

**COUNTY OF RACINE
FINANCE & HUMAN RESOURCES COMMITTEE**

Supervisor Robert N. Miller, Chairman
Supervisor Brett Nielsen, Vice Chairman
Supervisor Q.A. Shakoor, II, Secretary
Supervisor Janet Bernberg
Supervisor Mike Dawson

Supervisor Thomas H. Pringle
Supervisor John A. Wisch
Kaitlyn Faust, Youth in Governance Representative
Daniel Rosli, Youth in Governance Representative

*** THIS LOCATION IS HANDICAP ACCESSIBLE. If you have other special needs, please contact the Racine County Board Office, 730 Wisconsin Avenue, Racine, Wisconsin 53403 (262) 636-3571, fax (262) 636-3491 or the TTD/RELAY 1-800-947-3529. ***

NOTICE OF MEETING OF THE
FINANCE AND HUMAN RESOURCES COMMITTEE

DATE: **WEDNESDAY NOVEMBER 7, 2018**

TIME: **5:00 P.M.**

PLACE: **IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE
STURTEVANT, WISCONSIN 53177**

AGENDA –

1. Convene Meeting
2. Chairman Comments – Youth In Governance/Comments
3. Public Comments
4. Approval of Minutes from the October 31, 2018 committee meeting.
5. County Treasurer – Jane Nikolai – Authorizing the County Treasurer to Vacate Judgment for an In-Rem Action on property located at 8726 Fir Drive, Town of Burlington as funds have been received for the Delinquent taxes and fees – 2018 – Resolution – Action Requested: 1st & 2nd Reading at the November 12, 2018 County Board Meeting.
6. Transfers
 - a. Emergency Management – David Maack – Acceptance of a Wisconsin Emergency Management Hazardous Materials Emergency Preparedness (HMEP) Grant on behalf of the South Shore Fire Department in the amount of \$16,000 and authorizing a transfer of \$16,000 within the Emergency management – Grants 2018 Budget – 2018 – Resolution – Action Requested: 1st & 2nd Reading at the November 12, 2018 County Board Meeting.
7. Finance Department – Alexandra Tillmann – Racine County 2018 2nd & 3rd Quarter Investment Report (Staff from DANA Investments will be available to discuss the materials) – 2018 – Report.

8. Communication & Report Referrals from County Board Meeting:

a. Bankruptcy items:

Type of Action:	Person/Persons
Order of Discharge	Bonnie Lou Burt;
Order Dismissing Case	Marvin & Ora Turner Griffin;

- b. Caleb Oakley on behalf of himself has filed a claim against Racine County for \$1,493.22 for property damage to his vehicle.
- c. Notice of Exemption from County Tax for Library Services for the Year 2019 – Burlington Public Library for the City of Burlington, Racine Public Library for the City of Racine, Rochester Public Library for the Village of Rochester, Graham Public Library for the Village of Union Grove and Waterford Public Library for the Village of Waterford.
- d. Annual Dog lift for the following municipalities: Town of Burlington, Town of Dover, Town of Norway, Town of Raymond, Town of Waterford, Village of Caledonia, Village of Elmwood Park, Village of Mt. Pleasant, Village of North Bay, Village of Rochester, Village of Sturtevant, Village of Union Grove, Village of Waterford, Village of Wind Point, Village of Yorkville, City of Burlington and the City of Racine.

9. Staff Report – No Action Items.

- a) Finance & Human Resources Committee – Next Meeting will be December 12, 2018

10. Adjournment

FINANCE & HUMAN RESOURCES COMMITTEE ACTION ONLY

Requestor/Originator Finance & Human Resources Committee

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date of Committee Meeting: 11/7/2018

**Signature of Committee Chairperson
/Designee:** _____

Description: Approval of the minutes from the October 31, 2018 Meeting

Motion: _____

Action: **County Board Supervisors**
 Approve
 Deny

Youth In Governance
 Approve
 Deny

**FINANCE AND HUMAN RESOURCES COMMITTEE MEETING
October 31, 2018**

IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE
STURTEVANT, WISCONSIN 53177

Meeting attended by: Chairman Miller, Supervisors Bernberg, Dawson, Nielsen, Pringle, Shakoor II, Wisch, and Youth Representative Rosli, Supervisors Maier, Kramer, Buske, Maldonado and Trottier, County Executive Jonathan Delagrave, Finance Director Alexandra Tillmann, Human Resources Director Karen Galbraith, Finance & Budget Manager Kris Tapp, Corporation Counsel Michael Lanzdorf, Asst. Corporation Counsel John Serketich, Communications Manager Mark Schaff and Public Works & Development Service Director Julie Anderson.

Absent: Youth Representative Faust

Agenda Item #1 – Convene Meeting.

Meeting Called to Order at 5:00pm by Chairman Miller.

Agenda Item #2 – Youth in Governance/Comments.

Youth in Governance statement was read by Youth Representative Rosli.

Agenda Item #3 – Approval of Minutes from the October 25, 2018 committee meeting.

Action: Approve the minutes from the October 25, 2018 meeting. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #4 – Corporation Counsel – John Serketich – Authorizing the donation of an In-Rem located at 7017 Douglas Avenue to the Village of Caledonia – 2018 – Resolution – Action Requested: 1st Reading at the November 5, 2018 County Board Meeting.

Action: Authorize donation of an In-Rem located at 7017 Douglas Avenue to the Village of Caledonia – 2018 – Resolution – 1st Reading at the November 5, 2018 County Board Meeting. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #5 – Corporation Counsel – John Serketich – Authorizing the County Treasurer to Vacate Judgment for an In-Rem Action on property located at 864 Ridgmont Drive, City of Burlington as funds have been received for the Delinquent taxes and fees – 2018 – Resolution – Action Requested: 1st Reading at the November 5, 2018 County Board Meeting.

Action: Authorize the County Treasurer to Vacate Judgment for an In-Rem Action on property located at 864 Ridgmont Drive, City of Burlington as funds have been received for the Delinquent taxes and fees – 2018 – Resolution – 1st Reading at the November 5, 2018 County Board Meeting. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #6 – Sheriff’s Office – Lieutenant Klatt – Further information for Resolution going to County Board on November 5, 2018 County Board Meeting – acceptance of a donation of a vehicle from Manheim Metro Auto Auction – No Action of the Committee.

Discussion: Lt. Klatt answered questions about the vehicle being donated by Manheim Metro Auto Auction the committee asked.

Agenda Item #7 – 2019 Budget Deliberation:

Action: Budget Amendment A – Increase Transportation Aid and fund positions and capital – Amendment to Resolution No. 2018-78. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Wisch. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Action: Budget Amendment C – Language concerning usage at Pritchard Sports Complex – Amendment to Resolution No. 2018-78. **Motion Passed.** Moved: Supervisor Shakoor II. Seconded: Supervisor Bernberg. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Action: Budget Amendment B – Movement of Medical Examiners Office from Human Services Dept to Sheriff's Office – Amendment to Resolution No. 2018-78. **Motion Withdrawn.**

Agenda Item #8 – Communication & Report Referrals from County Board Meeting:

Action: Receive and file items a - b. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #9 – Staff Report – No Action Items.

- a) Finance & Human Resources Committee – Next Meeting will be November 7, 2018.
- b) Supervisor Wisch brought up the accidents occurring on Interstate 94 Chairman Miller requested Supervisor Bernberg to put this on the Government Services Committee.

Agenda Item #10 – Adjournment.

Action: Adjourn meeting at 5:53 pm. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Youth Representative Vote: All Aye No Nays. Vote: All Ayes No Nays.

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2018</u>	<input checked="" type="checkbox"/>	Resolution Request
		<input type="checkbox"/>	Ordinance Request
		<input type="checkbox"/>	Report Request

Requestor/Originator: County Treasurer - Jane Nikolai

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 11/7/2018 Date of County Board Meeting to be Introduced: 11/12/2018

1st Reading: 1st & 2nd Reading: *

* Include a paragraph in the memo regarding why 1st & 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Authorizing the County Treasurer to vacate judgment for in-rem action on property at 8726 Fir Drive in the
Town of Burlington

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

The Committee believes that this action furthers the following goals:

- Make Racine County the most accessible county in Wisconsin for business to grow, develop and create family supporting jobs.
- Develop a system that encourages employees, elected officials and citizens to suggest ideas for service enhancement and productivity improvements including a measurement of customer satisfaction.
- Foster an environment where intergovernmental cooperation is encouraged to produce better services and efficiencies.
- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.

MEMO

October 31st, 2018

TO: Robert N. Miller
Chairman, Finance and Human Resources Committee

FROM: Jane F. Nikolai, Racine County Treasurer

RE: Vacate Judgment

Please put on the agenda for November 7th, 2018 time to request your permission to petition the court to vacate the judgment for an in-rem parcel.

The property belonged to Randall Scott Gruenert at 8726 Fir Dr in the Town of Burlington. Payment of \$16,650.44 was received on October 31st, 2018. The Racine County Treasurer's Office is holding the funds that were paid for the delinquent taxes and fees.

John Serketich is requesting that 1st and 2nd reading be completed on November 12th, 2018, to expedite the transfer of the property.

Thank you,

Jane F. Nikolai
Racine County Treasurer

Cc: John Serketich

ACTIVE TAX DEED PROPERTIES OWNED BY RACINE COUNTY

DISTRICT: Town of Burlington

Updated: 11/1/2018

PARCEL # 002-021920725000

IN REM ACTION #: 2018-1

ITEM #: 9

JUDGMENT DOC #: 2506132

JUDGEMENT DATE: 10/12/2018

LEGAL DESCRIPTION: LOTS 3 AND 4, BLOCK 1, 3RD ADDITION OF OAKWOOD HILLS OF BOHNER'S LAKE, ACCORDING TO THE RECORDED PLAT THEREOF. SAID LAND BEING IN THE TOWN OF BURLINGTON, COUNTY OF RACINE, AND STATE OF WISCONSIN.

PROP. ADDRESS: 8726 FIR DR

FORMER OWNER: RANDALL SCOTT GRUENERT

ASSESSED VALUE / 2017
 Land: \$23,700.00
 IMP: 90,500
TOTAL: \$114,200.00

FAIR MARKET VALUE 2017 \$121,000.00

APPRAISED VALUE YEAR: _____

TAX YEAR	NET TAX	SPECIAL	INT	PEN	TOTAL
2012	\$414.15	\$0.00	\$285.76	\$142.88	\$842.79
2013	\$1,902.68	\$160.00	\$1,175.73	\$587.86	\$3,826.27
2014	\$1,822.78	\$171.00	\$897.20	\$448.60	\$3,339.58
2015	\$1,833.62	\$171.00	\$661.52	\$330.76	\$2,996.90
2016	\$1,851.07	\$171.00	\$424.63	\$212.32	\$2,659.02
2017	\$1,813.05	\$171.00	\$178.56	\$89.28	\$2,251.89
	\$9,637.35	\$844.00	\$3,623.40	\$1,811.70	

SPECIAL OVER 7500: NA

TAX TOTALS: \$15,916.45

In-Rem Fee	\$233.96
Boarding Fee	\$0.00
Appraisal Fee	\$0.00
Newspaper Sale ad	\$0.00
Vacate Fee	\$500.00
FEE & COST TOTAL:	\$733.96

GRAND TOTAL: \$16,650.41

<p>DISPOSITION: _____ TO: _____ ON: _____</p> <p>TOTAL COSTS: <u>\$16,650.41</u></p> <p>SOLD / DONATED FOR: _____ PROFIT OR (LOSS): _____</p>	<p style="text-align: center;">GENERAL RECEIPT NUMBERS</p> <p>NO: _____ NO: _____</p>
--	---

County Treasurer
 Racine County, State of Wisconsin
 730 Wisconsin Avenue
 Racine, WI 53403-1274
 (262) 636-3239

11/1/2018

Statement of Taxes Due

8726 FIR DR
 BURLINGTON WI 53105

Year of Tax	Amount	Interest	Penalty	Total Amount Due	Cert. No.
TOWN OF BURLINGTON					
Parcel 021920725000					
2012	\$414.15	\$285.76	\$142.88	\$842.79	4767
2013	\$2,062.68	\$1,175.73	\$587.86	\$3,826.27	7909
2014	\$1,993.78	\$897.20	\$448.60	\$3,339.58	11883
2015	\$2,004.62	\$661.52	\$330.76	\$2,996.90	15803
2016	\$2,022.07	\$424.63	\$212.32	\$2,659.02	19299
2017	\$1,984.05	\$178.56	\$89.28	\$2,251.89	22784
Subtotal for 021920725000		\$10,481.35	\$3,623.40	\$1,811.70	\$15,916.45
TOTAL OF STATEMENT		\$10,481.35	\$3,623.40	\$1,811.70	\$15,916.45

Interest and penalty are calculated to 10/31/2018. Additional interest and penalty will be charged if your remittance reaches this office after that date.

Make checks payable to **Racine County Treasurer**. Please enclose this notice with your payment.

