

**COUNTY OF RACINE  
FINANCE & HUMAN RESOURCES COMMITTEE**

Supervisor Robert N. Miller, Chairman  
Supervisor Q.A. Shakoob, II, Vice Chairman  
Supervisor Rusty Clark, Secretary  
Supervisor Nick Demske  
Supervisor Tom Pringle

Supervisor Don Trottier  
Supervisor John A. Wisch  
William Klaus, Youth in Governance Representative  
Owen Thomsen, Youth in Governance Representative

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\*\*\* THIS LOCATION IS HANDICAP ACCESSIBLE. If you have other special needs, please contact the Racine County Board Office, 730 Wisconsin Avenue, Racine, Wisconsin 53403 (262) 636-3571, fax (262) 636-3491 or the TTD/RELAY 1-800-947-3529. \*\*\*

DO NOT ATTEND THIS MEETING if you are experiencing any of these symptoms or if you have been in contact with anyone with these symptoms: **shortness of breath or difficulty breathing, cough, chills, nasal congestion, sore throat, fatigue, loss of sense of taste or smell, fever greater than 100.4.**

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NOTICE OF MEETING OF THE

FINANCE AND HUMAN RESOURCES COMMITTEE

DATE: **WEDNESDAY AUGUST 18, 2021**

TIME: **5:00 P.M.**

PLACE: **IVES GROVE OFFICE COMPLEX  
AUDITORIUM  
14200 WASHINGTON AVENUE  
STURTEVANT, WISCONSIN 53177**

AGENDA –

1. Convene Meeting
2. Chairman Comments – Youth in Governance/Comments
3. Public Comments
4. Approval of Minutes from the August 4, 2021, committee meeting – Action of the Committee only
5. County Treasurer – Jeffrey Latus – Vacate Judgment of In-Rem property at 4115 Green Bay N Rd – 2021 – Resolution – Action Requested: 1<sup>st</sup> & 2<sup>nd</sup> Reading at the August 24, 2021, County Board Meeting.
6. Transfers
  - a. Land Conservation – Chad Sampson – Acceptance of a \$15,000 grant from the Nature Conservancy Grant for the Watershed Protection Committee of Racine County’s Case-Eagle site project and transfer of funds within the Land Conservation Grants 2021 Budget – 2021 – Resolution – Action Requested: 1<sup>st</sup> Reading at the August 24, 2021, County Board Meeting.

b. Sheriff's Office – Sheriff Christopher Schmaling – Transfer of 1 FTE Non-Rep – Non-Exempt N060 Warrant Technician as of 8/30/21 from the Communications Department to the Sheriff's Office and the transfer of \$ from the Communications Department 2021 Budget to the Sheriff's Office 2021 Budget – 2021 – Resolution – Action Requested: 1<sup>st</sup> & 2<sup>nd</sup> Reading at the August 24, 2021, County Board Meeting.

7. Finance Department – Brian Nelson – 2021 2<sup>nd</sup> Quarter Investment Report – Staff from DANA Investment will be available to discuss the materials – 2021 – Report.

8. Communication & Report Referrals from County Board Meeting:

a. Bankruptcy items:

Type of Action:	Person/Persons
No Proof of Claim Deadline	Laurie Ann Kemp; Marissa Elena Tirado; Mark Anthony Ceruto; Scott Wallace;
Order of Discharge	Jykecia V. Snow; Michelle Nadine Pfaff; Misty Marie Thomas;
Order Modifying Confirmed Chapter 13 Plan	Amethyst Jhordan Goodwin; Sarah Hawkinson;
Chapter 13	Tracy D. Reynolds; Wesley James Lees; Cortashia Norris;
Dismiss – Confirmed Plan	David Henry & Dawn Katherine Keller;
Order Dismissing Case	Angelinque Essie Parks;

c. Harold B. James on behalf of himself has filed a claim against Racine County for property damage in the amount of \$697.71.

d. Staff Report – No Action Items.

a. Finance & Human Resources Committee – Next Meeting will be September 8, 2021.

e. Adjournment

# FINANCE & HUMAN RESOURCES COMMITTEE ACTION ONLY

**Requestor/Originator** Finance & Human Resources Committee

**Committee/Individual Sponsoring:** Finance & Human Resources Committee

**Date of Committee Meeting:** 8/18/2021

**Signature of Committee Chairperson  
/Designee:** \_\_\_\_\_

**Description:** Minutes from the August 4, 2021 FHR Meeting  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
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\_\_\_\_\_  
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\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Action:**

<input type="checkbox"/>	<b>County Board Supervisors</b>
<input type="checkbox"/>	Approve
<input type="checkbox"/>	Deny

<input type="checkbox"/>	<b>Youth In Governance</b>
<input type="checkbox"/>	Approve
<input type="checkbox"/>	Deny

**FINANCE AND HUMAN RESOURCES COMMITTEE MEETING**  
**August 10, 2021**

Meeting attended by: Chairman Miller, Supervisors Clark, Demske and Trottier, County Executive Jonathan Delagrave  
Human Resources Manager Nicole Brooks, Accounting Operations Manager Kris Tapp.

Excused: Supervisors Demske, Pringle and Wisch and Youth Representatives Klaus and Thomsen.

**Agenda Item #1 – Convene Meeting.**

Meeting Called to Order at 5:00 pm by Chairman Miller.

**Agenda Item #2 – Chairman Comments – Youth in Governance/Comments.**

Not read.

**Agenda Item #3 – Public Comments.**

None.

**Agenda Item #4 – Approval of Minutes from the July 21, 2021, committee meeting.**

**Action:** Approve the minutes from the July 21, 2021, meeting. **Motion Passed.** Moved: Supervisor Clark. Seconded:  
Supervisor Trottier. Vote: All Ayes No Nays.

**Agenda Item #5 – Racine County Economic Development Corporation – Carolyn Engel – Status of  
the Racine County Matching Grant Program 2<sup>nd</sup> Quarter 2021 – Informational Only – No action  
needed.**

Carolyn Engel briefly presented the materials provided to the Committee on the Status of the Racine County Matching Grant  
Program – 2<sup>nd</sup> Quarter – 2021.

**Agenda Item #6 – Racine County Economic Development Corporation – Carolyn Engel –  
Overview of the RCEDC success in 2021 – Informational Only – No Action needed.**

Carolyn Engel briefly presented the materials provided to the Committee on the 2021 Quarter Two Results for Racine County  
Economic Development Corporation.

**Agenda Item #7 – Public Works – Brian Nelson – Authorizing the addition of CTH V to the  
capital project for 2021 Asphalt Spot Repair – 2021 - Report.**

**Action:** Authorize the addition of CTH V to the capital project for 2021 Asphalt Spot Repair – 2021 – Report. **Motion  
Passed.** Moved: Supervisor Clark. Seconded: Supervisor Trottier. Vote: All Ayes No Nays. Advisory Vote: All  
Ayes No Nays.

**Agenda Item #8 – Pulled from the agenda.**

**Agenda Item #9– Transfers:**

**a – Finance Department – Acceptance of American Rescue Plan Act of \$38,100,000 and transfer of funds within the American Rescue Plan 2021 Budget – Making these accounts non lapsing – 2021 – Resolution – Action Requested: 1<sup>st</sup> Reading at the August 10, 2021, County Board Meeting**

**Action:** Acceptance of American Rescue Plan Act of \$38,100,000 and transfer of funds within the American Rescue Plan 2021 Budget – Making these accounts non lapsing – 2021 – Resolution – Action Requested: 1st Reading at the August 10, 2021, County Board Meeting. **Motion Passed.** Moved: Supervisor Shakoor. Seconded: Supervisor Clark. Vote: All Ayes No Nays.

**Agenda Item #10– Communication & Report Referrals from County Board Meeting:**

**Action:** Receive and file items a - d. **Motion Passed.** Moved: Supervisor Clark. Seconded: Supervisor Shakoor II. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

**Agenda Item #11 – Staff Report – No Action Items.**

a) Finance & Human Resources Committee – Next Meeting will be August 18, 2021.

**Agenda Item #12– Adjournment.**

**Action:** Adjourn meeting at 5:31 pm. **Motion Passed.** Moved: Supervisor Shakoor II. Seconded: Supervisor Clark. Supervisor Vote: All Aye No Nays.

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2021</u>	<input checked="" type="checkbox"/> Resolution Request
		<input type="checkbox"/> Ordinance Request
		<input type="checkbox"/> Report Request

Requestor/Originator: JEFF LATUS - RACINE COUNTY TREASURER

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) JEFF LATUS  
If a person is not in attendance the item may be held over.

Does the County Executive know of this request: NO

If related to a position or position change, Does the Human Resources Director know of this request: NA

Does this request propose the expenditure, receipt or transfer of any funds? NO

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: FINANCE AND HUMAN RESOURCES COMMITTEE

Date Considered by Committee: 8/18/2021 Date of County Board Meeting to be Introduced: 8/24/2021

1st Reading:  1st & 2nd Reading:  \*

\* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: \_\_\_\_\_

**SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:**

VACATE JUDGMENT OF IN-REM PROPERTY AT 4115 GREEN BAY N RD

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

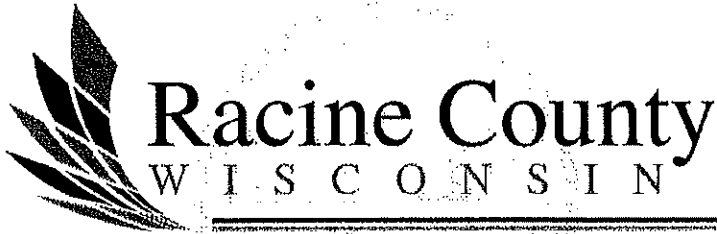
**SUBJECT MATTER:**

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

**THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.**



**Office of County Treasurer**

730 Wisconsin Avenue

Racine, WI 53403

262-636-3239

fax: 262-636-3279

Jane.Nikolai@racinecounty.com

## MEMO

August 4, 2021

TO: Robert N. Miller  
Chairman, Finance and Human Resources Committee

FROM: Jeff Latus, Racine County Treasurer

RE: Vacate Judgment: In-Rem Property

Please put on the agenda for the committee meeting scheduled for August 18<sup>th</sup>, 2021 time to request your permission for Corporation Council to petition the court to vacate the judgment for an in-rem parcel from the June 18<sup>th</sup>, 2021 court hearing.

The property is a residential building, located at 4115 Green Bay N Rd in the Village of Caledonia, and was owned by Theresa E Gervais. Payment for taxes and fees was received on August 3<sup>rd</sup>, 2021. The Racine County Treasurer's Office is holding the funds that were paid for the delinquent taxes and fees.

In addition, we are requesting that the 1<sup>st</sup> and 2<sup>nd</sup> reading be done at the same time to expediate the process of settling the tax balance on this property.

If you have any questions, please contact me.

Thank you,

A handwritten signature in black ink, appearing to read "Jeff Latus", written over a horizontal line.

Jeff Latus  
Racine County Treasurer

Cc: John Serketich

## ACTIVE TAX DEED PROPERTIES OWNED BY RACINE COUNTY

DISTRICT: VILLAGE OF CALEDONIA

Updated: 8/4/2021

PARCEL # 104-04-23-30-257-000

IN REM ACTION #: 2021-1

ITEM #: 13

JUDGMENT DOC #: 2597042

JUDGEMENT DATE: 6/18/2021

COURT CASE #: 21-CV-773

LEGAL LOT 6, BLOCK 1, WASHINGTON MEADOW SUBDIVISION, BEING A SUBDIVISION OF PART OF THE DESCRIPTION: SOUTHEAST 1/4 OF THE SOUTHEAST 1/4 OF SECTION 30, TOWNSHIP 4 NORTH, RANGE 23 EAST. SAID LAND BEING IN THE VILLAGE OF CALEDONIA, COUNTY OF RACINE, STATE OF WISCONSIN.

<b>PROP. ADDRESS:</b>	4115 GREEN BAY N RD
<b>ACRES:</b>	0.272
<b>ZONE:</b>	RESIDENTIAL
<b>ASSESSED VALUE:</b>	Land: \$37,800.00
	IMP: \$137,200.00
	<b>TOTAL: \$175,000.00</b>

FORMER OWNER: THERESA E GERVAIS

<b>FAIR MARKET VALUE:</b>	\$186,500.00
<b>APPRAISED VALUE:</b>	NA
<b>YEAR:</b>	NA

TAX YEAR	NET TAX	SPECIAL	INT	PEN	TOTAL
2017	2,917.17	220.25	\$1,286.34	\$643.17	\$5,066.93
2018	2,914.96	450.03	\$975.85	\$487.93	\$4,828.77
2019	2,982.89	1,282.86	\$725.17	\$362.60	\$5,353.52
2020	3,218.47	1,312.48	\$226.54	\$113.28	\$4,870.77
	<b>\$12,033.49</b>	<b>\$3,265.62</b>	<b>\$3,213.90</b>	<b>\$1,606.98</b>	

SPECIAL OVER 7500: NA

**TAX TOTALS: \$20,119.99**

In-Rem Fee	\$275.00
Boarding Fee	\$0.00
Appraisal Fee	\$0.00
Vacate Fee	\$500.00
Int & Pen July-Aug	\$458.98
Lawn & Snow Care	\$0.00
<b>FEE &amp; COST TOTAL:</b>	<b>\$1,233.98</b>

**GRAND TOTAL: \$21,353.97**

<b>DISPOSITION:</b>		
TO:		
ON:		
<b>TOTAL COSTS:</b>	<u>\$21,353.97</u>	
<b>SOLD / DONATED FOR:</b>		
<b>PROFIT OR (LOSS):</b>		
	<b>GENERAL RECEIPT NUMBERS</b>	
	NO: <u>30217</u>	
	NO: _____	

5-3



REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2021</u>	X	Resolution Request
			Ordinance Request
			Report Request

Requestor/Originator: Land Conservation - Chad Sampson

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading) Chad Sampson  
If a person is not in attendance the item may be held over.

