue Plan Act – Miscellaneous Departments

6. Transfer
 - a. Sheriff’s Office – Sheriff Christopher Schmaling – Acceptance of Bureau of Transportation Safety - Impaired Driving shared with the Kenosha Sheriff’s Office in the amount of \$90,000 and a transfer of \$13,750 from the Sheriff’s Office 2021 Budget to the Sheriff’s – Grant 2021 Budget and a transfer of \$90,000 within the Sheriff’s Grant 2021 Budget – 2021 – Resolution – Action Requested: 1st Reading at the October 21, 2021, County Board Meeting.
 - b. Sheriff’s Office – Sheriff Christopher Schmaling – Acceptance of Bureau of Transportation Safety – Seatbelt Enforcement shared with Town of Waterford & City of Burlington Police Departments in the amount of \$45,000 and a transfer of \$5,250 from the Sheriff’s Office 2021 Budget to the Sheriff’s Grant 2021 budget and transfer of \$45,000 within the Sheriff’s Grant 2021 Budget – 2021 – Resolution – Action Requested: 1st Reading at the October 21, 2021, County Board Meeting.

7. Finance Department - Brian Nelson – Racine County 3rd Quarter 2021 Investment Report (Staff from DANA Investments will be available to discuss the materials) – 2021 – Report.

8. Finance Department – Brian Nelson – 2021 3rd Quarter Racine County Accepted Donations – 2021 – Report.

9. Finance Department – Brian Nelson – Adopting the 2022 Budget – 2021 – Resolution – Requested action: 1st Reading at the October 21, 2021, County Board Meeting.

10. Finance Department – Brian Nelson – Amending the Racine County Code of Ordinances - Chapter 2 Article V Division 2 – Departments for Changes in the 2022 Budget – 2021 – Ordinance – Requested action: 1st Reading at the October 21, 2021, County Board Meeting.

11. Finance Department – Brian Nelson – Setting a tax levy for 2021 to be collected in 2022 – 2021 – Resolution – Action Requested: 1st Reading at the November 2, 2021, County Board Meeting.

12. *****Corporation Counsel – Michael Lanzdorf – Authorizing the hiring of the E030 Executive Administrative Assistant before the current employee retires to allow for proper technical and legal training. There are sufficient funds to cover this cost – 2021 – Resolution – Action Requested: 1st Reading at the October 21, 2021, County Board Meeting*****

13. Communication & Report Referrals from County Board Meeting:

a. Bankruptcy Items

| | |
|---|---|
| Type of Action: | Person/Persons |
| Order of Discharge | Celeste Younger; Curtis and Rachel Simmons; Limarniqua Howard; Marcus Thomas; |
| No Proof of Claim Deadline | Kelly Lisowski; Mary Bearden; |
| Chapter 13 Case | Daija Perry; Kendra Simpson; |
| Order Modifying Chapter 13 | Jeremy and Maria Passehl; |
| Chapter 11 Extension of Deadline | Mallinckrodt PLC, et al.; |
| Motion to Allow Partial Claims Mortgage | Richard Woodward |

b. Foreclosure items:

| Attorney | Lender | Person/Persons | Amt owed Racine |
|--------------------|--------------|----------------|-----------------|
| Edward Bruner, Jr. | J-Kapco, LLC | Howard Green | \$1,050.06 |

14. Staff Report – No Action Items.

- a) Finance & Human Resources Committee – Next Meeting will be Wednesday October 27, 2021, at 5:00 p.m. for 2022 Budget Deliberation.

15. Adjournment

FINANCE & HUMAN RESOURCES COMMITTEE ACTION ONLY

Requestor/Originator Finance & Human Resources Committee

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date of Committee Meeting: 10/21/2021

**Signature of Committee Chairperson
/Designee:** _____

Description: Minutes from the October 13, 2021 FHR Meeting

Action: **County Board Supervisors**
 Approve
 Deny

Youth In Governance
 Approve
 Deny

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|------|---|--------------------|
| YEAR | 2021 | X | Resolution Request |
| | | | Ordinance Request |
| | | | Report Request |

Requestor/Originator: Sheriff's Office - Sheriff Christopher Schmaling

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading)
If a person is not in attendance the item may be held over. _____

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? Yes

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 10/21/2021 Date of County Board Meeting to be Introduced: 10/21/2021

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Acceptance of Bureau of Transportation Safety - Impaired Driving shared with the Kenosha Sheriff's Office in the amount of \$90,000 and a transfer of \$13,750 from the Sheriff's Office 2021 Budget to the Sheriff's – Grant 2021 Budget and a transfer of \$90,000 within the Sheriff's Grant 2021 Budget

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

EXHIBIT "A"

Fiscal Year: **2021**

| ACCOUNT NAME | ACCOUNT NUMBER | CURRENT BUDGET | CURRENT BALANCE | TRANSFER | BUDGET AFTER TRANSFER | BALANCE AFTER TRANSFER |
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|

SHERIFF'S OFFICE

RACINE COUNTY 2021 BUDGET PAGE 30-12

| | | | | | | |
|-----------------|-----------------|------------|-----------|----------|------------|-----------|
| WAGES | 11800000.401000 | 10,753,816 | 3,190,545 | (11,132) | 10,742,684 | 3,179,413 |
| WORKERS COMP | 11800000.402210 | 88,813 | 25,337 | (111) | 88,702 | 25,226 |
| SOCIAL SECURITY | 11800000.402220 | 902,958 | 270,981 | (852) | 902,106 | 270,129 |
| RETIREMENT | 11800000.402230 | 1,739,156 | 500,441 | (1,655) | 1,737,501 | 498,786 |

SHERIFF'S OFFICE - GRANTS

NOT IN BUDGET BOOK

| | | | | | | |
|-----------------------|-------------|---|---|----------|----------|----------|
| 2022 IMPAIRED DRIVING | NEW ACCOUNT | 0 | 0 | (90,000) | (90,000) | (90,000) |
|-----------------------|-------------|---|---|----------|----------|----------|

TOTAL SOURCES

(103,750)

| | | | | | | |
|--------------------|-------------|---|---|--------|--------|--------|
| WAGES | NEW ACCOUNT | 0 | 0 | 11,132 | 11,132 | 11,132 |
| OVERTIME | NEW ACCOUNT | 0 | 0 | 44,528 | 44,528 | 44,528 |
| WORKERS COMP | NEW ACCOUNT | 0 | 0 | 556 | 556 | 556 |
| SOCIAL SECURITY | NEW ACCOUNT | 0 | 0 | 4,258 | 4,258 | 4,258 |
| RETIREMENT | NEW ACCOUNT | 0 | 0 | 8,276 | 8,276 | 8,276 |
| C/S KENOSHA COUNTY | NEW ACCOUNT | 0 | 0 | 35,000 | 35,000 | 35,000 |

TOTAL USES

103,750

0

Grant period is 10/1/2021 through 9/30/22 any funds remaining at the end of FY2021 will automatically be carried forward into FY2022.

Each Municipality is responsible for the 25% Match required by the grant.

EXHIBIT "A"

Fiscal Year: **2021**

| ACCOUNT NAME | ACCOUNT NUMBER | CURRENT BUDGET | CURRENT BALANCE | TRANSFER | BUDGET AFTER TRANSFER | BALANCE AFTER TRANSFER |
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

| FOR | AGAINST |
|-----|---------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |



RACINE COUNTY SHERIFF'S OFFICE

717 Wisconsin Avenue, Racine, WI 53403-1237

(262) 886-2300 FAX (262) 637-5279

Waterford (262) 534-5166 Burlington (262) 763-9558

Sheriff Christopher Schmaling

Chief Deputy James P. Weidner

To: Finance Committee

From: Sgt. A. Schmidt

Ref: BOTS Taskforce grants

Finance Committee members,

We request the approval for reimbursement grants from Bureau of Transportation Safety. The grants are as follow:

1. BOTS ID #FG-2022-RACINE C-05774 This is a \$90,000.00 grant we share with Kenosha Sheriff for impaired driving.
2. BOTS ID #FG-2022-RACINE C-05793 This is a \$45,000.00 grant we share with Town of Waterford and City of Burlington for seatbelt enforcement

BOTS ID #FG-2022-RACINE C-05774 grant is a taskforce grant that allows us to send out deputies in deployments between the hours of 6:00pm and 4:30am to target impaired drivers. We administer the grant and work with Kenosha County Sheriff. The overall grant amount is \$90,000. Racine County Sheriff receives \$55,000 and Kenosha County Sheriff receives \$35,000. Each department is required to provide a local match of 25% of received funds.

BOTS ID #FG-2022-RACINE C-05793 grant is a taskforce grant that allows us to send out deputies in deployments to target seatbelt use anytime during the day or night. We administer this grant and work with the Town of Waterford Police Department and the City of Burlington Police Department. The overall grant is \$45,000. Racine County Sheriff receives \$21,000, Town of Waterford \$12,000, and City of Burlington \$12,000. Each department is required to provide a local match of 25% of received funds.

Thank you for your consideration,

Sgt. Aaron Schmidt #7688
Racine County Sheriff's Office

General Information

Proposed Project Title: IMPAIRED DRIVING ENFORCEMENT
Federal funding source: National Highway Traffic Safety Administration (NHTSA)
Type of Municipality: County
Applicant/Sub-Recipient Agency/County: Racine County
Enforcement Area: Racine County
Agency Federal Employer ID (FEIN): 396005734
Unique Entity Identifier (DUNS Number): 830351623

Agency Head or Authorizing Official

Agency Head or Authorizing Official: Christopher Schmaling
Title: Sheriff
Address: 717 WISCONSIN AVE
City: RACINE
State: Wisconsin
Zip Code: 53403

Project Coordinator

First Name: Aaron
Last Name: Schmidt
Title: Sergeant
Address: 717 Wisconsin Ave
City: Racine
State: Wisconsin
Zip Code: 53403
Phone: (262) 886-8495
E-mail Address: aaron.schmidt@racinecounty.com

[✓] I agree that the above information is up-to-date and correct. *

Problem Identification/Project Justification

Alcohol-impaired Driving: In Wisconsin during 2020 alcohol was listed as a contributing factor in 5.3% of all crashes. 28.5% of all fatal vehicle crashes in 2020 were alcohol-related, resulting in 167 deaths. Alcohol-impaired driving is associated with other high-risk behaviors that increase the likelihood of a crash and of significant injury or death occurring; these include speeding, and failure to wear seat belts.

Enforcement Area (Targeting): WisDOT analysis has identified roadway segments patrolled by this agency as 'at-risk'. All alcohol and speed-related crash data from the three previous years for every jurisdiction in Wisconsin were analyzed, including those involving property damage through all ranges of injuries to those that resulted in death. These data were scientifically weighted, following established statistical protocol. Using the weighted data, the Bureau identified those places in Wisconsin with the largest crash frequency due to excess alcohol use or speed. After factoring in each identified, at-risk location's population density, a snapshot of the state's most likely places for similar crashes per capita was established. *Source: BOTS Analysis*

For Impairment Enforcement Grantees, Reimbursed enforcement must take place between the hours of 6:00 P and 4:30A.

For crash data and other resources available at the county level, please visit Community Maps at the link below. If you require assistance in analyzing your crashes, please contact your Law Enforcement Liaison.

<https://transportal.cee.wisc.edu/partners/community-maps/>

Problem Statement:

A problem statement can be as simple as answering these three questions .

1. What and where is the problem?
2. How do we plan to solve the problem?
3. How do we check to see if the solution is working and what will be done?

From January through September 2021 there have been 295 total crashes between Racine and Kenosha County that include the alcohol flag. Of those 295 crashes, 5 were fatal and 188 were injury crashes. High concentrations of these are along the 1-41 /94 corridor as well as STH 31 and STH 32 from the State line to the North Racine Co. line. We will dive further into the crash statistics and place deployments in those areas during time frames that appear to have a greater frequency of these crashes. Next September we will compare the crash statistics from 2021 to 2022 to see if the deployments had any significant impact.

* In looking at past years there was an 8% decrease from 2019 to 2020 in alcohol related crashes. I then looked at Jan - September 2020 vs 2021. There is a 17% increase in alcohol related crashes for this time period. 2020 was an anomaly with the COVID-19 lockdowns so I compared 2021 vs 2019. There was a 6% increase in alcohol related crashes in 2021 vs 2019.

***Grant funds will be split in the following manner RASO \$55,000, KESO \$35,000.

Is the State Patrol participating with this Task Force? [] Yes [] No

Note: If yes, State Patrol is not funded through this grant.

Task Force Members

Racine and Kenosha County Sheriff Offices

RPM/SPM Notes

This is an Impaired Driving (OWI) Enforcement Taskforce Grant.

The members of the taskforce is as follows: Kenosha/Racine County Impaired Driving Taskforce

Kenosha County Sheriff's Department

Racine County Sheriff's Department *

Note: By accepting this grant, you are verifying that the above listed agencies are taskforce members and no other law enforcement agency that is not listed above will be allowed to participate in grant funded activities assigned to this taskforce.

The amount of the taskforce grant shall be \$90,000.00 and a detailed funding allocation plan to participating taskforce member agencies shall be submitted. Grantee is prohibited from using grant funds to supplant existing state or local expenditures.

Both Op Plan and signature page are required to be submitted with the grant at the beginning of the process on the "Supporting Documents" tab. NO GRANTS WILL BE APPROVED WITHOUT THESE DOCUMENTS ATTACHED.

The budget is for deployments only; no grant funds are for equipment. This grant requires a 25% soft local match. Activity Reports may use one overall monthly statistical report if a pre-approved spreadsheet is attached. Such reports are due on/by the 15th of the next month (example: January's report is due by February 15th). ALL involved agencies MUST participate in the three (3) National Mobilization periods such as "Click it or Ticket" and "Drive Sober or Get Pulled Over" - summer and winter.

ALL agencies are encouraged to attend the quarterly traffic safety commission (TSC) meetings for their County and the use Community Maps as a guide for scheduling deployment locations:

"For crash data and other resources available at the county level, please visit Community Maps at <https://transportal.cee.wisc.edu/partners/community-maps>

I agree to the terms and conditions above.

Project Objectives with Evaluation Plan

Grantee agrees to adopt a zero tolerance policy for impaired driving during all motor vehicle stops.

Evaluation: Activity Report - Citations

During past deployments, Grantees have typically initiated a recorded traffic stop about every 45 minutes. To maintain or exceed historical activity levels during grant-funded activity Grantee will, on average, initiate a recorded traffic stop every 45 minutes.

Evaluation: Activity Report - Contacts

During past grant-funded deployments, Grantees typically maintain an agency ratio of three citations to one written warning.

Evaluation: Activity Report - Citations/Warnings

Grantee agrees to make contacts with local media, community groups or other groups to increase public awareness of information related to crashes, and subsequent enforcement efforts. This should be done a minimum of once during every quarter of grant approval. Creating partnerships with public and private community groups to enforce public awareness of this campaign is strongly encouraged.

An Agency's failure to meet project Objectives may affect their consideration for future grant awards.

Additional Objectives/Evaluation

Objectives for this task force is to conduct on traffic stop every 45 minutes. The grant will be worked in conjunction with Kenosha County Sheriff. We will focus on educating the motoring public on the dangers of driving while impaired . This will be accomplished through strict enforcement, social media, and local media outreach.

I agree to the terms and conditions above.

Policy Requirements

All grantees agree to adhere to the following policies, which are detailed in the full contract

Grantee is:

- Subject to audit and is responsible for complying with appropriate maintenance of records
- Subject to on-site monitoring and review of records by BOTS staff
- Prohibited from purchasing equipment other than that listed in approved grant application
- Prohibited from using grant funds to supplant existing state or local expenditures
- Prohibited from discriminating against any employee or applicant for employment
- Prohibited from receiving grant funds if presently debarred
- Prohibited from using these funds to further any type of political or voter activity
- Prohibited from using these funds to engage in lobbying activity
- Required to comply with Buy America

If the grant funds will be expended on law enforcement, grantee further certifies:

- That it has a written departmental policy on pursuits
- That it has a written departmental policy on BAC testing of drivers involved in fatal crashes
- That it has a written departmental policy on the use of safety belts by employees
- That it complies with Title VI of the Civil Rights Act of 1964

2 C.F.R. §200, Subpart F Single Audit requirements****Please check with your Treasurer or Finance department**

The grantee has verified that their political entity (payee for this grant)

IS or **IS NOT Subject to 2 C.F.R. §200, Subpart F Single Audit requirements.**

A **2 CFR Part 200 audit** is required if your political entity expends more than \$750,000 in federal funds during your fiscal year.

If subject to 2 C.F.R. §200, Subpart F Single Audit requirements, the political entity needs to be in compliance and have filed with the Federal Audit Clearing House.

If applicable, the grantee has verified that its political entity is in compliance and has filed with the Federal Audit Clearing House :

Yes or **Not Applicable**

Grants Training:

Below is the current training link. List the name of the person or persons who have taken grants training. List the name of the person, type of training (i.e. Webinar, Governors Conference, Regional Training or online) and the date of last training.

| Name | Training Location | Date |
|---------------|-------------------|-----------|
| Aaron Schmidt | Online Video | 9/13/2021 |

Click [here](#) to view WISE Grants Training video.

I agree to the terms and conditions above.

Work Plan

Federal Grant Period:

Grant activities are funded for one federal fiscal year. The federal fiscal year 2022 runs from October 1, 2021 through September 30, 2022. Funded fiscal year activities may begin no earlier than October 1st and end no later than September 30th. **Grant activity may not begin until grant has been approved or have been notified by BOTS to begin.**

Work Plan/Calendar:The Work Plan/Calendar contained within this contract is a term of the contract. It describes timing and level of enforcement activity. At a minimum, during the term of this contract:

Grantee will implement at least one deployment each month within the specified grant period as planned in the Work Plan /Calendar. Agencies that receive funding for overtime enforcement must participate in the national mobilizations during the timeframes listed below. Sign-up for mobilizations is in Wise-Grants and is accomplished by activating an activity report under the [View Available Opportunities](#) button on the home screen. Completing the activity report after the two week mobilization helps BOTS to provide NHTSA with accurate information about the level of enforcement activity in the state .

Required Mobilizations

- **December 17, 2021 – January 1, 2022 (Drive Sober - Winter)**
- **May 23 – June 5, 2022 (Click It Or Ticket National Mobilization)**
- **August 19 – September 5, 2022 (Drive Sober – Labor Day)**

NOTE:

NHTSA Grant Funds dictate that during Impaired Driving Enforcement, Grantees must perform enforcement between the hours of 6:00pm and 4:30am.

If grantee cannot perform the planned patrols, BOTS must be notified. Failure to perform planned activity may be considered grounds for terminating the grant.

WORK PLAN

| Month | (A) Deployments | (B) Hours per Deployment | (C) Officers per Deployment | (D) Total Officer Hours (AxB)xC = D |
|--------------|--------------------|--------------------------------|-----------------------------------|--|
| October | 2 | 4 | 7 | 56 |
| November | 4 | 4 | 6 | 96 |
| December | 4 | 4 | 6 | 96 |
| January | 4 | 4 | 6 | 96 |
| February | 4 | 4 | 6 | 96 |
| March | 5 | 4 | 6 | 120 |
| April | 5 | 4 | 8 | 160 |
| May | 5 | 4 | 8 | 160 |
| June | 5 | 4 | 8 | 160 |
| July | 5 | 4 | 6 | 120 |
| August | 5 | 4 | 6 | 120 |
| September | 5 | 4 | 8 | 160 |
| TOTAL | 53 | 48 | 81 | 1440 |

WORK PLAN ITEMS – Required:

1. BOTS enforcement grants will use the High Visibility Enforcement (HVE) model (at a minimum) during National enforcement periods. All remaining enforcement activity will be sustained enforcement.
2. The three main elements of HVE are:
 - a. multiple agencies
 - b. working the same day and time
 - c. with a media component to educate the public
3. Single officer deployments are discouraged and will require justification added to the monthly activity report .
4. Grantee will assign only sworn, SFST-trained officers in patrols if the behavior they are attempting to modify is impaired driving. Part-time officers may be assigned only if the grant funded activity and their resulting weekly total hours do not exceed 39 hours.
5. Grantee agrees to implement 53 deployments for a total of 1440 enforcement hours.

Grant Reimbursable Hours & Rate:

Grantee's estimate of funded reimbursable hours is based upon an estimated average hourly wage/fringe rate of \$62.50

Total amount of Wage/Fringe Based on above deployments and rate \$90,000.00

Activity Reporting: Grantee shall complete the Activity Reports and submit them to BOTS no later than the 15th of the month following the activity.

I agree to the terms and conditions above.

Budget Request

Funding:

Grant funding is based on availability of Federal Grant Funds. Grants and funding may be stopped at any time during the Grant year if funding becomes unavailable.

Budget Plan:

The Budget spreadsheet within this contract is a term of the contract. Eligible cost items for this project include: Wage and Fringe. Grantee must complete the Local Match column below.

Relationship to Work Plan:

All budget items must relate to activities described in the Work Plan. Reimbursement will be based on actual costs, NOT budgeted rates. Only project activities and expenses described in the approved work plan and budget, incurred during the grant period, are eligible for reimbursement. Expenses incurred that are not specified in the budget plan or work plan will not be reimbursed.

Document Requirements:

Grantee will document hours, wage and fringe rate, and all match costs. Fringe benefit shall be actual costs. Payment for salaries and wages shall be supported by a time and attendance report, or equivalent records, which shall be kept on file at the agency for three years from the date the project closes. BOTS reserves the right to perform monitoring activities, to include ongoing review and audit of department records.

Citation data and payroll data will be required upon request. Citation data can be provided in the form of an approved spreadsheet or TRACS upload. Complete citation data and supporting payroll documentation can be uploaded to the monthly activity report. Reports are being developed in TraCS to more quickly allow you to report.

Match Requirements:

A local match of at least 25% of the grant total is required. Please indicate project match in the space provided below.

Budget

| Item | Federal Grant | Local Match | Totals |
|----------------------|--------------------|--------------------|---------------------|
| Wage/Fringe | \$90,000.00 | \$22,465.00 | \$112,323.00 |
| Travel/Mileage | Ineligible | | \$0 |
| Training | Ineligible | | \$0 |
| Contractual Services | Ineligible | | \$0 |
| Equipment | Ineligible | | \$0 |
| Materials & Supplies | Ineligible | | \$0 |
| Other | Ineligible | | \$0 |
| Total | \$89,858.00 | \$22,465.00 | \$112,323.00 |

Budget Amendments:

If work plan or other documentation must be changed after the grant is in active status, Grantee must submit an amendment request via the WISE Grants System. Amended activity shall not commence prior to BOTS approval.