Jane Nikolai
 County Treasurer



INVOICE

JANE F. NIKOLAI, TREASURER
 730 WISCONSIN AVE
 RACINE WI 53403
 Phone (262) 636-3339

DATE: November 1, 2018
 INVOICE #: 18-0009
 PARCEL ID#: 002-021920725000

Bill To: **RANDALL SCOTT GRUENERT**
 8726 FIR DR
 BURLINGTON WI 53105

SITE ADDRESS: 8726 FIR DR

DESCRIPTION OF IN-REM FEES FOR TAX FORECLOSURE ACTION	AMOUNT
TITLE REPORT FEE	175.00
PUBLICATION FEE	45.11
CERTIFIED MAILING FEES	13.85
VACATE FEE	500.00
TOTAL DUE UPON RECEIPT	\$ 733.96

MAKE ALL CHECKS PAYABLE TO: RACINE COUNTY TREASURER



115505

REMITTER Randall Gruenert

A WINTRUST COMMUNITY BANK
www.townbank.us

DATE October 31, 2018

79-1784759

PAY TO THE ORDER OF

Racine County Treasurer

\$*****16,650.44

SIXTEEN THOUSAND SIX HUNDRED FIFTY DOLLARS AND FORTY FOUR CENTS

CASHIER'S CHECK

⑈ 115505 ⑈ + ⑈ 0759 17843 ⑈ 2000300100 ⑈

RECEIPT

DATE 10/31/18

No. 950151

RECEIVED FROM Town Bank / Randall Gruenert \$ 16,650.44

Sixteen and six-hundred fifty and 44/100 DOLLARS

FOR RENT
 FOR 87212 Fir Dr 002-021920725000

ACCOUNT	
PAYMENT	<u>16,650.44</u>
BAL. DUE	

CASH # 115505 vacate fee/judgment
 CHECK FROM _____ TO _____
 MONEY ORDER
 CREDIT CARD BY Amo Holmberg

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2018</u>	<input checked="" type="checkbox"/>	Resolution Request
		<input type="checkbox"/>	Ordinance Request
		<input type="checkbox"/>	Report Request

Requestor/Originator: Emergency Management - David Maack

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 11/7/2018 Date of County Board Meeting to be Introduced: 11/12/2018

1st Reading: 1st & 2nd Reading: *

* Include a paragraph in the memo regarding why 1st & 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Acceptance of a Wisconsin Emergency Management Hazardous Materials Emergency Preparedness (HMEP) Grant
on behalf of the South Shore Fire Department in the amount of \$16,000 and authorizing a transfer of \$16,000
within the Emergency Management - Grants 2018 Budget

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

The Committee believes that this action furthers the following goals:

- Make Racine County the most accessible county in Wisconsin for business to grow, develop and create family supporting jobs.
- Develop a system that encourages employees, elected officials and citizens to suggest ideas for service enhancement and productivity improvements including a measurement of customer satisfaction.
- Foster an environment where intergovernmental cooperation is encouraged to produce better services and efficiencies.
- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.

October 26, 2018

To: Finance Committee
From: David L. Maack

Memo Re: HMEP Core HazMat Training 2019

We are seeking approval to accept an HMEP Core HazMat Training 2019 on behalf of the South Shore Fire Department in the amount of \$16,000. The grant will provide hazardous materials training.

We are requesting First and Second Reading to expedite the grant process and to allow the necessary time to execute all agreements between Wisconsin Emergency Management, Racine County and South Shore Fire Department.

EXHIBIT B
WISCONSIN EMERGENCY MANAGEMENT

WEM USE ONLY

Applicant Hereby Applies to WEM for Financial

Support for the Within-Described Project:

SUBGRANT #: 11454

Receipt Date	Award Date	Subgrant Number(s)
		-- 11454

SHORT TITLE: HMEP Core HazMat Training 2019

1. Type of Funds for which you are applying.	HMEP-Federal (Fed. 20.703 HMEP-FED) HMEP Core HazMat Training 2019		
2. Applicant	Name Of Applicant: Racine County Emergency Management		County: Racine
	Street Address Line 1: 730 Wisconsin Ave		
	Address Line 2:		Address Line 3:
	City: Racine		State: WI Zip: 53403
	3. Recipient Agencies Racine County Emergency Management		
4. Signatory	Name: Mr. Jonathan Delagrave		Title: County Executive Agency: Racine County
	Street Address: 730 Wisconsin Avenue		
	Address Line 2:		Addr Line 3:
	City: Racine		State: WI Zip: 53403
	Phone: 262-636-3273	Fax:	Email: RCExecutive@racinecounty.com
	5. Financial Officer		
5. Financial Officer	Name: Mr. Spencer A Robertson		Title: Accounting Supervisor Agency: Racine County Emergency Management
	Street Address: 730 Wisconsin Ave		
	Address Line 2:		Addr Line 3:
	City: Racine		State: WI Zip: 53403
	Phone: 262-636-3176	Fax:	Email: spencer.robertson@racinecounty.com
	6. Project Director		
6. Project Director	Name: Mr David L Maack		Title: Emergency Management Coordinator Agency: Racine County Emergency Management
	Street Address: 730 Wisconsin Ave		
	Address Line 2:		Addr Line 3:
	City: Racine		State: WI Zip: 53403
	Phone: 262-636-3515	Fax: 262-636-3505	Email: david.maack@racinecounty.com
	7. Brief Summary of Project		
7. Brief Summary of Project (Do Not Exceed Space Provided)	Short Title (may not exceed 50 characters) HMEP Core HazMat Training 2019		
	South Shore FD is a career FD in Eastern Racine County. South Shore FD provides fire, EMS, Technical Rescue, and limited HAZMAT services to the Village's of Mt. Pleasant, Sturtevant, and Elmwood Park. They have numerous members trained as HAZMAT Technicians but currently are out dated with annual refreshers. They currently have 60 Fire/EMS personnel, 26 of which are on the Technical Rescue Team. They will be sending those 26 members through HAZMAT Technician training (following the guidelines recommended by NFPA 472) so they can provide Level B HAZMAT services to Racine County and the rest of the region as needed. South Shore FD response area has numerous hazards including SC Johnson/Waxdale production facility and will be the home to Foxconn. Racine County currently does not have a designated County Level B HAZMAT Team.		

8. SubGrant Budget

Sources

Categories	Federal	Category Total
Travel (Including Training)	0.00	0.00
Consultants/Contractual	16,000.00	16,000.00
Source Total	16,000.00	16,000.00

9. Project Start Date: 11/1/2018

Project End Date: 6/30/2019

10. Budget Details:

Master Budgets:

By Recipient Agency	Year 1	Total
Racine County Emergency Management	16,000.00	16,000.00
Total:	16,000.00	16,000.00

Allocation/Recipient Agency: Racine County Emergency Management

Category:	Year 1	Total
Consultants/Contractual	16,000.00	16,000.00
Total:	16,000.00	16,000.00

11. Budget Details:

Master Budgets:

Line Item Details for Racine County Emergency Management

YEAR 1

CONSULTANTS/CONTRACTUAL - CONSULTANT

Justification:

COST

Name / Position EMERGENCY RESPONSE SPECIALISTS

Service Provided Training

Description of your computation: Two 40 hr technician training course @ 1600/day (15 days per class)

Source: Federal 16,000.00

Consultants/Contractual - Consultant Year 1 Total: 16,000.00

YEAR 1 TOTAL: 16,000.00

12. Sections:

A PROJECT NARRATIVE

Describe the long-range training goals and objectives. Specifically address the:

- potential benefit in regards to total population and total special population at risk
- number of facilities reporting extremely hazardous substances in the jurisdiction
- need to augment response capability based on existing gaps

Indicate the current level of training that exists within the jurisdiction and criteria required to advance to the next level. Also, estimate the total number of students to be trained with this grant funding.

RESPONSE:

South Shore FD is a career FD in Eastern Racine County. They provide fire, EMS, Technical Rescue, and limited HAZMAT services to the Village's of Mt. Pleasant, Sturtevant, and Elmwood Park. While they have numerous members trained as HAZMAT Technicians most are currently outdated with annual refreshers. South Shore Fire Department currently has 60 Fire/EMS personnel, 26 of which are on the Technical Rescue Team. They intend to send those 26 members through HAZMAT Technician training (following the guidelines recommended by NFPA 472) so they can provide Level B HAZMAT services to Racine County and the rest of the region as needed. Due to instructor availability and preference that SSFD hold two classes at 13 students per class, we are requesting that we be allowed to run two classes of 13 students each. South Shore FD response area has numerous hazards including SC Johnson/Waxdale production facility and will be the home to Foxconn. There are 23 EHS planning facilities within the South Shore Fire District. In addition, there are several major state highways including Hwys 11 and 20, as well as I-94 and two major railways. Hazardous materials are routinely transported on each. Racine County currently does not have a designated County Level B HAZMAT Team. In addition to the rapid growth in the greater Mt. Pleasant area, coupled with the fact that Racine County does not have a designated Level IV Team, both the county and the region would benefit by having a team trained to Level IV capability.

PROJECT NARRATIVE - RELATED ATTACHMENTS:

<u>File Name</u>	<u>File Description</u>
PlanningReport_10_26_2018.pdf	EHS FACILITY LIST

B REQUIRED ATTACHMENTS

To attach a document to your Egrants application you must type "See Attached" in the text box to enable the document attachment tool.

Please attach the following documents to your application in this section:

1. E-Mail from applicant County to the WEM Regional Director notifying the Regional Director of the application
2. For each class, submit a course outline showing the objectives of the course, an agenda of the training project and a resume, biography or training records showing the qualifications of the instructor (attach extra pages if needed). Your application will not be considered without this information as each level of hazmat training must meet certain objectives and each instructor must have certain qualifications for the training to qualify for the grant funds. This requirement will be waived for technical college courses instructed by internal staff or courses instructed by REACT Center instructors.

RESPONSE:

See attached

REQUIRED ATTACHMENTS - RELATED ATTACHMENTS:

<u>File Name</u>	<u>File Description</u>
ERS Resumes.pdf	Contractor Resumes
Ltr Dustin Ellis 10-24-18.docx	Training Outline/Agenda
Region Director Training Email 10-26-18.pdf	Region Email
South Shore Fire Department 636.pdf	Proposal

13. Performance Measures:

Required:

<u>Measure Title</u>	<u>Measure Type</u>	<u>Target</u>	<u>Target Type</u>	<u>Description</u>
Number of Persons Trained	Process	26.00	Unit Count	Indicate the number of persons successfully completing the training session.
Number of Training Sessions Conducted	Process	2.00	Unit Count	Indicate number of training sessions conducted.

14. Approval Checklist:

- A. Have you, the grant recipient, had any discrimination findings after a due process hearing on the basis of race, color, religion, national origin or sex within the last 5 years?

Yes

No

- B.** If yes, have the discrimination findings been reported to the Office of Civil Rights as required for all recipients of Federal funds? (see <http://www.ojp.usdoj.gov/ocr/>). If no, a copy should be forwarded to: Wisconsin Department of Military Affairs, Attn: EEOP, 2400 Wright Street, PO Box 7865, Madison, WI 53707-7865
- Yes
- No
- N/A
- C.** Do you have technical assistance needs regarding the financial process at WEM that you would like contacted about?
- Yes
- No
- D.** Have you utilized the WEM Administrative Guide located on the WEM website? (<https://dma.wi.gov/DMA/wem/>)
- Yes
- No
- E.** Would you like someone from WEM to contact you?
- Yes
- No
- F.** Are you a state or local government agency; AND have 50 or more employees; AND applying for \$25,000 or more? If yes, you are required to prepare and implement an Equal Employment Opportunity Plan (EEOP) or Certification form (if applicable). A copy of your EEOP federal approval letter must be submitted to WEM. (More information may be found at <https://dma.wi.gov/DMA/wem/>, Grants, Grantee Civil Rights Information.)
- Yes
- No
- N/A
- G.** Does this application apply for any of the following? a) Physical security enhancements (i.e., installation of fencing, cameras, TWIC readers, bollards, motion detection systems, x-ray machines, lighting, etc.) b) New installation/construction/renovation (i.e., emergency operations centers, docks, piers, security guard buildings, etc.) c) Renovations/upgrades/modifications to structures 50 years old or older, communication towers, related equipment, and equipment shelters, ground-disturbing activities (i.e., trenching, excavation, etc.), or d) Any activities that potentially involve ground disturbance. For more information, visit <https://www.fema.gov/environmental-planning-and-historic-preservation-program> The program manager will follow up with you for more details if you answer yes.
- Yes
- No

N/A

H. If this application is \$25,000 or more, did your business or organization (including parent organization, all branches, and all affiliates worldwide) receive in the previous fiscal year (1) 80% or more of your annual gross revenues in US federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?; AND (2) \$25,000,000 or more in annual gross revenues from US federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

Yes

No

N/A

I. If you answered yes to the previous question, does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? If you answered no to the first part of this question; you must attach to this application the full names and compensation of the top 5 highly compensated individuals of your organization as required by The Federal Funding Accountability and Transparency Act (FFATA or Transparency Act).