Does the County Executive know of this request: yes

If related to a position or position change, Does the Human Resources Director know of this request: \_\_\_\_\_

Does this request propose the expenditure, receipt or transfer of any funds? \_\_\_\_\_  
If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 8/18/2021 Date of County Board Meeting to be Introduced: 8/24/2021

1st Reading:  1st & 2nd Reading:  \*

\* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: \_\_\_\_\_

**SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:**

Acceptance of a \$15,000 grant from the Nature Conservancy Grant for the Watershed Protection Committee of Racine County's Case-Eagle site project and transfer of funds within the Land Conservation Grants 2021 Budget

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

**SUBJECT MATTER:**

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

**THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.**

ACCOUNT NAME	ACCOUNT NUMBER	CURRENT BUDGET	CURRENT BALANCE	TRANSFER	BUDGET AFTER TRANSFER	BALANCE AFTER TRANSFER
<b>LAND CONSERVATION - GRANTS</b>						
NATURE CONSERVANCY GRANT	NEW ACCOUNT	0	0	(15,000)	(15,000)	(15,000)
	<b>TOTAL SOURCES</b>			<u>(15,000)</u>		
C/S - NAT CONS GRANT	NEW ACCOUNT	0	0	15,000	15,000	15,000
	<b>TOTAL USES</b>			<u>15,000</u>		
				<u><u>0</u></u>		

GRANT PERIOD IS TO START WHEN SIGNED AND EXPIRE DEC 15, 2021

NO COUNTY MATCH IS REQUIRED.

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

FOR	AGAINST



Contract Number:	072321-202202
Accounting Information –	
Project Name:	WI Dairy Strong
Project-Award-Activity Number:	P117527
Source of funds:	Private Foundation <input checked="" type="checkbox"/> Private <input type="checkbox"/>

**GRANT BY TNC TO GRANTEE – PRIVATE FUNDS – SHORT FORM**

The Nature Conservancy (the "Conservancy") has agreed to make a grant to **Racine County Land Conservation** ("Grantee") in the amount of **\$15,000** ("the Grant"). If indicated above, this Grant is made under a Grant made to the Conservancy by **Kohler Trust for Preservation** ("Prime Grant"). The Grant is also subject to the "Standard Grant Conditions" set out on the attached form (Attachment A).

**Purpose of this Grant.**

This Grant will provide funds for the Grantee's **Watershed Protection Committee of Racine County's Case-Eagle site project** ("Program"). Specifically, Grantee shall:

- Use the Case-Eagle site as a research/demonstration area to promote agricultural practices which protect/improve water quality, reduce soil erosion and improve soil health, all leading to a more sustainable agriculture. These goals and activities are consistent with WPCRC's mission and that of the Racine County Land Conservation Department
- Compare production practices routinely used on cropland (including rental land) with those promoted by WPCRC and LCD.
- During 2021-2024, capture and maintain the conventional management history as well as the transition to conservation BMP's including no-till, cover crops, and nutrient management planning.
  - Comparisons will be based on:
    - Crop yield
    - Economic performance
    - Environmental performance including soil health and carbon footprint.

Also, see the following Exhibits:

- Exhibit A – Workplan
- Exhibit B – Budget
- Exhibit C – Project Design

**Term.**

This Grant shall start when signed and shall expire on **December 31, 2021.**

**Reporting and Due Dates.**

The Grantee shall submit the following reports:  
The **Final Programmatic report** is due December 15, 2021.

Programmatic reports shall be submitted to **Steve Richter, Director of Agricultural Strategies, 633 W Main St., Madison, WI 53703.**

**Payment Amount and Schedule.**

- a. For all of the activities described above, the Conservancy shall pay the Grantee a fixed price total of \$15,000.
- b. Payments will be sent to the Grantee:

(i) **By check payable to the Grantee.**

**CODE OF CONDUCT/HELPLINE**

TNC expects itself and everyone with whom it does business to conduct themselves in ways that are consistent with its TNC's Code of Conduct found at [www.nature.org/codeofconduct](http://www.nature.org/codeofconduct). Anyone (whether a part of TNC or not) may contact the TNC Helpline (anonymously, if desired) with questions, concerns, or suspected violations at [www.nature.org/tnc Helpline](http://www.nature.org/tnc Helpline).

**Accepted and agreed to by:**

**The Nature Conservancy**

By: \_\_\_\_\_  
(signature)  
Print Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**Racine County Land Conservation Department**

By: \_\_\_\_\_  
(signature)  
Print Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**Attachment A**  
**Standard Grant Conditions**

**I. PROVIDING FUNDS TO OTHERS**

The Grantee is prohibited from using the Conservancy's funds and/or assets for grants or contracts to others without the Conservancy's written permission. In addition, this Grant may not be assigned by the Grantee in whole or in part without the prior written consent of the Conservancy.

**II. NO AGENCY**

No legal partnership or agency is established by this Grant. Neither party is authorized or empowered to act as an agent, employee or representative of the other, nor transact business or incur obligations in the name of the other party or for the account of the other party. Neither party shall be bound by any acts, representations or conduct of the other.

**III. TERMINATION AND REMEDIES**

The Conservancy shall have the right to terminate this Grant by giving 30 (thirty) days' written notice to the Grantee of intent to terminate. Should this occur, payment for work satisfactorily completed will be adjusted accordingly. In addition, if in the judgment of the Conservancy, (a) the Grantee defaults in performance of Grantee duties under this Grant, whether for circumstances within or beyond the control of the Grantee, or (b) the action or inactions of Grantee or its officers or directors could tarnish or damage the reputation of the Conservancy or affect its legal standing, the Conservancy may immediately terminate this Grant by written notice to the Grantee. Upon receipt of the termination notice from the Conservancy, the Grantee shall take all necessary action to cancel outstanding commitments relating to the work under this Grant. In the event of termination prior to the originally agreed upon expiration, the Conservancy shall pay any obligations incurred by the Grantee that could not reasonably be canceled. Any Grant funds not expended or committed at the time of termination must be returned to the Conservancy. If at any time the Prime Grant is terminated, this Grant shall also be automatically terminated as of the termination date of the Prime Grant.

**IV. CONFLICT OF INTEREST DETERMINATION**

Grantee represents that to the best of its knowledge the information it has provided on the Conservancy's Conflict of Interest Disclosure Form, now or up to two years prior to the commencement date of this Grant, is true and correct.

**V. LOBBYING AND POLITICAL CAMPAIGNING**

The Grantee shall not use any portion of funds transferred under this Grant to engage in any lobbying activities unless the parties specifically agree to such lobbying activities in this Grant. Should Grantee and the Conservancy agree to such lobbying activities, Grantee shall comply with all local, state, and federal laws related to lobbying, including but not limited to registration with regulating agencies, public reporting and disclosures, fundraising and expenditure activities, media and advertising, tax obligations, elections and campaigns.

The Grantee shall not use any portion of funds transferred under this Grant to participate or intervene in any political campaign on behalf of or in opposition to any candidate for public office, to induce or encourage violations of the law or public policy, to cause any private inurement or improper private benefit to occur or to take any other action inconsistent with Section 501(c)(3) of the U.S. Internal Revenue Code.

**VI. COMPLIANCE WITH LAWS**

Grantee represents, warrants and covenants as follows, wherever applicable to the performance of the Services: (a) Grantee can lawfully work in the United States; (b) Grantee will obtain, at its own expense (except to the extent otherwise explicitly stated in this Contract) any permits or licenses required to perform the Services; and (c) Grantee will comply with all U.S. federal, state and local statutes, laws, executive orders, and other governmental requirements of the state(s) in which the activities under this Agreement are performed (and the state in which the TNC Business Unit set forth on the first page of this agreement is located, if different), and any other U.S. jurisdiction(s) in which Grantee is organized or authorized to do business (collectively, "Applicable Law"). Grantee must not take any actions that might cause TNC to be in violation of Applicable Law.

#### **VII. PREVENTING TERRORIST FINANCING – IMPLEMENTATION OF E.O. 13224**

Grantee certifies that, to the best of its knowledge, Grantee and its subsidiaries, principals and beneficial owners, if any (referred to collectively in this Section as "Grantee Parties"):

- A. are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any government agency;
- B. (i) are not included on the Specially Designated Nationals and Blocked Persons lists maintained by the U.S. Treasury's Office of Foreign Assets Control, the United Nations Security Council Consolidated List, or similar lists of proscribed entities identified as associated with terrorism, and (ii) will not engage in transactions with, or provide resources or support to, any such individuals or organizations or anyone else associated with terrorism;
- C. are not a person or entity with whom transacting is prohibited by any trade embargo, economic sanction, or other prohibition of law or regulation; and
- D. have not conducted, and will not conduct, their operations in violation of applicable Money Laundering Laws, including but not limited to, the U.S. Bank Secrecy Act and the money laundering statutes of any and all jurisdictions to which the Grantee Parties, or any one of them, is subject, and no action or inquiry concerning money laundering by or before any authority involving any Grantee Party is pending.

Should Grantee become aware that Grantee or any other Grantee Party is subject to any of the above conditions during the term of this Contract, Grantee must notify TNC immediately. If TNC determines that Grantee or any Grantee Party is subject to any of the above conditions, TNC may terminate this Grant effective immediately, with no further obligation hereunder, including payment. The terms of this Section must be included in all permitted subgrants and subcontracts.

#### **VIII. EXPENDITURE LIMITED TO DESIGNATED PURPOSES**

Grant funds may be spent only in accordance with the provisions of the Grantee's funding request and budget submitted to the Conservancy. Expenditure of Grant funds is subject to modification only with the Conservancy's prior written approval. Any Grant funds not expended or committed for the purposes of this Grant must be returned to the Conservancy.

#### **IX. BINDING EFFECT/AMENDMENTS**

This Grant shall become binding when signed by the parties. This Grant supersedes all prior or contemporaneous communications and negotiations, both oral and written, and constitutes the entire Grant between the parties relating to the work set out above. No amendment shall be effective except in writing signed by both parties.

#### **X. INTELLECTUAL PROPERTY LICENSE AND USE OF CONSERVANCY NAME/LOGO**

Title to any Materials developed with Grant funds vests in the Grantee, with the Conservancy getting free irrevocable license to use, publish or distribute all such copyrighted, trademarked, patented Materials or inventions, trade secrets or other intellectual property rights. The word "Materials" may include, but is not limited to reports, studies,

photographs (and negatives), computer programs, drawings, writings or other similar works or documents, along with all supporting data and material, produced under this Grant. The Grantee agrees to provide the Conservancy with copies of the Materials at no cost.

The Grantee may not use the Conservancy's name and/or logo in any way without prior written consent from the Conservancy.

**XI. LIABILITY/INSURANCE**

The work to be performed under this Grant shall be performed entirely at the Grantee's risk. The Grantee agrees to indemnify and hold the Conservancy harmless from any and all liability or loss arising in any way out of the performance of this Grant. The Grantee shall carry appropriate workers' compensation, hazard, and liability insurance coverage written on an occurrence basis during the term of this Grant.

## Exhibit A

### Watershed Protection Committee of Racine County Comparison of Conventional and Regenerative Production Systems Case-Eagle Park 2021 Workplan

#### Purpose:

Collect baseline data, correct site deficiencies, conduct a “uniformity” year producing the crop using routine conventional practices and initiate the trial. Baseline data includes soil physical, chemical and biological properties. Project foundational work will support a 2022 NRCS CIG Soil Health Application.

Situation simulates the start of a new multi-year lease, tenant has full fall access following crop harvest to initiate farming using either conventional for regenerative methods.

#### Workflow Timeline:

1. Field mapping, routine soil fertility testing, corrective fertilizer application.  
Completed: Mapping/ sampling, 4/5; Application, 4/18
2. Establish/ manage uniformity crop, soybean.  
Completed: Tillage, 4/15; Planting/ PRE herbicide, 5/13; POST Herbicide, 6/28
3. Baseline soil health sampling.  
Tentative: July after significant rain per Jamie Patton  
Four Sampling protocols/ clusters
  1. Soil Health- Cornell Soil Health Laboratory
  2. Haney/ PFLA- Ward Labs
  3. Profile Organic C/ POM- Univ. of Missouri Soil Health Assessment Center
  4. Soil Resistance (Penetrometer), recording for dataset, manual for Cornell NRCS-216 packageData archiving and spatial analysis of results for tentative trial siting decision making
4. Uniformity crop harvest/ trial siting.  
Early October, generate field yield maps, calibration of yield monitor using grain cart scales  
**Note:** *Actual trial yield determinations will be made by weight, monitor data used for back-up and qualification of plot spatial data. Monitor to be recalibrated annually.*  
Spatial analysis of yield maps  
Determine trial position, layout of support installations including buffers as well as pollinator habitat for unused/ sensitive areas. Includes consultation for potential edge of field monitoring.  
GIS trial mapping (in cooperation with NRCS)
5. Trial installation.  
October- GIS guided plot layout/ flagging (use of permanent monuments is still a question)  
Transfer master A/B line to equipment guidance systems  
Exhibit 1: Trial plot map with randomization



6. Trial/ treatment initiation.

October (pre-layout) lime application and incorporation

**Note:** *Lime was not available in spring, booked for fall application. Incorporation will be the last common field operation and the last tillage operation in the Regenerative System. This step is necessary to complete uniformity work.*

Apply fall treatments:

Conventional, chisel plow

Regenerative, plant cover crop (winter rye)

7. Ad Hoc- weather station installation pending delivery.

Use WRCSD treatment plant reporting data for temperature/ precipitation data for archive until the unit is operational.