Click [Here](#) to see Amendment Instructions.

Signatures:

The agency head or authorizing official must complete the printable signature page and attach to grant application under "Supporting Documents." An electronic grant submission through the WISE Grants System will initiate the grant approval process, activity/deployments shall **not** begin until the agency receives notice that the grant is approved or have been notified by BOTS to begin.

I agree to the terms and conditions above.

Deliverables**Forms:**

Forms will only be accepted through the WISE Grants. Questions about grant submissions should be referred to either the State Program Manager or the Regional Program Manager.

Click here to see the [RPM](#) and [SPM](#) map.

Project Match Report:

Agencies are encouraged to report adequate match throughout the grant, 25% of the federal grant must be met by the end of the grant period.

Media/Outreach Documentation:

Media and outreach are important components to successful behavioral change. During High Visibility Enforcement all media that pertains to the agency's activities must be submitted/attached as part of the activity report. Media is highly recommended as a part of all grant activities.

Reimbursement Claims:

The Final Reimbursement Claim must be received no later than November 15, 2022, any claims received after November 15 cannot be guaranteed for reimbursement. The final reimbursement will be made only after all deliverables are received and approved by BOTS. No reimbursement claims will be paid after December 31 for the 2022 fiscal year.

Place of Delivery:

All Electronic Project Deliverables shall be submitted via the WISE Grants System.

Signature Pages and Operations Plan shall be attached to this grant application under "Supporting Documents."

Questions about the Traffic Safety Program or this project should be addressed to the State Program Manager or the Regional Program Manager.

Awarding Agency Official Mailing Address:

Bureau of Transportation Safety

4822 Madison Yards Way, 9th Floor South

Madison, WI 53705

I agree that grant activity will not begin until this grant has been approved or have been notified by BOTS to begin.

I agree to the terms and conditions above.

General Contract Terms Impaired Driving Enforcement

This Grant Agreement ("Agreement"), entered into by and between the Bureau of Transportation Safety ("BOTS") and Racine County Sheriff's Office ("Grantee"), is executed pursuant to terms that follow.

1. Purpose of this Agreement

The Bureau of Transportation Safety, housed within the Wisconsin Department of Transportation's Division of State Patrol, serves as the administering agency for state and federal grants relating to transportation safety. The purpose of this Agreement is to enable BOTS to award grant funding to Grantee for eligible costs of the Grant Project ("Grant") undertaken as outlined in the project narrative and work plan. The funds shall be used exclusively in accordance with the provisions of this Agreement, as well as applicable federal and state laws and regulations.

2. Term

Work conducted under this Grant must occur within the federal fiscal year: October 1 to September 30. This Agreement expires September 30 of the federal fiscal year during which the Grant is conducted.

3. Implementation

Grantee shall be solely responsible for the design and implementation of the Grant as described in the project narrative and work plan. Grantee agrees to conduct the Grant in accordance with these plans as approved by BOTS.

Modification of the Grant shall require prior approval of BOTS. Any change in project coordinator, financial officer, authorizing official, addresses, or telephone numbers requires written notification to BOTS. If the work plan or other documentation must be changed after the contract is signed, Grantee must submit an amendment request via the WISE-Grants System. Amended activity may not commence prior to BOTS approval.

Failure to perform planned activity may be considered grounds for termination of funding.

4. Audit and Maintenance of Records

Grantee government subdivisions are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S. C. 7501-8507) and the Single Audit Requirements of 2 C.F.R. §200, Subpart F (A-133 Single Audit Requirements). If Grantee government subdivision is subject to a Single Audit, BOTS must be notified of the audit and subsequent results. If Grantee is subject to these requirements, it will verify that it is compliance with these requirements and that it has filed with the Federal Audit Clearing House. BOTS may take corrective action within six months and may require independent auditors to have access to grantee's records and financial statements. Documentation of costs shall be maintained for three years following final reimbursement. Reimbursement claim cost detail shall include a list of all personnel whose time is claimed; current billing period and year-to-date wages and fringe benefits paid to each person listed; all travel listed individually and broken out by transportation/mileage, meals, lodging, and related costs; all materials and supplies and contractual services, itemized, required to complete project activity. Employee time records for actual hours worked or percent of time dedicated to project activity are to be maintained by Grantee and made available to BOTS upon request with reasonable notice. Each budget item identified as "Other" shall be claimed separately.

5. Monitoring by the State

Grantee consents to monitoring by BOTS staff to ensure compliance with applicable state and federal regulations. Monitoring may occur on-site and will require access to original versions of employee payroll information, citations, and other materials related to the implementation of this grant.

6. Payment of Funds by the State

All highway safety projects are funded on a cost reimbursement basis. State or local funds shall be expended before federal reimbursement is made.

BOTS shall reimburse Grantee only for the actual hours worked, and for other eligible costs, and only if the costs are incurred in performing tasks identified within the grant application. Personnel costs shall be reimbursed on the basis of actual hourly salary and fringe rate(s) that have been verified and approved by BOTS, or on the basis of percentage of annual salary and fringe dedicated to project activity as described within the grant application. All expenses for which Grantee

General Contract Terms Impaired Driving Enforcement

seeks reimbursement must be documented in the Project Activity Reports .

7. Equipment

Tangible, non-expendable personal property having an acquisition cost of \$5,000 or more, with a useful life of greater than one year, that is purchased in whole or in part by Grantee using funds awarded as part of this Agreement must be justified in the project narrative or work plan and approved by the NHTSA Regional Office in writing before initiating the acquisition of the equipment. Each item shall be tagged, inventoried, and monitored until the federal interest is released. Tangible, non-expendable personal property having an acquisition cost of less than \$5,000, and budgeted as materials and supplies, will also be monitored. Grantee must inform BOTS in writing when equipment is no longer used for the purpose for which it was acquired. Grantee's procurement of property under a grant will follow the same policies and procedures used for procurement from its non-federal funds, provided their procurement procedures follow the requirements for procurement standards set forth in federal law in 2 C.F.R. §§200.318 general procurement standards through 200.326 contract provisions. Each grantee receiving traffic safety funds must maintain written property management standards that comply with the requirements for property standards set forth in federal law in 2 C.F.R. §§200.310 through 200.316. These requirements include, but are not limited to, the maintenance of accurate property records [2 C.F.R. §200.313(d)(1)]. Such records will include a description of the property; a serial number or other identification number; the source of funding for the property (including the FAIN, if applicable); indication of with whom title is vested; acquisition date; cost of the property; percentage (at the end of the budget year) of federal participation in the cost of the project for the federal award under which the property was acquired; location, use, and condition of the property; and ultimate disposition data including the date of disposal and the sale price of the property. Grantees will institute maintenance procedures adequate to keep the property in good condition.

8. Print and Audio Visual Materials

Grantee shall submit all materials developed under this Agreement to BOTS for approval of content and style prior to final production and release. All video materials intended for general public viewing must be close-captioned. Grantee shall credit the Wisconsin Department of Transportation Bureau of Transportation Safety and the National Highway Traffic Safety Administration on all such materials. Grantee may not copyright any portion of materials produced under this Agreement .

9. Program Income

Program income is gross income derived by Grantee from Grant-supported activities. Grantee will report program income on reimbursement claims, stating whether the income is retained or credited as a reduction in federal share of project expenditures. If retained, such income may be used only for highway safety activities and is subject to audit by BOTS.

10. Additional Requirements Where Funds Are Expended on Law Enforcement

- A. Grantee agency certifies that it has a written departmental policy on biased-based policing, or that it will initiate development of one during the grant period.
- B. Grantee agency certifies that it has a written departmental policy on pursuits or that it will initiate development of one during the grant period. The policy should conform to the guidelines of the IACP or a similar pursuit policy .
- C. Grantee agency certifies that it has a written departmental policy on the BAC testing of all drivers involved in fatal vehicle crashes involving alcohol, or that it will initiate one during the grant period. Grantee agency will require a test of all killed drivers and will encourage all surviving drivers to consent to a test.
- D. Grantee agency certifies that it has a written departmental policy on the use of safety belts by employees, or that it will initiate development of one during the grant period.

11. General Costs of Government

The general costs of government (i.e. supplanting) are unallowable except as provided in 2 C.F.R. §200.474. [2 C.F.R. §200.444]. The replacement of routine or existing state or local expenditures with the use of federal grant funds for costs of activities that constitute general expenses required to carry out the overall responsibilities of a state or local agency is prohibited.

12. Guidelines for Allowability of Costs

To be allowable under Federal awards, costs must meet the following general criteria [2 C.F.R. §225, Appendix A, C(1)]:

General Contract Terms Impaired Driving Enforcement

1. Be necessary and reasonable for proper and efficient performance and administration of Federal awards .
2. Be allocable to Federal awards under the provisions of 2 CFR part 225.
3. Be authorized or not prohibited under State or local laws or regulations.
4. Conform to any limitations or exclusions set forth in these principles, Federal laws, terms and conditions of the Federal award, or other governing regulations as to types or amounts of cost items.
5. Be consistent with policies, regulations, and procedures that apply uniformly to both Federal awards and other activities of the governmental unit.
6. Be accorded consistent treatment. A cost may not be assigned to a Federal award as a direct cost if any other cost incurred for the same purpose in like circumstances has been allocated to the Federal award as an indirect cost.
7. Except as otherwise provided for in 2 CFR part 225, be determined in accordance with generally accepted accounting principles.
8. Not be included as a cost or used to meet cost sharing or matching requirements of any other Federal award in either the current or a prior period, except as specifically provided by Federal law or regulation.
9. Be the net of all applicable credits.
10. Be adequately documented.

13. Nondiscrimination

During the performance of this contract/funding agreement, the contractor/funding recipient agrees —

1. To comply with all Federal nondiscrimination laws and regulations , as may be amended from time to time;
2. Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in Appendix B of 49 CFR part 21 and herein;
3. To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
4. That, in the event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
5. To insert this clause, including paragraphs a through e, in every subcontract and sub-agreement and in every solicitation for a subcontract or sub-agreement, that receives Federal funds under this program.

The grantee will comply with all Federal statutes and implementing regulations relating to nondiscrimination ("Federal Nondiscrimination Authorities"). These include but are not limited to:

- **Title VI of the Civil Rights Act of 1964** (42 U.S.C. 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;
- **The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970**, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- **Federal-Aid Highway Act of 1973**, (23 U.S.C. 324 et seq.), and **Title IX of the Education Amendments of 1972**, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- **Section 504 of the Rehabilitation Act of 1973**, (29 U.S.C. 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;
- **The Age Discrimination Act of 1975**, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of

General Contract Terms Impaired Driving Enforcement

age);

·**The Civil Rights Restoration Act of 1987**, (Pub. L. 100-209), (broadens scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal aid recipients, sub-recipients and contractors, whether such programs or activities are Federally-funded or not);

·**Titles II and III of the Americans with Disabilities Act** (42 U.S.C. 12131-12189) (prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37 and 38

·**Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations** (prevents discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations); and

·**Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency** (guards against Title VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR at 74087 to 74100).

14. Political Activity (Hatch Act)

Grantee will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508) which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

15. Lobbying Activities

Certification Regarding Federal Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Restriction on State Lobbying

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

16. Certification Regarding Debarment And Suspension

General Contract Terms Impaired Driving Enforcement

Grantee certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from entering into this Grant by any federal agency, or by any department, agency, or political subdivision of the state. For purposes of this grant, "principal" includes an officer, director, owner, partner, or other person with primary management and supervisory responsibilities, or a person who has critical influence on or substantive control over the operations of Grantee.

Instructions for Lower Tier Certification:

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR Parts 180 and 1300.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms covered transaction, debarment, suspension, ineligible, lower tier, participant, person, primary tier, principal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definition and Coverage sections of 2 CFR Part 180. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by NHTSA.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR Parts 180 and 1300.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-procurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, the department or agency with which this transaction originated may disallow costs, annul or terminate the transaction, issue a stop work order, debar or suspend you, or take other remedies as appropriate.

Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

17. Buy America Act

The Grantee and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or sub recipient, to purchase only steel, iron and manufactured products

General Contract Terms Impaired Driving Enforcement

produced in the United States with Federal funds, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal funds to purchase foreign produced items, the State must submit a waiver request that provides an adequate basis and justification to and approved by the Secretary of Transportation .

18. Prohibition on using grant funds to check for helmet usage

The Grantee and each sub recipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

19. Termination

This grant may be terminated upon BOTS' determination that Grantee has materially failed to comply with terms of this Agreement. Termination may be considered among the criteria for subsequent grant awards .

20. Correspondence

All correspondence outside of Wise-Grants with BOTS regarding this project shall include the Grant Number, and shall be submitted to the following address or e-mail address:

Bureau of Transportation Safety
4822 Madison Yards Way, 9th Floor South
Madison, WI 53707

I agree to the terms and conditions above.

Supporting Documentation

https://www.wigrants.gov/Upload/352047_360809-GenericOP.docx

https://www.wigrants.gov/Upload/352047_360810-Officer'sReportForm.pdf

RESOLUTION NO. 2021-63

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AUTHORIZING THE ACCEPTANCE OF A BUREAU OF TRANSPORTATION SAFETY – IMPAIRED DRIVING GRANT, SHARED WITH THE KENOSHA COUNTY SHERIFF’S DEPARTMENT, IN THE AMOUNT OF \$90,000.00 AND AUTHORIZING THE TRANSFER OF \$13,750.00 FROM THE SHERIFF’S OFFICE 2021 BUDGET TO THE SHERIFF’S – GRANT 2021 BUDGET, AND AUTHORIZING THE TRANSFER OF \$90,000.00 WITHIN THE SHERIFF’S GRANT 2021 BUDGET

To the Honorable Members of the Racine County Board of Supervisors:

WHEREAS, the BOTS ID #FG-2022-RACINE C-05774 grant is a taskforce grant that allows the Racine County Sheriff’s Office to deploy deputies between the hours of 6:00 pm and 4:30 am to target impaired drivers; and

WHEREAS, the Racine County Sheriff’s Office administers the grant and works with the Kenosha County Sheriff’s Department; and

WHEREAS, the overall grant is \$90,000.00, Racine County receives \$55,000.00 and Kenosha County receives \$35,000.00; and

WHEREAS, each department is required to provide a local match of 25% of received funds.

NOW, THEREFORE, BE IT RESOLVED by the Racine County Board of Supervisors that the Sheriff’s Office is authorized to apply for and to accept a Traffic Safety Grant in the amount of \$90,000.00; and

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the transfer of funds, as set forth in Exhibit “A” that is attached hereto, from the Sheriff’s Office 2021 Budget to the Sheriff’s Office – Grant 2021 Budget and the transfer within the Sheriff’s Office – Grant 2021 Budget and is hereby authorized and approved.

Respectfully submitted,

1st Reading _____

FINANCE AND HUMAN RESOURCES COMMITTEE

2nd Reading _____

BOARD ACTION

Robert N. Miller, Chairman

Adopted _____

For _____

Against _____

Absent _____

Q.A. Shakoor, II, Vice-Chairman

VOTE REQUIRED: 2/3 M.E.

Rusty Russell Clark, Secretary

Prepared by: Corporation Counsel

Nick Demske

1 Res No. 2021-63
2 Page Two

3 _____
4 John A. Wisch

5 _____
6 Thomas Pringle

7 _____
8 Donald J. Trottier

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**The foregoing legislation adopted by the County Board of Supervisors of
Racine County, Wisconsin, is hereby:**

Approved: _____

Vetoed: _____

Date: _____,

Jonathan Delagrave, County Executive

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|------|---|--------------------|
| YEAR | 2021 | X | Resolution Request |
| | | | Ordinance Request |
| | | | Report Request |

Requestor/Originator: Sheriff's Office - Sheriff Christopher Schmaling

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading)
If a person is not in attendance the item may be held over. _____

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? Yes

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 10/21/2021 Date of County Board Meeting to be Introduced: 10/21/2021

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Acceptance of Bureau of Transportation Safety – Seatbelt Enforcement shared with Town of Waterford & City of Burlington Police Departments in the amount of \$45,000 and a transfer of \$5,250 from the Sheriff’s Office 2021 Budget to the Sheriff’s Grant 2021 budget and transfer of \$45,000 within the Sheriff’s Grant 2021 Budget

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

| ACCOUNT NAME | ACCOUNT NUMBER | CURRENT BUDGET | CURRENT BALANCE | TRANSFER | BUDGET AFTER TRANSFER | BALANCE AFTER TRANSFER |
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|

SHERIFF'S OFFICE

RACINE COUNTY 2021 BUDGET PAGE 30-12

| | | | | | | |
|-----------------|-----------------|------------|-----------|---------|------------|-----------|
| WAGES | 11800000.401000 | 10,753,816 | 3,190,545 | (4,250) | 10,749,566 | 3,186,295 |
| WORKERS COMP | 11800000.402210 | 88,813 | 25,337 | (43) | 88,770 | 25,294 |
| SOCIAL SECURITY | 11800000.402220 | 902,958 | 270,981 | (325) | 902,633 | 270,656 |
| RETIREMENT | 11800000.402230 | 1,739,156 | 500,441 | (632) | 1,738,524 | 499,809 |

SHERIFF'S OFFICE - GRANTS

NOT IN BUDGET BOOK

| | | | | | | |
|----------------|-------------|---|---|----------|----------|----------|
| 2022 SEAT BELT | NEW ACCOUNT | 0 | 0 | (45,000) | (45,000) | (45,000) |
|----------------|-------------|---|---|----------|----------|----------|

TOTAL SOURCES

(50,250)

| | | | | | | |
|------------------|-------------|---|---|--------|--------|--------|
| WAGES | NEW ACCOUNT | 0 | 0 | 4,250 | 4,250 | 4,250 |
| OVERTIME | NEW ACCOUNT | 0 | 0 | 17,001 | 17,001 | 17,001 |
| WORKERS COMP | NEW ACCOUNT | 0 | 0 | 213 | 213 | 213 |
| SOCIAL SECURITY | NEW ACCOUNT | 0 | 0 | 1,626 | 1,626 | 1,626 |
| RETIREMENT | NEW ACCOUNT | 0 | 0 | 3,160 | 3,160 | 3,160 |
| C/S T WATERFORD | NEW ACCOUNT | 0 | 0 | 12,000 | 12,000 | 12,000 |
| C/S C BURLINGTON | NEW ACCOUNT | 0 | 0 | 12,000 | 12,000 | 12,000 |

TOTAL USES

50,250

0

Grant period is 10/1/2021 through 9/30/22 any funds remaining at the end of FY2021 will automatically be carried forward into FY2022.

Each Municipality is responsible for the 25% Match required by the grant.

| ACCOUNT NAME | ACCOUNT NUMBER | CURRENT BUDGET | CURRENT BALANCE | TRANSFER | BUDGET AFTER TRANSFER | BALANCE AFTER TRANSFER |
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|
|--------------|----------------|----------------|-----------------|----------|-----------------------|------------------------|

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

| FOR | AGAINST |
|-----|---------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

General Information

Proposed Project Title: **Seat Belt Enforcement**
Federal funding source: National Highway Traffic Safety Administration (NHTSA)
Type of Municipality: County
Applicant/Sub-Recipient Agency/County: Racine County
Enforcement Area: Racine County
Agency Federal Employer ID (FEIN): 396005734
Unique Entity Identifier (DUNS Number): 830351623

Agency Head or Authorizing Official

Agency Head or Authorizing Official: Christopher Schmaling
Title: Sheriff
Address: 717 WISCONSIN AVE
City: RACINE
State: Wisconsin
Zip Code: 53403

Project Coordinator

First Name: Aaron
Last Name: Schmidt
Title: Sergeant
Address: 717 Wisconsin Ave
City: Racine
State: Wisconsin
Zip Code: 53403
Phone: (262) 886-8495
E-mail Address: aaron.schmidt@racinecounty.com

[✓] I agree that the above information is up-to-date and correct.

In 2020, 43.8% of persons killed and 22.5% of persons sustaining incapacitating injuries in Wisconsin crashes were NOT wearing seat belts. Many of these people were ejected from their vehicle. Statewide seat belt use was 89.2% in 2020; however, Wisconsin seat belt use still remains about five tenths of one percent lower than the national average. It has been estimated that seat belt use by motor vehicle occupants in Wisconsin prevents more than 293 traffic related fatalities and more than 8,000 serious injuries annually.

Seat belt enforcement grant recipients are targeted based upon seatbelt usage data. The intention is to encourage extraordinary seat belt enforcement in areas of the state with low seat belt usage.

For crash data and other resources available at the county level, please visit Community Maps at the link below. If you require assistance in analyzing your crashes, please contact your Law Enforcement Liaison.

Problem Statement

A problem statement can be as simple as answering these three questions .

- 1.What and where is the problem?
- 2.How do we plan to solve the problem?
- 3.How do we check to see if the solution is working and what will be done?

This task force is focusing on Western Racine County. It spans from I-41 west to the Walworth Co. Line. The law Enforcement agencies responsible for this area are all included in this grant. From January 2020 to September 2021 there have been 125 crashes in this area. Three were fatalities and 69 injury accidents. 2020 was an anomaly due to COVID-19, there were fewer crashes due in large to less people on the road. There were 38 less crashes from 2019 yet there was only 1 less fatal accident and 2 more injury accidents including the seatbelt flag.

We will continue to utilize community maps to track these crashes and put out deployments during timeframes that appear to have a greater frequency of these types of crashes. With the grant deployments we will utilize on duty personnel to conduct our match time deployments looking to educate the public through enforcement actions. Alongside the enforcement we will also utilize social media and local media to educate the public on the importance of wearing a seatbelt.

Next year at this time we will look at the crash statistics and see what changes there have been and adjust accordingly.

Is the State Patrol participating with this Task Force? [] Yes [] No

Note: If yes, State Patrol is not funded through this grant.

Task Force Members

Racine County Sheriff, Town of Burlington (Done via RASO), Town of Waterford PD, City of Burlington PD

RPM/SPM Notes

This is an Occupant Protection (seatbelt) Taskforce Grant.

The members of the taskforce are as follows: Racine County West Occupant Protection Taskforce

Racine County Sheriff's Department *
 Burlington Police Department
 Town of Burlington Police Department
 Town of Waterford Police Department

Note: By accepting this grant, you are verifying that the above listed agencies are taskforce members and no other law enforcement agency that is not listed above will be allowed to participate in grant funded activities assigned to this taskforce.