Yes

No

N/A

15. **Attachments:**


List of Attachments required for submission of this Application for funding:

Section: Project Narrative

<u>File Name</u>	<u>File Description</u>
PlanningReport_10_26_2018.pdf	EHS FACILITY LIST

Section: Required Attachments

<u>File Name</u>	<u>File Description</u>
ERS Resumes.pdf	Contractor Resumes
Ltr Dustin Ellis 10-24-18.docx	Training Outline/Agenda
Region Director Training Email 10-26-18.pdf	Region Email
South Shore Fire Department 636.pdf	Proposal



Wisconsin Emergency Management
2400 Wright Street
PO Box 7865
Madison WI 53707-7865

Scott Walker
Governor

Brian M. Satula
Administrator



Hazardous Materials Emergency Preparedness (HMEP) Grants

HMEP Core HazMat Training 2019

Grant Announcement

**Applications must be submitted through
Egrants on or before October 31st, 2018**



STATE OF WISCONSIN
Wisconsin Emergency Management

Scott Walker
Governor

Brian Satula
WEM Administrator

Important Contact Information for this Grant Opportunity:

Program/Policy: Paul Cooke (608) 242-3204
Paul.Cooke@wisconsin.gov

Budget/Fiscal: Rebecca Thompson (608) 242-3236
rebecca2.thompson@wisconsin.gov

Egrants Assistance: Weekdays, 7:30am – 4:00pm
Email: WEMEgrants@wisconsin.gov

The Egrants system user guide has step-by-step instructions for accessing and using the Egrants online system. The guide is posted on the grants page of the WEM website:

<https://egrants.emergencymanagement.wi.gov/egmis/EgrantsExternalUserGuide9-12-2014WEM.pdf>

Online Help is available throughout the Egrants application process. Once you have started an application, look for the HELP button in the top right corner of the screen. Page-specific instructions may be found there.

Grant Announcement Summary

Grant Title: HMEP Core HazMat Training 2019

Description: This grant opportunity is available to provide funding for Wisconsin Emergency Management Hazardous Materials courses. The courses are comprehensive and exceed national training standards in the field of Hazardous Materials (HazMat) response training. Standards for the hazmat training program are found both in the Federal Code of Regulations, National Fire Protection Association 472 and in the Wisconsin Code of Regulation. For additional information on Wisconsin Emergency Management Hazardous Materials training please contact the WEM Response and Recovery Bureau Director (Paul Cooke).

Core courses include training in the following areas:

- Awareness
- Operations
- Hazmat Incident Commander
- Hazmat Officer
- Hazmat Safety Officer
- Hazmat Refresher
- Hazmat Technical Decontamination Refresher
- Developing a Plan of Action
- Chemistry of Hazmat-Part I
- Surveying a Hazmat Incident
- General Competencies
- Level A Personal Protective
- Level B Personal Protective
- ID of Methods and Procedures
- Hazardous Materials Monitoring Refresher

Opportunity Category: Competitive, Rolling

Important Dates:

Application: Application period closes October 31st, 2018

Project: Project Start Date: No earlier than November 1, 2018

Project End Date: No later than June 30, 2019.

Reporting requirements: Once grant is awarded, a Final program report and Final fiscal report will be due at within 30 days of the end of the grants performance period. Additional reporting will be required if partial payments are requested.

Anticipated Funding Amount: There is an anticipated total of \$70,000 available for funding the HMEP Core HazMat training courses. All eligible requests for Core HazMat training will be reviewed and awarded based on your department's current response capability, level of training, and the needs of the State of Wisconsin. All eligible applications will be awarded on a first-come-first-serve basis and applications will continue to be awarded pending availability of funds.

Match/Cost Sharing Requirement: None

Eligibility: The following are eligible to apply:

- City or County Hazardous Materials Teams
- State Sponsored Hazardous Materials Teams
- Counties, on behalf of local units of government

You can find additional information at: <http://emergencymanagement.wi.gov/training/hazmat.asp>

NEW REQUIREMENT as of 2018 – In order to be eligible for an award, the applying agency must register their DUNS Number in SAMS.gov and the registration must be active for the entire performance period of their award.

DUNS Number: The federal government now requires a DUNS number as part of the grant application to keep track of how federal grant money is awarded and dispersed. If your organization needs to obtain a DUNS number, go to <http://fedgov.dnb.com/webform>. You can also search this site if you cannot find your agency's number. Under normal circumstances, a new account can be created in 24-72 hours. The federal government has published DUNS Frequently Asked Questions at <http://fedgov.dnb.com/webform/displayFAQPage.do>. Check with your agency's financial office before registering for a DUNS number - it is likely your agency already has one.

WEM cannot award grant funds until an active DUNS number is provided and registered in SAMS.gov.

Eligible Expenses: Funding may be used for travel/training and consultants/contractual on a reimbursement basis only.

Recipients and sub recipients shall use their own procurement standards and regulations, provided that the procurement conforms to applicable Federal law and the standards identified in the Procurement Standards Sections of 2 CFR §§ 200.318-326.

All expenses must be new and cannot replace existing state or local government funding. Substitution of existing funds with federal grants (supplanting) will be the subject of monitoring and audit. Violations may result in a range of penalties, including suspension of current and future funds under this program, suspension or debarment from federal grants, repayment of monies provided under a grant, and civil and/or criminal penalties.

All eligible expenses must have incurred within the performance period identified in the Award Documents which serve as the official contract for the award.

Additionally, any expenses that have incurred prior to notification from WEM of a fully executed award document are not eligible for reimbursement, regardless if they fall within the Performance Period identified in the Award Documents.

Any expenses that are submitted for reimbursement must match the trainings/projects outlined in the application and may not exceed the maximum award amount listed on the Award Documents. Please see "Submitting a request for reimbursement" for additional information.

HMEP Core HazMat Training 2019

Program Description

The Wisconsin Emergency Management (WEM) training program offers an extensive array of training opportunities for emergency managers, law enforcement, fire, EMS, public health, local officials and volunteer organizations. Wisconsin's Hazardous Materials courses are comprehensive and exceed national training standards in the field of HazMat response training. Standards for the Hazmat training program are found both in the Federal Code CFR 29 and the National Fire Protection Association standard # 472. As of October 2014, all classes will be based on the NFPA 472 and tied directly to Job Performance Requirements (JPRs). JPRs are vital to the successful qualifying of an individual to a Professional Qualifications project standard. JPRs must be specific to indicate the attributes of successful performance on the job.

Applications Using Egrants

Applications must be submitted through the Egrants online grants management system. If you have never used Egrants before, you will need to register for access to the system. To register online, go to <https://register.wisconsin.gov/accountmanagement/default.aspx> and complete the 'self-registration' process.

Authorization to access Egrants can take several days depending on registration activity. The WEM help desk is open Monday-Friday 7:30am-4pm if you need assistance. (Please note: If you register outside of these hours, access may not be approved until the next business day.) Once your Egrants access has been approved, you may begin your online grant application.

Application Components

Through Egrants, you will provide WEM with detailed information about your project that will be used to make a funding decision. Questions on what is expected in each section can be directed to Paul Cooke at (608) 242-3204 or via email at paul.cooke@wisconsin.gov.

1. Main Summary

This page asks for information about your agency and the individuals responsible for the application and grant award. There are many required fields on this page so if you encounter problems, please check online help by clicking the floating HELP button. Please note: When identifying individuals involved in this grant, you may not list the same person as project director and financial officer. The financial officer is the individual responsible for financial activities in your organization while the project director will be overseeing project operations.

In the Brief Project Description text box, please describe your project in 150 words or less. A suggested format is included for your convenience:

“Funds will be used by the (your agency name and others involved in the project) to (describe what funds will be used for and who will be involved). The (what - equipment, training, project, pilot, etc.) will (describe the specific goals you hope to achieve – how will the project or equipment improve safety in Wisconsin?) [If appropriate, add which area(s) of the state will benefit]”

Responses to this section will be used on the WEM website, cited in WEM reports and could be mentioned in press releases. Plain language that clearly describes the intent of the project is most effective.

2. Approval Checklist

Answer Yes, No, or N/A (not applicable) to each question.

3. Performance Measures

Indicate the number of persons who will successfully complete the training session. WEM requires a minimum class size of 15 persons. Exceptions will be considered on a case-by-case basis and must be approved prior to the start of the training session. If it appears there may be difficulty achieving minimum class enrollment requirements the class may be placed on the Wisconsin Training Portal at the discretion of program staff.

A per student cost may also be considered as an exception but must include a proposal with justification in the application and break down in the Budget Detail section.

4. Budget Detail

Complete a project budget using the following categories. For each category used, enter a justification that describes how the items in that category will be used during the grant period. It is important that you include specific details for each budget line item, including cost calculations.

If requesting the opportunity to be considered for a per student cost, the cost calculation must reflect the break down by student.

Travel/Training: Any travel and/or training costs associated with the funded project. Only actual expenses will be reimbursed. Travel will be reimbursed with mileage and meals per the state travel policy rather than on an hourly basis. Requested funds must be reasonable and meet state travel guidelines. All reimbursements will be at current state rates that are subject to change. Current rates for in-state travel at the time of this announcement include:

- Mileage: \$0.51/mile
- Lodging: Maximum \$82/night (\$90/night for Milwaukee, Waukesha or Racine County)
- Meals: \$8/breakfast (leaving before 6 a.m.); \$10/lunch (leaving before 10:30 a.m. and returning after 2:30 p.m.); \$20/dinner (returning after 7 p.m.)

(Please note: Travel and training for contracted employees does not go in this section. These expenses should be itemized under “Contractual.”)

Consultants/Contractual: Provide costs associated with individuals or entities providing services through a contractual arrangement. Except for a few justified sole source situations, contracts should be awarded via competitive processes. Attach detailed information to support the total cost of each contract. For each consultant enter the name, if known; service to be provided; hourly rate and estimated time on the project. Hourly rate for contractors may not exceed \$45.00/hour or a daily reimbursement rate of \$450.00/day (based on a full instruction day.) Show the basis of computation for each service requested. Within 30 days of grant award date, a signed contract must be received by WEM. No fund reimbursements will be made prior to receipt of the contract.

5. Project Narrative

Describe the long-range training goals and objectives. Specifically address the:

- potential benefit regarding total population and total special population at risk
- number of facilities reporting extremely hazardous substances in the jurisdiction
- need to augment response capability based on existing gaps

Indicate the current level of training that exists within the jurisdiction and criteria required to advance to the next level. Also, estimate the total number of students to be trained with this grant funding.

First responder agencies are encouraged to work with their local Emergency Manager and their regional hazmat team to determine training needs in their jurisdiction above the Awareness level.

Furthermore, cross-discipline and cross-jurisdictional classes are encouraged to maximize the benefit cost ratio of the grant funds.

6. Required Attachments

To attach a document to your Egrants application you must type “See Attached” in the text box to enable the document attachment tool.

Please attach the following documents to your application in this section:

1. E-Mail from applicant County to the WEM Regional Director notifying the Regional Director of the application
2. For each class, submit a course outline showing the objectives of the course, an agenda of the training project and a resume, biography or training records showing the qualifications of the instructor (attach extra pages if needed). Your application will not be considered without this information as each level of hazmat training must meet certain objectives and each instructor must have certain qualifications for the training to qualify for the grant funds. This requirement will be waived for technical college courses instructed by internal staff or courses instructed by REACT Center instructors.

Application Review and Award Criteria

All applications must be submitted on or before the deadline and will be screened for completeness and compliance with the instructions provided in this announcement. WEM staff will review applications to ensure consistency with state training policy and make funding recommendations to the WEM Administrator. All final grant award decisions will be made by the WEM Administrator.

Post-Award Special Conditions/Reporting Requirements

If you are awarded funds under this announcement, you will be required to provide regular progress reports. The schedule for your reports will be included in your grant award materials. At that time, please review all your grant award special conditions and Egrants reporting requirements. In addition to any special conditions described in your award documents and reporting requirements contained in Egrants, you will need to provide the following:

1. **Summary** of the instructor evaluations (by personnel other than instructors.)
2. If funds are being used to hire personnel, submit the position description and if hiring an independent consultant or contractor, submit a contract detailing job specifications and deliverables.
3. Minimum class enrollment is 15 students, with a breakdown by discipline (minimally, emergency management, fire/EMS, law enforcement, public works, or other.) A per student cost may also be considered as an exception but must include a proposal with justification in the application. Any additional exceptions may be considered on a case-by-case basis and must be approved prior to the start of the training session.

4. A class roster is required for each course funded under this award. Upload the document into the Egrants program report.
5. A final Program Report is due at the close-out of the grant.
6. A final Fiscal Report/Reimbursement request is due in Egrants at the close-out of the grant.
7. Additional reporting will be required if partial payments are requested, please contact the Budget/Fiscal contact for more information.

Request for reimbursement

Payments will be made on a reimbursement basis only. All expenses submitted for reimbursement, must be paid by the agency prior to submitting the request to WEM.

Requests for reimbursement are made by submitting a Fiscal Report in Egrants with required supporting documentation attached.

Fiscal Reports/Reimbursements will be approved by the Fiscal Contact upon the following conditions:

1. Program Reports are approved by the Hazmat Coordinator.
2. Expenses are deemed eligible as outlined in the Funding Announcement.
3. Receipt of a completed and signed **Reimbursement Request form (G-2)**:
<https://dma.wi.gov/DMA/divisions/wem/grants/docs/WEMG-2Form.doc>
4. At minimum, the following supporting documents are supplied and uploaded to Egrants:
 - a. Receipts and invoices
 - b. Roster/Sign-in sheet

Request for award modification

Requests for an award modification may be submitted to WEM for the following circumstances:

1. Change of the program contact/grant coordinator.
2. Requesting a change in the award amount or returning the award.
3. Requesting an extension of the performance period.

Requests for modifications must be submitted via Egrants. All modification requests will be reviewed by the Hazmat Coordinator and Fiscal contact for approval. All final grant modification decisions will be made by the WEM Administrator. Modifications are not considered final until WEM provides a signed modification approval notice; any related expenses incurred prior to receipt of a signed modification approval are not eligible for reimbursement.