**NOTE:** *Soil moisture and temperature probes (remote) will be purchased and installed, 1 set per plot by April 1, 2022. Probes will be removed for spring fieldwork and reinstalled immediately afterward.*

Exhibit B

**WPCRC Case-Eagle Park Project**  
 Project Budget  
**PHASE 1- RA Comparison Project Foundational Work, Field 1**

Sub-Cat.	Cat. Description	Request	Match	Total	Notes:
<b>1. Personnel</b>		<b>11,360.00</b>	<b>2,350.00</b>	<b>13,710.00</b>	
Professional	J. Stute, Project management, skilled fieldwork, data management and analysis. \$60/hr, 136 hr, 6.5% time By activity: 3) 48 hr, 35.3%; 4) 48 hr, 35.3%; 5) 16 hr, 11.8%; 7) 8 hr, 5.9% Project management/ coordination, 16 hr, 11.8%	8,160.00	600.00		Match: SnapPlus simulation and CNMP. Value not placed on other activities including project design and fund raising.
Labor	Mark Steinfest, USDA Natural Resources Conservation Service Site survey and GIS mapping		1,500.00		Match: NRCS Steinfest, GPS layout
	Semi-skilled (Activities 3,5) \$30/hr, 40 hr	1,200.00			
	Compensated Volunteers				
	Stipends for commitment to "timely" RTK (GIS) plot layout and establishment 2, \$1,000 each	2,000.00			Activities compete directly with harvest season
	Buffer establishment, In-kind		250.00		
<b>2. Fringe benefits</b>	n/a, included in professional rate, 30%, equivalent	-	-	-	
<b>3. Non-expendable Equipment</b>	Automatic weather station iPad/ GIS software for repeat sampling station location, field data logging	395.00			Wisconsin Energy Foundation
<b>4. Materials and Supplies</b>	Start-up plot supply (flags, stakes, sample bags etc.) Bucket auger, 2.25" for profile samples Sampling supply (probes, buckets etc.), donated Seed, buffers to separate research/ demonstration areas from other cropland not used for this purpose.	512.38 200.00 187.88 124.50	200.00 200.00	712.38	Forrestry Suppliers, with shipping Forrestry Suppliers, with shipping Stute Welter Seed Soil Saver Waterway Mix, 2 bags, \$52.25/ bag, \$20.00 shipping
<b>5. Travel</b>	Routine mileage reimbursement Estimate: 250 miles (site visits, UW-Madison equipment transportation)	140.00 140.00	124.00	264.00	2021 IRS Rate \$0.56
	Site-visit, NRCS reimbursement for Steinfest, round trip from Juneau to Rochester, equipment rate \$1.00/mi, 124 miles		124.00		

62-10

6. All Other Direct Costs	4,347.70	16,386.95	20,734.65
Soil fertility sampling, analysis and mapping, 35 acres, 2.5 acre grid		270.65	
Soil health analysis, NRCS-216 package 12 samples, \$140/ sample	1,680.00		
Soil biology analysis, 35 acre main field, 12 samples Haney Test (Soil respiration), \$49.50/ sample PLFA Test (Biological community characterization), \$80.00/ sample	594.00 960.00		
Soil profile organic C 4 depths (0-6", 6-12", 12-24", 24-36")/ station, 12 stations, \$6/sample	288.00		
Particulate organic matter (POM) 2 depths (0-6", 6-12")/ station, 12 stations, \$6/ sample	144.00		
Recording penetrometer rental	500.00		
Sample shipping			
Soil chemistry, USPS large flat rate box, 2 locations, \$21.10 each	42.20		
Soil biology, FedEx Large, Overnight, 2 locations, 1 each, \$69.75	139.50		
2021 Uniformity year crop inputs*			
Cash costs-at risk		9,797.65	
Donated inputs and field operations		6,589.30	
Land, 35a, \$175/a		6,125.00	
<b>7. Total Direct Costs</b>	<b>16,360.08</b>	<b>23,560.95</b>	<b>39,921.03</b>
<b>Total</b>	<b>16,360.08</b>	<b>23,560.95</b>	<b>39,921.03</b>

Rock River Lab  
Cornell Soil Health Lab  
Ward Labs  
Univ. of Missouri Soil Health Assessment Center  
University of Wisconsin-Madison Agroecology Lab  
University of Wisconsin-Madison  
Note: will include purchase (\$6,045) in 2022 CIG budget submission, required annually.  
USPS flat rate  
FedEx with pick-up, ice packs included in supply  
WPCRC  
Multiple- see detail  
Racine County

\* Uniformity year budget detail/ documentation available upon request

**Donors**

- Gunderson Grain Farms
- Beck Grain Farms
- Mealy Farms
- Weis Farms
- ALCIVIA (Landmark) Cooperative
- Pioneer
- Stein Seeds
- Beck Hybrids
- Dairyland Seed

## Exhibit C

### WPCRC Case-Eagle Park Project

### Project Design

#### Experimental Design: Randomized Complete Block, 4 replications

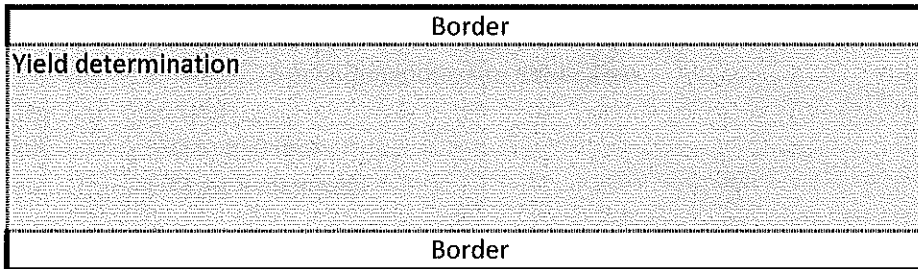
Plot width: 40 or 60 feet (site dependent)  
Plot length: 550 feet minimum  
Plot size: 0.51 to 0.76 acre minimum, site dependent  
Harvest width: 30 feet  
Harvest length: 550 to 850 feet  
Harvest area: 0.38 acre minimum

#### Plot Map

101	1	Conventional
102	2	Regenerative
201	2	Regenerative
202	1	Conventional
301	1	Conventional
302	2	Regenerative
401	2	Regenerative
402	1	Conventional

Randomized 7/16/2021, Research Randomizer <https://www.randomizer.org/>

#### Plot Layout



*Within plot border rows will be harvested in a manner which does not comele residue between plots.*

August 24, 2021

**RESOLUTION NO. 2021-**

**RESOLUTION BY THE RACINE COUNTY FINANCE AND HUMAN RESOURCES COMMITTEE ACCEPTING A GRANT FROM THE NATURE CONSERVANCY**

To the Honorable members of the Racine County Board of Supervisors:

**BE IT RESOLVED** by the Racine County Board of Supervisors that the Public Works and Development Services Department is authorized to apply for and to accept a Nature Conservancy Grant in the amount of \$15,000.00 for the Watershed Protection Committee of Racine County to utilize at the Case Eagle site; and

**BE IT FURTHER RESOLVED** by the Racine County Board of Supervisors that the transfer of funds, as set forth in Exhibit "A" that is attached hereto, within the Land Conservation Grants budget is hereby authorized and approved.

Respectfully submitted,

1st Reading \_\_\_\_\_

FINANCE AND HUMAN RESOURCES  
COMMITTEE

2nd Reading \_\_\_\_\_

**BOARD ACTION**

\_\_\_\_\_  
Robert N. Miller, Chairman

Adopted \_\_\_\_\_

For \_\_\_\_\_

Against \_\_\_\_\_

Absent \_\_\_\_\_

\_\_\_\_\_  
Q.A. Shakoor II, Vice-Chairman

VOTE REQUIRED: Majority

\_\_\_\_\_  
Russell Clark, Secretary

Prepared by:  
Public Works & Development  
Service's Department

\_\_\_\_\_  
Nick Demske

\_\_\_\_\_  
John A. Wisch

\_\_\_\_\_  
Thomas Pringle

\_\_\_\_\_  
Donald J. Trottier

62-13

1 Resolution No. 2021-

2 Page Two

3  
4 **The foregoing legislation adopted by the County Board of Supervisors of**  
5 **Racine County, Wisconsin, is hereby:**

6 **Approved:** \_\_\_\_\_

7 **Vetoed:** \_\_\_\_\_

8  
9 **Date:** \_\_\_\_\_,

10  
11 \_\_\_\_\_  
12 **Jonathan Delagrave, County Executive**

13  
14  
15 **INFORMATION ONLY**

16  
17 **WHEREAS** the grant will provide funds for the Watershed Protection Committee  
18 of Racine County (WPCRC) for agricultural research at the Case Eagle property; and

19  
20 **WHEREAS** the Case Eagle site will be used for demonstrations to promote  
21 agricultural practices to protect water quality, reduce soil erosion and improve soil health  
22 which leads to more sustainable agriculture; and

23  
24 **WHEREAS** the demonstrations will compare agricultural production practices  
25 based on crop yield, economic performance, and the environmental performance such as  
26 soil health and the carbon footprint; and

27  
28 **WHEREAS** during the current 2021 – 2024 lease, the WPCRC will capture and  
29 maintain the conventional agricultural management history as well as a transition to  
30 conservation best management practices including no-till, cover crops, and nutrient  
31 management planning.

62-14

**REQUEST FOR COUNTY BOARD ACTION**

YEAR	2021	X	Resolution Request
			Ordinance Request
			Report Request

Requestor/Originator: Sheriff's Office - Sheriff Chrisopher Schmalig

Person knowledgeable about the request who will appear and present before the Committee and County Board (2nd Reading)  
 If a person is not in attendance the item may be held over. Capt. Cary Madrigal

Does the County Executive know of this request: yes

If related to a position or position change, Does the Human Resources Director know of this request: \_\_\_\_\_

Does this request propose the expenditure, receipt or transfer of any funds? yes

If the answer is "YES". A fiscal note is required. If Fiscal Note is not created by Finance, send to Finance & Budget Manager before it goes to Committee.

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 8/18/2021 Date of County Board Meeting to be Introduced: 8/24/2021

1st Reading:  1st & 2nd Reading:  \*

\* If applicable, include a paragraph in the memo explaining why 1st and 2nd reading is required.

Signature of Committee Chairperson/Designee: \_\_\_\_\_

**SUGGESTED TITLE OF RESOLUTION/ORDINANCE/REPORT:**

Transfer of 1 FTE Non Rep - Non Exempt N060 Warrant Technician as of 8/30/21 from the Communicaitons Department to the Sheriff's Office and the transfer of \$27,833 from the Communicaitons Department 2021 Budget to the Sheriff's Office 2021 Budget

The suggested title should contain what the Committee is being asked to take action on (ex: Authorize, Approve) . If the action includes a transfer this must be included in the title.

**SUBJECT MATTER:**

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

If requesting a multi year contract a copy of the contract or draft contract must be attached

Any request which requires the expenditure or transfer of funds must be accompanied by a fiscal note that shows the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

**THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.**

ACCOUNT NAME	ACCOUNT NUMBER	CURRENT BUDGET	CURRENT BALANCE	TRANSFER	BUDGET AFTER TRANSFER	BALANCE AFTER TRANSFER
<b>COMMUNICATIONS DEPARTMENT</b>		2021 BUDGET PAGES 6-5 - 6-7				
					0	0
WAGES	13700000.401000	2,806,219	1,425,350	(18,848)	2,787,371	1,406,502
WORKERS COMP	13700000.402210	21,855	9,958	(142)	21,713	9,816
SOCIAL SECURITY	13700000.402220	222,899	106,304	(1,442)	221,457	104,862
RETIREMENT	13700000.402230	236,021	108,365	(1,527)	234,494	106,838
DISABILITY	13700000.402240	29,139	15,474	(188)	28,951	15,286
GROUP INSURANCE	13700000.402260	742,000	348,829	(5,385)	736,615	343,444
LIFE INSURANCE	13700000.402270	13,980	6,396	(94)	13,886	6,302
PUBLIC LIABILITY	13700000.436000	32,048	14,600	(207)	31,841	14,393
	<b>TOTAL SOURCES</b>				<b>(27,833)</b>	
<b>SHERIFFS OFFICE</b>		2021 BUDGET PAGES 30-5 - 30-11				
WAGES	11800000.401000	10,753,816	504,681	18,848	10,772,664	523,529
WORKERS COMP	11800000.402210	88,813	40,357	142	88,955	40,499
SOCIAL SECURITY	11800000.402220	902,586	418,762	1,442	904,028	420,204
RETIREMENT	11800000.402230	1,739,156	797,545	1,527	1,740,683	799,072
DISABILITY	11800000.402240	27,895	11,043	188	28,083	11,231
GROUP INSURANCE	11800000.402260	2,128,500	910,187	5,385	2,133,885	915,572
LIFE INSURANCE	11800000.402270	53,542	24,566	94	53,636	24,660
PUBLIC LIABILITY	11800000.436000	130,242	58,914	207	130,449	59,121
	<b>TOTAL USES</b>				<b>27,833</b>	
					<b>0</b>	

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.  
REASONS

FOR	AGAINST



\*AUG-24-2021\*

FISCAL NOTE RESOLUTION NO:

EXHIBIT "B"

Fiscal Year:

2021

FTE	POSITION	GRADE	WAGES	FRINGES	CONTRACT	TOTAL
-----	----------	-------	-------	---------	----------	-------

The position movement will be effective 8/30/21

**Communications Department**

**Budget 2021 Pages 6-5**

-1.000 Warrant Technician	N060	(18,848)	(8,985)	(27,833)
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**Sheriff's Office**

**Budget 2021 Pages 6-5**

1.000 Warrant Technician	N060	18,848	8,985	27,833
--------------------------	------	--------	-------	--------

<u>0.000</u>	<b>Total</b>	<u>0</u>	<u>0</u>	<u>0</u>
--------------	--------------	----------	----------	----------

66-3



## RACINE COUNTY SHERIFF'S OFFICE

717 Wisconsin Avenue, Racine, WI 53403-1237

(262) 886-2300 FAX (262) 637-5279

Waterford (262) 534-5166 Burlington (262) 763-9558

Sheriff Christopher Schmaling

Chief Deputy John C. Hanrahan

August 12, 2021

To: Robert Miller  
Chairman, Finance and Human Resources Committee

From: Sheriff Christopher Schmaling

Ref: Position Transfer from Dispatch to Sheriff's Office

---

The Warrants Technician position is currently vacant as the employee took another position within Racine County. This triggered a review of the duties assigned which include, but are not limited to:

- Maintain file system related to Warrants and Commitments being worked on by the Sheriff's Office.
- Perform research by various means, including computer files, on wanted individuals to obtain accurate current personal information.
- Attempt initial contact with "wanted" individuals to "order in" rather than arrest by officer.
- Work with court personnel to determine validity of disputed commitments and warrants.
- Handle telephone and "walk-in" questions concerning Warrants and Commitments.
- Process detainers with various law enforcement agencies and correctional institutions in the State.
- Enter, cancel, and validate warrants, injunctions, TRO's, and articles entered into the TIME System.
- Run driver files, vehicle registrations and criminal histories for court purposes.
- Inputs and Updates spreadsheets on wanted individuals with Domestic Violence charges
- Maintains and tracks offenders for County website and RASO's Most Wanted pages

The duties of this position fall into a Support position for the Sheriff's Office based on the duties listed above. This position, in no way, acts as a Dispatch Technician, and will not interfere with any contractual obligations for the municipalities required within the Communications Center, so it makes sense to transfer this position under the Sheriff's Office. Racine County Communications Director Bratz and Chief Deputy Weidner are in full agreement on this after reviewing the position's duties.