The amount of the taskforce grant shall be \$45,000.00 and a detailed funding allocation plan to participating taskforce member agencies shall be submitted. Grantee is prohibited from using grant funds to supplant existing state or local expenditures.

Both Op Plan and signature page are required to be submitted with the grant at the beginning of the process on the "Supporting Documents" tab. NO GRANTS WILL BE APPROVED WITHOUT THESE DOCUMENTS ATTACHED.

The budget is for deployments only; no grant funds are for equipment. This grant requires a 25% soft local match. Activity Reports may use one overall monthly statistical report if a pre-approved spreadsheet is attached. Such reports are due on/by the 15th of the next month (example: January's report is due by February 15th). ALL involved agencies MUST participate in the three (3) National Mobilization periods such as "Click it or Ticket" and "Drive Sober or Get Pulled Over" - summer and winter.

ALL agencies are encouraged to attend the quarterly traffic safety commission (TSC) meetings for their County and the use Community Maps as a guide for scheduling deployment locations:

"For crash data and other resources available at the county level, please visit Community Maps at <https://transportal.cee.wisc.edu/partners/community-maps/>"

I agree to the terms and conditions above.

Project Objectives with Evaluation Plan

Grantee agrees to adopt a zero tolerance policy for impaired driving during all motor vehicle stops.

Evaluation: Activity Report - Contacts

Grantee agrees to adopt a zero tolerance policy for unrestrained occupants during all motor vehicle stops during occupant protection funded activity.

Evaluation: Activity Report - Contacts

During past deployments, grantees have typically initiated a recorded traffic stop about every 45 minutes. To maintain or exceed historical activity levels during grant-funded activity Grantee will, on average, initiate a recorded traffic stop every 45 Minutes.

Evaluation: Activity Report - Contacts

During past grant-funded deployments, grantees typically maintain an agency ratio of three citations to one written warning.

Evaluation: Activity Report - Citations/Warnings

Grantee agrees to make contacts with local media, community groups or other groups to increase public awareness of information related to crashes, and subsequent enforcement efforts. This should be done a minimum of once during every quarter of grant approval. Creating partnerships with public and private community groups, to enforce public awareness of this campaign is strongly encouraged.

An Agency's failure to meet project Objectives may affect their consideration for future grant awards .

Additional Objectives/Evaluation

This is a joint task force, our goals are to make a traffic stop every 45 minutes in an attempt to educate the motoring public on the need to wear seat belts. We will also provide education to the public through the use of strict enforcement as well as outreach through social media and local media.

I agree to the terms and conditions above.

Policy Requirements

All grantees agree to adhere to the following policies, which are detailed in the full contract

Grantee is:

- Subject to audit and is responsible for complying with appropriate maintenance of records
- Subject to on-site monitoring and review of records by BOTS staff
- Prohibited from purchasing equipment other than that listed in approved grant application
- Prohibited from using grant funds to supplant existing state or local expenditures
- Prohibited from discriminating against any employee or applicant for employment
- Prohibited from receiving grant funds if presently debarred
- Prohibited from using these funds to further any type of political or voter activity
- Prohibited from using these funds to engage in lobbying activity
- Required to comply with Buy America

If the grant funds will be expended on law enforcement, grantee further certifies:

- That it has a written departmental policy on pursuits
- That it has a written departmental policy on BAC testing of drivers involved in fatal crashes
- That it has a written departmental policy on the use of safety belts by employees
- That it complies with Title VI of the Civil Rights Act of 1964

2 C.F.R. §200, Subpart F Single Audit requirements

****Please check with your Treasurer or Finance department**

The grantee has verified that their political entity (payee for this grant)

IS or **IS NOT Subject to 2 C.F.R. §200, Subpart F Single Audit requirements.**

A **2 CFR Part 200 audit** is required if your political entity expends more than \$750,000 in federal funds during your fiscal year.

If subject to 2 C.F.R. §200, Subpart F Single Audit requirements, the political entity needs to be in compliance and have filed with the Federal Audit Clearing House.

If applicable, the grantee has verified that its political entity is in compliance and has filed with the Federal Audit Clearing House :

Yes or **Not Applicable**

Grants Training:

Below is the current training link. List the name of the person or persons who have taken grants training. List the name of the person, type of training (i.e. Webinar, Governors Conference, Regional Training or online) and the date of last training.

| Name | Training Location | Date |
|---------------|-----------------------|-----------|
| Aaron Schmidt | online training video | 9/13/2021 |

Click [here](#) to view WISE Grants Training video.

I agree to the terms and conditions above.

Work Plan

Federal Grant Period: Grant activities are funded for one federal fiscal year. The federal fiscal year 2022 runs from October 1, 2021 through September 30, 2022. Funded fiscal year activities may begin no earlier than October 1st and end no later than September 30th. /b>

Work Plan/Calendar:The Work Plan/Calendar contained within this contract is a term of the contract. It describes timing and level of enforcement activity. At a minimum, during the term of this contract:

Grantee will implement at least one deployment each month within the specified grant period as planned in the Work Plan /Calendar. Agencies that receive funding for overtime enforcement must participate in the national mobilizations during the timeframes listed below. Sign-up for mobilizations is in Wise-Grants and is accomplished by activating an activity report under the **View Available Opportunities** button on the home screen. Completing the activity report after the two week mobilization helps BOTS to provide NHTSA with accurate information about the level of enforcement activity in the state .

Required Mobilizations

- December 17, 2021 – January 1, 2022 (Drive Sober - Winter)
- May 23 – June 5, 2022 (Click It Or Ticket National Mobilization)
- August 19 – September 5, 2022 (Drive Sober – Labor Day)

NOTE:
During the course of the grant, Grantees will schedule a minimum of 50% of the hours of enforcement at night (6:00pm to 6:00am).

If grantee cannot perform the planned patrols, BOTS must be notified. Failure to perform planned activity may be considered grounds for terminating the grant.

WORK PLAN

| Month | (A) Deployments | (B) Hours per Deployment | (C) Officers per Deployment | (D) Total Officer Hours (AxB)xC = D |
|--------------|--------------------|--------------------------------|-----------------------------------|--|
| October | 0 | 0 | 0 | 0 |
| November | 2 | 4 | 7 | 56 |
| December | 2 | 4 | 8 | 64 |
| January | 2 | 4 | 7 | 56 |
| February | 2 | 4 | 7 | 56 |
| March | 2 | 4 | 7 | 56 |
| April | 3 | 4 | 7 | 84 |
| May | 3 | 4 | 8 | 96 |
| June | 3 | 4 | 7 | 84 |
| July | 2 | 4 | 7 | 56 |
| August | 2 | 4 | 7 | 56 |
| September | 2 | 4 | 7 | 56 |
| TOTAL | 25 | 44 | 79 | 720 |

WORK PLAN ITEMS – Required:

1. BOTS enforcement grants will use the High Visibility Enforcement (HVE) model (at a minimum) during National enforcement periods. All remaining enforcement activity will be sustained enforcement.
2. The three main elements of HVE are:
 - a. multiple agencies
 - b. working the same day and time
 - c. with a media component to educate the public
3. Single officer deployments are discouraged and will require justification added to the monthly activity report
4. Grantee will assign only sworn, SFST-trained officers in patrols if the behavior they are attempting to modify is impaired driving. Part-time officers may be assigned only if the grant funded activity and their resulting weekly total hours do not exceed 39 hours.
5. Grantee agrees to implement 25 deployments for a total of 720 enforcement hours.

Grant Reimbursable Hours & Rate:

Grantee's estimate of funded reimbursable hours is based upon an estimated average hourly wage/fringe rate of \$62.50

Total amount of Wage/Fringe Based on above deployments and rate \$45,000.00

Activity Reporting: Grantee will complete the Activity Reports and submit them to BOTS no later than the 15th of the month following the activity.

I agree to the terms and conditions above.

Budget Request

Funding: Grant funding is based on availability of Federal Grant Funds. Grants and funding may be stopped at any time during the Grant year if funding becomes unavailable.

Budget Plan:

The Budget spreadsheet within this contract is a term of the contract. Eligible cost items for this project include: Wage and Fringe. Grantee must complete the Federal Share AND Estimated Local Match columns.

Relationship to Work Plan:

All budget items must relate to activities described in the Work Plan. Reimbursement will be based on actual costs, NOT budgeted rates. Only project activities and expenses described in the approved work plan and budget, incurred during the grant period, are eligible for reimbursement. Expenses incurred that are not specified in the budget or work plan will not be reimbursed.

Document Requirements:

Grantee will document hours, wage and fringe rate, and all match costs. Fringe benefit shall be actual costs. Payment for salaries and wages shall be supported by a time and attendance report, or equivalent records, which shall be kept on file at the agency for three years from the date the project closes. BOTS reserves the right to perform monitoring activities, to include ongoing review and audit of department records.

Citation data and payroll data will be required upon request. Citation data can be provided in the form of an approved spreadsheet or TRACS upload. Complete citation data and supporting payroll documentation can be uploaded to the monthly activity report. Reports are being developed in TraCS to more quickly allow you to report.

Match Requirements:

A local match of at least 25% of the grant total is required. The match budget line may consist of estimates of program match.

Budget

| Item | Federal Grant | Local Match | Totals |
|----------------------|--------------------|--------------------|--------------------|
| Wage/Fringe | \$45,000.00 | \$11,250.00 | \$56,250.00 |
| Travel/Mileage | Ineligible | | \$0 |
| Training | Ineligible | | \$0 |
| Contractual Services | Ineligible | | \$0 |
| Equipment | Ineligible | | \$0 |
| Materials & Supplies | Ineligible | | \$0 |
| Other | Ineligible | | \$0 |
| Total | \$45,000.00 | \$11,250.00 | \$56,250.00 |

Budget Amendments:

If work plan or other documentation must be changed after the grant is in active status, Grantee must submit an amendment request via the WISE Grants System. Amended activity shall not commence prior to BOTS approval. Click [Here](#) to see Amendment Instructions.

Signatures:

The agency head or authorizing official must complete the printable signature page and attach to grant application under "Supporting Documents." An electronic grant submission through the WISE Grants System will initiate the grant approval process, activity/deployments shall **not** begin until the agency receives notice that the grant is approved or have been notified by BOTS to

begin.

I agree to the terms and conditions above.

Forms:

Forms will only be accepted through the WISE Grants. Questions about grant submissions should be referred to either the State Program Manager or the Regional Program Manager.

Click here to see the [RPM](#) and [SPM](#) map.

Project Match Report:

Agencies are required to report adequate match throughout the grant, 25% of the federal grant must be met by the end of the grant period.

Media/Outreach Documentation:

Media and outreach are important components to successful behavioral change. During High Visibility Enforcement all media that pertains to the agency's activities must be submitted/attached as part of the activity report. Media is highly recommended as a part of all grant activities.

Reimbursement Claims:

The Final Reimbursement Claim must be received no later than November 15, 2022, any claims received after November 15 cannot be guaranteed for reimbursement. The final reimbursement will be made only after all deliverables are received and approved by BOTS.

Place of Delivery:

All Electronic Project Deliverables shall be submitted via the WISE Grants System.

Signature Pages and Operations Plan shall be attached to this grant application under "Supporting Documents."

Questions about the Traffic Safety Program or this project should be addressed to the State Program Manager or the Regional Program Manager.

Awarding Agency Official Mailing Address:

Bureau of Transportation Safety
4822 Madison Yards Way, 9th Floor South
Madison, WI 53705

I agree that grant activity will not begin until this grant is in an active status or have been notified by BOTS to begin.

I agree to the terms and conditions above.

General Contract Terms Seatbelt Enforcement

This Grant Agreement ("Agreement"), entered into by and between the Bureau of Transportation Safety ("BOTS") and Racine County Sheriff's Office ("Grantee"), is executed pursuant to terms that follow.

1. Purpose of this Agreement

The Bureau of Transportation Safety, housed within the Wisconsin Department of Transportation's Division of State Patrol, serves as the administering agency for state and federal grants relating to transportation safety. The purpose of this Agreement is to enable BOTS to award grant funding to Grantee for eligible costs of the Grant Project ("Grant") undertaken as outlined in the project narrative and work plan. The funds shall be used exclusively in accordance with the provisions of this Agreement, as well as applicable federal and state laws and regulations.

2. Term

Work conducted under this Grant must occur within the federal fiscal year: October 1 to September 30. This Agreement expires September 30 of the federal fiscal year during which the Grant is conducted.

3. Implementation

Grantee shall be solely responsible for the design and implementation of the Grant as described in the project narrative and work plan. Grantee agrees to conduct the Grant in accordance with these plans as approved by BOTS.

Modification of the Grant shall require prior approval of BOTS. Any change in project coordinator, financial officer, authorizing official, addresses, or telephone numbers requires written notification to BOTS. If the work plan or other documentation must be changed after the contract is signed, Grantee must submit an amendment request via the WISE-Grants System. Amended activity may not commence prior to BOTS approval.

Failure to perform planned activity may be considered grounds for termination of funding.

4. Audit and Maintenance of Records

Grantee government subdivisions are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S. C. 7501-8507) and the Single Audit Requirements of 2 C.F.R. §200, Subpart F (A-133 Single Audit Requirements). If Grantee government subdivision is subject to a Single Audit, BOTS must be notified of the audit and subsequent results. If Grantee is subject to these requirements, it will verify that it is compliance with these requirements and that it has filed with the Federal Audit Clearing House. BOTS may take corrective action within six months and may require independent auditors to have access to grantee's records and financial statements. Documentation of costs shall be maintained for three years following final reimbursement. Reimbursement claim cost detail shall include a list of all personnel whose time is claimed; current billing period and year-to-date wages and fringe benefits paid to each person listed; all travel listed individually and broken out by transportation/mileage, meals, lodging, and related costs; all materials and supplies and contractual services, itemized, required to complete project activity. Employee time records for actual hours worked or percent of time dedicated to project activity are to be maintained by Grantee and made available to BOTS upon request with reasonable notice. Each budget item identified as "Other" shall be claimed separately.

5. Monitoring by the State

Grantee consents to monitoring by BOTS staff to ensure compliance with applicable state and federal regulations. Monitoring may occur on-site and will require access to original versions of employee payroll information, citations, and other materials related to the implementation of this grant.

6. Payment of Funds by the State

All highway safety projects are funded on a cost reimbursement basis. State or local funds shall be expended before federal reimbursement is made.

BOTS shall reimburse Grantee only for the actual hours worked, and for other eligible costs, and only if the costs are incurred in performing tasks identified within the grant application. Personnel costs shall be reimbursed on the basis of actual hourly salary and fringe rate(s) that have been verified and approved by BOTS, or on the basis of percentage of annual salary and fringe dedicated to project activity as described within the grant application. All expenses for which Grantee

General Contract Terms Seatbelt Enforcement

seeks reimbursement must be documented in the Project Activity Reports .

7. Equipment

Tangible, non-expendable personal property having an acquisition cost of \$5,000 or more, with a useful life of greater than one year, that is purchased in whole or in part by Grantee using funds awarded as part of this Agreement must be justified in the project narrative or work plan and approved by the NHTSA Regional Office in writing before initiating the acquisition of the equipment. Each item shall be tagged, inventoried, and monitored until the federal interest is released. Tangible, non-expendable personal property having an acquisition cost of less than \$5,000, and budgeted as materials and supplies, will also be monitored. Grantee must inform BOTS in writing when equipment is no longer used for the purpose for which it was acquired. Grantee's procurement of property under a grant will follow the same policies and procedures used for procurement from its non-federal funds, provided their procurement procedures follow the requirements for procurement standards set forth in federal law in 2 C.F.R. §§200.318 general procurement standards through 200.326 contract provisions. Each grantee receiving traffic safety funds must maintain written property management standards that comply with the requirements for property standards set forth in federal law in 2 C.F.R. §§200.310 through 200.316. These requirements include, but are not limited to, the maintenance of accurate property records [2 C.F.R. §200.313(d)(1)]. Such records will include a description of the property; a serial number or other identification number; the source of funding for the property (including the FAIN, if applicable); indication of with whom title is vested; acquisition date; cost of the property; percentage (at the end of the budget year) of federal participation in the cost of the project for the federal award under which the property was acquired; location, use, and condition of the property; and ultimate disposition data including the date of disposal and the sale price of the property. Grantees will institute maintenance procedures adequate to keep the property in good condition.

8. Print and Audio Visual Materials

Grantee shall submit all materials developed under this Agreement to BOTS for approval of content and style prior to final production and release. All video materials intended for general public viewing must be close-captioned. Grantee shall credit the Wisconsin Department of Transportation Bureau of Transportation Safety and the National Highway Traffic Safety Administration on all such materials. Grantee may not copyright any portion of materials produced under this Agreement .

9. Program Income

Program income is gross income derived by Grantee from Grant-supported activities. Grantee will report program income on reimbursement claims, stating whether the income is retained or credited as a reduction in federal share of project expenditures. If retained, such income may be used only for highway safety activities and is subject to audit by BOTS.

10. Additional Requirements Where Funds Are Expended on Law Enforcement

- A. Grantee agency certifies that it has a written departmental policy on biased-based policing, or that it will initiate development of one during the grant period.
- B. Grantee agency certifies that it has a written departmental policy on pursuits or that it will initiate development of one during the grant period. The policy should conform to the guidelines of the IACP or a similar pursuit policy .
- C. Grantee agency certifies that it has a written departmental policy on the BAC testing of all drivers involved in fatal vehicle crashes involving alcohol, or that it will initiate one during the grant period. Grantee agency will require a test of all killed drivers and will encourage all surviving drivers to consent to a test.
- D. Grantee agency certifies that it has a written departmental policy on the use of safety belts by employees, or that it will initiate development of one during the grant period.

11. General Costs of Government

The general costs of government (i.e. supplanting) are unallowable except as provided in 2 C.F.R. §200.474. [2 C.F.R. §200.444]. The replacement of routine or existing state or local expenditures with the use of federal grant funds for costs of activities that constitute general expenses required to carry out the overall responsibilities of a state or local agency is prohibited.

12. Guidelines for Allowability of Costs

To be allowable under Federal awards, costs must meet the following general criteria [2 C.F.R. §225, Appendix A, C(1)]:

General Contract Terms Seatbelt Enforcement

1. Be necessary and reasonable for proper and efficient performance and administration of Federal awards .
2. Be allocable to Federal awards under the provisions of 2 CFR part 225.
3. Be authorized or not prohibited under State or local laws or regulations.
4. Conform to any limitations or exclusions set forth in these principles, Federal laws, terms and conditions of the Federal award, or other governing regulations as to types or amounts of cost items.
5. Be consistent with policies, regulations, and procedures that apply uniformly to both Federal awards and other activities of the governmental unit.
6. Be accorded consistent treatment. A cost may not be assigned to a Federal award as a direct cost if any other cost incurred for the same purpose in like circumstances has been allocated to the Federal award as an indirect cost.
7. Except as otherwise provided for in 2 CFR part 225, be determined in accordance with generally accepted accounting principles.
8. Not be included as a cost or used to meet cost sharing or matching requirements of any other Federal award in either the current or a prior period, except as specifically provided by Federal law or regulation.
9. Be the net of all applicable credits.
10. Be adequately documented.

13. Nondiscrimination

During the performance of this contract/funding agreement, the contractor/funding recipient agrees —

1. To comply with all Federal nondiscrimination laws and regulations , as may be amended from time to time;
2. Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in Appendix B of 49 CFR part 21 and herein;
3. To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
4. That, in the event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
5. To insert this clause, including paragraphs a through e, in every subcontract and sub-agreement and in every solicitation for a subcontract or sub-agreement, that receives Federal funds under this program.

The grantee will comply with all Federal statutes and implementing regulations relating to nondiscrimination ("Federal Nondiscrimination Authorities"). These include but are not limited to:

- **Title VI of the Civil Rights Act of 1964** (42 U.S.C. 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;
- **The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970**, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- **Federal-Aid Highway Act of 1973**, (23 U.S.C. 324 et seq.), **and Title IX of the Education Amendments of 1972**, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- **Section 504 of the Rehabilitation Act of 1973**, (29 U.S.C. 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;

General Contract Terms Seatbelt Enforcement

·**The Age Discrimination Act of 1975**, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of age);

·**The Civil Rights Restoration Act of 1987**, (Pub. L. 100-209), (broadens scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal aid recipients, sub-recipients and contractors, whether such programs or activities are Federally-funded or not);

·**Titles II and III of the Americans with Disabilities Act** (42 U.S.C. 12131-12189) (prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37 and 38

·**Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations** (prevents discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations); and

·**Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency** (guards against Title VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR at 74087 to 74100).

14. Political Activity (Hatch Act)

Grantee will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508) which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

15. Lobbying Activities

Certification Regarding Federal Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Restriction on State Lobbying

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

General Contract Terms Seatbelt Enforcement

16. Certification Regarding Debarment And Suspension

Grantee certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from entering into this Grant by any federal agency, or by any department, agency, or political subdivision of the state. For purposes of this grant, "principal" includes an officer, director, owner, partner, or other person with primary management and supervisory responsibilities, or a person who has critical influence on or substantive control over the operations of Grantee.

Instructions for Lower Tier Certification:

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR Parts 180 and 1300.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms covered transaction, debarment, suspension, ineligible, lower tier, participant, person, primary tier, principal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definition and Coverage sections of 2 CFR Part 180. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by NHTSA.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR Parts 180 and 1300.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-procurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, the department or agency with which this transaction originated may disallow costs, annul or terminate the transaction, issue a stop work order, debar or suspend you, or take other remedies as appropriate.

Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

17. Buy America Act

General Contract Terms Seatbelt Enforcement

The Grantee and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or sub recipient, to purchase only steel, iron and manufactured products produced in the United States with Federal funds, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal funds to purchase foreign produced items, the State must submit a waiver request that provides an adequate basis and justification to and approved by the Secretary of Transportation .

18. Prohibition on using grant funds to check for helmet usage

The Grantee and each sub recipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

19. Termination

This grant may be terminated upon BOTS' determination that Grantee has materially failed to comply with terms of this Agreement. Termination may be considered among the criteria for subsequent grant awards .

20. Correspondence

All correspondence outside of Wise-Grants with BOTS regarding this project shall include the Grant Number, and shall be submitted to the following address or e-mail address:

Bureau of Transportation Safety
4822 Madison Yards Way, 9th Floor South
Madison, WI 53705

[✓] I agree to the terms and conditions above.