Additional Resources

- HMEP-funded courses are eligible to be placed on the Wisconsin Emergency Management Training Portal: <https://www.trainingwisconsin.org/index.aspx>. For assistance, please contact at WEM.Training@wisconsin.gov.
- Wisconsin Emergency Management website: <http://emergencymanagement.wi.gov/>
- Egrants Helpdesk is staffed on non-holiday weekdays between 7:30AM and 4:00PM.
Email: WEMEgrants@wisconsin.gov

REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2018</u>		Resolution Request
			Ordinance Request
		X	Report Request

Requestor/Originator: Finance Department - Alexandra Tillmann

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date Considered by Committee: 11/7/2018 Date of County Board Meeting to be Introduced: _____

1st Reading: 1st & 2nd Reading: *

* Include a paragraph in the memo regarding why 1st & 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County 2018 2nd & 3rd Quarter Investment Report

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

The Committee believes that this action furthers the following goals:

- Make Racine County the most accessible county in Wisconsin for business to grow, develop and create family supporting jobs.
- Develop a system that encourages employees, elected officials and citizens to suggest ideas for service enhancement and productivity improvements including a measurement of customer satisfaction.
- Foster an environment where intergovernmental cooperation is encouraged to produce better services and efficiencies.
- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.

2018 RACINE COUNTY INVESTMENT INCOME

2018 RACINE COUNTY INVESTMENT INCOME

MONTH	DANA ¹	WELLS FARGO ²	LGIP	BMO HARRIS (net change)	JOHNSON (FOXCONN) '17 ³	JOHNSON (FOXCONN) '18 ³	TOTALS
JAN	\$ (71,879.39)	\$ 360.20	\$ 13,831.03	\$ (2,902.35)	\$ 64,214.92	\$	\$ 3,624.41
FEB	1,369.65	325.39	34,924.65	(3,522.78)	22,804.68		55,901.59
MAR	15,038.45	211.51	46,063.04	683.72	23,643.60		85,640.32
APR	(7,384.29)		48,237.69	(2,788.00)	18,313.44		56,378.84
MAY	111,663.12		51,597.08	1,024.76	15,929.25		180,214.21
JUN	15,011.64		40,790.67	(1,429.10)	7,302.79	72,592.71	134,268.71
JUL	35,250.17		43,812.97	(171.05)	8,022.75	81,801.08	168,715.92
AUG	74,701.14		32,742.53	834.42	6,199.45	95,082.03	209,559.57
SEP	20,487.52		27,922.73	(1,994.78)	5,386.74	62,924.86	114,727.07
OCT							-
NOV							-
DEC							-
2018 GT	194,258.01	897.10	339,922.39	(10,265.16)	171,817.62	312,400.68	1,009,030.64

1ST QTR	\$ (55,471.29)	\$ 897.10	\$ 94,818.72	\$ (5,741.41)	\$ 110,663.20	\$	\$ 145,166.32
2nd QTR	119,290.47	-	140,625.44	(3,192.34)	41,545.48	72,592.71	370,861.76
3rd QTR	130,438.83	-	104,478.23	(1,331.41)	19,608.94	239,807.97	483,198.84
4th QTR							

¹ Change in Market Value = Income + Realized Gain/Loss + Unrealized Gain/Loss - Admin Expenses

² Wells Fargo account closed on 4/10/18

³ Johnson Bank Foxconn accounts represent funds that may only be used for TID 5 eligible expenses

2018 RACINE COUNTY INVESTMENT INCOME

2018 MONTHLY BALANCES

MONTH	DANA	WELLS FARGO ⁴	LGIP	BMO HARRIS	JOHNSON (FOXCONN) '17	JOHNSON (FOXCONN) '18
JAN	\$ 31,146,604.89	\$ 2,827,237.25	\$ 11,400,090.31	\$ 379,020.17	\$ 77,790,228.18	
FEB	31,147,974.54	2,827,597.45	31,413,921.34	376,547.09	30,288,962.26	
MAR	31,163,012.99	2,827,922.84	36,448,845.99	373,506.43	28,966,795.22	
APR	31,153,379.95		33,835,981.74	374,638.01	25,538,856.47	
MAY	31,265,043.07		33,884,219.43	372,386.30	13,576,378.69	
JUN	31,280,054.71		26,435,816.51	373,941.79	8,999,884.44	\$ 67,665,297.71
JUL	31,313,008.00		26,476,607.18	373,075.62	8,767,369.14	67,665,272.71
AUG	31,387,709.14		26,520,420.15	373,471.52	8,775,391.89	65,366,806.70
SEP	31,408,196.66		16,556,114.36	374,886.27	4,277,930.26	61,787,408.96
OCT						
NOV						
DEC						
YEARLY AVG	\$ 31,251,664.88	\$ 2,827,585.85	\$ 26,996,890.78	\$ 374,608.13	\$ 22,997,977.39	65,621,196.52

⁴ Wells Fargo Investments account balances presented are the average ledger balances over the period in order to calculate the appropriate interest earned for the period

2018 RACINE COUNTY INVESTMENT INCOME

RACINE COUNTY FINANCE 2nd QTR REPORT 2018

AVERAGE MONTHLY	DANA	LGIP	BMO HARRIS	JOHNSON (FOXCONN) 2017	JOHNSON (FOXCONN) 2018
2nd Q BEGIN BALANCE	\$ 31,153,379.95	\$ 33,835,981.74	\$ 374,638.01	\$ 25,538,856.47	\$ -
2nd Q END BALANCE	31,280,054.71	26,435,816.51	373,941.79	8,999,884.44	67,665,297.71
AVERAGE BALANCE	31,232,825.91	31,385,339.23	373,655.37	16,038,373.20	67,665,297.71
INTEREST EARNED	119,290.47	140,625.44	(3,192.34)	41,545.48	72,592.71
ACTUAL YIELD	0.38%	0.45%	-0.85%	0.26%	0.11%
ESTIMATED ANNUAL YIELD**	1.53%	1.79%	-3.42%	1.04%	0.43%

Respectfully submitted,

Alexandra Tillmann
 Racine County Finance Director

* ESTIMATED ANNUAL YIELD:
 based on actual results year-to-date
 before DANA Investment Advisor Fee

2018 RACINE COUNTY INVESTMENT INCOME

RACINE COUNTY FINANCE 3rd QTR REPORT 2018

AVERAGE MONTHLY	DANA	LGIP	BMO HARRIS	JOHNSON (FOXCONN) 2017	JOHNSON (FOXCONN) 2018
3rd Q BEGIN BALANCE	\$ 31,313,008.00	\$ 26,476,607.18	\$ 373,075.62	\$ 8,767,369.14	\$ 67,665,272.71
3rd Q END BALANCE	31,408,196.66	16,556,114.36	374,886.27	4,277,930.26	61,787,408.96
AVERAGE BALANCE	31,369,637.93	23,184,380.56	373,811.14	7,273,563.76	64,939,829.46
INTEREST EARNED	130,438.83	104,478.23	(1,331.41)	19,608.94	239,807.97
ACTUAL YIELD	0.42%	0.45%	-0.36%	0.27%	0.37%
ESTIMATED ANNUAL YIELD**	1.66%	1.80%	-1.42%	1.08%	1.48%

Respectfully submitted,

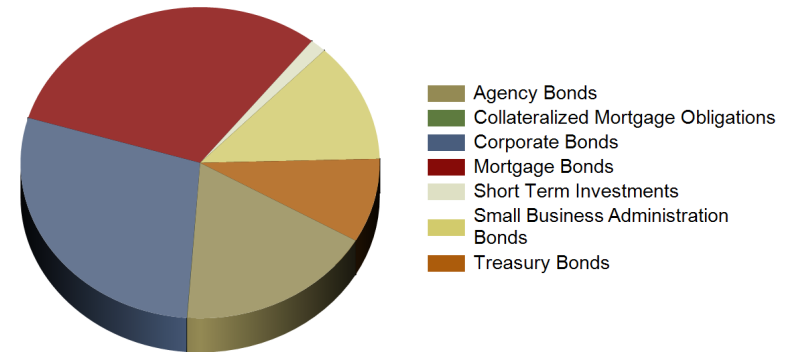
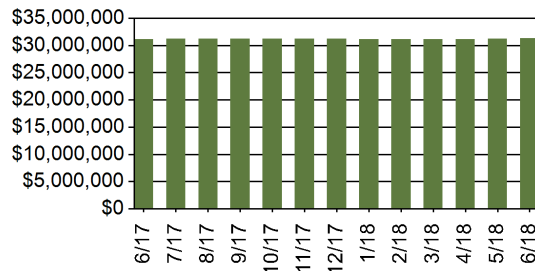
Alexandra Tillmann
 Racine County Finance Director

* ESTIMATED ANNUAL YIELD:
 based on actual results year-to-date
 before DANA Investment Advisor Fee

Account Activity 3/29/2018 to 6/29/2018	
Portfolio Value on 03/29/2018	\$31,184,525.62
Contributions/Withdrawals	(\$2,248.75)
Investment Income	\$178,767.95
Unrealized Gain/Loss	(\$46,488.57)
Realized Gain/Loss	(\$20,394.44)
Change in Accrued Income	(\$7,638.05)
Portfolio Value on 06/29/2018	\$31,286,523.76
Total Gain after fees	\$90,052.69

Portfolio Allocation				
Summary as of 6/29/2018	Market Value	% Assets	Income	Yield
Short Term Investments	456,982	1.5	4,818	1.05
Treasury Bonds	2,733,266	8.7	52,613	1.93
Agency Bonds	5,579,136	17.8	80,150	1.44
Small Business Administration Bonds	3,894,521	12.4	116,263	3.00
Mortgage Bonds	9,690,722	31.0	277,079	2.87
Corporate Bonds	8,925,814	28.5	197,314	2.22
Collateralized Mortgage Obligations	6,082	.0	212	3.50
Total Portfolio	31,286,524	100.0	728,448	2.34

Account Value (\$) Over Last 12 Months



Data Through 6/30/2018	6/30/2018 Yield to Worst	Unannualized		Average Annual	
		2nd Quarter 2018	Last 12 Months	3 Year	Since Inception
<i>Racine County Performance is Net of Fees</i>					
2198m - Racine County	2.73	0.29	0.29	0.59	0.62
2198ma - Racine County - Limited Volatility	2.73	0.30	0.60	0.57	0.71
2198mb - Racine County - Intermediate	2.72	0.27	-0.02	0.61	0.59
ICE BofA ML 1 Year Treasury Note	2.36	0.65	0.92	0.64	0.43
ICE BofA ML US Gov/Corp 1-3 Year AA or Better	2.58	0.10	0.14	0.50	0.61
Wisconsin LGIP	1.88	0.81	1.34	0.71	0.38
Estimated \$ Return over LGIP ¹				\$	492,150

Dana Investment Advisors, Inc. is an independent federally registered investment adviser providing equity and fixed income investment management services to a broad range of clients. All data is presented in U.S. Dollars. Portfolio Characteristics, Performance Report, Portfolio Holdings, and Sector Distributions reflect applicable investment holdings as of market close on the date indicated. Returns presented are exclusive of investment management and custodial fees, and net of transaction costs. Investment management fees would reduce the returns presented, for example: on a one-million dollar portfolio with an advisory fee of .75% earning a 10% return, the total compounded advisory fee over a five year period would be \$50,368. The resulting average annual return for the period would therefore be 9.17%. All returns were calculated on a time weighted total return basis. Performance does include the accrual of income and the reinvestment of dividends and interest received.

During various market cycles, the strategies discussed herein have demonstrated portfolio characteristics and returns that have been both more and less volatile than that of the comparable index. Indices shown were selected because they demonstrated a broad range of characteristics, some of these characteristics being deemed useful for limited comparison purposes only. Historical performance results for investment indices and/or categories have been provided for general comparison purposes only, and generally do not reflect the deduction of transaction and/or custodial charges, the deduction of an investment management fee, nor the impact of taxes, the incurrence of which would have the effect of decreasing historical performance results. It should not be assumed that your account holdings do or will correspond directly to any comparative indices.

While data contained herein was gathered from sources deemed reliable, the accuracy of the data presented cannot be guaranteed. Please remember that past performance may not be indicative of future results. Different types of investments involve varying degrees of risk, and there can be no assurance that the future performance of any specific investment or investment strategy made reference to directly or indirectly in this report, will be profitable, equal any corresponding indicated historical performance level(s), or will continue to be suitable for your portfolio. Due to various factors, including changing market conditions, the content of this report may no longer be reflective of current opinions, positions, investments or account allocations. Moreover, you should not assume that any discussion or information contained in this report serves as the receipt of, or as a substitute for, personalized investment advice from Dana Investment Advisors, Inc.

Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s). The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors, Inc. While the prices are obtained from sources we consider reliable, we cannot guarantee them.

Please remember to contact Dana Investment Advisors, Inc. at (800) 765-0157, or P.O. Box 1067 Brookfield, WI 53008 with any questions or if there are any changes in your personal financial situation or investment objectives for the purpose of reviewing, evaluating, and revising any previous recommendations or investment services. Please also advise Dana if you would like to impose, add, or modify any reasonable restrictions to your account. A copy of Dana's current Form ADV Brochure detailing a complete list of Dana's advisory services and fees continues to remain available for your review upon request.

Current List of Holdings: The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors. While the prices are obtained from sources we consider reliable, we cannot guarantee them. Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s).