Due to the fact that this position is currently vacant, we are asking to expedite the posting and hiring for said position, we are respectfully requesting that this matter be considered for 1<sup>st</sup> and 2<sup>nd</sup> reading of the County Board.

If you have any questions concerning this matter, please feel free to contact me. I will also have staff available at the Finance Committee meeting to answer any questions that you may have.

Christopher Schmaling  
Sheriff, Racine County

By: Cary Madrigal  
Captain – Support Services

66-4



2018 RACINE COUNTY INVESTMENT INCOME

RACINE COUNTY FINANCE 2nd QTR REPORT 2021

AVERAGE MONTHLY	DANA	DANA (ARPA)	LGIP	BMO HARRIS	JOHNSON (FOXCONN) 2018
2nd Q BEGIN BALANCE	\$ 33,446,948.91	\$ -	\$ 26,812,853.02	\$ 431,009.51	\$ 1,516,860.14
2nd Q END BALANCE	33,443,490.33	19,038,417.39	39,816,747.38	434,863.06	1,364,042.43
AVERAGE BALANCE	33,463,858.72	19,054,810.40	31,147,827.81	432,803.34	1,429,678.60
INTEREST EARNED	32,181.86	(27,157.03)	3,894.36	10,081.59	111.82
ACTUAL YIELD	0.10%	-0.14%	0.01%	2.33%	0.01%
ESTIMATED ANNUAL YIELD**	0.38%	-0.57%	0.05%	9.32%	0.03%

Respectfully submitted,

Brian J. Nelson  
 Racine County Finance Director

\* ESTIMATED ANNUAL YIELD:  
 based on actual results year-to-date  
 before DANA Investment Advisor Fee

7.2

Report No:

2021 MONTHLY BALANCES

MONTH	DANA	DANA (ARPA)	LGIP	BWO HARRIS	JOHNSON (FOXCONN) '18
JAN	\$ 33,351,187.52		\$ 4,816,043.70	\$ 437,965.61	1,516,721.76
FEB	33,366,710.01		26,817,179.52	431,363.42	1,516,773.29
MAR	33,413,741.64		26,811,566.69	426,384.65	1,516,819.83
APR	33,446,948.91		26,812,853.02	431,009.51	1,516,860.14
MAY	33,501,136.91	19,071,203.40	26,813,883.03	432,537.44	1,408,133.22
JUN	33,443,490.33	19,038,417.39	39,816,747.38	434,863.06	\$ 1,364,042.43
JUL					
AUG					
SEP					
OCT					
NOV					
DEC					
YEARLY AVG	\$ 33,420,535.89	\$ 19,054,810.40	\$ 25,314,712.22	\$ 432,353.95	\$ 1,473,225.11

7-3



# Racine County

W I S C O N S I N

AS OF JUNE 30, 2021

## Investment Management Team



**J. Joseph Veranth, CFA**  
Chief Investment Officer  
(262) 782-7273  
[Joe@DanaInvestment.com](mailto:Joe@DanaInvestment.com)



**Robert Leuty, CFA**  
Director of Fixed Income and Portfolio Manager  
(262) 782-1876  
[RobL@DanaInvestment.com](mailto:RobL@DanaInvestment.com)



**Matthew Slowinski, CFA**  
Senior Vice President – Portfolio Manager  
(262) 782-6091  
[Matt@DanaInvestment.com](mailto:Matt@DanaInvestment.com)

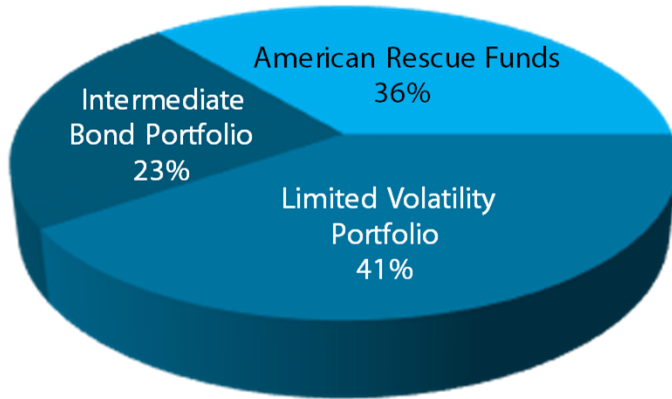


**Noaman Sharief**  
Senior Vice President – Portfolio Manager  
(262) 780-6093  
[Noaman@DanaInvestment.com](mailto:Noaman@DanaInvestment.com)

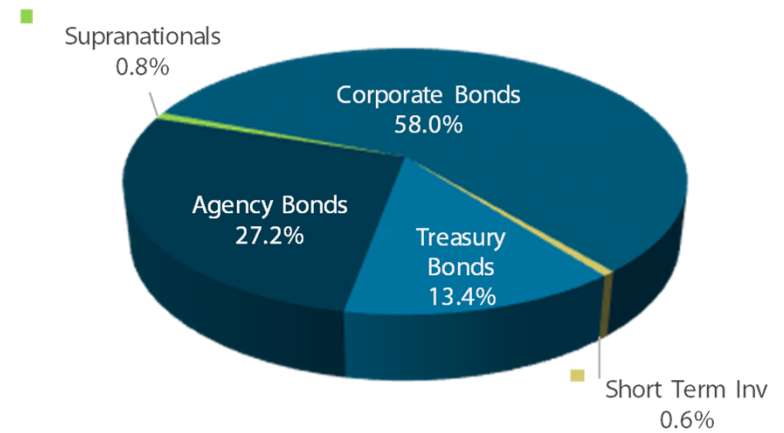
- As of June 30, 2021, the Racine County combined portfolio's (2198m) YTM was 0.687%<sup>††</sup> with an effective duration of 1.450
  - Racine County's American Rescue Funds (ARF) (2198mc) YTM was 0.645%<sup>††</sup>.
- Racine County's 2198m Allocation as of June 30, 2021:
  - ✓ 77% Dana Limited Volatility Bond Strategy
  - ✓ 23% Dana Intermediate Bond Strategy (2198mb)
- Estimated average annual dollar yield of the Racine County combined portfolio over the Wisconsin LGIP since inception through 06/30/2021 = \$ 234,752<sup>1†\*</sup>.



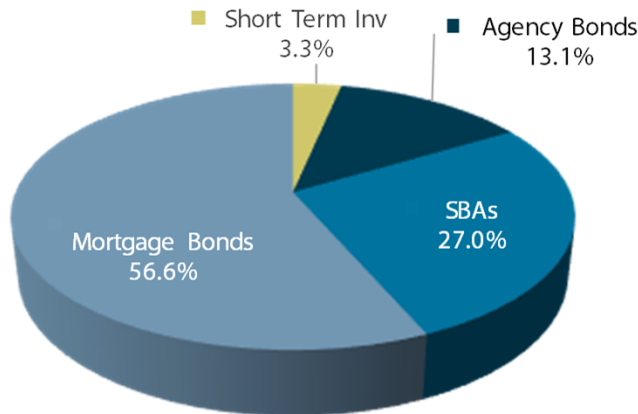
2198m - Racine County  
June 30, 2021



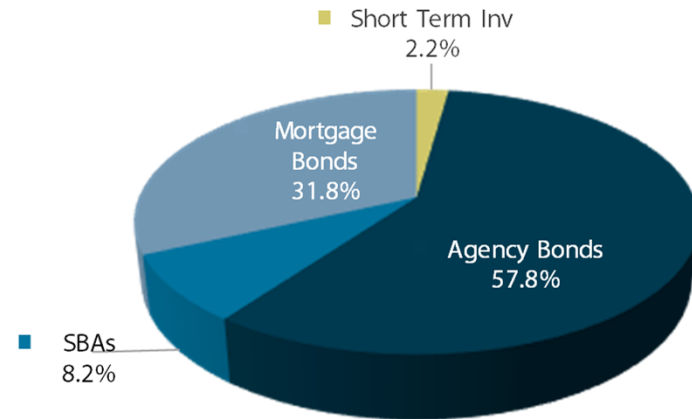
2198mb - Racine County - Intermediate Bond Portfolio  
June 30, 2021



2198ma - Racine County - Limited Volatility Bond Portfolio  
June 30, 2021



2198mc - Racine County - American Rescue Funds Portfolio  
June 30, 2021

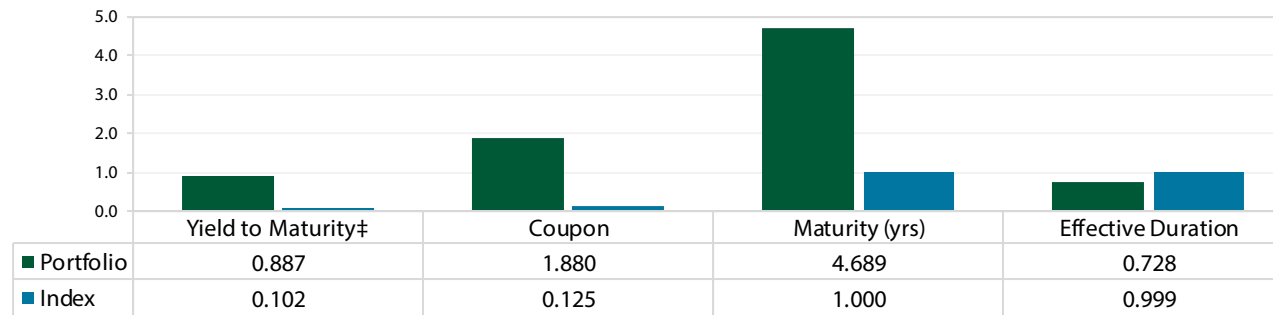


2198mb Racine County Intermediate Portfolio versus ICE BofAML 1-3 Year Govt/Corp AA+ Index as of June 30, 2021 †

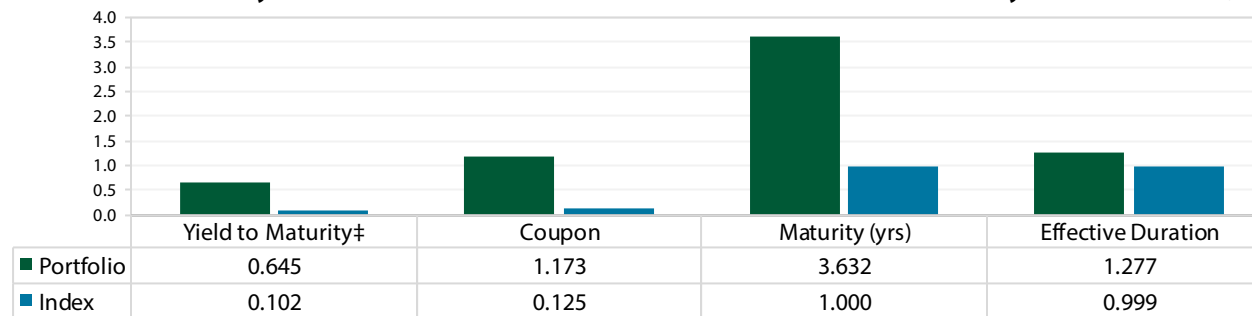
Maturity (Years)			Effective Duration			Coupon		
	Portfolio	Index		Portfolio	Index		Portfolio	Index
CASH	0.6%	-	CASH	0.6%	-	CASH	0.6%	-
<0.00	-	-	<0.00	-	-	<0.00	-	-
0.00 - 0.99	15.2%	-	0.00 - 0.99	15.2%	2.8%	0.00 - 0.99	18.8%	39.6%
1.00 - 1.99	28.5%	54.7%	1.00 - 1.99	37.8%	56.2%	1.00 - 1.99	10.1%	24.0%
2.00 - 2.99	35.0%	45.3%	2.00 - 2.99	25.6%	41.0%	2.00 - 2.99	43.4%	33.5%
3.00 - 3.99	17.3%	-	3.00 - 3.99	17.3%	-	3.00 - 3.99	24.4%	1.5%
4.00 - 4.99	3.4%	-	4.00 - 4.99	3.4%	-	4.00 - 4.99	2.7%	0.0%
5.00 - 6.99	-	-	5.00 - 5.99	-	-	5.00 - 5.99	-	0.1%
7.00 - 9.99	-	-	6.00 - 6.99	-	-	6.00 - 6.99	-	0.5%
10.00 - 14.99	-	-	7.00 - 7.99	-	-	7.00 - 7.99	-	0.8%
15.00 - 19.99	-	-	8.00 - 8.99	-	-	8.00 - 8.99	-	-
20.00 - 24.99	-	-	9.00 - 9.99	-	-	9.00 - 9.99	-	-
25.00+	-	-	10.00+	-	-	10.00+	-	-

- The overall duration is similar as that of the benchmark
- The Racine County Intermediate portfolio has a higher yield and coupon than the benchmark
- Corporate sector overweight was a huge contributor to the overall performance during the quarter