Supporting Documentation

https://www.wigrants.gov/_Upload/352132_360809-GenericOP.docx

https://www.wigrants.gov/_Upload/352132_360810-Officer'sReportForm.pdf

RESOLUTION NO. 2021-64

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AUTHORIZING THE ACCEPTANCE OF A BUREAU OF TRANSPORTATION SAFETY – SEATBELT ENFORCEMENT GRANT, SHARED WITH THE TOWN OF WATERFORD AND CITY OF BURLINGTON, IN THE AMOUNT OF \$45,000.00 AND AUTHORIZING THE TRANSFER OF \$5,250.00 FROM THE SHERIFF’S OFFICE 2021 BUDGET TO THE SHERIFF’S – GRANT 2021 BUDGET, AND AUTHORIZING THE TRANSFER OF \$45,000.00 WITHIN THE SHERIFF’S GRANT 2021 BUDGET

To the Honorable Members of the Racine County Board of Supervisors:

WHEREAS, the BOTS ID #FG-2022-RACINE C-05793 grant is a taskforce grant that allows the Racine County Sheriff’s Office to deploy deputies to target seatbelt use during all hours; and

WHEREAS, the Racine County Sheriff’s Office administers the grant and works with the Town of Waterford and City of Burlington Police Departments; and

WHEREAS, the overall grant is \$45,000.00, Racine County receives \$21,000.00, the Town of Waterford receives \$12,000.00 and the City of Burlington receives \$12,000.00; and

WHEREAS, each department is required to provide a local match of 25% of received funds.

NOW, THEREFORE, BE IT RESOLVED by the Racine County Board of Supervisors that the Sheriff’s Office is authorized to apply for and to accept a Traffic Safety Grant in the amount of \$45,000.00; and

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the transfer of funds, as set forth in Exhibit “A” that is attached hereto, from the Sheriff’s Office 2021 Budget to the Sheriff’s Office – Grant 2021 Budget and the transfer within the Sheriff’s Office – Grant 2021 Budget and is hereby authorized and approved.

Respectfully submitted,

1st Reading _____

FINANCE AND HUMAN RESOURCES COMMITTEE

2nd Reading _____

BOARD ACTION

Robert N. Miller, Chairman

Adopted _____

For _____

Against _____

Absent _____

Q.A. Shakoor, II, Vice-Chairman

VOTE REQUIRED: 2/3 M.E.

Rusty Russell Clark, Secretary

Prepared by: Corporation Counsel

Nick Demske

1 Res No. 2021-64
2 Page Two

3 _____
4 John A. Wisch

5 _____
6 Thomas Pringle

7 _____
8 Donald J. Trottier

9
10
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21

**The foregoing legislation adopted by the County Board of Supervisors of
Racine County, Wisconsin, is hereby:**

Approved: _____

Vetoed: _____

Date: _____,

Jonathan Delagrave, County Executive

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|------|---|--------------------|
| YEAR | 2021 | | Resolution Request |
| | | | Ordinance Request |
| | | X | Report Request |

Requestor/Originator: Finance Department - Brian Nelson

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Finance Department - Brian Nelson
 If a person is not in attendance the item may be held over.

Does the County Executive know of this request: No

If related to a position or position change, Does the Human Resources Director know of this request: _____

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 10/21/2021 **Date of County Board Meeting to be Introduced:** _____

1st Reading: **1st & 2nd Reading:** *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County 3rd Quarter 2021 Investment Report - Staff from DANA Investments will be available to discuss materials

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

RACINE COUNTY

RACINE COUNTY FINANCE 3rd QTR REPORT 2021

| AVERAGE MONTHLY | DANA | DANA (ARPA) | LGIP | BMO HARRIS | JOHNSON (FOXCONN) 2018 |
|--------------------------|------------------|------------------|------------------|---------------|------------------------|
| 3rd Q BEGIN BALANCE | \$ 33,488,270.87 | \$ 19,063,879.14 | \$ 57,818,369.38 | \$ 438,282.37 | \$ 1,364,076.06 |
| 3rd Q END BALANCE | 33,596,061.64 | 18,897,784.67 | 20,821,031.03 | 433,612.27 | 1,364,145.57 |
| AVERAGE BALANCE | 33,518,190.50 | 19,005,092.65 | 33,153,172.41 | 436,894.69 | 1,364,110.81 |
| INTEREST EARNED | 9,312.35 | 5,301.24 | 4,283.65 | (278.74) | 103.14 |
| ACTUAL YIELD | 0.03% | 0.03% | 0.01% | -0.06% | 0.01% |
| ESTIMATED ANNUAL YIELD** | 0.11% | 0.11% | 0.05% | -0.26% | 0.03% |

Respectfully submitted,

Brian J. Nelson
 Racine County Finance Director

* ESTIMATED ANNUAL YIELD:
 based on actual results year-to-date
 before DANA Investment Advisor Fee

2021 RACINE COUNTY INVESTMENT INCOME

| MONTH | DANA ¹ | DANA (ARPA) | LGIP | BMO HARRIS (net change) | JOHNSON (FOXCONN) '18 ³ | TOTALS |
|----------------|-------------------|--------------------|------------------|----------------------------|---------------------------------------|------------------|
| JAN | \$ (14,949.56) | | \$ 1,135.82 | \$ (2,718.57) | \$ 51.52 | \$ (16,480.79) |
| FEB | 15,522.49 | | 1,564.90 | (6,287.85) | 51.53 | 10,851.07 |
| MAR | 47,031.63 | | 1,286.33 | (4,724.12) | 46.54 | 43,640.38 |
| APR | 35,621.02 | | 1,030.01 | 4,624.89 | 40.31 | 41,316.23 |
| MAY | 54,188.00 | 5,648.40 | 1,432.01 | 1,527.93 | 35.88 | 62,832.22 |
| JUN | (57,627.16) | (32,805.43) | 1,432.34 | 3,928.77 | 35.63 | (85,035.85) |
| JUL | 46,465.73 | 26,451.56 | 1,622.00 | 3,419.31 | 33.63 | 77,992.23 |
| AUG | (18,031.88) | (10,265.01) | 1,747.43 | 170.93 | 34.75 | (26,343.78) |
| SEP | (19,121.50) | (10,885.31) | 914.22 | (3,868.98) | 34.76 | (32,926.81) |
| OCT | | | | | | - |
| NOV | | | | | | - |
| DEC | | | | | | - |
| 2021 GT | 89,098.77 | (21,855.79) | 12,165.06 | (3,927.69) | 364.55 | 75,844.90 |
| 1ST QTR | \$ 47,604.56 | \$ - | \$ 3,987.05 | \$ (13,730.54) | \$ 149.59 | \$ 38,010.66 |
| 2nd QTR | 32,181.86 | (27,157.03) | 3,894.36 | 10,081.59 | 111.82 | 19,112.60 |
| 3rd QTR | 9,312.35 | 5,301.24 | 4,283.65 | (278.74) | 103.14 | 18,721.64 |
| 4th QTR | - | - | - | - | - | - |

¹ Change in Market Value = Income + Realized Gain/Loss + Unrealized Gain/Loss - Admin Expenses

² Johnson Bank Foxconn accounts represent funds that may only be used for TID 5 eligible expenses



Racine County

W I S C O N S I N

AS OF SEPTEMBER 30, 2021

Investment Management Team



J. Joseph Veranth, CFA
Chief Investment Officer
(262) 782-7273
Joe@DanaInvestment.com



Robert Leuty, CFA
Director of Fixed Income and Portfolio Manager
(262) 782-1876
RobL@DanaInvestment.com



Matthew Slowinski, CFA
Senior Vice President – Portfolio Manager
(262) 782-6091
Matt@DanaInvestment.com



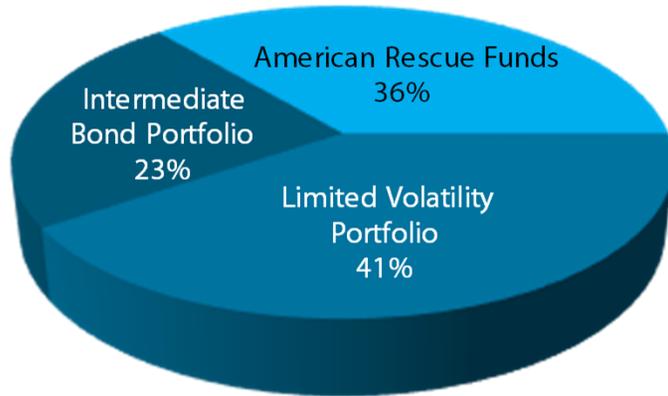
Noaman Sharief
Senior Vice President – Portfolio Manager
(262) 780-6093
Noaman@DanaInvestment.com

- As of September 30, 2021, the Racine County combined portfolio's (2198m) YTM was 0.712%[†] with an effective duration of 1.212
 - Racine County's American Rescue Funds (ARF) (2198mc) YTM was 0.678%[†].

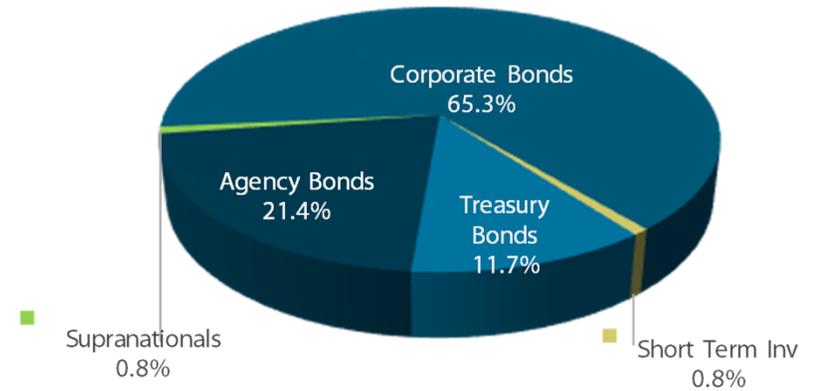
- Racine County's 2198m Allocation as of September 30, 2021:
 - ✓ 77% Dana Limited Volatility Bond Strategy (2198ma 2198mc)
 - ✓ 23% Dana Intermediate Bond Strategy (2198mb)

- Estimated average annual dollar yield of the Racine County combined portfolio over the Wisconsin LGIP since inception through 09/30/2021 = \$ 241,824^{1‡*}.

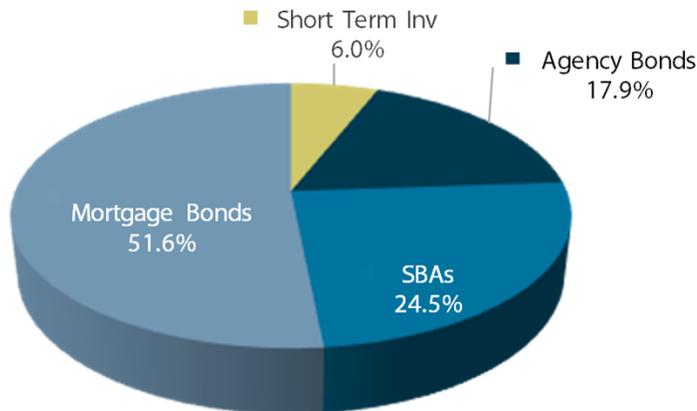
2198m - Racine County
September 30, 2021



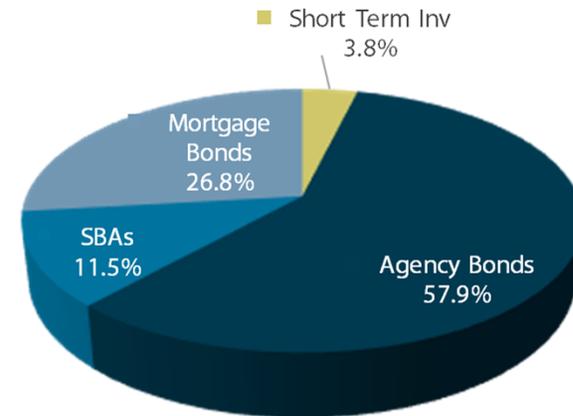
2198mb - Racine County - Intermediate Bond Portfolio
September 30, 2021



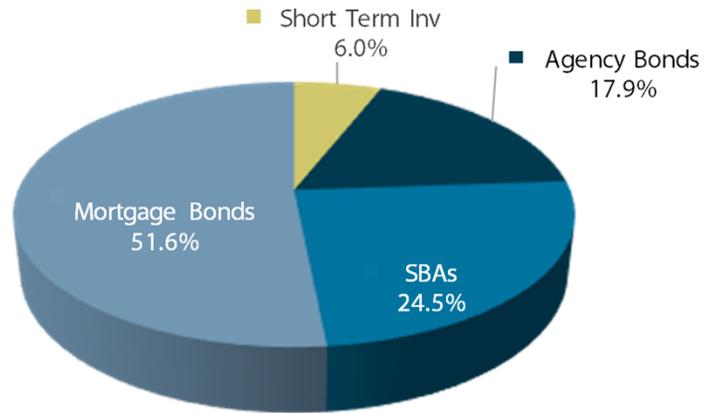
2198ma - Racine County - Limited Volatility Bond Portfolio
September 30, 2021



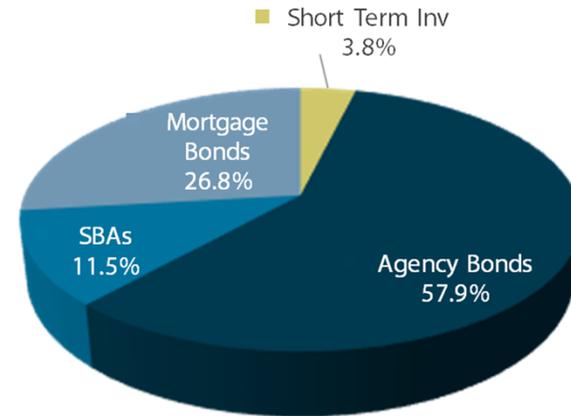
2198mc - Racine County - American Rescue Funds Portfolio
September 30, 2021



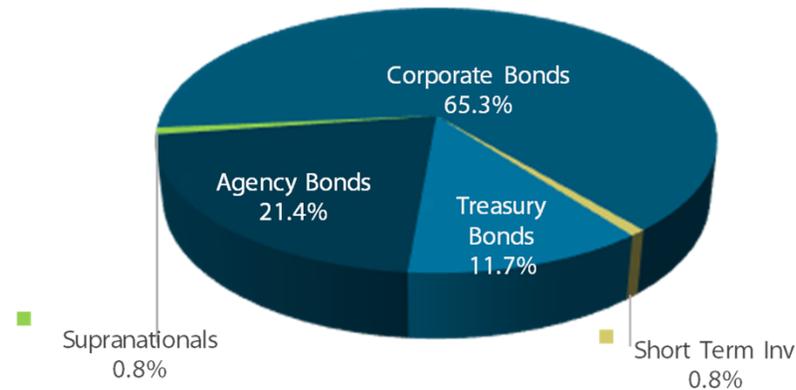
2198ma - Racine County - Limited Volatility Bond Portfolio
September 30, 2021



2198mc - Racine County - American Rescue Funds Portfolio
September 30, 2021



2198mb - Racine County - Intermediate Bond Portfolio
September 30, 2021

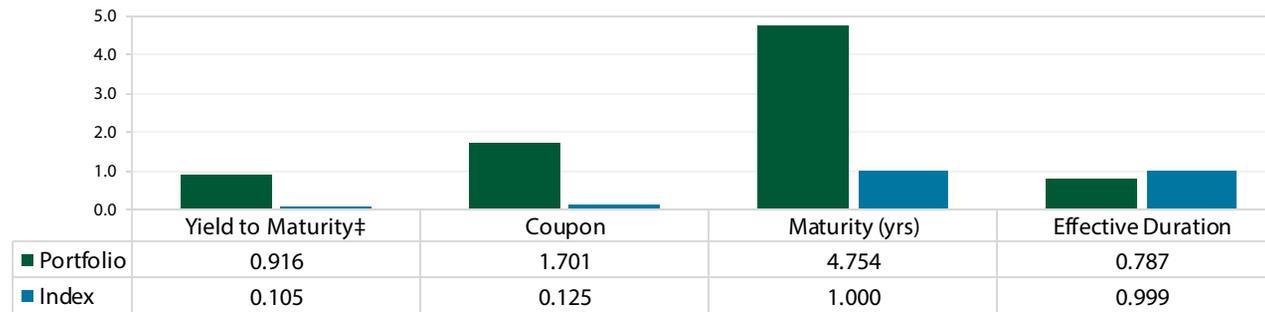


2198mb Racine County Intermediate Portfolio versus ICE BofAML 1-3 Year Govt/Corp AA+ Index as of September 30, 2021 †

| Maturity (Years) | | | Effective Duration | | | Coupon | | |
|------------------|-----------|-------|--------------------|-----------|-------|-------------|-----------|-------|
| | Portfolio | Index | | Portfolio | Index | | Portfolio | Index |
| CASH | 0.8% | - | CASH | 0.8% | - | CASH | 0.8% | - |
| <0.00 | - | - | <0.00 | - | - | <0.00 | - | - |
| 0.00 - 0.99 | 7.9% | - | 0.00 - 0.99 | 13.5% | 2.9% | 0.00 - 0.99 | 18.8% | 43.8% |
| 1.00 - 1.99 | 34.8% | 55.7% | 1.00 - 1.99 | 38.6% | 56.0% | 1.00 - 1.99 | 0.8% | 19.6% |
| 2.00 - 2.99 | 41.9% | 44.3% | 2.00 - 2.99 | 36.4% | 41.1% | 2.00 - 2.99 | 45.6% | 34.1% |
| 3.00 - 3.99 | 14.6% | - | 3.00 - 3.99 | 10.8% | - | 3.00 - 3.99 | 31.4% | 1.4% |
| 4.00 - 4.99 | 0.0% | - | 4.00 - 4.99 | 0.0% | - | 4.00 - 4.99 | 2.7% | 0.1% |
| 5.00 - 6.99 | - | - | 5.00 - 5.99 | - | - | 5.00 - 5.99 | - | 0.1% |
| 7.00 - 9.99 | - | - | 6.00 - 6.99 | - | - | 6.00 - 6.99 | - | 0.5% |
| 10.00 - 14.99 | - | - | 7.00 - 7.99 | - | - | 7.00 - 7.99 | - | 0.5% |
| 15.00 - 19.99 | - | - | 8.00 - 8.99 | - | - | 8.00 - 8.99 | - | - |
| 20.00 - 24.99 | - | - | 9.00 - 9.99 | - | - | 9.00 - 9.99 | - | - |
| 25.00+ | - | - | 10.00+ | - | - | 10.00+ | - | - |

- The overall duration is similar as that of the benchmark
- The Racine County Intermediate portfolio has a higher yield and coupon than the benchmark
- Corporate sector overweight was a huge contributor to the overall performance during the quarter

2198ma Racine County Limited Volatility Portfolio versus ICE BofAML 1 Year Treasury Index as of September 30, 2021 †



2198mc Racine County American Rescue Funds Portfolio versus ICE BofAML 1 Year Treasury Index as of September 30, 2021



2198mb Racine County Intermediate Portfolio versus ICE BofAML 1-3 Year Govt/Corp AA+ Index as of September 30, 2021 †

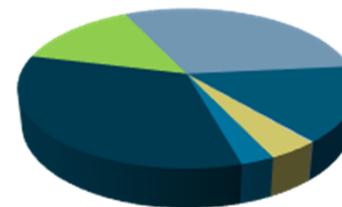
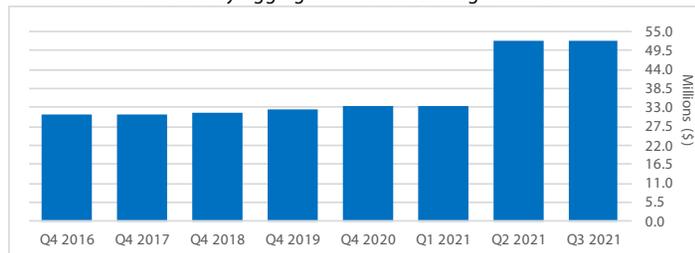


Account Activity 12/31/2020 to 09/30/2021

| | Market | Cost |
|--------------------------------------|---------------------|---------------------|
| Portfolio Value on 12/31/2020 | \$33,368,635 | \$33,291,176 |
| Contributions/Withdrawals | 19,057,969 | 19,057,969 |
| Investment Income | 541,088 | 541,088 |
| Unrealized Gain/Loss | -208,605 | 0 |
| Realized Gain/Loss | -243,779 | -302,678 |
| Change in Accrued Income | 5,233 | 5,233 |
| Portfolio Value on 09/30/2021 | \$52,520,540 | \$52,592,787 |
| Total Gain after fees | 42,083 | 191,789 |

| Portfolio Allocation at Market Data as of 09/30/2021 | Market Value | % Assets | Income |
|---|---------------------|--------------|------------------|
| Short Term Investments | 2,100,845 | 4.0 | 158 |
| Treasury Bonds | 1,431,310 | 2.7 | 31,050 |
| Agency Bonds | 17,419,827 | 33.2 | 98,040 |
| Small Business Administration Bonds | 7,401,873 | 14.1 | 131,015 |
| Mortgage Bonds | 16,061,058 | 30.6 | 372,195 |
| Corporate Bonds | 8,004,861 | 15.2 | 206,422 |
| Supranationals | 100,766 | 0.2 | 1,625 |
| Total Portfolio | \$52,520,540 | 100.0 | \$840,504 |

Racine County Aggregate Portfolio Ending Market Values



- Short Term Investments
- Treasury Bonds
- Agency Bonds
- Small Business Administration Bonds
- Mortgage Bonds
- Corporate Bonds
- Supranationals