Racine County

W I S C O N S I N

AS OF SEPTEMBER 30, 2018

Table of Contents

3	Executive Summary
4	Portfolio Allocation
5	Portfolio Characteristics
6	Portfolio Summary
7	Portfolio Contributors and Detractors
8	Market and Economic Updates
14	Portfolio Holdings
16	Disclosure

Contact



J. Joseph Veranth, CFA
Chief Investment Officer
(262) 782-7273
Joe@DanaInvestment.com



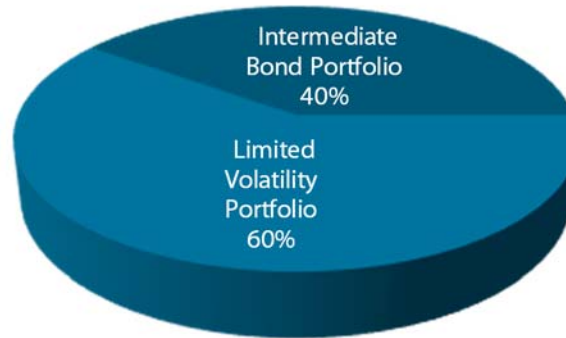
Noaman Sharief
Senior Vice President – Portfolio Manager
(262) 780-6093
Noaman@DanaInvestment.com



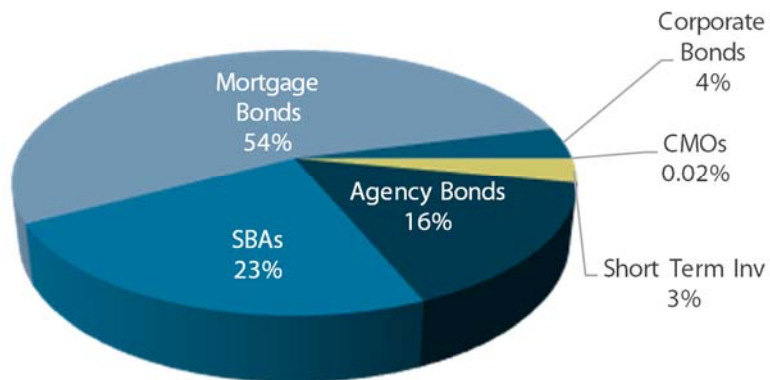
Matthew Slowinski, CFA
Senior Vice President – Portfolio Manager
(262) 782-6091
Matt@DanaInvestment.com

- As of September 30, 2018 the current yield of the Racine County combined portfolios was 2.91%, compared to the Wisconsin LGIP yield of 2.05%, the Racine County combined portfolios duration was 1.15.
- Current Allocation as of 9/30/2018 is 60% Dana Limited Volatility Bond Strategy and 40% Dana Intermediate Bond Strategy.
 - The transition to 65% Dana Limited Volatility and 35% Dana Intermediate is expected to be completed by November 30, 2018.
- Estimated dollar return over Wisconsin LGIP since inception of the combined Racine County portfolios through 9/30/2018 = \$ 448,260 ^{1 2}

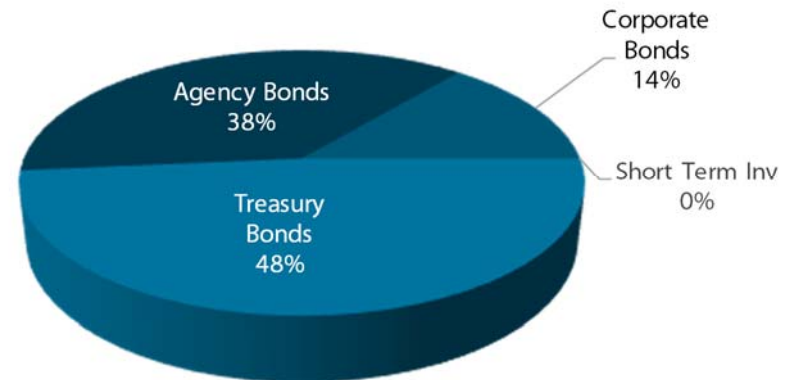
2198m - Racine County
September 30, 2018



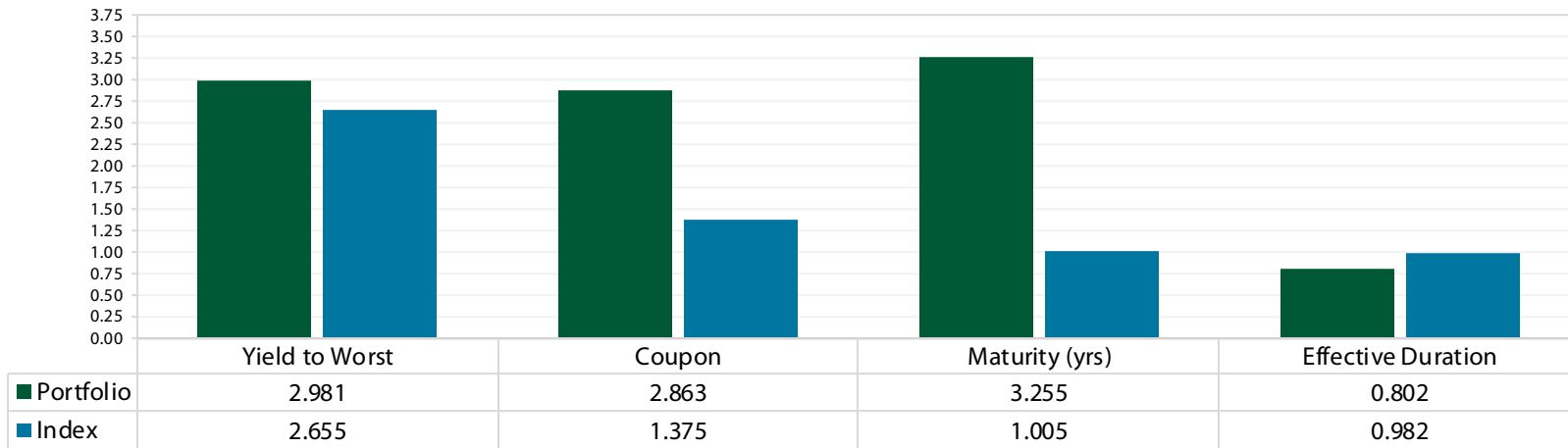
2198ma - Racine County - Limited Volatility Bond Portfolio
September 30, 2018



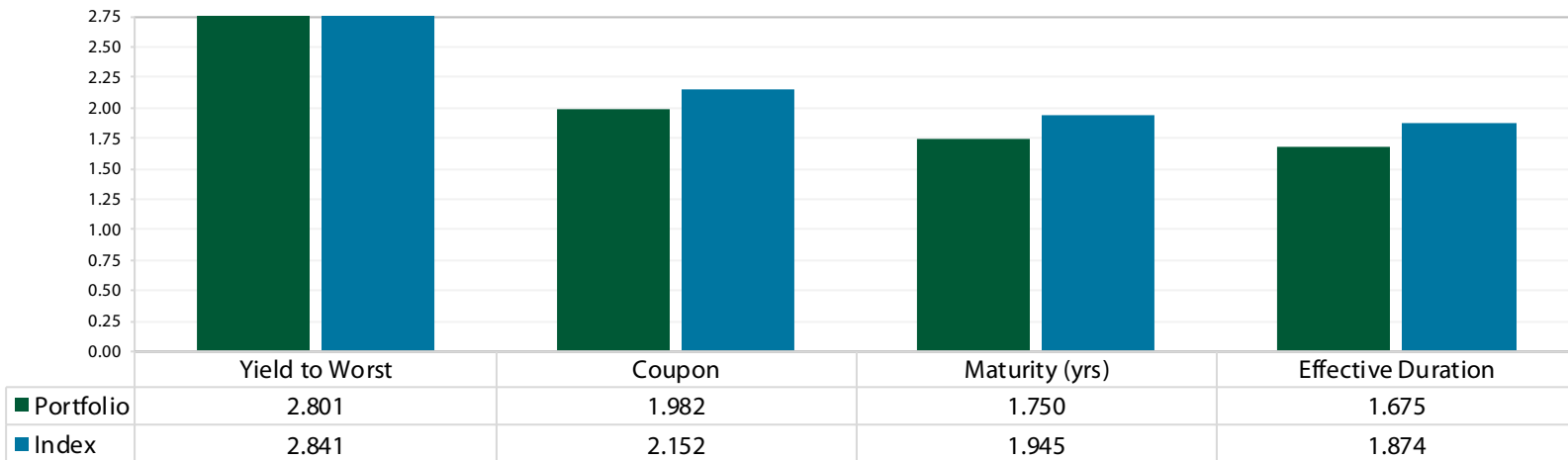
2198mb - Racine County - Intermediate Bond Portfolio
September 30, 2018



2198ma Racine County Limited Volatility Portfolio versus ICE BofAML 1 Year Treasury Index as of September 30, 2018



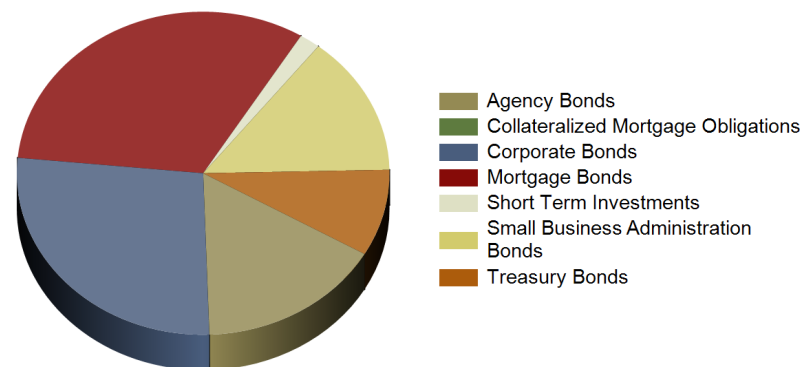
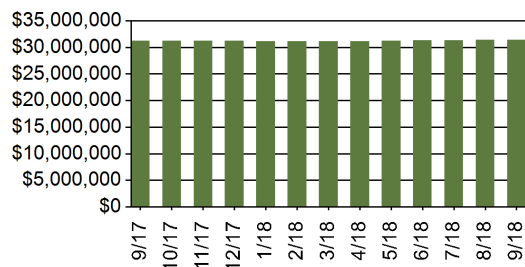
2198mb Racine County Intermediate Portfolio versus ICE BofAML 1-3 Year Govt/Corp AA+ Index as of September 30, 2018



Account Activity 6/29/2018 to 9/28/2018	
Portfolio Value on 06/29/2018	\$31,286,523.76
Contributions/Withdrawals	(\$2,296.88)
Investment Income	\$173,959.41
Unrealized Gain/Loss	(\$19,127.20)
Realized Gain/Loss	(\$36,121.32)
Change in Accrued Income	\$10,536.50
Portfolio Value on 09/28/2018	\$31,413,474.27
Total Gain after fees	\$115,014.94

Portfolio Allocation				
Summary as of 9/28/2018	Market Value	% Assets	Income	Yield
Short Term Investments	564,674	1.8	2,895	.51
Treasury Bonds	2,727,609	8.7	52,613	1.94
Agency Bonds	5,062,617	16.1	71,900	1.43
Small Business Administration Bonds	4,416,062	14.1	151,190	3.44
Mortgage Bonds	10,124,970	32.3	298,611	2.96
Corporate Bonds	8,514,301	27.1	191,151	2.26
Collateralized Mortgage Obligations	3,242	.0	113	3.50
Total Portfolio	31,413,474	100.0	768,474	2.46

Account Value (\$) Over Last 12 Months



Data Through 9/30/2018 Racine County Performance is Net of Fees	9/30/2018	Unannualized		Average Annual	
	Yield to Worst	3rd Quarter 2018	Last 12 Months	3 Year	Since Inception
2198m - Racine County	2.91	0.37	0.38	0.59	0.65
2198ma - Racine County - Limited Volatility	2.98	0.32	0.65	0.61	0.73
2198mb - Racine County - Intermediate	2.80	0.42	0.13	0.57	0.63
ICE BofA ML 1 Year Treasury Note	2.66	0.41	1.08	0.74	0.47
ICE BofA ML US Gov/Corp 1-3 Year AA or Better	2.84	0.24	0.11	0.47	0.63
Wisconsin LGIP	2.05	0.51	1.60	0.87	0.44
Estimated \$ Return over LGIP ¹				\$	448,260

2198mb Racine County Intermediate Portfolio versus ICE BofAML 1-3 Year Govt/Corp AA+ Index as of September 30, 2018

Maturity (Years)			Effective Duration			Coupon		
	Portfolio	Index		Portfolio	Index		Portfolio	Index
CASH	-	-	CASH	0.00%	-	CASH	-	-
<0.00	-	-	<0.00	-	-	<0.00	-	-
0.00 - 0.99	26.13%	-	0.00 - 0.99	29.48%	3.00%	0.00 - 0.99	6.17%	-
1.00 - 1.99	31.80%	54.40%	1.00 - 1.99	33.72%	54.70%	1.00 - 1.99	38.57%	50.70%
2.00 - 2.99	27.35%	45.60%	2.00 - 2.99	27.44%	42.30%	2.00 - 2.99	44.80%	35.70%
3.00 - 3.99	9.42%	-	3.00 - 3.99	9.36%	-	3.00 - 3.99	-	9.70%
4.00 - 4.99	5.30%	-	4.00 - 4.99	-	-	4.00 - 4.99	10.47%	1.10%
5.00 - 6.99	-	-	5.00 - 5.99	-	-	5.00 - 5.99	-	0.40%
7.00 - 9.99	-	-	6.00 - 6.99	-	-	6.00 - 6.99	-	-
10.00 - 14.99	-	-	7.00 - 7.99	-	-	7.00 - 7.99	-	0.30%
15.00 - 19.99	-	-	8.00 - 8.99	-	-	8.00 - 8.99	-	1.90%
20.00 - 24.99	-	-	9.00 - 9.99	-	-	9.00 - 9.99	-	0.20%
25.00+	-	-	10.00+	-	-	10.00+	-	-