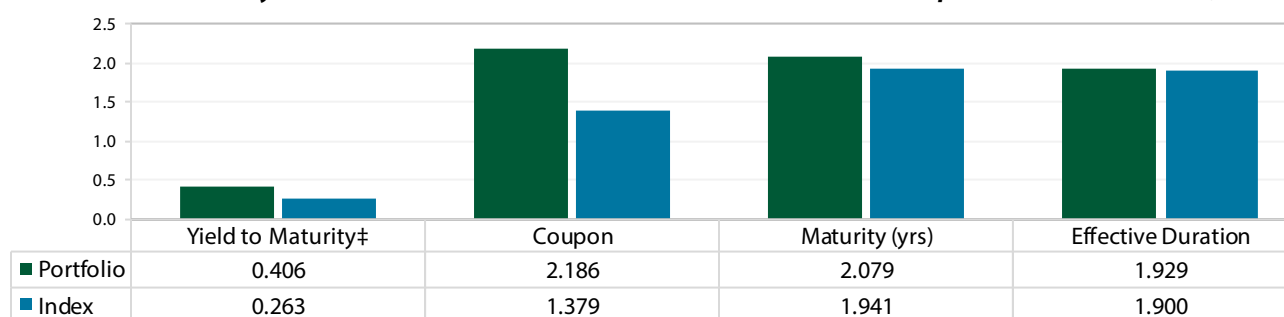
**2198ma Racine County Limited Volatility Portfolio versus ICE BofAML 1 Year Treasury Index as of June 30, 2021 †**



**2198mc Racine County American Rescue Funds Portfolio versus ICE BofAML 1 Year Treasury Index as of June 30, 2021**



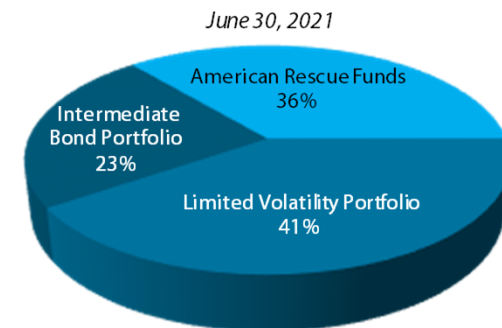
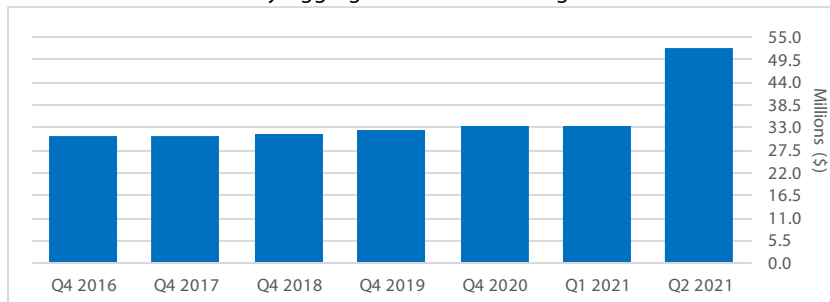
**2198mb Racine County Intermediate Portfolio versus ICE BofAML 1-3 Year Govt/Corp AA+ Index as of June 30, 2021 †**



Account Activity 3/31/2021 to 6/30/2021	
Portfolio Value on 03/31/2021	\$33,432,513.48
Contributions/Withdrawals	\$19,063,141.25
Investment Income	\$136,639.87
Unrealized Gain/Loss	(\$88,906.51)
Realized Gain/Loss	(\$67,510.93)
Change in Accrued Income	\$27,252.45
Portfolio Value on 06/30/2021	\$52,503,129.61
Total Gain after fees	(\$9,552.67)

Portfolio Allocation			
Summary as of 6/30/2021	Market Value	% Assets	Income
Short Term Investments	1,196,466	2.3	102
Treasury Bonds	1,640,340	3.1	35,050
Agency Bonds	17,109,789	32.6	100,915
Small Business Administration Bonds	7,289,098	13.9	124,931
Mortgage Bonds	18,069,856	34.4	434,639
Corporate Bonds	7,096,037	13.5	174,823
Supranationals	101,544	.2	1,625
<b>Total Portfolio</b>	<b>52,503,130</b>	<b>100.0</b>	<b>872,084</b>

Racine County Aggregate Portfolio Ending Market Values



Data Through June 30, 2021

Racine County Total Returns are Net of Fees and Annualized (unless otherwise noted)

	Yield to Maturity †	Unannualized Total Return			Average Annual Since Inception	
		Q2 2021	YTD	Last 12 Months	Total Return	Yield
<b>2198m - Racine County</b>	<b>0.69</b>	<b>0.00</b>	<b>0.15</b>	<b>0.29</b>	<b>1.08</b>	<b>1.40</b>
<b>2198ma - Limited Volatility</b>	<b>0.89</b>	<b>0.21</b>	<b>0.58</b>	<b>0.57</b>	<b>1.03</b>	<i>n/a</i>
<b>2198mb - Intermediate</b>	<b>0.41</b>	<b>0.08</b>	<b>-0.15</b>	<b>0.25</b>	<b>1.28</b>	<i>n/a</i>
<b>2198mc - ARF Limited Volatility</b>	<b>0.65</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-0.33</b>	<i>n/a</i>
ICE BofA ML 1 Year Treasury Note	0.10	0.02	0.09	0.22	0.91	<i>n/a</i>
ICE BofA ML US Gov/Corp 1-3 Year AA or Better	0.26	-0.02	-0.06	0.13	1.26	<i>n/a</i>
Wisconsin LGIP	0.04	0.01	0.03	0.09	0.66	0.66
<b>Racine County \$ over LGIP (Cumulative Return) <sup>1</sup></b>					<b>\$1,362,413</b>	
<b>Racine County \$ over LGIP (Average Annual Yield) <sup>2</sup></b>						<b>\$ 234,752</b>

### The Economic Rebound

- Econ indicators still burning bright
  - ISM Manufacturing and Services remain above 60
  - GDP 6.4% for Q1
    - » GS Macro tracking at 8.5% for Q2, 6.8% for 2021
    - » Atlanta Fed 7.8% for Q2
  - Unemployment hovering around 5.9%
  - Housing supply is overwhelmed
  - Supply chains could take several quarters to normalize
- Inflation concerns moderate slightly in June
- Commodities pulling back after run higher as supply increases

### U.S. Economy

- Supplemental unemployment benefit expires in September
- Fed tone changing at the margin
  - Dot Plot shift
  - Focus on “broad and inclusive” employment
- Reconciliation infrastructure bill still possible
  - A mix of pork, agenda items, and real infrastructure
  - Tax changes probable, but sharpness looks blunted

### Retail and Rotations

- Retail Presence is still heavy – AMC, GME, meme stocks
- Value vs growth continue to trade blows
- Large caps and growth rebound in Q2, but small caps lead YTD
- 10 year yield declines, closes Q2 at 1.45%
- Growth stocks trading with sensitivity to interest rates

### Pandemic

- Lockdowns and re-openings proceed
- Vaccine administration levelling out, 54% of US population has had one shot
- Variants are numerous
  - “Delta” variant leads new case concerns
  - Vaccines appear to reduce risk of variants
- Biden ordered a 90 day review of Lab Leak issue after credibility increased

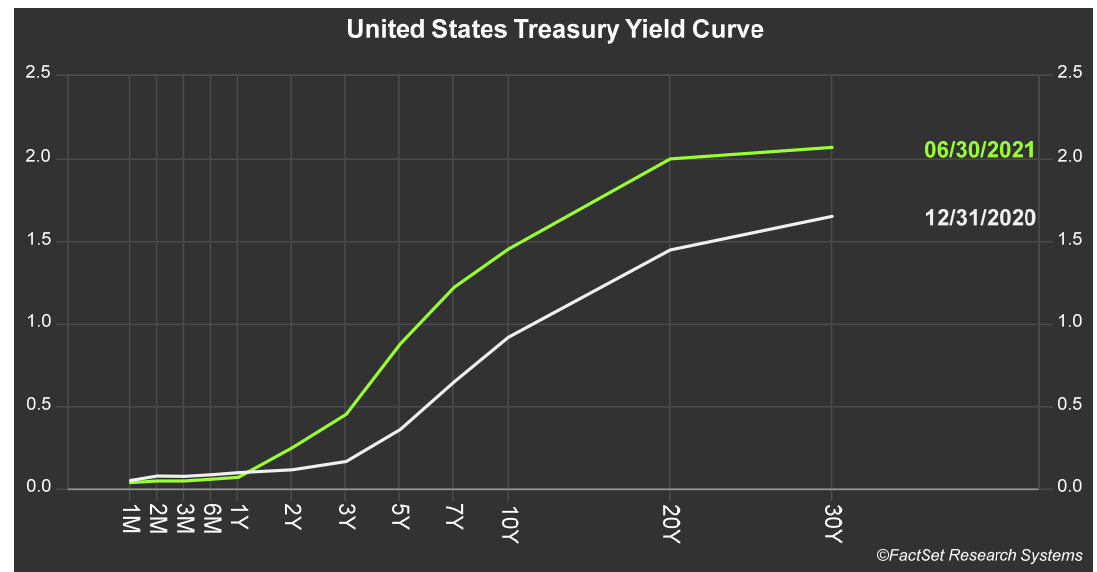
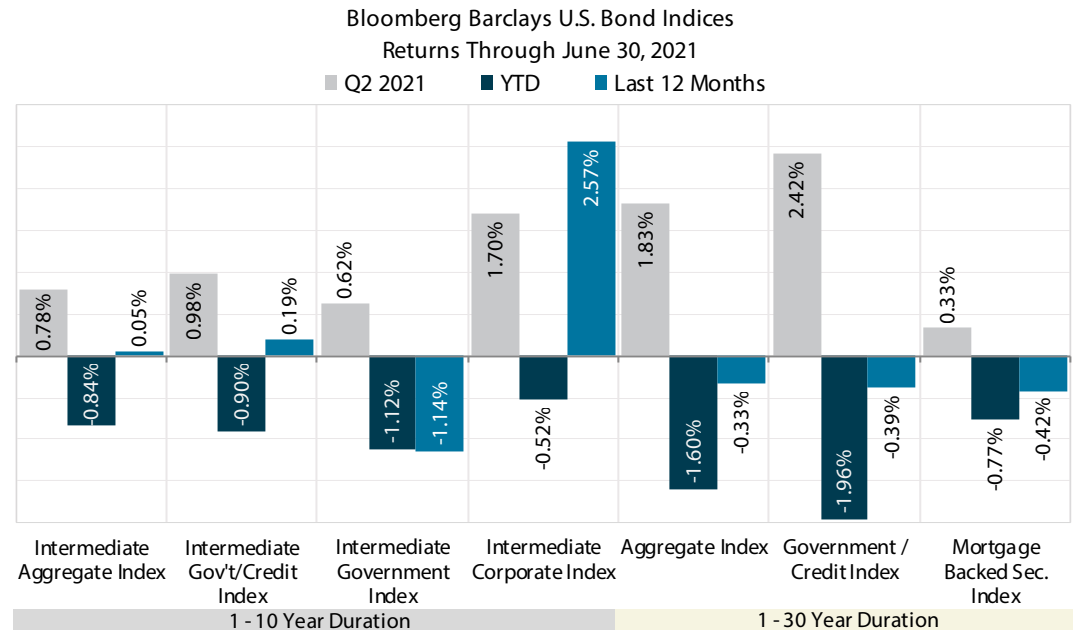
### The new questions to worry about

- Inflation is getting a lot of air time
- Fed speak is more in focus
- Taper tantrum risk?
- What about the debt ceiling?
- Whose taxes are going higher?

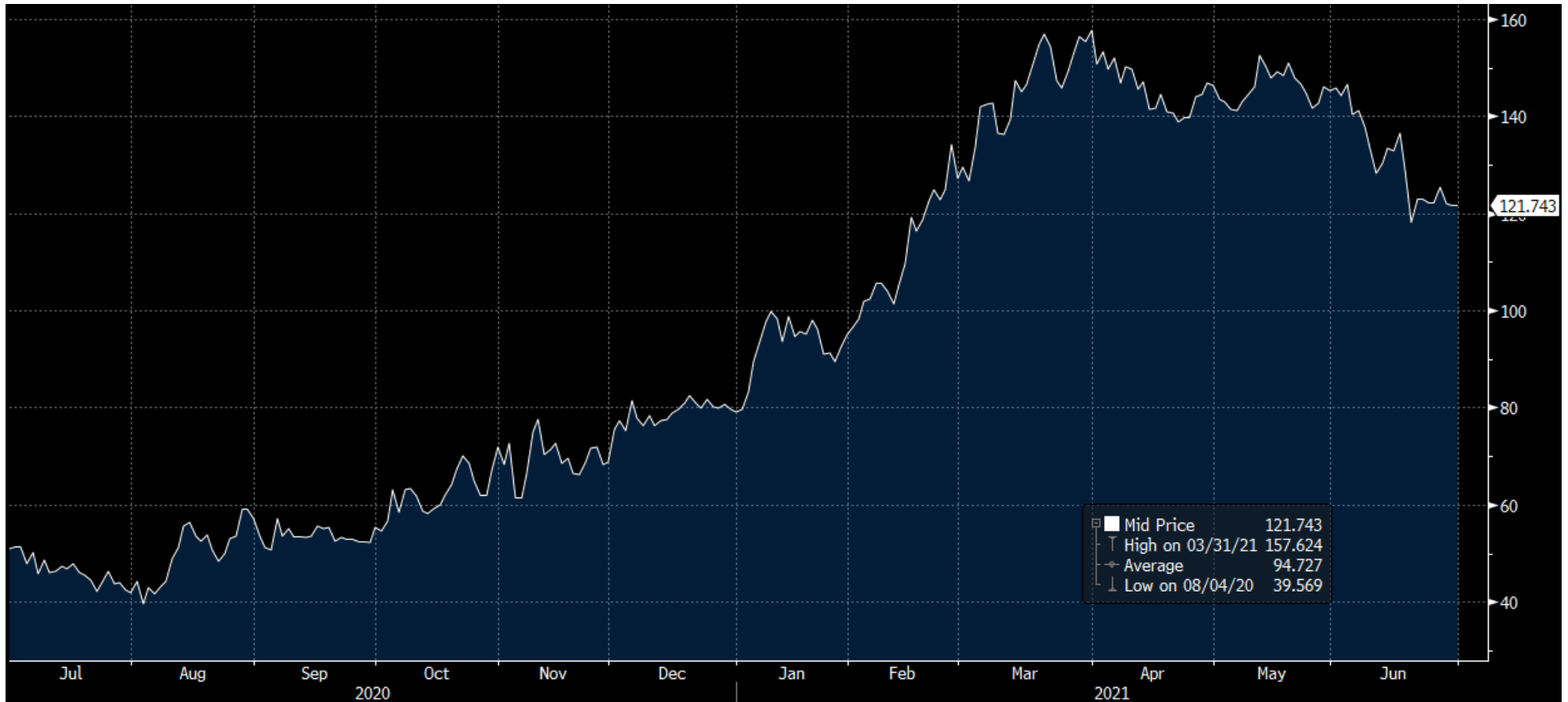
The second quarter saw Treasuries rally and recoup some of their losses from the first quarter. The yield curve flattened, as intermediate rates rose slightly on concerns of an earlier rate increase. Longer rates fell 20-30 basis points, showing market concern that the Fed might be too early in removing stimulus. Bond investors demonstrated faith in the strength of the recovery by keeping corporate spreads narrow, resulting in higher total returns for the quarter. The 10-year Treasury yield declined from 1.74% to 1.47%.