Data Through September 30, 2021

Racine County Total Returns are Net of Fees and Annualized (unless otherwise noted)

| | Bond Characteristics | | Unannualized Total Return @ Market | | | Average Annual Since Inception | | |
|--|----------------------|---------------------|------------------------------------|--------------|----------------|--------------------------------|---------------------|----------------|
| | Book Yield | Yield to Maturity†‡ | Q3 2021 | YTD | Last 12 Months | Total Return @ Market | Total Return @ Cost | Yield |
| 2198m - Racine County | 0.99 | 0.71 | 0.00 | 0.15 | 0.15 | 1.05 | 1.09 | 1.39 |
| 2198ma - Limited Volatility | 1.21 | 0.92 | -0.02 | 0.57 | 0.46 | 1.01 | 1.02 | - |
| 2198mc - ARF Limited Volatility³ | 1.14 | 0.68 | -0.01 | - | - | -0.34 | 0.04 | - |
| 2198mb - Intermediate | 0.67 | 0.41 | 0.05 | -0.10 | 0.08 | 1.25 | 1.22 | - |
| ICE BofA ML 1 Year Treasury Note | n/a | 0.11 | 0.02 | 0.11 | 0.16 | 0.89 | | n/a |
| ICE BofA ML US Gov/Corp 1-3 Year AA or Better | n/a | 0.29 | 0.06 | -0.00 | 0.07 | 1.24 | | n/a |
| Wisconsin LGIP | n/a | 0.05 | 0.01 | 0.04 | 0.07 | 0.64 | | 0.64 |
| Racine County \$ over LGIP (Cumulative Return)¹ | | | | | | 1,414,817 | | |
| Racine County \$ over LGIP (Average Annual Yield)² | | | | | | | | 241,824 |

The Economic Rebound

- Econ indicators showing mixed tone
 - GDP estimates for Q3 on negative revision trend
 - GDP: Q1 6.3%, Q2 6.7%, Q3 estimates 2.3% (*GDPNow*) or 4.25% (*Goldman Sachs*)
 - ISM¹ Manufacturing 61.1 Sep, 59.9 Aug – still very strong
 - Industrial Production still at highest levels since 2010
 - Everyone is hiring, ports are still backed up and containers are scarce
- 10 year treasury yield approaches 1.50%

Macro at the Margin

- Fed is debating tapering, not if but when
 - Tapering is a form of tightening
 - Meanwhile the balance sheet still grows
- Inflation, stagflation becoming larger debate
- We know Q3 is a Delta/supply chain lull, question is Q4 and 2022

Rotations Not Corrections

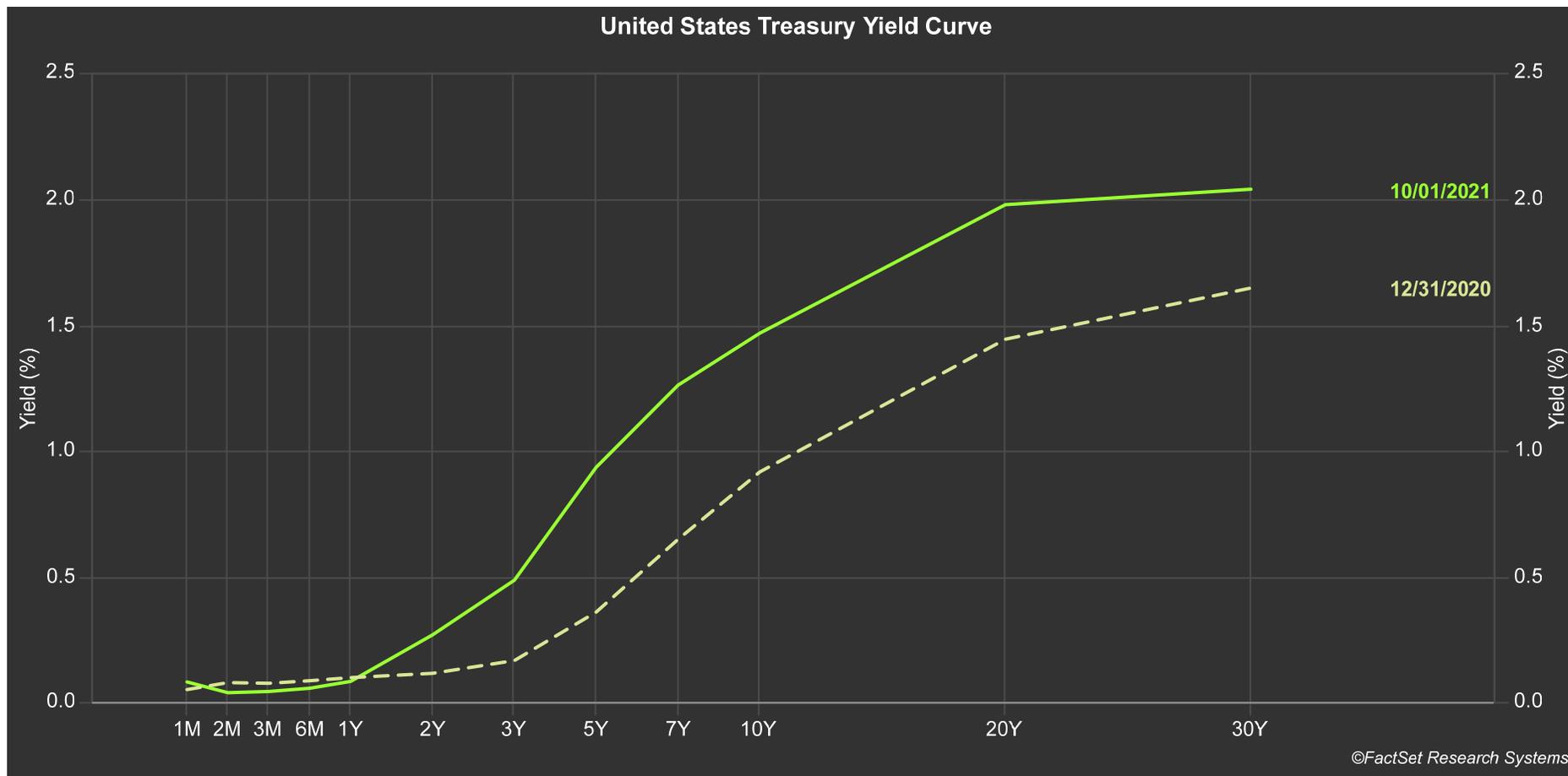
- Small cap surged post-November 9th, lagged since March
- Growth stocks trade off on higher yields, but still leading
- Value vs Growth dependent on GDP and interest rates

COVID Path Forward

- Delta maintained high death rates, but Gottlieb believes trend is improving, may have peaked
- FDA may grant Merck EUA for therapeutic new drug

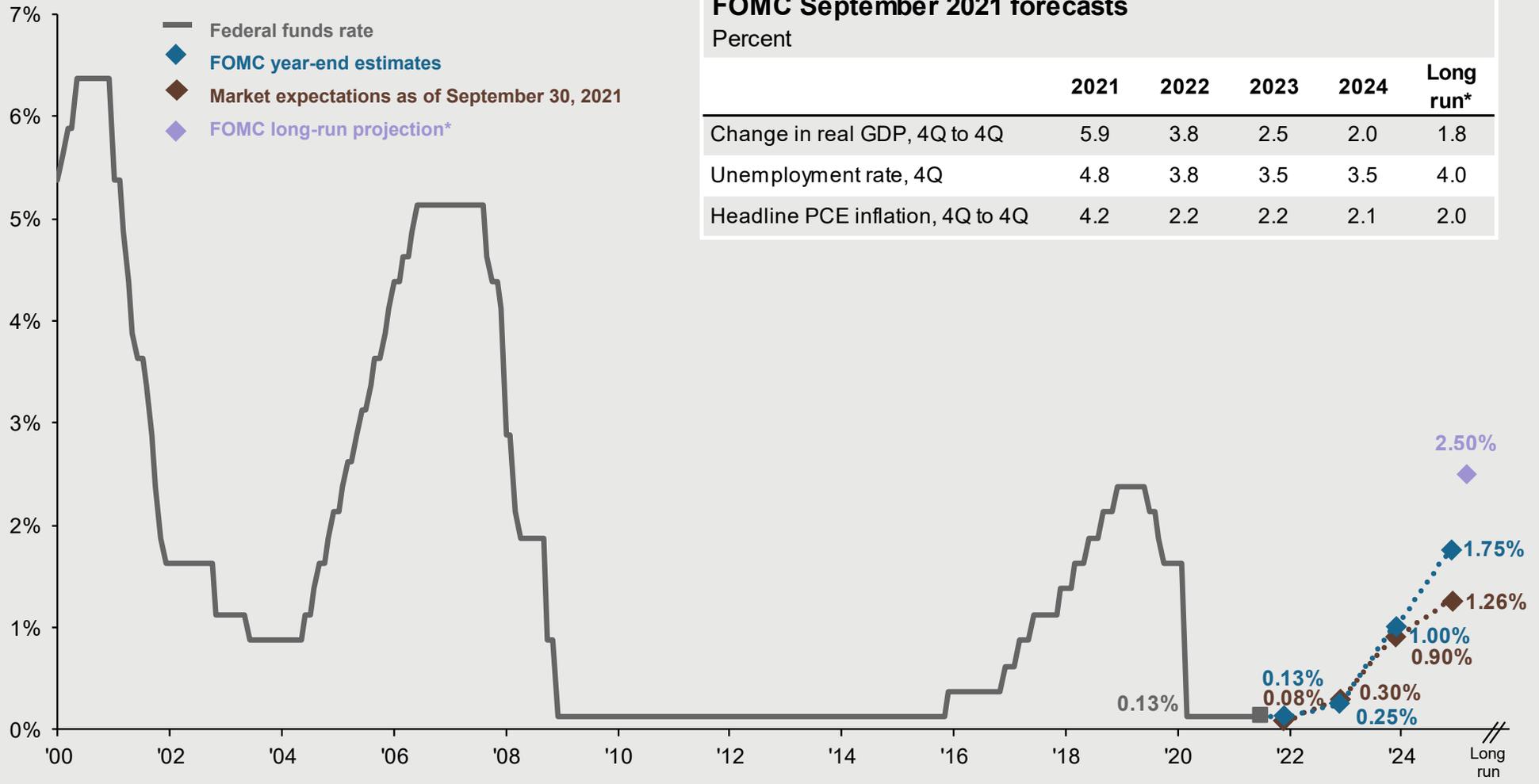
The Wall of Worry is Taking Shape

- Policy Risks:
 - Fed Level -- taper tantrum, credit spreads, impact of higher rates
 - Fiscal Level: debt ceiling, infrastructure, debt spending, higher taxes
- Growth Risks :
 - Business Level: Supply chains, commodity prices and wage growth
 - Consumer Level: higher goods prices, crude and gas impact on demand, reopening delays, confidence decline
- Simultaneous fiscal and monetary tightening could result

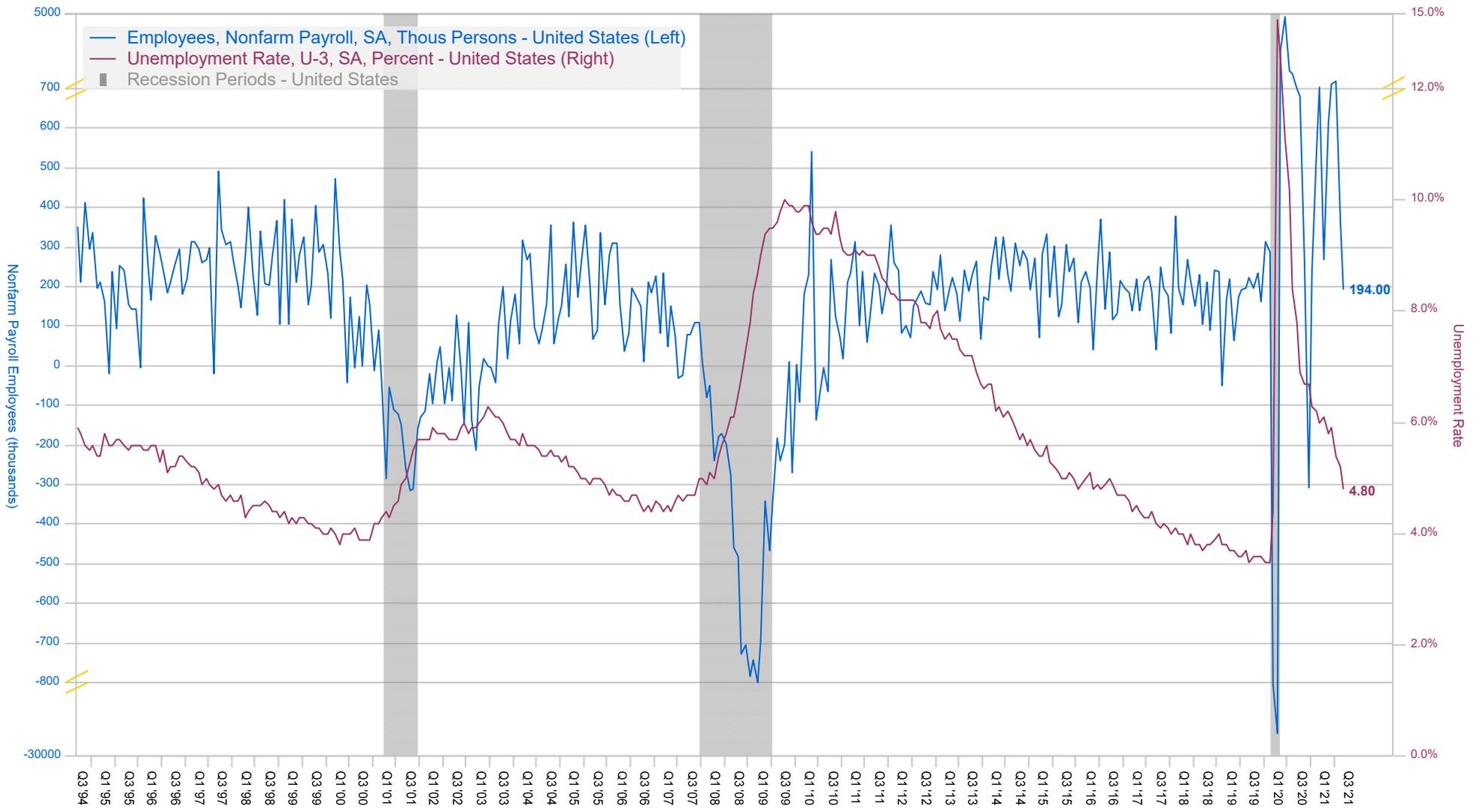


Federal funds rate expectations

FOMC and market expectations for the federal funds rate



U.S. Change in Nonfarm Employment (thous) and Unemployment Rate (%): 9/30/1994 through 9/30/2021

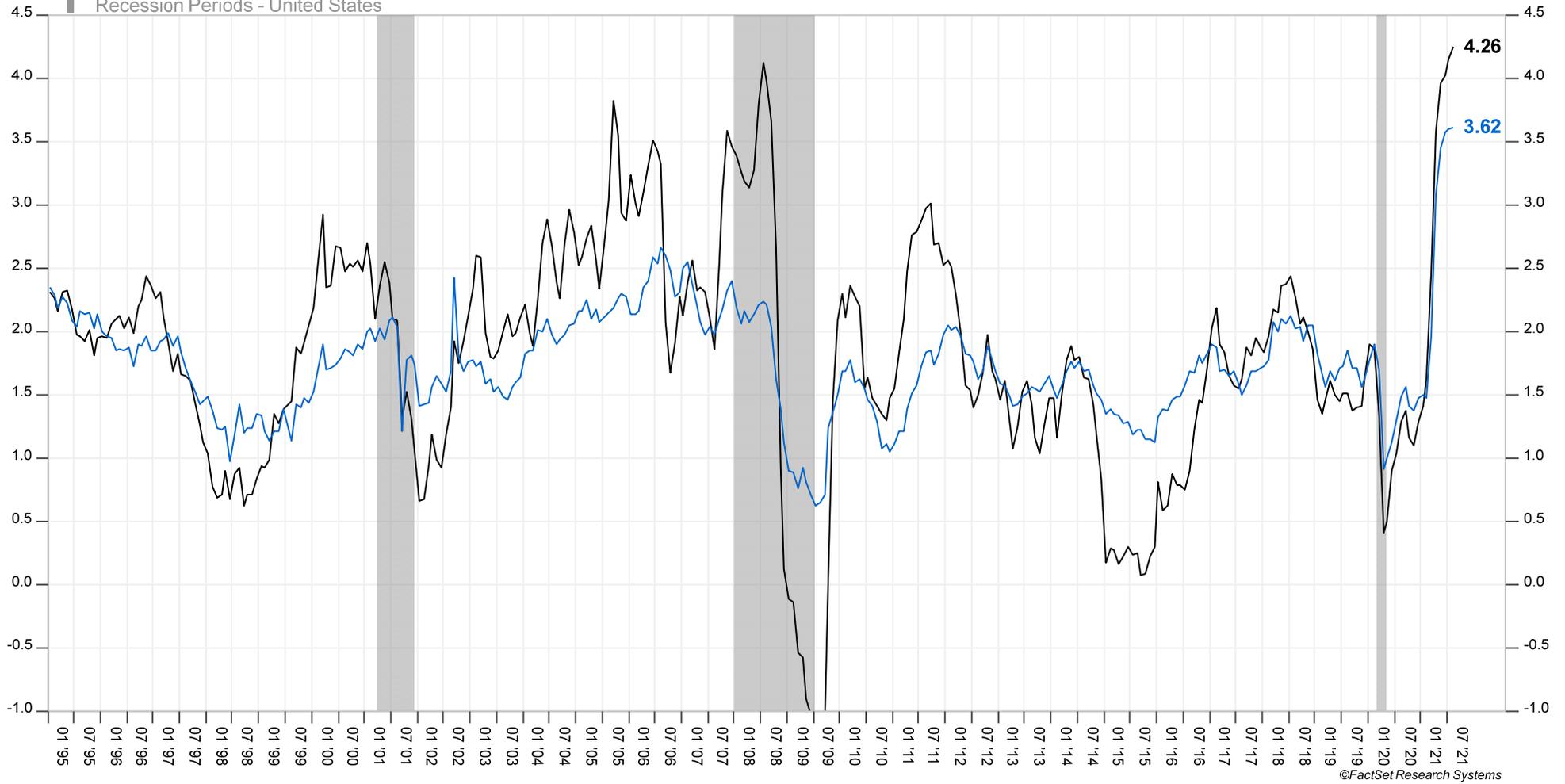


©FactSet Research Systems

Inflation: Personal Consumption Expenditure (PCE) Index

1/31/1995 Through 8/31/2021

- PCE, Price Index, 2009=100, SA, Index - U.S. (8/31/2021)
- PCE, Excl. Food And Energy, Price Index, 2009=100, SA, Index - U.S. (8/31/2021)
- Recession Periods - United States



©FactSet Research Systems

10/11/2021 JLKG

Personal Consumption Expenditures (PCE), or the PCE price index, is a statistic compiled and released quarterly by the U.S. Bureau of Economic Analysis (BEA) <http://bea.gov/> that synthesizes a host of data, chief among them the U.S. Producer and Consumer Price indices. The PCE price index measures the price fluctuations and related consumer behavior for all domestic consumption of durable and non-durable goods and services targeted toward individuals and households. The PCE "core index", however, excludes the more volatile components of food and energy. Personal consumption is divided into two key categories: goods and services. The category of "goods" is further broken down into "durable" goods, which are big-ticket items (refrigerators, television sets, cars, mobile phones, etc.) that will last more than three years, and "non-durable" goods that are more transitory (e.g., cosmetics, fuel, clothing, etc.).

www.DanaInvestment.com

PCE not only measures underlying inflationary pressures, it also reflects whether the consumer is doing his or her part to propel economic growth. Because three-fourths of Gross Domestic Product (GDP) is consumer spending, the PCE report is a useful tool for investors to analyze the overall state and direction of the economy. Definition Source: <https://www.merriam-webster.com/dictionary/pce>. Update Schedule: <https://www.bea.gov/data/personal-consumption-expenditures-price-index>.

Next Release 10/29/2021. FactSet graph refreshed with most recent data on 10/03/2021.