- Overall Portfolio duration is slightly shorter and smoothed out compared to the Index, and was positioned remarkably well against the Index for the quarter to withstand declines in the front end of the curve as market reacted to recent economic data
- Racine County Intermediate portfolio has a similar yield versus the Index
- Price movement tends to be minimal for securities within 2-year maturity when rates rise or fall
- The portfolio structure has been a positive contributor to performance since 12/31/2016

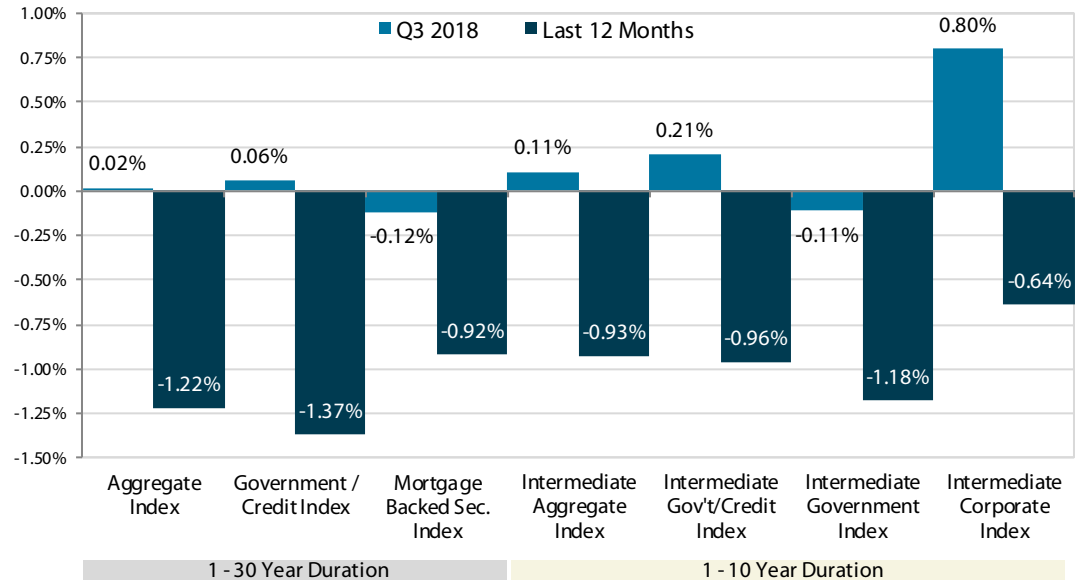
Longer maturity corporate bonds - especially lower rated investment grade credit, were best performers during the quarter. Generally corporate bonds were positive performers due to spreads tightening despite rise in yields. Government bonds, including Treasuries and Agencies, were negative performers as the yields rose. Dana portfolios are strategically positioned with a higher allocation to corporate bonds and overall lower duration – a combination that helped outperform the benchmark for the quarter and year-to-date.

Q3 2018 Observations

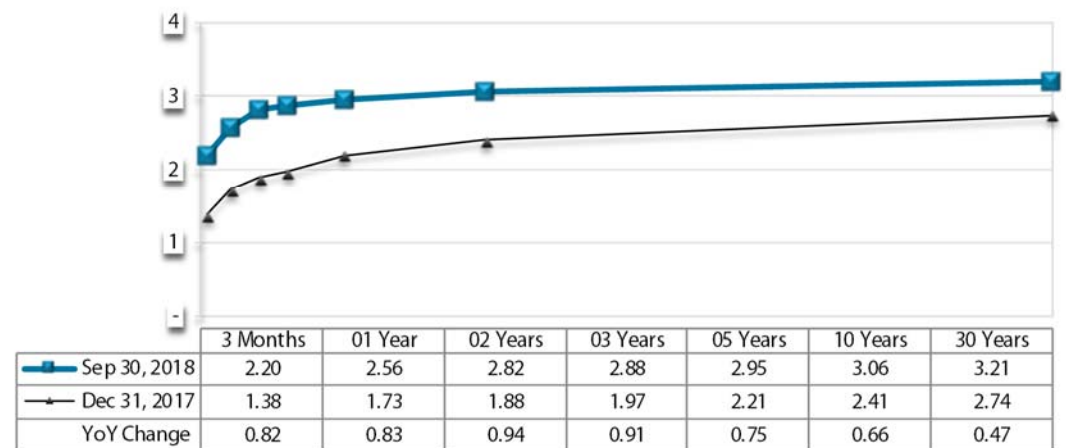
- The yields curve experienced nearly a parallel shift up by 20 to 36 basis points
- T-bills 1, 3, 6, and 12-months rose 36, 28, 26, and 25 basis points respectively
- 2-year, 3-year Treasuries rose 29 and 26 basis points respectively
- 10-year, 30-year bonds rose 20, and 22 basis points respectively
- The 10 Year U.S. Treasury Note yield traded in a range of 2.81% to 3.10%, ending the quarter at 3.06%

We continue to suggest that investors limit the average duration in their portfolios by investing in relatively short duration bonds that are high in credit quality as a means to mitigate the impact of rising interest rates. Investors need to maintain a well-diversified core fixed income portfolio in an active manner to continue compounding interest income no matter what path interest rates may follow. Even in a rising-rate environment, fixed income investments provide important benefits, including income, diversification, lower volatility and the predictability of an income stream.

Bloomberg Barclays U.S. Bond Indices
Returns Through September 30, 2018



Treasury Yield Curve



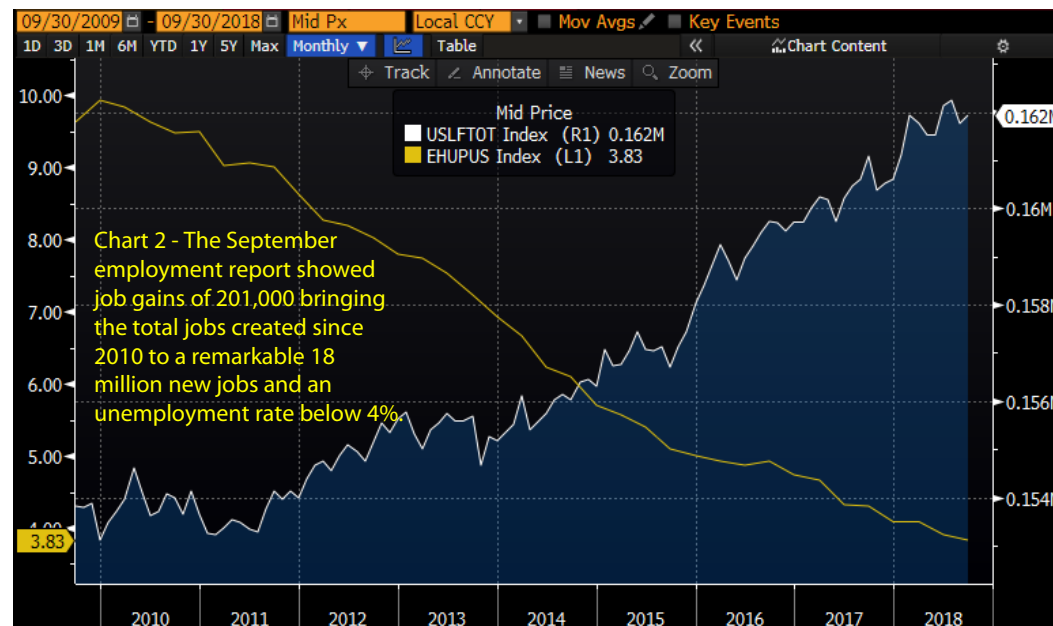
Continued Strength

At the start of the year the market thought there was only a 20% probability that, by now, the Federal Reserve (Fed) would have already raised rates three times this year. Even at the start of July, markets thought there was a 40% chance that the Fed wouldn't increase rates again in the third quarter. However, by the time the Fed raised rates last week, bond markets had already fully priced in the increase, with Treasury yields drifting higher over the quarter.

Overall, the U.S. economic data continued to remain strong over the third quarter and we expect the current level of growth to continue for the near term. In this environment, we expect longer term interest rates to move marginally higher as Treasury supply increases and developed-market central banks broadly shift toward a less accommodative stance on monetary policy. The economy is creating new jobs at an impressive rate, and wages have begun to shift higher (charts 1 & 2) supporting retail sales growth of over 7% year on year.

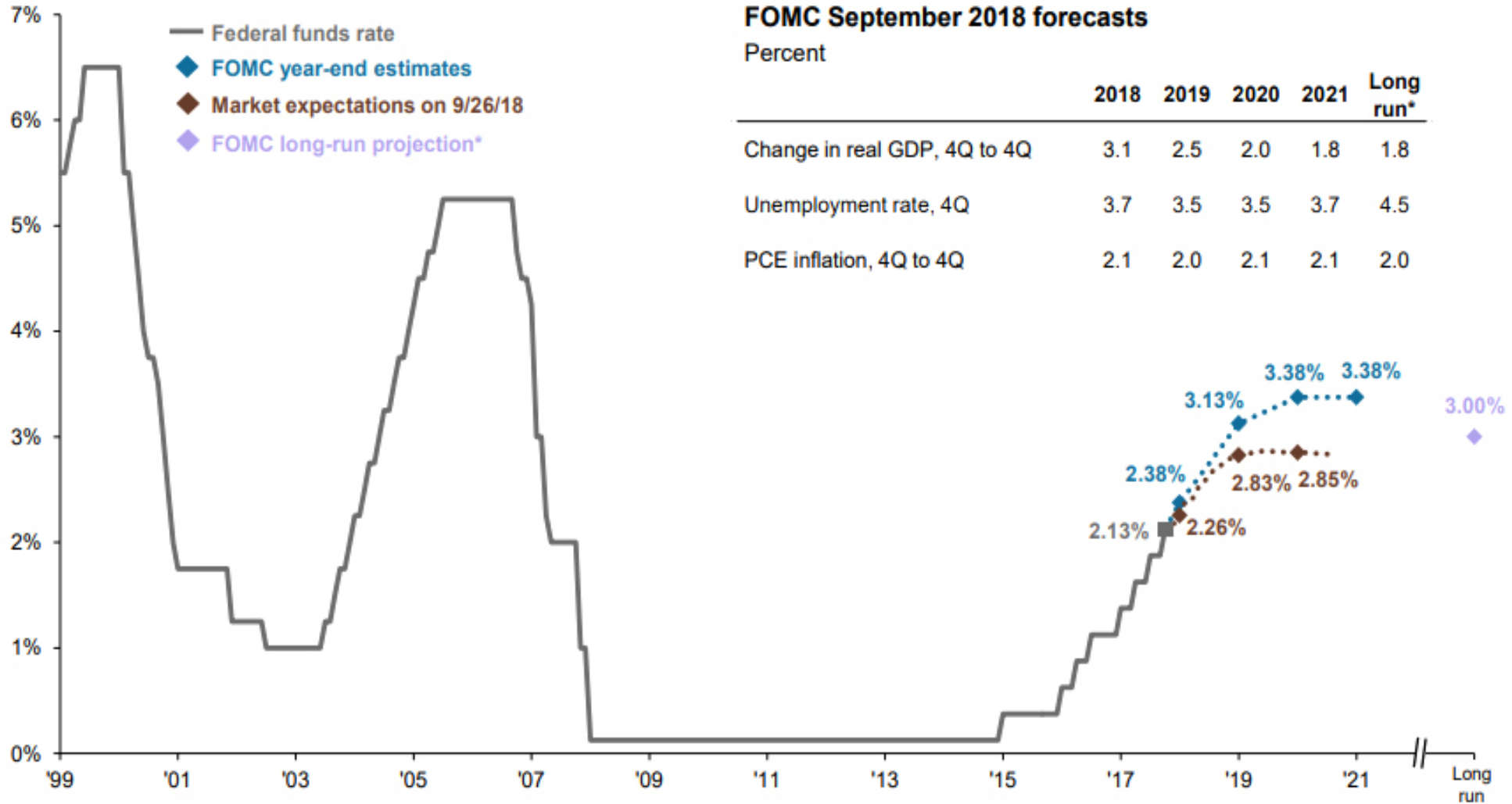
Consumer confidence hit its highest level since 2000, while the monthly average of initial jobless claims fell to the lowest level since 1969. Also, the National Federation of Independent Business's survey showed that small businesses were the most optimistic they've been since the survey began in 1974.

Given the strength of the U.S. economy, the Federal Open Market Committee (FOMC), raised the benchmark fed funds rate by a quarter-percentage point, to a range of 2%-2.25%, at its meeting on September 26, 2018. The fed funds rate hike marked the eighth rate increase since December 2015 and the third rate hike this year. The U.S. economy has been coming in stronger than expected this year," said Fed chairman Jerome Powell at a press conference following the meeting. Powell cited high business and consumer confidence readings, as well as the tax cuts implemented in December 2017. Notably, the statement released at the end of the meeting differed from the previous statement in one important respect: it removed reference to "accommodative" Fed policy. This likely means rates are getting close to the "neutral" level. The deletion, however, does not imply that the future rate path has changed. Given the continued strength, we believe there remains a strong possibility for further increases in the fourth quarter of 2018 as well as into 2019 (chart 3).