Longer maturity and lower-rated bonds across all sectors performed better than their shorter counterparts. Corporations continue to be able to refinance debt at very low yields pushing the maturities further out into the future. The low cost of maintaining their debt levels as well as the longer average maturity dates made the debt easily serviceable, and the economic outlook remains strong. The combination continues to provide positive support to both spreads and yields.

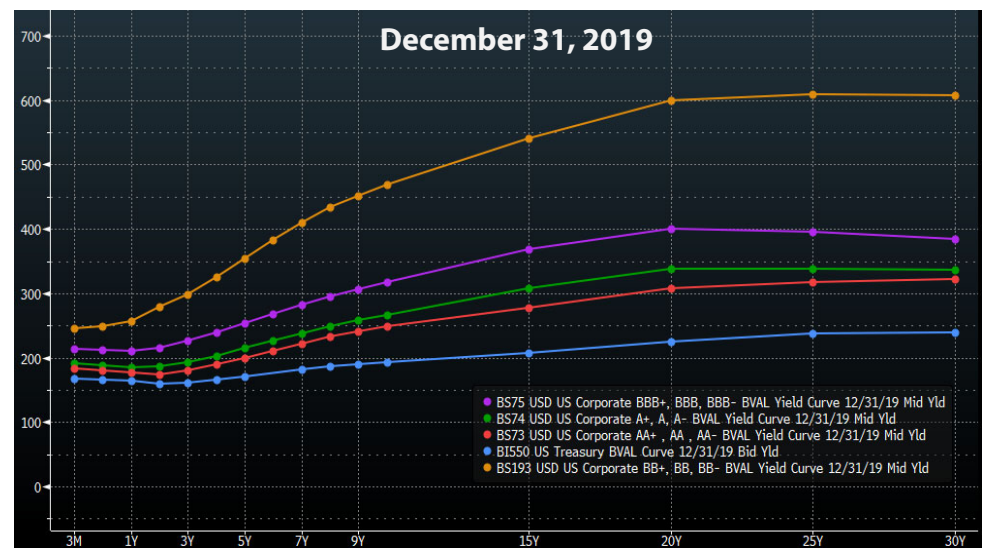
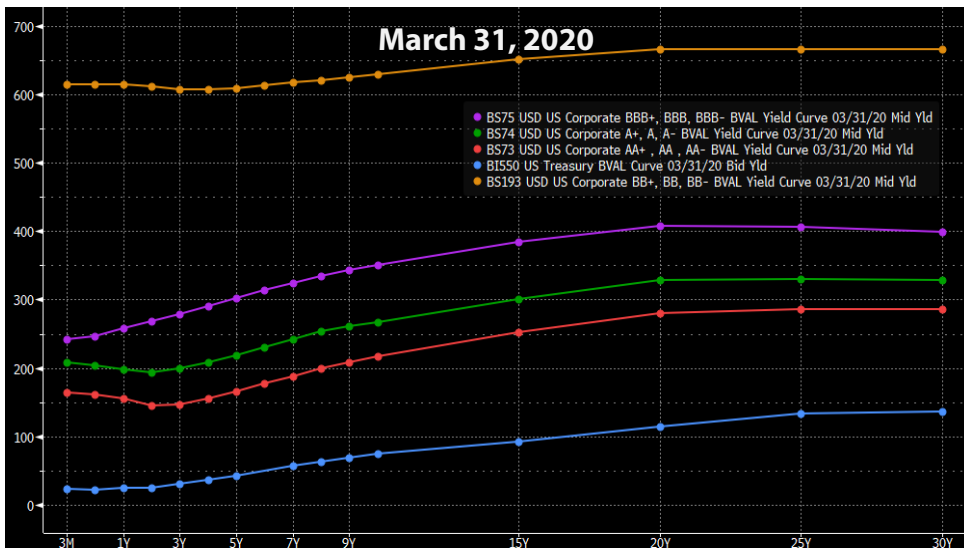
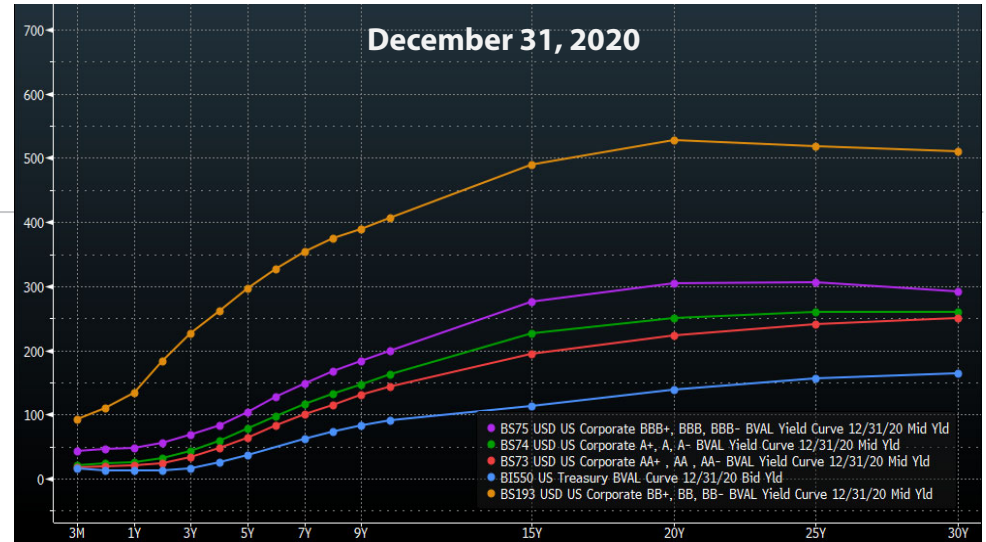
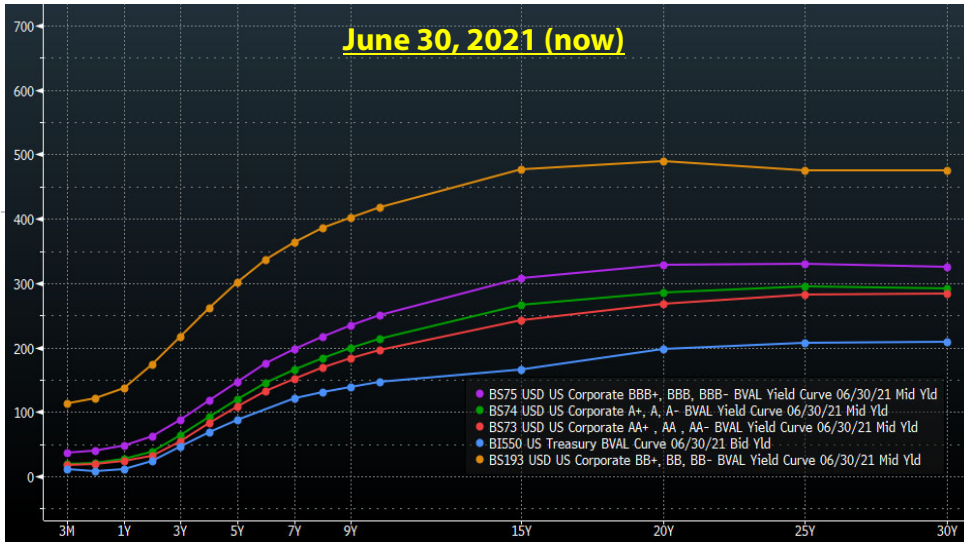
We continue to suggest that investors manage their average duration within a certain range utilizing higher credit quality as a means to mitigate the impact of a potential further economic slowdown or spread widening. Investors need to maintain a well-diversified core fixed income portfolio in an active manner to continue compounding interest income no matter what path interest rates may follow. Fixed income investments provide important benefits, including income, diversification, lower volatility, and the predictability of an income stream.



Treasury 2 year - 10 year spreads  
07/01/2020 to 06/30/2021



- The 2-10 year spread is off its widest since the last quarter, but still much wider than it has been in the last 5 years
- The spread averages for last 5 and 10 years are 62, and 116 basis points respectively

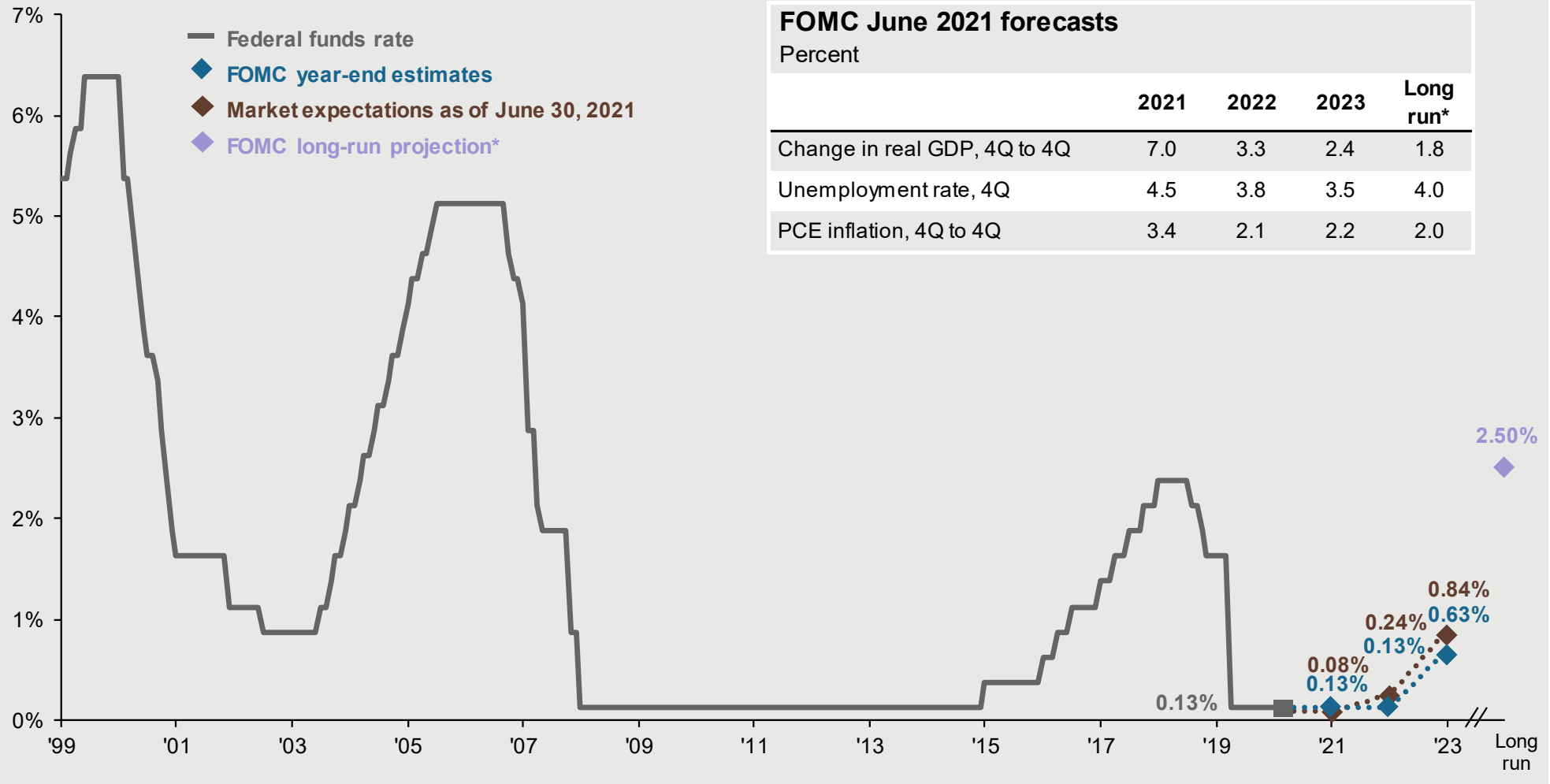


- The ultra-front end of the investment-grade curves continue to remain below 50 basis points
- The distinction between AA, A, and BBB-rated corporate bonds has significantly collapsed as investors continue to reach for yield