Dana Investment Advisors, Inc.
PORTFOLIO HOLDINGS



Report as of: 09/30/2021

Portfolio: 2198ma - Racine County - Limited Volatility

| Shares/ PAR | Identifier | Description | Price | Market Value | Pct. Assets | Income Accrued | Cur. Yield |
|-------------------------------------|------------|---|--------|---------------------|--------------|-----------------|------------|
| Cash | | | | | | | |
| Short Term Investments | | | | | | | |
| | 000009 | Cash - Money Fund | | 941,793.91 | 4.44 | .00 | .01 |
| Total Short Term Investments | | | | 941,793.91 | 4.44 | .00 | .01 |
| Bonds | | | | | | | |
| Agency Bonds | | | | | | | |
| 800,000 | 3134GVGQ5 | FREDDIE MAC 0.19% Due 12/10/2021 | 100.04 | 800,352.00 | 3.77 | 84.44 | .19 |
| 1,000,000 | 3133EMLT7 | FEDERAL FARM CREDIT BANK 0.21% Due 12/28/2023 | 99.78 | 997,760.00 | 4.70 | 536.67 | .21 |
| 1,000,000 | 3130ANTP5 | FEDERAL HOME LOAN BANK 0.5% Due 09/16/2024 | 99.96 | 999,550.00 | 4.71 | 194.44 | .50 |
| 1,000,000 | 3133EMYD8 | FEDERAL FARM CREDIT BANK 0.85% Due 11/03/2025 | 99.68 | 996,760.00 | 4.70 | 3,470.83 | .85 |
| Total Agency Bonds | | | | 3,794,422.00 | 17.89 | 4,286.38 | .45 |
| Mortgage Bonds | | | | | | | |
| 3,131.91 | 3128HD2K4 | FH 847078 2.357% Due 04/01/2029 | 100.53 | 3,148.59 | .01 | 13.58 | 2.34 |
| 65,363.13 | 36225CZ82 | G2 80766 2.125% Due 11/20/2033 | 103.80 | 67,843.86 | .32 | 111.89 | 2.05 |
| 47,924.05 | 36225DAS3 | G2 80916 0% Due 05/20/2034 | 103.77 | 49,730.79 | .23 | 72.39 | .00 |
| 55,662.22 | 36225DDY7 | G2 81018 0% Due 08/20/2034 | 104.09 | 57,938.63 | .27 | 100.89 | .00 |
| 109,068.89 | 36225DKE3 | G2 81192 2.125% Due 10/20/2034 | 101.75 | 110,978.90 | .52 | 186.70 | 2.09 |
| 94,207.47 | 36225DLD4 | G2 81223 2% Due 01/20/2035 | 103.96 | 97,937.33 | .46 | 151.78 | 1.92 |
| 36,652.01 | 31407PJH2 | FN 836464 2.099% Due 10/01/2035 | 104.83 | 38,420.88 | .18 | 61.97 | 2.00 |
| 31,251.50 | 31412V7B8 | FN 936590 1.306% Due 04/01/2037 | 102.19 | 31,935.00 | .15 | 32.88 | 1.28 |
| 145,390.36 | 31385XG92 | FN 555624 2.268% Due 03/01/2038 | 102.99 | 149,739.57 | .71 | 265.63 | 2.20 |
| 30,388.10 | 36225E2F8 | G2 82573 2.25% Due 07/20/2040 | 104.60 | 31,785.34 | .15 | 55.08 | 2.15 |
| 74,287.99 | 31347AQP2 | FH 840462 2.085% Due 01/01/2042 | 105.58 | 78,430.36 | .37 | 263.23 | 1.97 |
| 31,975.42 | 3138EHYX4 | FN AL1625 2.165% Due 04/01/2042 | 105.09 | 33,602.85 | .16 | 55.77 | 2.06 |
| 52,710.19 | 3138EH6J6 | FN AL1772 2.033% Due 04/01/2042 | 104.57 | 55,118.68 | .26 | 86.32 | 1.94 |
| 41,435.39 | 36179MDD9 | G2 MA0100 1.875% Due 05/20/2042 | 104.65 | 43,362.38 | .20 | 62.58 | 1.79 |
| 113,891.00 | 3140J7UU7 | FN BM3294 2.162% Due 06/01/2042 | 105.51 | 120,168.67 | .57 | 198.35 | 2.05 |
| 18,684.56 | 31300MXB4 | FH 849674 1.971% Due 11/01/2042 | 104.16 | 19,462.37 | .09 | 64.52 | 1.89 |
| 118,048.64 | 31347AFT6 | FH 840178 2.142% Due 12/01/2042 | 104.56 | 123,425.87 | .58 | 422.08 | 2.05 |
| 27,321.73 | 31300MPF4 | FH 849422 2.105% Due 02/01/2043 | 104.74 | 28,617.00 | .13 | 94.50 | 2.01 |
| 61,843.31 | 3138EQDW9 | FN AL7316 2.132% Due 02/01/2043 | 105.00 | 64,933.62 | .31 | 106.21 | 2.03 |
| 35,625.55 | 3138EK2Y0 | FN AL3490 1.865% Due 05/01/2043 | 104.56 | 37,250.04 | .18 | 53.52 | 1.78 |
| 50,370.24 | 31347AFZ2 | FH 840184 2.14% Due 06/01/2043 | 105.39 | 53,086.91 | .25 | 181.08 | 2.03 |
| 37,182.99 | 31347AJ88 | FH 840287 2.107% Due 06/01/2043 | 104.82 | 38,976.07 | .18 | 131.09 | 2.01 |
| 58,986.83 | 31347AJT2 | FH 840274 2.118% Due 07/01/2043 | 105.40 | 62,172.70 | .29 | 211.76 | 2.01 |
| 60,399.01 | 3138XMRB8 | FN AV9481 1.596% Due 07/01/2043 | 102.47 | 61,893.04 | .29 | 77.65 | 1.56 |
| 28,097.98 | 36179NJU3 | G2 MA1175 2.25% Due 07/20/2043 | 103.65 | 29,124.08 | .14 | 50.93 | 2.17 |
| 189,865.29 | 3140FCAP3 | FN BD4513 2.082% Due 03/01/2044 | 104.69 | 198,769.02 | .94 | 318.44 | 1.99 |
| 104,578.68 | 3140J8D83 | FN BM3726 2.166% Due 05/01/2044 | 105.10 | 109,912.72 | .52 | 182.47 | 2.06 |
| 28,255.10 | 3138ET2R6 | FN AL8883 1.917% Due 07/01/2044 | 104.24 | 29,453.57 | .14 | 43.63 | 1.84 |
| 30,900.77 | 3138ERE71 | FN AL9157 1.92% Due 07/01/2044 | 104.89 | 32,412.59 | .15 | 47.79 | 1.83 |
| 155,430.56 | 31347AR71 | FH 840510 2% Due 12/01/2044 | 104.08 | 161,771.82 | .76 | 520.47 | 1.92 |
| 66,550.66 | 31347ATG9 | FH 840551 1.987% Due 03/01/2045 | 104.61 | 69,619.31 | .33 | 225.07 | 1.90 |
| 124,104.13 | 31347A2T0 | FH 840786 1.944% Due 03/01/2045 | 104.26 | 129,385.63 | .61 | 396.79 | 1.86 |
| 352,226.60 | 31288QFT2 | FH 841078 2.881% Due 04/01/2045 | 103.32 | 363,932.15 | 1.72 | 1,722.23 | 2.79 |
| 24,192.19 | 3138EQEH1 | FN AL7335 1.904% Due 04/01/2045 | 103.08 | 24,937.41 | .12 | 37.11 | 1.85 |
| 55,186.74 | 3140J7PW9 | FN BM3136 1.915% Due 04/01/2045 | 104.29 | 57,552.76 | .27 | 85.13 | 1.84 |
| 127,553.14 | 31347A4F8 | FH 840822 1.939% Due 07/01/2045 | 104.18 | 132,879.37 | .63 | 411.35 | 1.86 |
| 233,496.32 | 3140J8HL0 | FN BM3834 1.897% Due 08/01/2045 | 103.96 | 242,753.75 | 1.14 | 356.81 | 1.82 |
| 383,204.02 | 31288QG38 | FH 841118 2.611% Due 05/01/2046 | 104.23 | 399,408.18 | 1.88 | 1,679.28 | 2.51 |
| 231,164.34 | 31347A5Z3 | FH 840864 2.009% Due 11/01/2046 | 104.48 | 241,530.90 | 1.14 | 771.13 | 1.92 |
| 191,824.40 | 31288QA83 | FH 840931 2.026% Due 11/01/2046 | 105.02 | 201,452.64 | .95 | 645.66 | 1.93 |
| 169,768.64 | 31288QBM1 | FH 840944 1.943% Due 11/01/2046 | 104.86 | 178,025.00 | .84 | 567.89 | 1.85 |

Dana Investment Advisors, Inc.
PORTFOLIO HOLDINGS



Report as of: 09/30/2021

Portfolio: 2198ma - Racine County - Limited Volatility

| Shares/ PAR | Identifier | Description | Price | Market Value | Pct. Assets | Income Accrued | Cur. Yield |
|--|------------|----------------------------------|--------|----------------------|--------------|------------------|-------------|
| 173,336.25 | 31288QCN8 | FH 840977 1.864% Due 02/01/2047 | 103.98 | 180,227.58 | .85 | 537.35 | 1.79 |
| 438,687.40 | 31288QE22 | FH 841053 1.881% Due 02/01/2047 | 103.96 | 456,076.53 | 2.15 | 1,388.36 | 1.81 |
| 358,716.60 | 3140JAMT2 | FN BM5769 2.031% Due 04/01/2047 | 104.33 | 374,256.56 | 1.76 | 586.89 | 1.95 |
| 406,511.95 | 3140JA3Y2 | FN BM6214 2.574% Due 04/01/2047 | 103.45 | 420,549.21 | 1.98 | 842.90 | 2.49 |
| 511,861.55 | 3140JBD94 | FN BM6427 2.69% Due 07/01/2047 | 104.57 | 535,262.32 | 2.52 | 1,109.18 | 2.57 |
| 579,870.70 | 31288QFZ8 | FH 841084 2.479% Due 08/01/2047 | 103.40 | 599,558.47 | 2.83 | 2,411.63 | 2.40 |
| 443,929.99 | 31288QF21 | FH 841085 2.58% Due 09/01/2047 | 104.24 | 462,772.15 | 2.18 | 1,917.83 | 2.47 |
| 558,853.46 | 31288QHK9 | FH 841134 2.535% Due 12/01/2047 | 104.43 | 583,626.88 | 2.75 | 2,409.91 | 2.43 |
| 484,304.06 | 3140JBB39 | FN BM6357 2.657% Due 12/01/2047 | 103.79 | 502,641.26 | 2.37 | 1,036.59 | 2.56 |
| 460,661.01 | 3140JBCW4 | FN BM6384 2.259% Due 12/01/2047 | 104.04 | 479,276.78 | 2.26 | 838.29 | 2.17 |
| 232,294.58 | 3140JAWS3 | FN BM6056 2.682% Due 09/01/2048 | 104.50 | 242,753.41 | 1.14 | 501.87 | 2.57 |
| 328,526.04 | 3140JA3M8 | FN BM6203 3.148% Due 01/01/2049 | 104.97 | 344,869.88 | 1.63 | 833.11 | 3.00 |
| 332,850.06 | 3622AAGQ1 | G2 784807 1.981% Due 08/20/2049 | 103.53 | 344,602.00 | 1.62 | 531.16 | 1.91 |
| 575,408.04 | 3140JBFP6 | FN BM6473 3.164% Due 09/01/2049 | 104.30 | 600,160.37 | 2.83 | 1,466.59 | 3.03 |
| 715,816.70 | 31288QLR9 | FH 841236 2.615% Due 05/01/2050 | 103.57 | 741,350.60 | 3.50 | 3,159.81 | 2.52 |
| Total Mortgage Bonds | | | | 10,930,864.35 | 51.54 | 30,694.60 | 2.27 |
| Small Business Administration Bonds | | | | | | | |
| 2,855.74 | 83164LDW8 | SBA 509117 2.575% Due 08/25/2022 | 99.68 | 2,846.71 | .01 | 12.66 | 2.58 |
| 14,757.34 | 83164KNU3 | SBA 508503 2.575% Due 12/25/2024 | 100.08 | 14,769.13 | .07 | 81.15 | 2.57 |
| 302,870.79 | 83164MFL8 | SBA 510071 2.625% Due 12/25/2027 | 102.22 | 309,597.55 | 1.46 | 1,336.62 | 2.57 |
| 216,199.00 | 83164JER3 | SBA 507344 1.73% Due 05/25/2030 | 102.34 | 221,263.89 | 1.04 | 615.79 | 1.69 |
| 111,053.91 | 83164JNC6 | SBA 507587 1.75% Due 03/25/2031 | 102.26 | 113,559.07 | .54 | 319.83 | 1.71 |
| 74,006.71 | 83164LEJ6 | SBA 509137 1.881% Due 05/25/2033 | 101.21 | 74,900.26 | .35 | 228.89 | 1.86 |
| 536,462.40 | 83164MMX4 | SBA 510274 2% Due 03/25/2037 | 102.08 | 547,626.72 | 2.58 | 1,925.65 | 1.96 |
| 106,340.89 | 83164LG70 | SBA 509222 2.075% Due 08/25/2037 | 100.65 | 107,031.26 | .50 | 374.22 | 2.06 |
| 115,495.66 | 83164LMP3 | SBA 509366 1.75% Due 05/25/2038 | 104.07 | 120,193.09 | .57 | 331.98 | 1.68 |
| 166,360.16 | 83164LSA0 | SBA 509513 1.75% Due 06/25/2039 | 101.43 | 168,731.79 | .80 | 520.94 | 1.73 |
| 98,124.11 | 83164LX63 | SBA 509701 1.5% Due 07/25/2040 | 101.33 | 99,424.45 | .47 | 249.45 | 1.48 |
| 525,240.00 | 83164MGV5 | SBA 510112 0.75% Due 12/25/2042 | 101.80 | 534,697.47 | 2.52 | 646.80 | .74 |
| 361,748.13 | 83164MF79 | SBA 510090 1.25% Due 01/25/2043 | 101.55 | 367,358.84 | 1.73 | 742.37 | 1.23 |
| 616,457.06 | 83164MNC9 | SBA 510287 2% Due 10/25/2043 | 103.96 | 640,861.36 | 3.02 | 2,023.71 | 1.92 |
| 782,773.49 | 83164M4X4 | SBA 510738 1.825% Due 10/25/2045 | 106.24 | 831,622.47 | 3.92 | 2,344.55 | 1.72 |
| 986,739.74 | 83164M6Y0 | SBA 510787 1.825% Due 04/25/2046 | 106.30 | 1,048,875.73 | 4.95 | 2,955.36 | 1.72 |
| Total Small Business Administration Bonds | | | | 5,203,359.79 | 24.53 | 14,709.97 | 1.69 |
| Total Bonds | | | | 19,928,646.14 | 93.96 | 49,690.95 | 1.77 |
| Total Portfolio | | | | 20,870,440.05 | | | |
| Paydown Receivable | | | | 339,758.22 | | | |
| Interest Accrued | | | | 49,690.95 | | | |
| Dividends Accrued | | | | 0.00 | | | |
| Total Portfolio with Accruals & Receivables | | | | 21,259,889.22 | | | |

Dana Investment Advisors, Inc.
PORTFOLIO HOLDINGS



Report as of: 09/30/2021

Portfolio: 2198mc - Racine County - American Rescue Funds - Limited Volatility

| Shares/ PAR | Identifier | Description | Price | Market Value | Pct. Assets | Income Accrued | Cur. Yield |
|--|------------|--|--------|----------------------|--------------|------------------|-------------|
| Cash | | | | | | | |
| Short Term Investments | | | | | | | |
| | 000009 | Cash - Money Fund | | 541,485.85 | 2.85 | .00 | .01 |
| Total Short Term Investments | | | | 541,485.85 | 2.85 | .00 | .01 |
| Bonds | | | | | | | |
| Agency Bonds | | | | | | | |
| 1,000,000 | 3130AMQD7 | FEDERAL HOME LOAN BANK 0.25% Due 12/08/2023 | 100.05 | 1,000,490.00 | 5.27 | 777.78 | .25 |
| 1,000,000 | 3130AMQR6 | FEDERAL HOME LOAN BANK 0.35% Due 06/07/2024 | 99.81 | 998,090.00 | 5.26 | 1,098.61 | .35 |
| 1,000,000 | 3130AMKZ4 | FEDERAL HOME LOAN BANK 0.4% Due 06/10/2024 | 99.72 | 997,190.00 | 5.26 | 1,222.22 | .40 |
| 1,000,000 | 3130AMSF0 | FEDERAL HOME LOAN BANK 0.4% Due 06/28/2024 | 99.84 | 998,360.00 | 5.26 | 1,022.22 | .40 |
| 1,000,000 | 3130AMWJ7 | FEDERAL HOME LOAN BANK 0.25% Due 06/28/2024 | 99.96 | 999,600.00 | 5.27 | 638.89 | .25 |
| 1,000,000 | 3130AMRKO | FEDERAL HOME LOAN BANK 0.5% Due 09/23/2024 | 99.91 | 999,080.00 | 5.27 | 1,347.22 | .50 |
| 1,000,000 | 3130AMRL8 | FEDERAL HOME LOAN BANK 0.55% Due 12/23/2024 | 99.96 | 999,560.00 | 5.27 | 1,481.94 | .55 |
| 1,000,000 | 3130AMW40 | FEDERAL HOME LOAN BANK 0.5% Due 12/30/2024 | 99.86 | 998,550.00 | 5.26 | 1,250.00 | .50 |
| 1,000,000 | 3130AMYT3 | FEDERAL HOME LOAN BANK 0.4% Due 12/30/2024 | 99.97 | 999,660.00 | 5.27 | 1,000.00 | .40 |
| 1,000,000 | 3130AMQU9 | FEDERAL HOME LOAN BANK 0.375% Due 06/16/2025 | 99.80 | 997,980.00 | 5.26 | 1,083.33 | .38 |
| 1,000,000 | 3130AMVX7 | FEDERAL HOME LOAN BANK 0.375% Due 06/23/2025 | 99.98 | 999,790.00 | 5.27 | 1,010.42 | .38 |
| Total Agency Bonds | | | | 10,988,350.00 | 57.92 | 11,932.63 | .40 |
| Mortgage Bonds | | | | | | | |
| 495,298.60 | 3136ASVY9 | FNA 2016-M6 A1 2.137% Due 05/25/2026 | 102.33 | 506,828.17 | 2.67 | 852.64 | 2.09 |
| 828,588.11 | 31288QK58 | FH 841216 2.34% Due 05/01/2046 | 104.26 | 863,891.76 | 4.55 | 3,295.94 | 2.24 |
| 694,018.78 | 31347A2D5 | FH 840772 2.55% Due 08/01/2047 | 103.49 | 718,237.95 | 3.79 | 2,943.41 | 2.46 |
| 841,179.84 | 31288QLH1 | FH 841228 2.708% Due 01/01/2048 | 103.74 | 872,667.72 | 4.60 | 3,812.90 | 2.61 |
| 785,856.18 | 3140JBLS3 | FN BM6636 2.656% Due 10/01/2048 | 103.63 | 814,348.97 | 4.29 | 1,681.38 | 2.56 |
| 392,077.60 | 31288QKX7 | FH 841210 2.484% Due 11/01/2049 | 104.30 | 408,926.35 | 2.16 | 1,695.86 | 2.38 |
| 865,617.95 | 31288QLR9 | FH 841236 2.615% Due 05/01/2050 | 103.57 | 896,495.41 | 4.73 | 3,821.08 | 2.52 |
| Total Mortgage Bonds | | | | 5,081,396.33 | 26.79 | 18,103.21 | 2.43 |
| Small Business Administration Bonds | | | | | | | |
| 744,475.39 | 83164NBP1 | SBA 510846 2.625% Due 08/25/2031 | 107.19 | 797,973.39 | 4.21 | 1,574.26 | 2.45 |
| 734,532.03 | 83164MS42 | SBA 510439 1.75% Due 07/25/2044 | 103.01 | 756,670.09 | 3.99 | 2,109.87 | 1.70 |
| 587,080.12 | 83164M4X4 | SBA 510738 1.825% Due 10/25/2045 | 106.24 | 623,716.85 | 3.29 | 1,758.41 | 1.72 |
| Total Small Business Administration Bonds | | | | 2,178,360.33 | 11.48 | 5,442.54 | 1.98 |
| Total Bonds | | | | 18,248,106.66 | 96.19 | 35,478.38 | 1.15 |
| Total Portfolio | | | | 18,789,592.51 | | | |
| Paydown Receivable | | | | 181,259.27 | | | |
| Interest Accrued | | | | 35,478.38 | | | |
| Dividends Accrued | | | | 0.00 | | | |
| Total Portfolio with Accruals & Receivables | | | | 19,006,330.16 | | | |

Dana Investment Advisors, Inc.

PORTFOLIO HOLDINGS



Report as of: 09/30/2021

Portfolio: 2198mb - Racine County - Intermediate

| Shares/ PAR | Identifier | Description | Price | Market Value | Pct. Assets | Income Accrued | Cur. Yield |
|--|------------|--|--------|----------------------|--------------|------------------|-------------|
| Cash | | | | | | | |
| Short Term Investments | | | | | | | |
| | 000009 | Cash - Money Fund | | 96,547.92 | .79 | .00 | .01 |
| Total Short Term Investments | | | | 96,547.92 | .79 | .00 | .01 |
| Bonds | | | | | | | |
| Agency Bonds | | | | | | | |
| 690,000 | 3137EAEQ8 | FREDDIE MAC 0.375% Due 04/20/2023 | 100.24 | 691,628.40 | 5.67 | 1,150.00 | .37 |
| 475,000 | 3137EAEN5 | FREDDIE MAC 2.75% Due 06/19/2023 | 104.27 | 495,301.50 | 4.06 | 3,664.76 | 2.64 |
| 690,000 | 3133EMCQ3 | FEDERAL FARM CREDIT BANK 0.28% Due 10/13/2023 | 100.02 | 690,131.10 | 5.65 | 896.23 | .28 |
| 690,000 | 880591ER9 | TENN VALLEY AUTHORITY 2.875% Due 09/15/2024 | 106.85 | 737,237.40 | 6.04 | 826.56 | 2.69 |
| Total Agency Bonds | | | | 2,614,298.40 | 21.42 | 6,537.55 | 1.43 |
| Corporate Bonds | | | | | | | |
| 425,000 | 717081DZ3 | PFIZER INC 2.2% Due 12/15/2021 | 100.42 | 426,772.25 | 3.50 | 2,727.08 | 2.19 |
| 425,000 | 12572QAE5 | CME GROUP INC 3% Due 09/15/2022 | 102.62 | 436,126.50 | 3.57 | 531.25 | 2.92 |
| 250,000 | 92826CAC6 | VISA INC 2.8% Due 12/14/2022 | 102.62 | 256,552.50 | 2.10 | 2,061.11 | 2.73 |
| 425,000 | 931142DU4 | WALMART INC 2.35% Due 12/15/2022 | 102.12 | 434,018.50 | 3.56 | 2,913.02 | 2.30 |
| 500,000 | 902674YF1 | UBS AG LONDON 0.375% Due 06/01/2023 | 99.91 | 499,560.00 | 4.09 | 619.79 | .38 |
| 425,000 | 595620AK1 | MIDAMERICAN ENERGY CO 3.7% Due 09/15/2023 | 105.25 | 447,299.75 | 3.67 | 655.21 | 3.52 |
| 425,000 | 822582BZ4 | SHELL INTERNATIONAL FIN 3.5% Due 11/13/2023 | 106.48 | 452,527.25 | 3.71 | 5,660.76 | 3.29 |
| 300,000 | 302154BK4 | EXPORT-IMPORT BANK KOREA 4% Due 01/14/2024 | 107.79 | 323,367.00 | 2.65 | 2,533.33 | 3.71 |
| 425,000 | 037833CG3 | APPLE INC 3% Due 02/09/2024 | 105.46 | 448,183.75 | 3.67 | 1,806.25 | 2.84 |
| 325,000 | 961214EC3 | WESTPAC BANKING CORP 3.3% Due 02/26/2024 | 106.58 | 346,381.75 | 2.84 | 1,012.92 | 3.10 |
| 425,000 | 166764BT6 | CHEVRON CORP 2.895% Due 03/03/2024 | 105.43 | 448,060.50 | 3.67 | 922.78 | 2.75 |
| 425,000 | 19416QEG1 | COLGATE-PALMOLIVE CO 3.25% Due 03/15/2024 | 106.81 | 453,938.25 | 3.72 | 575.52 | 3.04 |
| 300,000 | 09247XAL5 | BLACKROCK INC 3.5% Due 03/18/2024 | 107.15 | 321,441.00 | 2.63 | 350.00 | 3.27 |
| 425,000 | 30231GBC5 | EXXON MOBIL CORPORATION 2.019% Due 08/16/2024 | 103.88 | 441,477.25 | 3.62 | 1,048.76 | 1.94 |
| 425,000 | 22546QAP2 | CREDIT SUISSE NEW YORK 3.625% Due 09/09/2024 | 107.91 | 458,617.50 | 3.76 | 898.70 | 3.36 |
| 425,000 | 023135AN6 | AMAZON.COM INC 3.8% Due 12/05/2024 | 109.15 | 463,887.50 | 3.80 | 5,159.03 | 3.48 |
| 425,000 | 88579YBH3 | 3M COMPANY 2% Due 02/14/2025 | 103.48 | 439,768.75 | 3.60 | 1,086.11 | 1.93 |
| 425,000 | 29446MAD4 | EQUINOR ASA 2.875% Due 04/06/2025 | 106.11 | 450,963.25 | 3.70 | 5,905.73 | 2.71 |
| 425,000 | 02079KAH0 | ALPHABET INC - Sustainable Bond 0.45% Due 08/15/2025 | 98.64 | 419,211.50 | 3.44 | 239.06 | .46 |
| Total Corporate Bonds | | | | 7,968,154.75 | 65.29 | 36,706.41 | 2.59 |
| Supranationals | | | | | | | |
| 100,000 | 459058DY6 | INTL BK RECON & DEVELOP 1.625% Due 02/10/2022 | 100.54 | 100,540.50 | .82 | 225.69 | 1.62 |
| Total Supranationals | | | | 100,540.50 | .82 | 225.69 | 1.62 |
| Treasury Bonds | | | | | | | |
| 690,000 | 912828M80 | US TREASURY N/B 2% Due 11/30/2022 | 102.21 | 705,282.12 | 5.78 | 4,600.00 | 1.96 |
| 690,000 | 912828VS6 | US TREASURY N/B 2.5% Due 08/15/2023 | 104.24 | 719,271.18 | 5.89 | 2,156.25 | 2.40 |
| Total Treasury Bonds | | | | 1,424,553.30 | 11.67 | 6,756.25 | 2.18 |
| Total Bonds | | | | 12,107,546.95 | 99.21 | 50,225.90 | 2.28 |
| Total Portfolio | | | | 12,204,094.87 | | | |
| Paydown Receivable | | | | 0.00 | | | |
| Interest Accrued | | | | 50,225.90 | | | |
| Dividends Accrued | | | | 0.00 | | | |
| Total Portfolio with Accruals & Receivables | | | | 12,254,320.77 | | | |

Dana Investment Advisors, Inc. is an independent federally registered investment adviser providing equity and fixed income investment management services to a broad range of clients. All data is presented in U.S. Dollars. Portfolio Characteristics, Performance Report, Portfolio Holdings, and Sector Distributions reflect applicable investment holdings as of market close on the date indicated. Returns presented are exclusive of investment management and custodial fees, and net of transaction costs. Investment management fees would reduce the returns presented, for example: on a one-million dollar portfolio with an advisory fee of .75% earning a 10% return, the total compounded advisory fee over a five year period would be \$50,368. The resulting average annual return for the period would therefore be 9.17%. All returns were calculated on a time weighted total return basis. Performance does include the accrual of income and the reinvestment of dividends and interest received.