Federal funds rate expectations

FOMC and market expectations for the fed funds rate

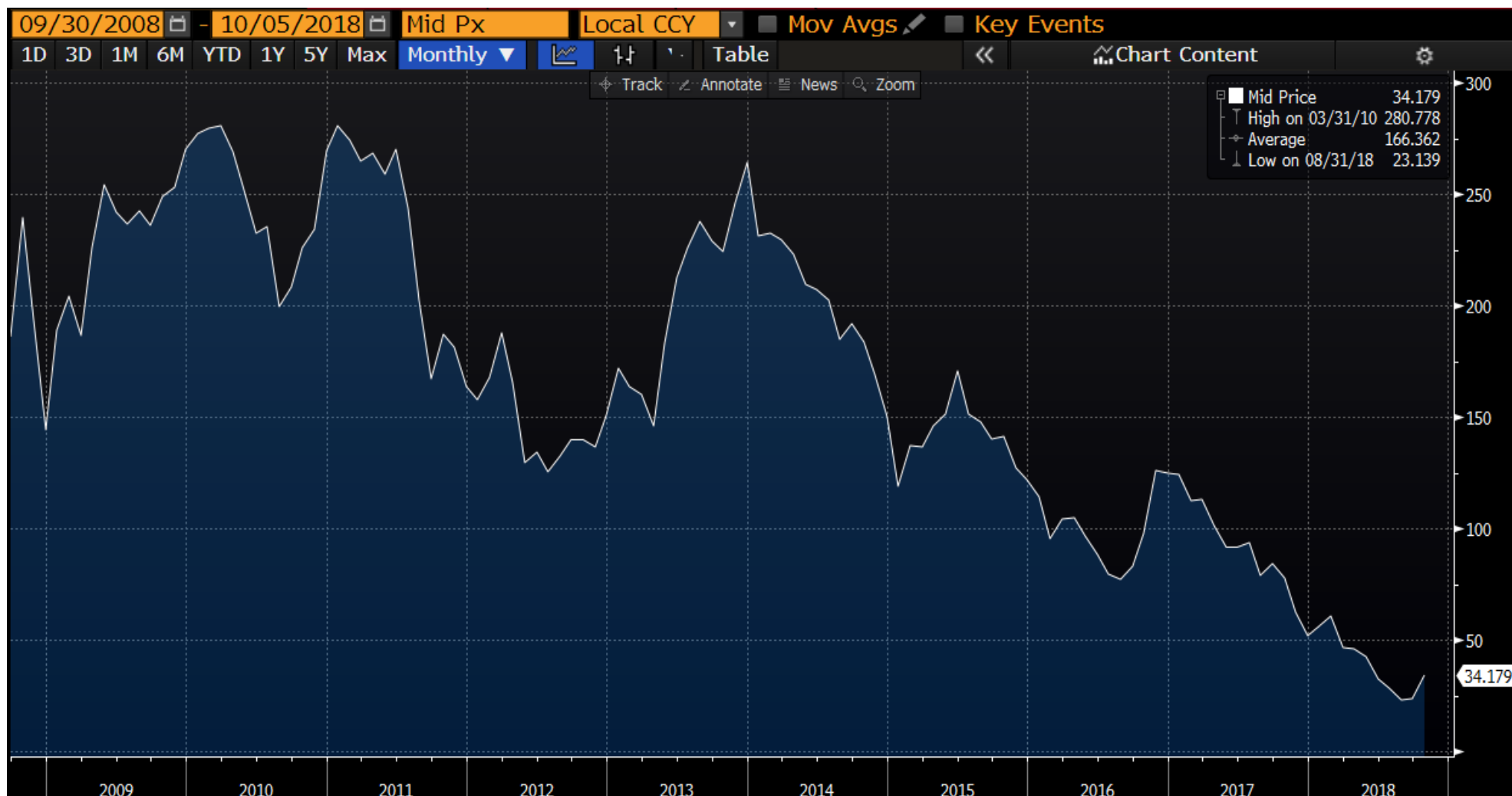


FOMC September 2018 forecasts

Percent

	2018	2019	2020	2021	Long run*
Change in real GDP, 4Q to 4Q	3.1	2.5	2.0	1.8	1.8
Unemployment rate, 4Q	3.7	3.5	3.5	3.7	4.5
PCE inflation, 4Q to 4Q	2.1	2.0	2.1	2.1	2.0

- Market expectations are the Fed funds rates priced into the Fed futures (as of Sep 2018 FOMC meeting and through Sep 2021)
- * Long run projections are the rates of growth, unemployment and inflation to which a policymaker expects the economy to converge over the next 5-6 years (assuming no further shocks and stable monetary policy)

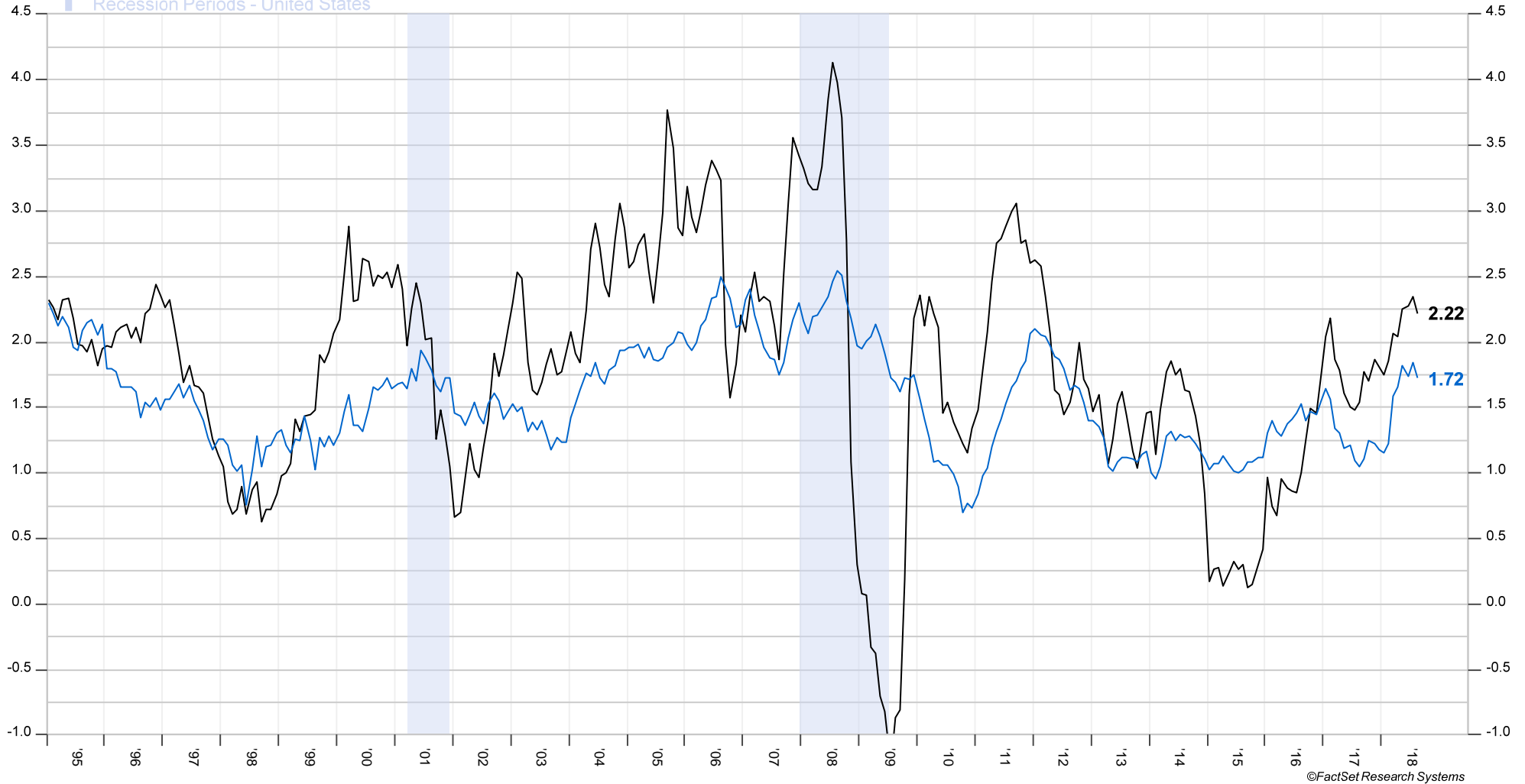


➤ The 2-10yr spread is slightly off the lowest point of the decade

Inflation: Personal Consumption Expenditure (PCE) Index

1/31/1995 Through 8/31/2018

- PCE, Price Index, 2009=100, SA, Index - United States
- PCE Excl. Food And Energy, Price Index, 2009=100, SA, Index - United States
- Recession Periods - United States

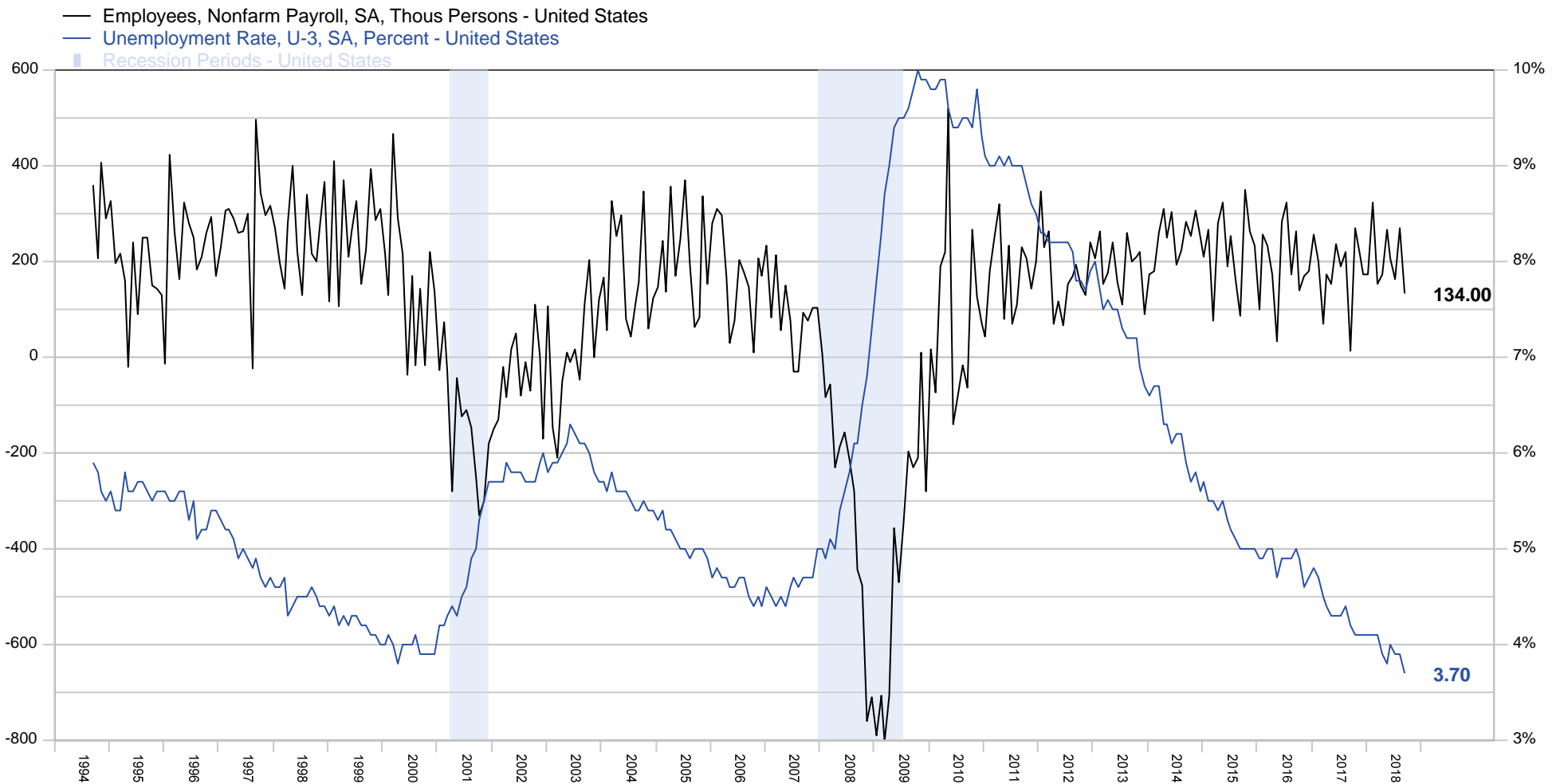


©FactSet Research Systems

Personal Consumption Expenditures (PCE), or the PCE price index, is a statistic compiled and released quarterly by the U.S. Bureau of Economic Analysis (BEA) <http://bea.gov/> that synthesizes a host of data, chief among them the U.S. Producer and Consumer Price indices. The PCE price index measures the price fluctuations and related consumer behavior for all domestic consumption of durable and non-durable goods and services targeted toward individuals and households. The PCE "core index", however, excludes the more volatile components of food and energy. Personal consumption is divided into two key categories: goods and services. The category of "goods" is further broken down into "durable" goods, which are big-ticket items (refrigerators, television sets, cars, mobile phones, etc.) that will last more than three years, and "non-durable" goods that are more transitory (e.g., cosmetics, fuel, clothing, etc.).