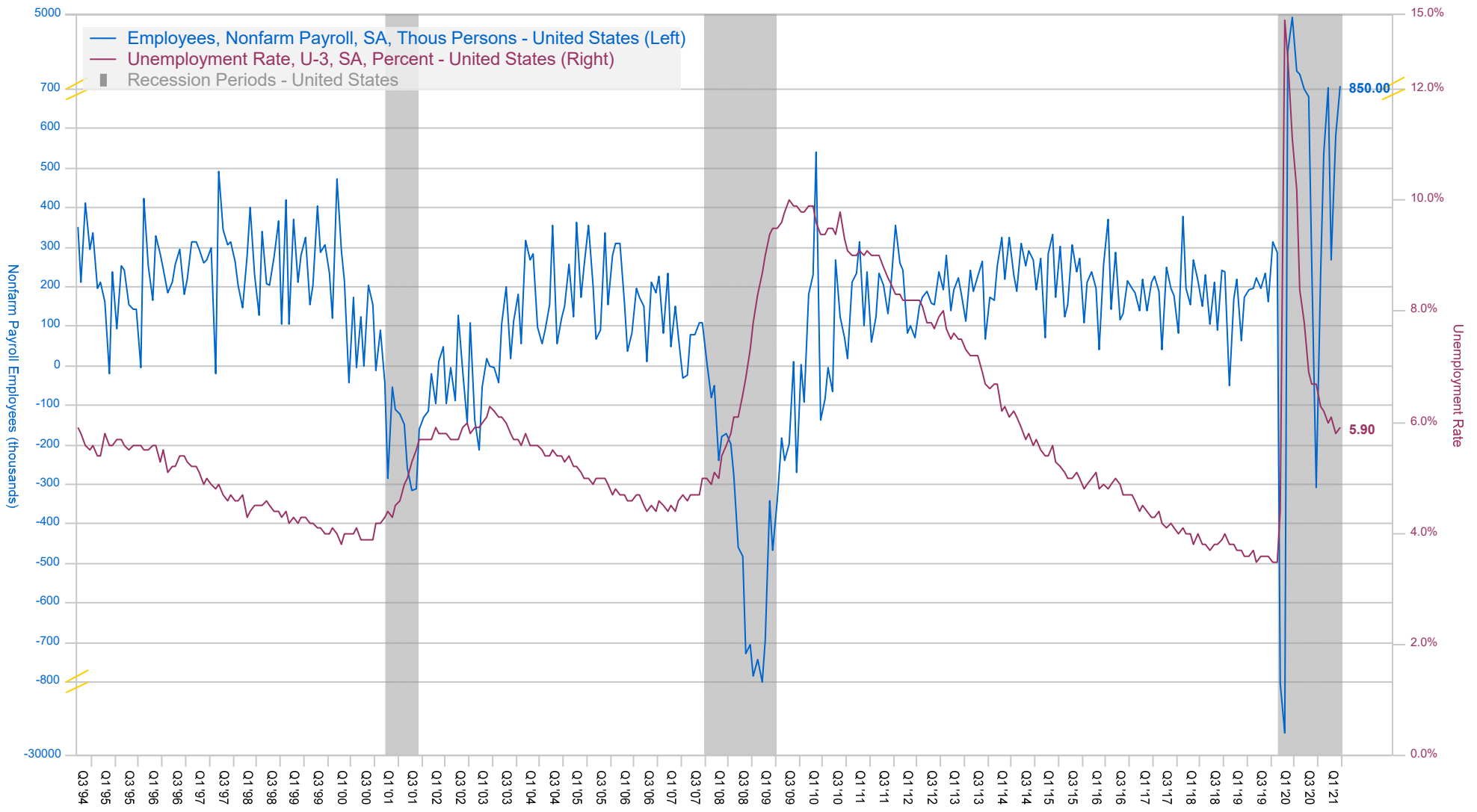
### Federal funds rate expectations

FOMC and market expectations for the federal funds rate



07/19/2021-JLKG

U.S. Change in Nonfarm Employment (thous) and Unemployment Rate (%): 9/30/1994 through 6/30/2021



©FactSet Research Systems

07/16/2021 JLGK

Dana Investment Advisors, Inc.  
PORTFOLIO HOLDINGS



Report as of: 06/30/2021

Portfolio: 2198ma - Racine County - Limited Volatility

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
<b>Cash</b>							
<b>Short Term Investments</b>							
	000009	Cash - Money Fund		532,238.99	2.51	.00	.01
<b>Total Short Term Investments</b>				<b>532,238.99</b>	<b>2.51</b>	<b>.00</b>	<b>.01</b>
<b>Bonds</b>							
<b>Agency Bonds</b>							
800,000	3134GVGQ5	FREDDIE MAC 0.19% Due 12/10/2021	100.03	800,208.00	3.77	84.44	.19
1,000,000	3133EMLT7	FEDERAL FARM CREDIT BANK 0.21% Due 12/28/2023	99.49	994,940.00	4.69	11.67	.21
1,000,000	3133EMYD8	FEDERAL FARM CREDIT BANK 0.85% Due 11/03/2025	99.67	996,660.00	4.70	1,345.83	.85
<b>Total Agency Bonds</b>				<b>2,791,808.00</b>	<b>13.17</b>	<b>1,441.94</b>	<b>.43</b>
<b>Mortgage Bonds</b>							
4,439.05	3128HD2K4	FH 847078 2.355% Due 04/01/2029	100.44	4,458.64	.02	17.94	2.34
70,996.59	36225CZ82	G2 80766 2.125% Due 11/20/2033	103.45	73,442.92	.35	121.53	2.05
51,238.84	36225DAS3	G2 80916 2.875% Due 05/20/2034	103.94	53,256.42	.25	118.67	2.77
58,636.54	36225DDY7	G2 81018 2.25% Due 08/20/2034	104.28	61,148.70	.29	106.28	2.16
110,885.39	36225DKE3	G2 81192 2.125% Due 10/20/2034	101.69	112,761.13	.53	189.81	2.09
104,043.45	36225DLD4	G2 81223 2% Due 01/20/2035	103.44	107,619.22	.51	167.63	1.93
41,745.63	31407PJH2	FN 836464 2.093% Due 10/01/2035	104.60	43,666.80	.21	73.14	2.08
31,745.07	31412V7B8	FN 936590 1.305% Due 04/01/2037	102.13	32,421.87	.15	33.37	1.28
149,955.66	31385XG92	FN 555624 2.272% Due 03/01/2038	102.48	153,675.61	.72	277.11	2.24
33,844.34	36225E2F8	G2 82573 2.25% Due 07/20/2040	104.31	35,301.57	.17	61.34	2.16
82,208.45	31347AQP2	FH 840462 2.521% Due 01/01/2042	105.57	86,787.71	.41	340.78	2.39
37,525.85	3138EHYX4	FN AL1625 2.167% Due 04/01/2042	105.03	39,412.01	.19	65.51	2.06
64,959.40	3138EH6J6	FN AL1772 2.102% Due 04/01/2042	104.62	67,959.94	.32	109.99	2.01
45,453.79	36179MDD9	G2 MA0100 2.875% Due 05/20/2042	104.33	47,423.89	.22	105.27	2.76
119,640.19	3140J7UU7	FN BM3294 2.283% Due 06/01/2042	105.58	126,321.25	.60	220.03	2.16
19,930.59	31300MXB4	FH 849674 2.176% Due 11/01/2042	104.44	20,815.77	.10	72.49	2.08
119,636.47	31347AFT6	FH 840178 2.224% Due 12/01/2042	104.59	125,126.58	.59	443.36	2.13
28,393.93	31300MPF4	FH 849422 2.229% Due 02/01/2043	104.81	29,759.68	.14	105.62	2.13
67,179.08	3138EQDW9	FN AL7316 2.176% Due 02/01/2043	104.94	70,497.99	.33	117.76	2.07
41,233.41	3138EK2Y0	FN AL3490 1.866% Due 05/01/2043	104.54	43,106.85	.20	61.98	1.78
51,442.21	31347AFZ2	FH 840184 2.261% Due 06/01/2043	105.42	54,230.74	.26	193.53	2.14
37,981.01	31347AJ88	FH 840287 2.258% Due 06/01/2043	104.97	39,867.26	.19	142.51	2.15
63,398.42	31347AJT2	FH 840274 2.347% Due 07/01/2043	105.52	66,900.73	.32	250.04	2.22
65,459.37	3138XMRB8	FN AV9481 1.681% Due 07/01/2043	103.03	67,440.24	.32	88.64	1.63
30,618.84	36179NJU3	G2 MA1175 2.25% Due 07/20/2043	103.70	31,751.64	.15	55.50	2.17
212,875.30	3140FCAP3	FN BD4513 2.125% Due 03/01/2044	104.79	223,069.90	1.05	364.40	2.03
112,921.90	3140J8D83	FN BM3726 2.26% Due 05/01/2044	105.12	118,702.71	.56	205.58	2.15
32,223.36	3138ET2R6	FN AL8883 2.231% Due 07/01/2044	104.28	33,603.55	.16	57.91	2.14
35,056.98	3138ERE71	FN AL9157 2.199% Due 07/01/2044	104.89	36,770.71	.17	62.10	2.10
170,706.53	31347AR71	FH 840510 2.181% Due 12/01/2044	104.01	177,543.83	.84	630.02	2.10
75,630.75	31347ATG9	FH 840551 2.116% Due 03/01/2045	104.39	78,952.38	.37	265.08	2.03
137,370.29	31347A2T0	FH 840786 2.047% Due 03/01/2045	104.22	143,162.78	.68	478.16	1.96
24,533.42	3138EQEH1	FN AL7335 2.33% Due 04/01/2045	102.77	25,212.73	.12	46.05	2.27
64,809.95	3140J7PW9	FN BM3136 1.994% Due 04/01/2045	104.18	67,520.89	.32	104.10	1.91
137,243.46	31347A4F8	FH 840822 2.223% Due 07/01/2045	104.48	143,391.69	.68	503.16	2.13
261,918.93	3140J8HL0	FN BM3834 2.119% Due 08/01/2045	104.38	273,391.24	1.29	447.09	2.03
455,083.74	31288QG38	FH 841118 2.611% Due 05/01/2046	104.39	475,047.35	2.24	1,995.56	2.50
250,421.56	31347A5Z3	FH 840864 2.159% Due 11/01/2046	104.97	262,877.78	1.24	932.97	2.06
206,898.67	31288QA83	FH 840931 2.168% Due 11/01/2046	104.98	217,210.29	1.02	770.21	2.07
177,828.16	31288QBM1	FH 840944 2.222% Due 11/01/2046	104.73	186,234.45	.88	662.40	2.12
195,304.03	31288QCN8	FH 840977 2.243% Due 02/01/2047	104.34	203,772.03	.96	734.90	2.15
465,088.86	31288QE22	FH 841053 2.08% Due 02/01/2047	104.15	484,396.56	2.28	1,614.24	2.00

Dana Investment Advisors, Inc.  
PORTFOLIO HOLDINGS



Report as of: 06/30/2021

Portfolio: 2198ma - Racine County - Limited Volatility

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
396,847.55	3140JAMT2	FN BM5769 2.052% Due 04/01/2047	104.20	413,498.48	1.95	655.99	1.97
477,159.93	3140JA3Y2	FN BM6214 2.716% Due 04/01/2047	103.53	493,992.70	2.33	1,043.97	2.62
606,965.37	3140JBD94	FN BM6427 2.691% Due 07/01/2047	104.99	637,232.91	3.00	1,315.75	2.56
663,585.04	31288QFZ8	FH 841084 2.486% Due 08/01/2047	103.76	688,531.19	3.25	2,755.19	2.40
512,691.01	31288QF21	FH 841085 2.675% Due 09/01/2047	103.87	532,519.34	2.51	2,317.49	2.58
653,107.99	31288QHK9	FH 841134 2.612% Due 12/01/2047	104.21	680,579.67	3.21	2,867.67	2.51
535,420.56	3140JBB39	FN BM6357 2.654% Due 12/01/2047	104.15	557,649.62	2.63	1,144.70	2.55
508,183.81	3140JBCW4	FN BM6384 2.28% Due 12/01/2047	104.07	528,853.17	2.49	933.36	2.19
276,951.49	3140JAWS3	FN BM6056 2.695% Due 09/01/2048	104.64	289,808.41	1.37	601.25	2.58
405,116.06	3140JA3M8	FN BM6203 3.174% Due 01/01/2049	104.60	423,736.00	2.00	1,035.81	3.03
430,736.55	3622AAGQ1	G2 784807 2.478% Due 08/20/2049	103.79	447,070.94	2.11	859.82	2.39
658,531.86	3140JBFP6	FN BM6473 3.161% Due 09/01/2049	104.87	690,614.21	3.26	1,676.86	3.01
826,943	31288QLR9	FH 841236 2.665% Due 05/01/2050	103.89	859,151.60	4.05	1,775.29	2.57
<b>Total Mortgage Bonds</b>				<b>11,989,254.27</b>	<b>56.54</b>	<b>32,396.91</b>	<b>2.38</b>
<b>Small Business Administration Bonds</b>							
8,301.77	83164LDW8	SBA 509117 2.575% Due 08/25/2022	99.73	8,279.06	.04	45.47	2.58
24,709.48	83164KNU3	SBA 508503 2.575% Due 12/25/2024	100.48	24,828.75	.12	108.69	2.56
527,136.52	83164MFL8	SBA 510071 2.625% Due 12/25/2027	102.48	540,183.15	2.55	2,295.23	2.56
222,027.81	83164JER3	SBA 507344 1.73% Due 05/25/2030	102.27	227,064.07	1.07	635.09	1.69
113,760.24	83164JNC6	SBA 507587 1.75% Due 03/25/2031	102.27	116,345.21	.55	328.89	1.71
75,433.05	83164LEJ6	SBA 509137 1.881% Due 05/25/2033	101.23	76,361.03	.36	234.03	1.86
642,641.84	83164MMX4	SBA 510274 2% Due 03/25/2037	102.25	657,080.72	3.10	2,116.12	1.96
114,625.21	83164LG70	SBA 509222 2.075% Due 08/25/2037	100.86	115,611.67	.55	391.53	2.06
116,993.24	83164LMP3	SBA 509366 1.75% Due 05/25/2038	101.65	118,928.78	.56	369.14	1.72
197,969.01	83164LSA0	SBA 509513 1.75% Due 06/25/2039	101.60	201,133.35	.95	570.07	1.72
105,506.31	83164LX63	SBA 509701 1.5% Due 07/25/2040	101.52	107,114.75	.51	261.66	1.48
560,997.94	83164MGV5	SBA 510112 0.75% Due 12/25/2042	101.85	571,380.33	2.69	692.07	.74
403,860.00	83164MF79	SBA 510090 1.25% Due 01/25/2043	101.67	410,621.83	1.94	830.19	1.23
622,020.95	83164MNC9	SBA 510287 2% Due 10/25/2043	103.99	646,825.90	3.05	2,045.00	1.92
789,257.98	83164M4X4	SBA 510738 1.825% Due 10/25/2045	106.45	840,149.34	3.96	2,367.20	1.71
994,707.98	83164M6Y0	SBA 510787 1.825% Due 04/25/2046	106.38	1,058,207.15	4.99	2,983.19	1.72
<b>Total Small Business Administration Bonds</b>				<b>5,720,115.09</b>	<b>26.97</b>	<b>16,273.57</b>	<b>1.72</b>
<b>Total Bonds</b>				<b>20,501,177.36</b>	<b>96.68</b>	<b>50,112.42</b>	<b>1.93</b>
<b>Total Portfolio</b>				<b>21,033,416.35</b>			
<b>Paydown Receivable</b>				<b>172,667.54</b>			
<b>Interest Accrued</b>				<b>50,112.42</b>			
<b>Dividends Accrued</b>				<b>0.00</b>			
<b>Total Portfolio with Accruals &amp; Receivables</b>				<b>21,256,196.31</b>			