During various market cycles, the strategies discussed herein have demonstrated portfolio characteristics and returns that have been both more and less volatile than that of the comparable index. Indices shown were selected because they demonstrated a broad range of characteristics, some of these characteristics being deemed useful for limited comparison purposes only. Historical performance results for investment indices and/or categories have been provided for general comparison purposes only, and generally do not reflect the deduction of transaction and/or custodial charges, the deduction of an investment management fee, nor the impact of taxes, the incurrence of which would have the effect of decreasing historical performance results. It should not be assumed that your account holdings do or will correspond directly to any comparative indices.

While data contained herein was gathered from sources deemed reliable, the accuracy of the data presented cannot be guaranteed. Please remember that past performance may not be indicative of future results. Different types of investments involve varying degrees of risk, and there can be no assurance that the future performance of any specific investment or investment strategy made reference to directly or indirectly in this report, will be profitable, equal any corresponding indicated historical performance level(s), or will continue to be suitable for your portfolio. Due to various factors, including changing market conditions, the content of this report may no longer be reflective of current opinions, positions, investments or account allocations. Moreover, you should not assume that any discussion or information contained in this report serves as the receipt of, or as a substitute for, personalized investment advice from Dana Investment Advisors, Inc.

Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s). The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors, Inc. While the prices are obtained from sources we consider reliable, we cannot guarantee them.

Please remember to contact Dana Investment Advisors, Inc. at (800) 765-0157, or P.O. Box 1067 Brookfield, WI 53008 with any questions or if there are any changes in your personal financial situation or investment objectives for the purpose of reviewing, evaluating, and revising any previous recommendations or investment services. Please also advise Dana if you would like to impose, add, or modify any reasonable restrictions to your account. A copy of Dana's current Form ADV Brochure detailing a complete list of Dana's advisory services and fees continues to remain available for your review upon request.

Current List of Holdings: The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors. While the prices are obtained from sources we consider reliable, we cannot guarantee them. Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s).



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Brookfield, Wisconsin 53008-1067

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www.DanaInvestment.com

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|-------------|---|--------------------|
| YEAR | <u>2021</u> | | Resolution Request |
| | | | Ordinance Request |
| | | X | Report Request |

Requestor/Originator: Finance Department - Brian Nelson

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Finance Department - Brian Nelson
If a person is not in attendance the item may be held over.

Does the County Executive know of this request: No

If related to a position or position change, Does the Human Resources Director know of this request: _____

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 10/21/2021 Date of County Board Meeting to be Introduced: _____

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

2021 3rd Quater Racine County Accepted Donations.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.



JONATHAN DELAGRAVE

Office of the County Executive
730 Wisconsin Avenue
Racine, WI 53403
262-636-3273
fax: 262-636-3549
jonathan.delagrave@racinecounty.com

October 12, 2021

To: Robert Miller
Chairman, Finance and Human Resources Committee

From: Racine County Executive Jonathan Delagrave

Re: **2021 3rd Quarter Racine County Accepted Donations**

In compliance with Section 7-2c of the Racine County Code of Ordinances, I am hereby notifying you of those gifts and donations that I have accepted on behalf of Racine County between July 1 and September 30, 2021. Gifts of real estate are approved by the Board of Supervisors, and therefore not included on this list.

| Donor | Donor Program | Donation |
|-----------------------------------|----------------------------------|-----------|
| Kiwanis Club of West Racine | HSD-Foster Care | 300.00 |
| Mr. and Mrs. Aaron Munson | HSD-Foster Care | 100.00 |
| Emerson | HSD-Youth Summer Jobs Initiative | 2,000.00 |
| Dominion Properties | HSD-Youth Summer Jobs Initiative | 250.00 |
| Jody Spencer | HSD-Youth Summer Jobs Initiative | 100.00 |
| Ascension | HSD-Youth Summer Jobs Initiative | 10,000.00 |
| Stewart and Kristin Nagao | Racine County Veterans Services | 300.00 |
| American Legion Auxiliary Unit 79 | Racine County Veterans Services | 1,000.00 |
| Robert and Kathleen Hawkins | Racine County Veterans Services | 250.00 |
| Sue Sweetman | Sheriff's Office-K9 | 100.00 |
| Union Grove Youth Baseball | Sheriff's Office-K9 | 500.00 |
| Monica Bolinger | Sheriff's Office-K9 | 50.00 |
| Jeff Braun Realty LLC | Sheriff's Office-K9 | 100.00 |
| | | <hr/> |
| | | 15,050.00 |

I hope that you will join me in thanking all these donors, who have been so civic minded and generous.

Sincerely,

Jonathan Delagrave
County Executive

RESOLUTION NO.

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AUTHORIZING AMENDMENTS TO THE 2022 RACINE COUNTY EXECUTIVE BUDGET AND ADOPTING THE BUDGET AS AMENDED

To the Honorable Members of the Racine County Board of Supervisors:

BE IT RESOLVED by the Racine County Board of Supervisors that the 2022 Racine County Budget as set forth in Exhibit "A" which is attached hereto is authorized and approved.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the 2022 Racine County Budget which is incorporated herein by reference be and is hereby adopted. A copy of the 2022 Racine County Executive Budget shall be on file with the Racine County Clerk and available online at RacineCounty.com.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the capital projects as set forth in "CAPITAL PROJECTS" page 42 - 2 and pages 48 - 54 through 48 - 59 of the Racine County 2022 Budget are hereby authorized and approved provided that, any projects that are to be funded through the proceeds of a bond sale are contingent on the County Board authorizing the appropriate bond sale through the passage of appropriate authorizing resolution. The capital projects that are approved in this budget are non-lapsing capital projects and shall continue from year to year until completed or until unauthorized by the Racine County Board of Supervisors.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the added positions, eliminated positions, and reclassifications as shown in pages 48 – 5 through 48 - 25 of the Racine County 2022 Budget are authorized and approved.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the fees set forth on pages 48 - 30 through 48 - 53 of the Racine County 2022 Budget are authorized and approved.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the non-lapsing and/or revenue transfer accounts set forth on pages 48 - 30 through 48 - 29 of the Racine County 2022 Budget are authorized and approved.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the Racine County budget is adopted by the Racine County Board of Supervisors at the level stated on the Authorized Budget page.

The Levels of control on the Authorized Budget Page are:

Revenues:

Expenses:

Other Funding:

- Operating Transfers
- Proceeds from Bonds
- Use of Reserves

3
4 Human Services Department – The level of control on the Authorized Budget Page are
5 listed below.

6
7 The three levels of control are as follows:

- 8 Administration
- 9 Workforce & Supportive Services
- 10 Youth & Family

11
12
13 Listing of Target Groups/Target Populations that make up each level of control.

| | | | |
|----|----|-------|--------------------------------|
| 14 | | | |
| 15 | 1 | DD | Developmentally Disabled |
| 16 | 5 | DS | Delinquents & Status Offenders |
| 17 | 6 | AN | Abused and Neglected Children |
| 18 | 7 | CF | Children and Families |
| 19 | 9 | WS | Workforce & Supportive |
| 20 | 10 | ADMIN | Administration |
| 21 | 11 | RC | Racine County Enhancements |
| 22 | | | |

23
24 Capital Projects – The level of control on the Authorized Budget Page are at the
25 organization level.

26
27 Transfers between any of the specified budget level of control may be made by the
28 Board of Supervisors pursuant to Sec. 2-86 Racine County Code of Ordinances or by the
29 Finance and Human Resources Committee pursuant to Sec. 2-130 Racine County Code of
30 Ordinances.

31
32 For purposes of the annual financial report the General Fund and the Human Services
33 fund budgets are adopted at the function level and all other funds are adopted at the total fund
34 level.

35
36 The Racine County Budget also contains the Budget Detail Supplemental page, which
37 is the detail supporting the Authorized Budget Page and is intended only to be supplemental
38 information and not adopted by the Racine County Board of Supervisors. The Finance
39 Director is authorized to establish the accounts as set forth in the Budget Detail Supplemental
40 page. Transfers are allowed between the accounts established by the Finance Director and
41 the County Executive. A report on all such transfers shall be submitted to the Racine County
42 Finance and Human Resources Committee by the Finance Department on a quarterly basis.

43
44 **BE IT FURTHER RESOLVED** by the Racine County Board of Supervisors that the
45 Finance Director is authorized to make any technical corrections in the budget that are
46 deemed necessary.

1 Res No.
2 Page Three

Respectfully submitted,

3
4
5 1st Reading _____

**FINANCE AND HUMAN RESOURCES
COMMITTEE**

6
7 2nd Reading _____

8
9 **BOARD ACTION**

Robert N. Miller, Chairman

10 Adopted _____

11 For _____

Q.A. Shakoor, II, Vice-Chairman

12 Against _____

13 Absent _____

14
15 VOTE REQUIRED: Majority

Russell Clark, Secretary

16
17 Prepared by:
18 Corporation Counsel

Nicolas Demske

19
20
21 _____
John A. Wisch

22
23
24 _____
Thomas Pringle

25
26
27 _____
Donald J. Trottier

28
29 **The foregoing legislation adopted by the County Board of Supervisors of
30 Racine County, Wisconsin, is hereby:**

31 **Approved:** _____

32 **Vetoed:** _____

33
34 **Date:** _____,

35
36 _____
37 **Jonathan Delagrave, County Executive**

38
39 **INFORMATION ONLY**

40
41 **WHEREAS**, there is a need to adopt a budget for the year 2022.

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|------|---|--------------------|
| YEAR | 2021 | X | Resolution Request |
| | | | Ordinance Request |
| | | | Report Request |

Requestor/Originator: Finance Department - Brian Nelson

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) If a person is not in attendance the item may be held over. Brian Nelson

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? Yes

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 10/21/2021 Date of County Board Meeting to be Introduced: 10/21/2021

1st Reading: [X] 1st & 2nd Reading: [] *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee:

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Authorizing amendments to the 2022 Racine County Executive budget and adpoting the budget as amended

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

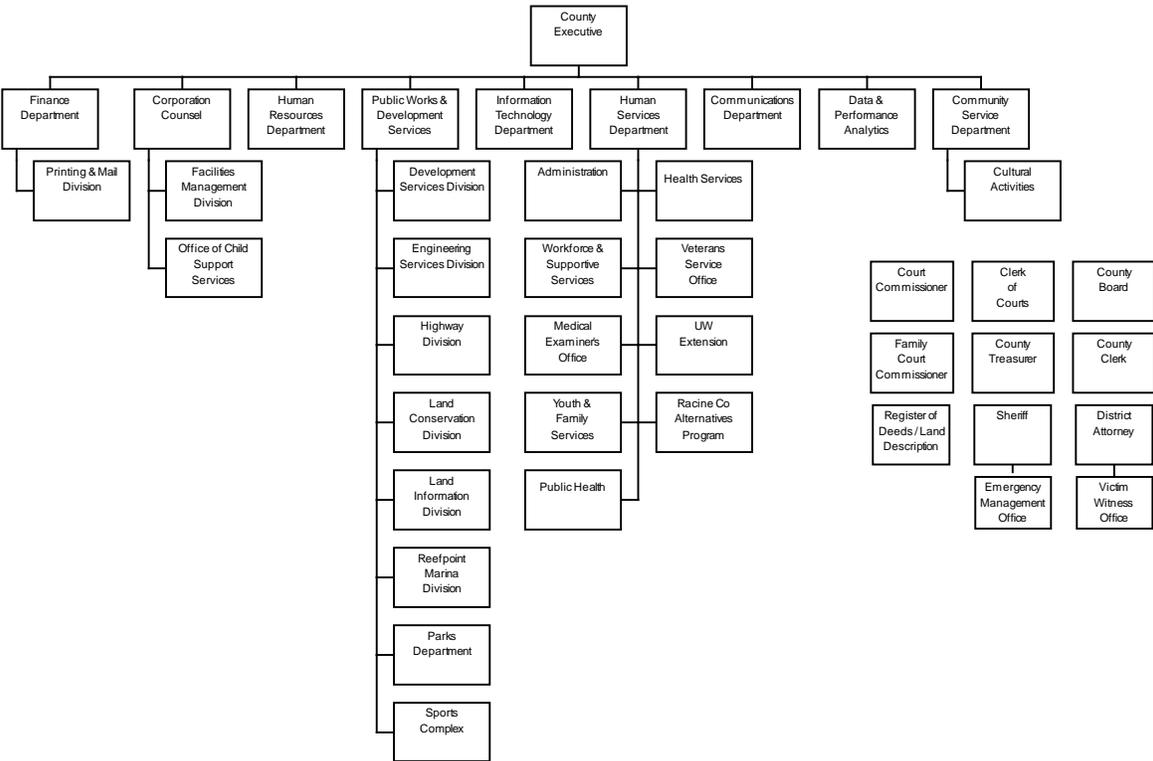
THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

ORDINANCE NO. 2021-66

ORDINANCE BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AMENDING THE RACINE COUNTY CODE OF ORDINANCES – CHAPTER 2, ARTICLE V, DIVISION 1 – GENERALLY, AND DIVISION 2 – DEPARTMENTS, FOR CHANGES IN THE 2021 BUDGET

To the Honorable Members of the Racine County Board of Supervisors:

The Racine County Board of Supervisors does ordain as follows:



Part 1

Section 2-256 of the Racine County Code of Ordinances relating to the organizational chart is hereby amended to read as follows:

(Ord. No. 2018-79, pt. 1, 11-5-18; Ord. No. 2019-81, pt. 1, 11-05-19)

Part 2

Section 2-266 of the Racine County Code of Ordinances relating to departments is hereby amended to read as follows:

3
4
5

Sec. 2-266. - Created.

6 The following departments are created for Racine County and shall be under the
7 direction and supervision of their respective department heads who shall be under the
8 direction and supervision of the county executive:

9

- 10 (1) The finance department which shall include the office of auditor, the
11 purchasing division and the printing and services division.
- 12 (2) The human resources department.
- 13 (3) The information technology department.
- 14 (4) The corporation counsel's office which shall include the office of Child Support
15 Services and Facilities Management.
- 16 (5) The public works and development services department which shall include
17 the parks division, the sports complex division, the highway division, the
18 Reefpoint Marina, the development services division, the land conservation
19 division, and the land information division.
- 20 (6) The human services department which shall include a youth and family
21 division, an adult services division, an economic support division, an operations
22 division, workforce development division, a fiscal division, public health division,
23 a veteran's service office, health service, jail alternatives, u. w. extension, and
24 office of medical examiner.
- 25 (7) The communications department.
- 26 (8) Data and performance analytics.

27 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2001-129, pt. 1, 11-6-01; Ord. No. 2003-74,
28 pt. 2, 8-12-03; Ord. No. 2003-158, §§ 2, 3, 12-9-03; Ord. No. 2004-126, pt. 2, 10-28-04;
29 Ord. No. 2007-11, pt. 3, 5-22-07; Ord. No. 2009-95, pt. 2, 12-8-09; Ord. No. 2010-87, pt.
30 1, 11-16-10; Ord. No. 2011-74, pt. 1, 11-15-11; Ord. No. 2012-107S, pt. 2, 2-12-13; Ord.
31 No. 2013-107, pt. 1, 12-10-13; Ord. No. 2018-79, pt. 2, 11-5-18; Ord. No. 2019-81, pt. 2,
32 11-05-19)

33 **Part 3**

34

35 Section 2-275 of the Racine County Code of Ordinances relating to Public works
36 and development services is hereby amended to read as follows:

37

38 **Sec. 2-275. – Public works and development services.**

39

40 There shall be a public works and development services department which shall
41 consist of a highway division, a parks division, a sports complex division, a development
42 services division, a land conservation division, and a land information division. The
43 public works and development services director shall be in charge of the public works
44 and development services department and shall be appointed by the county executive
45 and confirmed by the board of supervisors.

46

47

3

4 The public works and development services director shall be designated as the
5 highway commissioner pursuant to W.S.A., § 83.01, and as the general manager of the
6 park system pursuant to W.S.A., § 27.03.

7

8 The public works and development services department shall be the county zoning
9 agency for the purposes of W.S.A., § 59.69, and shall have all such powers, duties and
10 functions as set forth therein. The public works and development services department
11 shall be the "regional planning department" for the purposes of W.S.A., § 62.23(2), and
12 shall have all such powers, duties and functions as set forth therein. The public works
13 and development services department shall be the land information office for Racine
14 County and shall have such powers, duties and functions as set forth in W.S.A., §
15 59.72. The public works and development services director shall be the zoning
16 administrator under this Code. Additional powers, duties and functions of the public
17 works and development services department are set forth in chs. 18, 19 and 20 of this
18 Code. In lieu of electing a county surveyor, the duties of the county surveyor as set forth
19 in W.S.A., §§ 59.45(1) and 59.74(2), shall be performed by a registered surveyor
20 employed by the county and under the supervision of the public works and development
21 services director.

22

23 References elsewhere in this Code to the public works department or the planning
24 and development department, or to the public works director or the planning and
25 development director, shall mean the public works and development services
26 department, or the public works and development services director, respectively.

27

28 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2003-158, pt. 4, 12-9-03; Ord. No. 2011-74,
29 pt. 2, 11-15-11; Ord. No. 2019-81, pt. 3, 11-05-19)

30

31 **Part 4**

32

33 Section 2-276 of the Racine County Code of Ordinances relating to Human
34 Services is hereby amended to read as follows:

35

36 **Sec. 2-276. – Human services.**

37

38 There shall be a human services department which shall have the powers, duties
39 and functions as set forth in this section and W.S.A., ch. 46. The human services
40 department shall provide social services to people in the areas of mental illness
41 treatment; developmental disabilities services; income maintenance; alcohol and drug
42 abuse services; services to children, youth and delinquents, including the operation of a
43 secured detention facility; and long-term support services to the elderly, and physically
44 disabled population. To carry out the duties and responsibilities of W.S.A., ch. 46, the
45 human services department is composed of seven (7) divisions: youth and family; adult
46 services; economic support; operations; fiscal; workforce development and health

3
4 services. In addition, the human services department shall, as authorized by the board
5 of supervisors, administer federal and state funded employment and training programs
6 and public welfare programs and operate the workforce development center. The
7 human services director shall be in charge of the human services department and shall
8 be appointed by the county executive and confirmed by the board of supervisors. The
9 human services department shall include the veterans service office which shall report
10 to the workforce development division manager. The powers and duties of the veteran's
11 services officer shall include those set forth in W.S.A., ch. 45, and this Code. Pursuant
12 to W.S.A., ch.45, the veteran's services officer shall be appointed by the county
13 executive and confirmed by the board of supervisors. The human services department
14 shall include the office of medical examiner. The human services department shall
15 include the public health division. The human services department shall include health
16 services. The purpose of health services is to provide mental health and AODA
17 treatment to those in need of such services and is an outpatient clinic licensed by the
18 State of Wisconsin to participate in Medicare, Medicaid, private insurance programs,
19 and shall include jail alternatives.

20
21 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2003-158, pt. 5, 12-9-03; Ord. No. 2004-
22 126, pt. 3, 10-28-04; Ord. No. 2009-95, pt. 3, 12-8-09; Ord. No. 2012-107S, pt. 5, 2-12-
23 13; Ord. No. 2018-79, pt. 4, 11-5-18; Ord. No. 2019-81, pt. 4, 11-05-19)

24
25 **Part 5**

26
27 Section 2-282 of the Racine County Code of Ordinances relating to Corporation
28 Counsel is hereby amended to read as follows:

29
30 **Sec. 2-282. – Corporation Counsel.**

31
32 There shall be an office of corporation counsel that is created pursuant to W.S.A., §
33 59.42. The corporation counsel shall be appointed by the county executive and
34 confirmed by the Racine County board of supervisors. The county executive may
35 remove the corporation counsel with the concurrence of the board of supervisors. The
36 corporation counsel shall have the powers, duties and functions as set forth in W.S.A., §
37 59.42, which shall include giving legal counsel and opinions to the board of supervisors
38 and its committees, approving all contracts of the county which have heretofore required
39 the approval of the district attorney, and any duties required by state statute, county
40 ordinance or resolution or the county executive. The corporation counsel shall
41 prosecute actions to recover forfeitures for violations of county ordinances except for
42 those cases that are assigned to the district attorney. The corporation counsel shall
43 oversee the administration of child support services and facilities management.