Dana Investment Advisors, Inc.  
PORTFOLIO HOLDINGS



Report as of: 06/30/2021

Portfolio: 2198mc - Racine County - American Rescue Funds - Limited Volatility

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
<b>Cash</b>							
<b>Short Term Investments</b>							
	000009	Cash - Money Fund		417,496.76	2.20	.00	.01
<b>Total Short Term Investments</b>				<b>417,496.76</b>	<b>2.20</b>	<b>.00</b>	<b>.01</b>
<b>Bonds</b>							
<b>Agency Bonds</b>							
1,000,000	3130AMQD7	FEDERAL HOME LOAN BANK 0.25% Due 12/08/2023	99.79	997,940.00	5.26	152.78	.25
1,000,000	3130AMQR6	FEDERAL HOME LOAN BANK 0.35% Due 06/07/2024	99.77	997,710.00	5.25	223.61	.35
1,000,000	3130AMKZ4	FEDERAL HOME LOAN BANK 0.4% Due 06/10/2024	99.75	997,480.00	5.25	222.22	.40
1,000,000	3130AMSF0	FEDERAL HOME LOAN BANK 0.4% Due 06/28/2024	99.76	997,620.00	5.25	22.22	.40
1,000,000	3130AMWJ7	FEDERAL HOME LOAN BANK 0.25% Due 06/28/2024	99.94	999,430.00	5.26	13.89	.25
1,000,000	3130AMRKO	FEDERAL HOME LOAN BANK 0.5% Due 09/23/2024	99.83	998,270.00	5.26	97.22	.50
1,000,000	3130AMRL8	FEDERAL HOME LOAN BANK 0.55% Due 12/23/2024	99.93	999,300.00	5.26	106.94	.55
1,000,000	3130AMW40	FEDERAL HOME LOAN BANK 0.5% Due 12/30/2024	99.90	999,020.00	5.26	.00	.50
1,000,000	3130AMYT3	FEDERAL HOME LOAN BANK 0.4% Due 12/30/2024	99.96	999,560.00	5.26	.00	.40
1,000,000	3130AMQU9	FEDERAL HOME LOAN BANK 0.375% Due 06/16/2025	99.91	999,070.00	5.26	145.83	.38
1,000,000	3130AMVX7	FEDERAL HOME LOAN BANK 0.375% Due 06/23/2025	99.92	999,200.00	5.26	72.92	.38
<b>Total Agency Bonds</b>				<b>10,984,600.00</b>	<b>57.85</b>	<b>1,057.63</b>	<b>.40</b>
<b>Mortgage Bonds</b>							
728,763.13	3136ASVY9	FNA 2016-M6 A1 2.137% Due 05/25/2026	103.00	750,643.52	3.95	1,254.55	2.07
958,242.87	31288QK58	FH 841216 2.544% Due 05/01/2046	103.98	996,413.52	5.25	1,963.76	2.45
747,890.12	31347A2D5	FH 840772 2.621% Due 08/01/2047	104.03	777,994.19	4.10	1,579.07	2.52
958,717.12	31288QLH1	FH 841228 2.711% Due 01/01/2048	104.08	997,830.86	5.25	2,093.71	2.60
936,712.68	3140JBLS3	FN BM6636 2.663% Due 10/01/2048	104.27	976,707.50	5.14	2,009.43	2.55
478,497.27	31288QKX7	FH 841210 2.568% Due 11/01/2049	104.00	497,629.50	2.62	989.85	2.47
1,000,000	31288QLR9	FH 841236 2.665% Due 05/01/2050	103.89	1,038,949.00	5.47	2,146.81	2.57
<b>Total Mortgage Bonds</b>				<b>6,036,168.09</b>	<b>31.79</b>	<b>12,037.18</b>	<b>2.48</b>
<b>Small Business Administration Bonds</b>							
892,029.83	83164MS42	SBA 510439 1.75% Due 07/25/2044	103.19	920,469.53	4.85	1,257.51	1.70
591,943.49	83164M4X4	SBA 510738 1.825% Due 10/25/2045	106.45	630,112.00	3.32	870.24	1.71
<b>Total Small Business Administration Bonds</b>				<b>1,550,581.53</b>	<b>8.17</b>	<b>2,127.75</b>	<b>1.70</b>
<b>Total Bonds</b>				<b>18,571,349.62</b>	<b>97.80</b>	<b>15,222.56</b>	<b>1.18</b>
<b>Total Portfolio</b>				<b>18,988,846.38</b>			
<b>Paydown Receivable</b>				<b>0.00</b>			
<b>Interest Accrued</b>				<b>15,222.56</b>			
<b>Dividends Accrued</b>				<b>0.00</b>			
<b>Total Portfolio with Accruals &amp; Receivables</b>				<b>19,004,068.94</b>			

# Dana Investment Advisors, Inc.

## PORTFOLIO HOLDINGS



Report as of: 06/30/2021

### Portfolio: 2198mb - Racine County - Intermediate

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
<b>Cash</b>							
<b>Short Term Investments</b>							
	000009	Cash - Money Fund		74,063.03	.61	.00	.01
<b>Total Short Term Investments</b>				<b>74,063.03</b>	<b>.61</b>	<b>.00</b>	<b>.01</b>
<b>Bonds</b>							
<b>Agency Bonds</b>							
700,000	3130A8QS5	FEDERAL HOME LOAN BANK 1.125% Due 07/14/2021	100.05	700,357.00	5.75	3,631.25	1.12
690,000	3137EAEQ8	FREDDIE MAC 0.375% Due 04/20/2023	100.23	691,607.70	5.68	503.13	.37
475,000	3137EAEN5	FREDDIE MAC 2.75% Due 06/19/2023	104.91	498,303.50	4.09	399.13	2.62
690,000	3133EMCQ3	FEDERAL FARM CREDIT BANK 0.28% Due 10/13/2023	99.85	688,937.40	5.66	413.23	.28
690,000	880591ER9	TENN VALLEY AUTHORITY 2.875% Due 09/15/2024	107.38	740,942.70	6.09	5,785.94	2.68
<b>Total Agency Bonds</b>				<b>3,320,148.30</b>	<b>27.27</b>	<b>10,732.68</b>	<b>1.36</b>
<b>Corporate Bonds</b>							
425,000	63254AAR9	NATIONAL AUSTRALIA BK/NY 1.875% Due 07/12/2021	100.06	425,242.25	3.49	3,718.75	1.87
425,000	717081DZ3	PFIZER INC 2.2% Due 12/15/2021	100.92	428,893.00	3.52	389.58	2.18
425,000	12572QAE5	CME GROUP INC 3% Due 09/15/2022	103.23	438,710.50	3.60	3,718.75	2.91
200,000	92826CAC6	VISA INC 2.8% Due 12/14/2022	103.32	206,638.00	1.70	248.89	2.71
425,000	931142DU4	WALMART INC 2.35% Due 12/15/2022	102.99	437,720.25	3.60	416.15	2.28
500,000	902674YF1	UBS AG LONDON 0.375% Due 06/01/2023	99.85	499,250.00	4.10	151.04	.38
425,000	595620AK1	MIDAMERICAN ENERGY CO 3.7% Due 09/15/2023	106.06	450,733.75	3.70	4,586.46	3.49
250,000	822582BZ4	SHELL INTERNATIONAL FIN 3.5% Due 11/13/2023	106.94	267,355.00	2.20	1,142.36	3.27
300,000	302154BK4	EXPORT-IMPORT BANK KOREA 4% Due 01/14/2024	108.59	325,782.00	2.68	5,533.33	3.68
425,000	037833CG3	APPLE INC 3% Due 02/09/2024	106.09	450,899.50	3.70	4,993.75	2.83
325,000	961214EC3	WESTPAC BANKING CORP 3.3% Due 02/26/2024	107.18	348,344.75	2.86	3,694.17	3.08
425,000	166764BT6	CHEVRON CORP 2.895% Due 03/03/2024	105.86	449,883.75	3.70	3,998.72	2.73
200,000	19416QEG1	COLGATE-PALMOLIVE CO 3.25% Due 03/15/2024	107.38	214,760.00	1.76	1,895.83	3.03
300,000	09247XAL5	BLACKROCK INC 3.5% Due 03/18/2024	108.08	324,228.00	2.66	2,975.00	3.24
425,000	22546QAP2	CREDIT SUISSE NEW YORK 3.625% Due 09/09/2024	108.60	461,545.75	3.79	4,750.26	3.34
425,000	88579YBH3	3M COMPANY 2% Due 02/14/2025	104.17	442,701.25	3.64	3,211.11	1.92
425,000	29446MAD4	EQUINOR ASA 2.875% Due 04/06/2025	106.67	453,351.75	3.72	2,851.04	2.70
425,000	02079KAH0	ALPHABET INC - Sustainable Bond 0.45% Due 08/15/2025	99.06	421,005.00	3.46	717.19	.45
<b>Total Corporate Bonds</b>				<b>7,047,044.50</b>	<b>57.88</b>	<b>48,992.38</b>	<b>2.48</b>
<b>Supranationals</b>							
100,000	459058DY6	INTL BK RECON & DEVELOP 1.625% Due 02/10/2022	100.91	100,911.80	.83	631.94	1.61
<b>Total Supranationals</b>				<b>100,911.80</b>	<b>.83</b>	<b>631.94</b>	<b>1.61</b>
<b>Treasury Bonds</b>							
200,000	912828RR3	US TREASURY N/B 2% Due 11/15/2021	100.73	201,468.80	1.65	500.00	1.99
690,000	912828M80	US TREASURY N/B 2% Due 11/30/2022	102.63	708,139.41	5.82	1,131.15	1.95
690,000	912828VS6	US TREASURY N/B 2.5% Due 08/15/2023	104.73	722,667.36	5.94	6,433.01	2.39
<b>Total Treasury Bonds</b>				<b>1,632,275.57</b>	<b>13.41</b>	<b>8,064.16</b>	<b>2.15</b>
<b>Total Bonds</b>				<b>12,100,380.17</b>	<b>99.39</b>	<b>68,421.16</b>	<b>2.12</b>
<b>Total Portfolio</b>				<b>12,174,443.20</b>			
<b>Paydown Receivable</b>				<b>0.00</b>			
<b>Interest Accrued</b>				<b>68,421.16</b>			
<b>Dividends Accrued</b>				<b>0.00</b>			
<b>Total Portfolio with Accruals &amp; Receivables</b>				<b>12,242,864.36</b>			

Dana Investment Advisors, Inc. is an independent federally registered investment adviser providing equity and fixed income investment management services to a broad range of clients. All data is presented in U.S. Dollars. Portfolio Characteristics, Performance Report, Portfolio Holdings, and Sector Distributions reflect applicable investment holdings as of market close on the date indicated. Returns presented are exclusive of investment management and custodial fees, and net of transaction costs. Investment management fees would reduce the returns presented, for example: on a one-million dollar portfolio with an advisory fee of .75% earning a 10% return, the total compounded advisory fee over a five year period would be \$50,368. The resulting average annual return for the period would therefore be 9.17%. All returns were calculated on a time weighted total return basis. Performance does include the accrual of income and the reinvestment of dividends and interest received.

During various market cycles, the strategies discussed herein have demonstrated portfolio characteristics and returns that have been both more and less volatile than that of the comparable index. Indices shown were selected because they demonstrated a broad range of characteristics, some of these characteristics being deemed useful for limited comparison purposes only. Historical performance results for investment indices and/or categories have been provided for general comparison purposes only, and generally do not reflect the deduction of transaction and/or custodial charges, the deduction of an investment management fee, nor the impact of taxes, the incurrence of which would have the effect of decreasing historical performance results. It should not be assumed that your account holdings do or will correspond directly to any comparative indices.

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Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s). The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors, Inc. While the prices are obtained from sources we consider reliable, we cannot guarantee them.

Please remember to contact Dana Investment Advisors, Inc. at (800) 765-0157, or P.O. Box 1067 Brookfield, WI 53008 with any questions or if there are any changes in your personal financial situation or investment objectives for the purpose of reviewing, evaluating, and revising any previous recommendations or investment services. Please also advise Dana if you would like to impose, add, or modify any reasonable restrictions to your account. A copy of Dana's current Form ADV Brochure detailing a complete list of Dana's advisory services and fees continues to remain available for your review upon request.

Current List of Holdings: The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors. While the prices are obtained from sources we consider reliable, we cannot guarantee them. Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s).



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