44
45 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2007-11, pt. 2, 5-22-07; Ord. No. 2019-81,
46 pt. 5, 11-05-19)

3
4 **Part 6**

5
6 Section 2-284 of the Racine County Code of Ordinances is hereby amended to read
7 as follows:

8
9 **Sec. 2-284. – Data & performance analytics.**

10
11 There shall be a department of data and performance analytics which will utilize
12 data analytics tools to direct and administer metrics and accountability systems for
13 programming, performance, and process improvements. The department will collect,
14 interpret, and communicate meaningful patterns in available data to make evidence-
15 based decisions and work with various departments to ensure that implemented
16 solutions meet specifications and are delivered in a timely and cost-effective manner.

17
18 (Ord. No. 2010-87, pt. 2, 11-16-10)

19
20 **Part 7**

21
22 Section 2-285 – 2-295 of the Racine County Code of Ordinances is hereby
23 amended to read as follows:

24
25 **Sec. 2-285 – 2-295. - Reserved.**

26
27 (Ord. No. 2010-87, pt. 2, 11-16-10)

28
29
30 Respectfully submitted,

31
32 **FINANCE AND HUMAN RESOURCES**
33 **COMMITTEE**

34 1st Reading _____

35 2nd Reading _____

36 **BOARD ACTION**

37 Adopted _____

38 For _____

39 Against _____

40 Absent _____

Robert N. Miller, Chairman

Q.A. Shakoor, II, Vice-Chairman

41
42 **VOTE REQUIRED:** Majority

Rusty Russell Clark, Secretary

43
44 Prepared by:
45 Corporation Counsel

Nick Demske

1 Ord No. 2021-66
2 Page Six

3 _____
4 John A. Wisch

5 _____
6 Thomas Pringle

7 _____
8 Donald J. Trottier

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19
20
21

**The foregoing legislation adopted by the County Board of Supervisors of
Racine County, Wisconsin, is hereby:**

Approved: _____

Vetoed: _____

Date: _____,

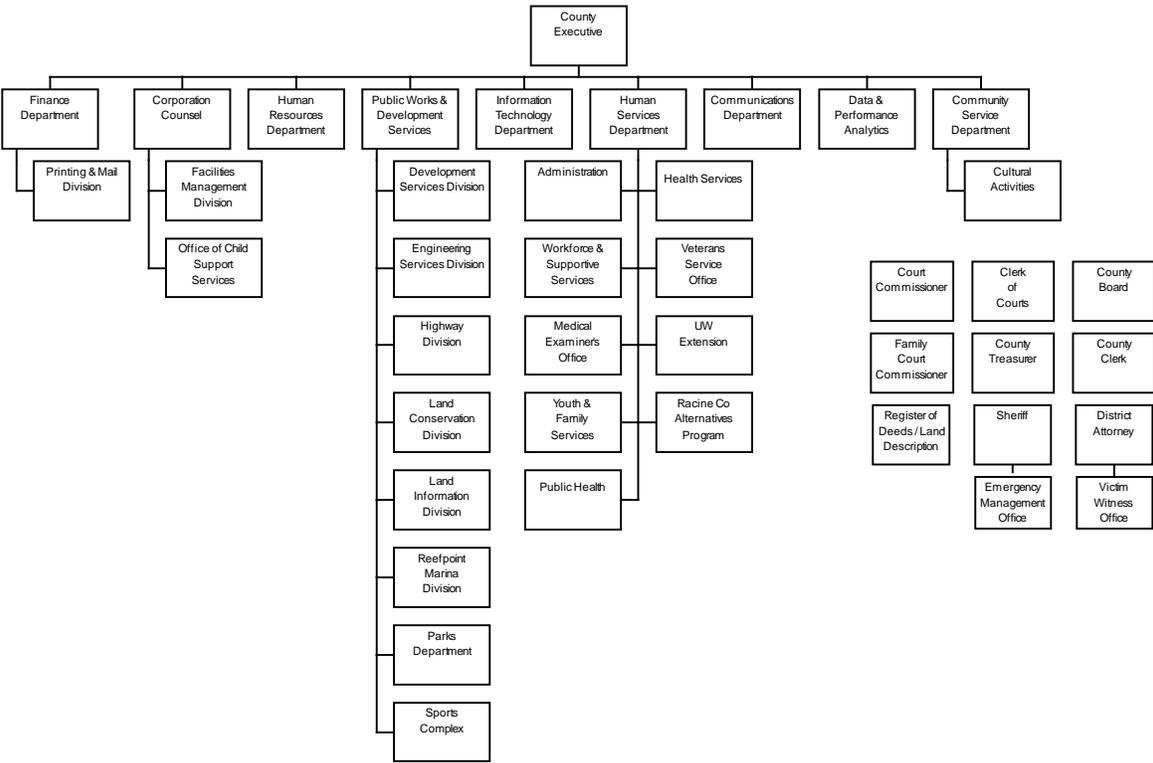
Jonathan Delagrave, County Executive

ORDINANCE NO. 2021-

ORDINANCE BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AMENDING THE RACINE COUNTY CODE OF ORDINANCES – CHAPTER 2, ARTICLE V, DIVISION 1 – GENERALLY, AND DIVISION 2 – DEPARTMENTS, FOR CHANGES IN THE 2021 BUDGET

To the Honorable Members of the Racine County Board of Supervisors:

The Racine County Board of Supervisors does ordain as follows:



Part 1

Section 2-256 of the Racine County Code of Ordinances relating to the organizational chart is hereby amended to read as follows:

(Ord. No. 2018-79, pt. 1, 11-5-18; Ord. No. 2019-81, pt. 1, 11-05-19)

Part 2

Section 2-266 of the Racine County Code of Ordinances relating to departments is hereby amended to read as follows:

3
4
5

Sec. 2-266. - Created.

6 The following departments are created for Racine County and shall be under the
7 direction and supervision of their respective department heads who shall be under the
8 direction and supervision of the county executive:

9

- 10 (1) The finance department which shall include the office of auditor, the
11 purchasing division and the printing and services division.
- 12 (2) The human resources department.
- 13 (3) The information technology department.
- 14 (4) The corporation counsel's office which shall include the office of Child Support
15 Services and Facilities Management.
- 16 (5) The public works and development services department which shall include
17 the parks division, the sports complex division, the highway division, the
18 Reefpoint Marina, the development services division, the land conservation
19 division, and the land information division.
- 20 (6) The human services department which shall include a youth and family
21 division, an adult services division, an economic support division, an operations
22 division, workforce development division, a fiscal division, public health division,
23 a veteran's service office, health service, jail alternatives, u. w. extension, and
24 office of medical examiner.
- 25 (7) The communications department.
- 26 (8) Data and performance analytics.

27 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2001-129, pt. 1, 11-6-01; Ord. No. 2003-74,
28 pt. 2, 8-12-03; Ord. No. 2003-158, §§ 2, 3, 12-9-03; Ord. No. 2004-126, pt. 2, 10-28-04;
29 Ord. No. 2007-11, pt. 3, 5-22-07; Ord. No. 2009-95, pt. 2, 12-8-09; Ord. No. 2010-87, pt.
30 1, 11-16-10; Ord. No. 2011-74, pt. 1, 11-15-11; Ord. No. 2012-107S, pt. 2, 2-12-13; Ord.
31 No. 2013-107, pt. 1, 12-10-13; Ord. No. 2018-79, pt. 2, 11-5-18; Ord. No. 2019-81, pt. 2,
32 11-05-19)

33 **Part 3**

34

35 Section 2-275 of the Racine County Code of Ordinances relating to Public works
36 and development services is hereby amended to read as follows:

37

38 **Sec. 2-275. – Public works and development services.**

39

40 There shall be a public works and development services department which shall
41 consist of a highway division, a parks division, a sports complex division, a development
42 services division, a land conservation division, and a land information division. The
43 public works and development services director shall be in charge of the public works
44 and development services department and shall be appointed by the county executive
45 and confirmed by the board of supervisors.

46

47

4 The public works and development services director shall be designated as the
5 highway commissioner pursuant to W.S.A., § 83.01, and as the general manager of the
6 park system pursuant to W.S.A., § 27.03.
7

8 The public works and development services department shall be the county zoning
9 agency for the purposes of W.S.A., § 59.69, and shall have all such powers, duties and
10 functions as set forth therein. The public works and development services department
11 shall be the "regional planning department" for the purposes of W.S.A., § 62.23(2), and
12 shall have all such powers, duties and functions as set forth therein. The public works
13 and development services department shall be the land information office for Racine
14 County and shall have such powers, duties and functions as set forth in W.S.A., §
15 59.72. The public works and development services director shall be the zoning
16 administrator under this Code. Additional powers, duties and functions of the public
17 works and development services department are set forth in chs. 18, 19 and 20 of this
18 Code. In lieu of electing a county surveyor, the duties of the county surveyor as set forth
19 in W.S.A., §§ 59.45(1) and 59.74(2), shall be performed by a registered surveyor
20 employed by the county and under the supervision of the public works and development
21 services director.
22

23 References elsewhere in this Code to the public works department or the planning
24 and development department, or to the public works director or the planning and
25 development director, shall mean the public works and development services
26 department, or the public works and development services director, respectively.
27

28 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2003-158, pt. 4, 12-9-03; Ord. No. 2011-74,
29 pt. 2, 11-15-11; Ord. No. 2019-81, pt. 3, 11-05-19)
30

31 **Part 4**

32

33 Section 2-276 of the Racine County Code of Ordinances relating to Human
34 Services is hereby amended to read as follows:
35

36 **Sec. 2-276. – Human services.**

37

38 There shall be a human services department which shall have the powers, duties
39 and functions as set forth in this section and W.S.A., ch. 46. The human services
40 department shall provide social services to people in the areas of mental illness
41 treatment; developmental disabilities services; income maintenance; alcohol and drug
42 abuse services; services to children, youth and delinquents, including the operation of a
43 secured detention facility; and long-term support services to the elderly, and physically
44 disabled population. To carry out the duties and responsibilities of W.S.A., ch. 46, the
45 human services department is composed of seven (7) divisions: youth and family; adult
46 services; economic support; operations; fiscal; workforce development and health

3
4 services. In addition, the human services department shall, as authorized by the board
5 of supervisors, administer federal and state funded employment and training programs
6 and public welfare programs and operate the workforce development center. The
7 human services director shall be in charge of the human services department and shall
8 be appointed by the county executive and confirmed by the board of supervisors. The
9 human services department shall include the veterans service office which shall report
10 to the workforce development division manager. The powers and duties of the veteran's
11 services officer shall include those set forth in W.S.A., ch. 45, and this Code. Pursuant
12 to W.S.A., ch.45, the veteran's services officer shall be appointed by the county
13 executive and confirmed by the board of supervisors. The human services department
14 shall include the office of medical examiner. The human services department shall
15 include the public health division. The human services department shall include health
16 services. The purpose of health services is to provide mental health and AODA
17 treatment to those in need of such services and is an outpatient clinic licensed by the
18 State of Wisconsin to participate in Medicare, Medicaid, private insurance programs,
19 and shall include jail alternatives.

20
21 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2003-158, pt. 5, 12-9-03; Ord. No. 2004-
22 126, pt. 3, 10-28-04; Ord. No. 2009-95, pt. 3, 12-8-09; Ord. No. 2012-107S, pt. 5, 2-12-
23 13; Ord. No. 2018-79, pt. 4, 11-5-18; Ord. No. 2019-81, pt. 4, 11-05-19)

24
25 **Part 5**

26
27 Section 2-282 of the Racine County Code of Ordinances relating to Corporation
28 Counsel is hereby amended to read as follows:

29
30 **Sec. 2-282. – Corporation Counsel.**

31
32 There shall be an office of corporation counsel that is created pursuant to W.S.A., §
33 59.42. The corporation counsel shall be appointed by the county executive and
34 confirmed by the Racine County board of supervisors. The county executive may
35 remove the corporation counsel with the concurrence of the board of supervisors. The
36 corporation counsel shall have the powers, duties and functions as set forth in W.S.A., §
37 59.42, which shall include giving legal counsel and opinions to the board of supervisors
38 and its committees, approving all contracts of the county which have heretofore required
39 the approval of the district attorney, and any duties required by state statute, county
40 ordinance or resolution or the county executive. The corporation counsel shall
41 prosecute actions to recover forfeitures for violations of county ordinances except for
42 those cases that are assigned to the district attorney. The corporation counsel shall
43 oversee the administration of child support services and facilities management.

44
45 (Ord. No. 2000-36, pt. 1, 6-27-00; Ord. No. 2007-11, pt. 2, 5-22-07; Ord. No. 2019-81,
46 pt. 5, 11-05-19)

1
2 Ord No.
3 Page Five

4
5 **Part 6**

6
7 Section 2-284 of the Racine County Code of Ordinances is hereby amended to read
8 as follows:

9
10 **Sec. 2-284. – Data & performance analytics.**

11
12 There shall be a department of data and performance analytics which will utilize
13 data analytics tools to direct and administer metrics and accountability systems for
14 programming, performance, and process improvements. The department will collect,
15 interpret, and communicate meaningful patterns in available data to make evidence-
16 based decisions and work with various departments to ensure that implemented
17 solutions meet specifications and are delivered in a timely and cost-effective manner.

18
19 (Ord. No. 2010-87, pt. 2, 11-16-10)

20
21 **Part 7**

22
23 Section 2-285 – 2-295 of the Racine County Code of Ordinances is hereby
24 amended to read as follows:

25
26 **Sec. 2-285 – 2-295. - Reserved.**

27
28 (Ord. No. 2010-87, pt. 2, 11-16-10)

29
30
31 Respectfully submitted,

32
33 **FINANCE AND HUMAN RESOURCES**
34 **COMMITTEE**

35 1st Reading _____

36 2nd Reading _____

37 **BOARD ACTION**

38 Adopted _____

39 For _____

40 Against _____

41 Absent _____

Robert N. Miller, Chairman

Q.A. Shakoor, II, Vice-Chairman

42
43 VOTE REQUIRED: Majority

Rusty Russell Clark, Secretary

44
45 Prepared by:
46 Corporation Counsel

Nick Demske

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Ord No.
Page Six

John A. Wisch

Thomas Pringle

Donald J. Trottier

**The foregoing legislation adopted by the County Board of Supervisors of
Racine County, Wisconsin, is hereby:**

Approved: _____

Vetoed: _____

Date: _____,

Jonathan Delagrave, County Executive

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|------|---|--------------------|
| YEAR | 2021 | X | Resolution Request |
| | | | Ordinance Request |
| | | | Report Request |

Requestor/Originator: Finance Department - Brian Nelson

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) If a person is not in attendance the item may be held over. Brian Nelson

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: N/A

Does this request propose the expenditure, receipt or transfer of any funds? No

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 10/21/2021 Date of County Board Meeting to be Introduced: 11/2/2021

1st Reading: [X] 1st & 2nd Reading: [] *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee:

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

Setting a Tax Levy for 2021 to be Collected in 2022.

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

RESOLUTION NO. 2021-68

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE SETTING A TAX LEVY FOR 2021 TO BE COLLECTED IN 2022

To the Honorable Members of the Racine County Board of Supervisors:

BE IT RESOLVED by the Racine County Board of Supervisors that the following amounts be levied upon the taxable property of Racine County in the year 2021 to be collected in 2022 for the following purposes:

| | | |
|----------------------------------|--|----|
| County Tax | | |
| Handicapped Schools ¹ | | \$ |
| Lakeshore Library ² | | \$ |
| County Bridge Aid ³ | | \$ |
| Public Health ⁴ | | \$ |
| Debt Service | | \$ |
| Other County Tax | | \$ |
| General County Tax | | \$ |

Respectfully submitted,

1st Reading _____

FINANCE AND HUMAN RESOURCES COMMITTEE

2nd Reading _____

BOARD ACTION

 Adopted _____

 For _____

 Against _____

 Absent _____

Robert N. Miller, Chairman

Q.A. Shakoor, II, Vice-Chairman

VOTE REQUIRED: Majority

Rusty Russell Clark, Secretary

Prepared by:
Corporation Counsel

Nick Demske

John A. Wisch

Thomas Pringle

Donald J. Trottier

¹ Levied on areas of Racine County served by Handicapped Schools.
² Levied on areas of Racine County served by Lakeshores Library System.
³ Levied on areas in townships in Racine County.
⁴ Levied on areas of Racine County served by the Racine County Public Health Division

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Res No. 2021-
Page Two

**The foregoing legislation adopted by the County Board of Supervisors of
Racine County, Wisconsin, is hereby:**

Approved: _____
Vetoed: _____

Date: _____,

Jonathan Delagrave, County Executive

REQUEST FOR COUNTY BOARD ACTION

| | | | |
|------|------|-------------------------------------|--------------------|
| YEAR | 2021 | <input checked="" type="checkbox"/> | Resolution Request |
| | | <input type="checkbox"/> | Ordinance Request |
| | | <input type="checkbox"/> | Report Request |

Requestor/Originator: Michael J. Lanzdorf - Corporation Counsel

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Michael J. Lanzdorf

Does the County Executive know of this request: Yes

If related to a position or position change, Does the Human Resources Director know of this request: Yes

Does this request propose the expenditure, receipt or transfer of any funds? Yes

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources

Date Considered by Committee: 10/21/2021 Date of County Board Meeting to be Introduced: 10/21/2021

1st Reading: 1st & 2nd Reading: *

* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AUTHORIZING THE HIRING OF THE E030 EXECUTIVE ADMINISTRATIVE ASSISTANT BEFORE THE CURRENT EMPLOYEE RETIRES TO ALLOW FOR PROPER TECHNICAL AND LEGAL TRAINING

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

EXHIBIT "A"

FISCAL YEAR:2021

| ACCOUNT NAME | ACCOUNT NUMBER | CURRENT BUDGET | CURRENT BALANCE |
|--------------|----------------|----------------|-----------------|
|--------------|----------------|----------------|-----------------|

CORPORATION COUNSEL

2021 BUDGET PAGE 8-7

| | | | |
|-------|-----------------|---------|---------|
| WAGES | 14100000.401000 | 424,424 | 100,461 |
|-------|-----------------|---------|---------|

THERE ARE SUFFICIENT FUNDS AVAILABLE TO COVER THE HIRING OF E030 - EXECUTIVE ADMINISTRATIVE ASSISTANT BEFORE THE CURRENT EMPLOYEE TERM TO ALLOW FOR TRAINING.

DESCRIPTION :

TOTAL PRICE

WAGES AND FRINGE FOR 4 weeks (2 pay periods of overlap)

5,776

Estimated cost: 5,776

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

| FOR | AGAINST |
|-----|---------|
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Michael Lanzdorf

Corporation Counsel
730 Wisconsin Avenue
Racine, WI 53403
262-636-3874
fax: 262-636-3549
Michael.Lanzdorf@RacineCounty.com

MEMO

To: Racine County Board – Finance & Human Resources Committee
From: Michael Lanzdorf – Racine County Corporation Counsel
Date: October 18, 2021
Re: New Hire – Position Overlap of Executive Administrative Assistant

The current Executive Administrative Assistant in the Office of the Corporation Counsel is set to retire December 10, 2021, with her last working day on November 24, 2021. I am requesting to fill the position as early as November 8, 2021, and prior to the current employee's last working day. Due to the technical and legal nature of the job, the decades of experience held by the current employee, and the demands of the position, it is important that the new hire receive as much direct training from his or her predecessor as possible. Additionally, it is imperative to the operations of the Office of the Corporation Counsel that there is no gap in coverage of this role. The position processes emergency detentions, protective placements, and guardianship matters which must be processed within strict statutorily-required deadlines in addition to other legal tasks.

The funding for this position will come from a current surplus within the Corporation Counsel's 2021 budget.

RESOLUTION NO. 2021-67

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AUTHORIZING THE HIRING OF THE E030 EXECUTIVE ADMINISTRATIVE ASSISTANT BEFORE THE CURRENT EMPLOYEE RETIRES TO ALLOW FOR PROPER TECHNICAL AND LEGAL TRAINING

To the Honorable Members of the Racine County Board of Supervisors:

BE IT RESOLVED by the Racine County Board of Supervisors that the hiring of a E030 Executive Administrative Assistant – Corporation Counsel and the fiscal note, as set forth in Exhibit “A” that is attached hereto, is hereby authorized and approved.

Respectfully submitted,

1st Reading _____

FINANCE AND HUMAN RESOURCES COMMITTEE

2nd Reading _____

BOARD ACTION

Robert N. Miller, Chairman

Adopted _____

For _____

Against _____

Absent _____

Q.A. Shakoor, II, Vice-Chairman

VOTE REQUIRED: 2/3 M.E.

Rusty Russell Clark, Secretary

Prepared by: Corporation Counsel

Nick Demske

John A. Wisch

Thomas Pringle

Donald J. Trottier

The foregoing legislation adopted by the County Board of Supervisors of Racine County, Wisconsin, is hereby:

Approved: _____

Vetoed: _____

Date: _____,

Jonathan Delagrave, County Executive

3 **INFORMATION ONLY**

4
5 **WHEREAS**, the current Executive Administrative Assistant in the Office of the
6 Corporation Counsel is set to retire December 10, 2021, with her last working day on
7 November 24, 2021; and

8
9 **WHEREAS**, the Corporation Counsel requests authorization to fill the position as
10 early as November 8, 2021, and prior to the current employee's last working day; and

11
12 **WHEREAS**, due to the technical and legal nature of the job, the decades of
13 experience held by the current employee, and the demands of the position, it is
14 important that the new hire receive as much direct training from his or her predecessor
15 as possible; and

16
17 **WHEREAS**, additionally, it is imperative to the operations of the Office of the
18 Corporation Counsel that there is no gap in coverage of this role; and

19
20 **WHEREAS**, the position processes emergency detentions, protective placements,
21 and guardianship matters which must be processed within strict statutorily-required
22 deadlines in addition to other legal tasks; and

23
24 **WHEREAS**, the funding for this position will come from a current surplus within
25 the Corporation Counsel's 2021 budget.