- Job growth stronger for longer in this recovery due to the depth of the last recession
- 3.9% unemployment rate is lower than 4.4% pre-recession low
- Job growth will slow as the unemployment rate sinks lower and the economy reaches full employment

US Change in NonFarm Employment & Unemployment Rate
9/30/1994 through 9/28/2018



Dana Investment Advisors, Inc.

PORTFOLIO HOLDINGS



Report as of: 09/28/2018

Portfolio: 2198m - Racine County

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
Cash							
Short Term Investments							
	000009	Cash - Money Fund		198,317.24	.63	.00	1.46
Total Short Term Investments				198,317.24	.63	.00	1.46
Bonds							
Agency Bonds							
800,000	313586RC5	FANNIE MAE 0% Due 10/09/2019	97.25	778,005.60	2.49	.00	.00
750,000	3133EHWP4	FEDERAL FARM CREDIT BANK 1.54% Due 03/05/2020	98.08	735,585.00	2.35	802.08	1.57
750,000	3134GBVN9	FREDDIE MAC 1.65% Due 07/10/2020	97.84	733,777.50	2.35	2,750.00	1.69
750,000	3134GBKC5	FREDDIE MAC 1.65% Due 07/27/2020	98.12	735,907.50	2.35	2,165.63	1.68
425,000	3130ACK52	FEDERAL HOME LOAN BANK 1.7% Due 10/05/2020	97.68	415,152.75	1.33	3,512.15	1.74
250,000	3130ADC26	FEDERAL HOME LOAN BANK 2.2% Due 01/29/2021	98.50	246,237.50	.79	931.94	2.23
750,000	3134GBYQ9	FREDDIE MAC 2% Due 04/27/2021	97.69	732,645.00	2.34	6,375.00	2.05
700,000	3130A8QS5	FEDERAL HOME LOAN BANK 1.125% Due 07/14/2021	95.30	667,107.00	2.13	1,662.50	1.18
Total Agency Bonds				5,044,417.85	16.13	18,199.30	1.43
Collateralized Mortgage Obligations							
3,232.76	31397SY57	FNR 2011-37 LD 3.5% Due 04/25/2039	100.00	3,232.76	.01	9.11	3.50
Total Collateralized Mortgage Obligations				3,232.76	.01	9.11	3.50
Corporate Bonds							
900,000	459058ER0	INTL BK RECON & DEVELOP 1% Due 10/05/2018	99.99	899,865.00	2.88	4,375.00	1.00
425,000	742718EE5	PROCTER & GAMBLE CO/THE 1.6% Due 11/15/2018	99.92	424,643.00	1.36	2,550.00	1.60
425,000	89236TBB0	TOYOTA MOTOR CREDIT CORP 2.1% Due 01/17/2019	99.88	424,498.50	1.36	1,809.79	2.10
425,000	94988J5D5	WELLS FARGO BANK NA 1.75% Due 05/24/2019	99.39	422,424.50	1.35	2,603.13	1.76
425,000	20271RAH3	COMMONWEALTH BK AUSTR NY 2.3% Due 09/06/2019	99.47	422,734.75	1.35	651.67	2.31
420,000	68389XAX3	ORACLE CORP 2.25% Due 10/08/2019	99.53	418,009.20	1.34	4,515.00	2.26
425,000	961214BK8	WESTPAC BANKING CORP 4.875% Due 11/19/2019	102.15	434,154.50	1.39	7,539.32	4.77
425,000	30231GAG7	EXXON MOBIL CORPORATION 1.912% Due 03/06/2020	98.69	419,449.50	1.34	541.73	1.94
425,000	64952WCP6	NEW YORK LIFE GLOBAL FDG 2% Due 04/09/2020	98.42	418,289.25	1.34	4,037.50	2.03
425,000	822582BG6	SHELL INTERNATIONAL FIN 2.125% Due 05/11/2020	98.66	419,309.25	1.34	3,487.07	2.15
420,000	053015AD5	AUTOMATIC DATA PROCESSNG 2.25% Due 09/15/2020	98.70	414,527.40	1.33	393.75	2.28
750,000	45905U7J7	INTL BK RECON & DEVELOP 1.625% Due 10/05/2020	97.62	732,157.50	2.34	2,877.60	1.66
425,000	89114QBC1	TORONTO-DOMINION BANK 2.5% Due 12/14/2020	98.50	418,642.00	1.34	3,128.47	2.54
425,000	084664BQ3	BERKSHIRE HATHAWAY FIN 4.25% Due 01/15/2021	102.52	435,722.75	1.39	3,763.02	4.15
425,000	91159HHA1	US BANCORP 4.125% Due 05/24/2021	102.08	433,831.50	1.39	6,135.94	4.04
425,000	63254AAR9	NATIONAL AUSTRALIA BK/NY 1.875% Due 07/12/2021	95.79	407,086.25	1.30	1,726.56	1.96
425,000	191216BY5	COCA-COLA CO/THE 1.55% Due 09/01/2021	95.70	406,716.50	1.30	530.66	1.62
425,000	717081DZ3	PFIZER INC 2.2% Due 12/15/2021	97.21	413,138.25	1.32	2,727.08	2.26
100,000	459058DY6	INTL BK RECON & DEVELOP 1.625% Due 02/10/2022	95.48	95,482.00	.31	225.69	1.70
Total Corporate Bonds				8,460,681.60	27.05	53,618.98	2.26
Mortgage Bonds							
26,758.82	3128HD2K4	FH 847078 3.716% Due 04/01/2029	102.19	27,345.72	.09	166.85	3.64
130,427.91	36225CZ82	G2 80766 3.125% Due 11/20/2033	103.26	134,679.34	.43	328.33	3.03
92,996.32	36225DAS3	G2 80916 3.625% Due 05/20/2034	103.07	95,855.86	.31	271.56	3.52
113,934.38	36225DDY7	G2 81018 2.75% Due 08/20/2034	103.64	118,085.35	.38	252.40	2.65
185,647.67	36225DKE3	G2 81192 3.125% Due 10/20/2034	101.04	187,585.46	.60	467.34	3.09
229,987.77	36225DLD4	G2 81223 3.375% Due 01/20/2035	103.20	237,355.65	.76	625.28	3.27
106,322.77	31407PJH2	FN 836464 3.76% Due 10/01/2035	102.41	108,884.30	.35	325.98	3.67
104,034.35	31412V7B8	FN 936590 3.329% Due 04/01/2037	102.11	106,233.64	.34	278.99	3.26
259,009.11	31385XG92	FN 555624 3.896% Due 03/01/2038	105.21	272,507.11	.87	859.41	3.70
72,107.34	36225E2F8	G2 82573 2.75% Due 07/20/2040	103.79	74,838.76	.24	159.74	2.65
225,318.25	31347AQP2	FH 840462 4.491% Due 01/01/2042	103.50	233,210.47	.75	1,679.00	4.34

Dana Investment Advisors, Inc.

PORTFOLIO HOLDINGS



Report as of: 09/28/2018

Portfolio: 2198m - Racine County

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
138,248.67	3138EHYX4	FN AL1625 3.851% Due 04/01/2042	104.59	144,587.37	.46	428.87	3.68
140,921.32	3138EH6J6	FN AL1772 3.883% Due 04/01/2042	104.31	146,994.04	.47	440.80	3.72
139,063.42	36179MDD9	G2 MA0100 3.625% Due 05/20/2042	102.50	142,534.58	.46	406.08	3.54
526,987.41	3140J7UU7	FN BM3294 3.09% Due 06/01/2042	104.50	550,722.40	1.76	1,311.76	2.96
49,016.89	31300MXB4	FH 849674 3.953% Due 11/01/2042	103.39	50,680.47	.16	331.35	3.82
256,887.57	31347AFT6	FH 840178 3.829% Due 12/01/2042	104.31	267,967.90	.86	1,602.56	3.67
105,055.69	31300MPF4	FH 849422 3.668% Due 02/01/2043	104.09	109,351.73	.35	637.38	3.52
179,674.75	3138EQDW9	FN AL7316 3.782% Due 02/01/2043	104.55	187,843.67	.60	547.40	3.62
131,356.52	3138EK2Y0	FN AL3490 3.612% Due 05/01/2043	103.32	135,717.29	.43	382.20	3.50
177,552.39	31347AFZ2	FH 840184 3.962% Due 06/01/2043	103.90	184,473.03	.59	1,165.53	3.81
124,262.47	31347AJ88	FH 840287 3.981% Due 06/01/2043	103.67	128,818.43	.41	817.08	3.84
184,503.89	31347AJT2	FH 840274 4.013% Due 07/01/2043	104.36	192,553.06	.62	1,210.40	3.85
180,320.15	3138XMRB8	FN AV9481 3.282% Due 07/01/2043	103.69	186,973.60	.60	476.74	3.17
85,840.60	36179NJU3	G2 MA1175 2.75% Due 07/20/2043	101.85	87,424.70	.28	190.16	2.70
772,441.45	3140FCAP3	FN BD4513 2.286% Due 03/01/2044	100.13	773,434.81	2.47	1,422.45	2.28
528,178.29	3140J8D83	FN BM3726 2.986% Due 05/01/2044	104.25	550,617.41	1.76	1,270.47	2.86
146,019.42	3138ET2R6	FN AL8883 3.565% Due 07/01/2044	103.36	150,926.40	.48	419.34	3.45
152,297.09	3138ERE71	FN AL9157 3.494% Due 07/01/2044	103.36	157,411.53	.50	428.66	3.38
776,623.10	31347AR71	FH 840510 2.496% Due 12/01/2044	101.31	786,816.28	2.52	1,561.53	2.46
385,138.88	31347ATG9	FH 840551 2.954% Due 03/01/2045	103.53	398,718.10	1.28	1,763.04	2.85
841,907.18	31347A2T0	FH 840786 2.535% Due 03/01/2045	101.77	856,766.84	2.74	3,533.56	2.49
167,320.95	3138EQEH1	FN AL7335 4.18% Due 04/01/2045	102.91	172,194.84	.55	563.41	4.06
516,752.84	3140J7PW9	FN BM3136 2.614% Due 04/01/2045	102.24	528,310.02	1.69	1,088.14	2.56
725,450.92	31347A4F8	FH 840822 2.654% Due 07/01/2045	102.35	742,463.47	2.37	3,202.86	2.59
846,114.96	3140J8HLO	FN BM3834 2.488% Due 08/01/2045	101.85	861,774.01	2.76	1,695.80	2.44
Total Mortgage Bonds				10,092,657.64	32.27	32,312.45	2.96
Small Business Administration Bonds							
88,413.60	83164LDW8	SBA509117 4.325% Due 08/25/2022	101.56	89,788.78	.29	633.63	4.26
228,770.16	83164KNU3	SBA508503 4.325% Due 12/25/2024	102.46	234,406.37	.75	1,631.27	4.22
882,587.79	83164MFL8	SBA510071 4.375% Due 12/25/2027	104.50	922,304.24	2.95	3,110.51	4.19
316,511.72	83164JER3	SBA507344 3.48% Due 05/25/2030	100.75	318,874.16	1.02	1,810.53	3.45
217,814.77	83164JNC6	SBA507587 3.5% Due 03/25/2031	101.11	220,226.85	.70	1,353.88	3.46
146,121.05	83164LEJ6	SBA509137 3.631% Due 05/25/2033	100.23	146,458.00	.47	871.45	3.62
169,279.19	83164LG70	SBA509222 3.825% Due 08/25/2037	101.84	172,402.05	.55	1,062.80	3.76
245,717.06	83164LMP3	SBA509366 3.5% Due 05/25/2038	101.59	249,632.06	.80	1,411.58	3.45
368,352.04	83164LSA0	SBA509513 3.5% Due 06/25/2039	101.78	374,893.24	1.20	2,163.49	3.44
289,155.38	83164LX63	SBA509701 3.25% Due 07/25/2040	101.20	292,619.17	.94	1,884.69	3.21
683,969.21	83164MGV5	SBA510112 2.5% Due 12/25/2042	100.14	684,913.09	2.19	2,805.95	2.50
681,688.41	83164MF79	SBA510090 3% Due 01/25/2043	100.85	687,448.68	2.20	3,355.61	2.97
Total Small Business Administration Bonds				4,393,966.69	14.05	22,095.39	3.44
Treasury Bonds							
690,000	912828U40	US TREASURY N/B 1% Due 11/30/2018	99.82	688,733.16	2.20	2,306.25	1.00
690,000	912828NT3	US TREASURY N/B 2.625% Due 08/15/2020	99.68	687,816.84	2.20	2,264.06	2.63
690,000	912828RR3	US TREASURY N/B 2% Due 11/15/2021	97.38	671,887.50	2.15	5,175.00	2.05
690,000	912828M80	US TREASURY N/B 2% Due 11/30/2022	96.35	664,826.04	2.13	4,600.00	2.08
Total Treasury Bonds				2,713,263.54	8.68	14,345.31	1.94
Total Bonds				30,708,220.08	98.19	140,580.54	2.49
Total Portfolio				30,906,537.32			
Paydown Receivable				366,356.41			
Interest Accrued				140,580.54			
Dividends Accrued				0.00			
Total Portfolio with Accruals & Receivables				31,413,474.27			

Dana Investment Advisors, Inc. is an independent federally registered investment adviser providing equity and fixed income investment management services to a broad range of clients. All data is presented in U.S. Dollars. Portfolio Characteristics, Performance Report, Portfolio Holdings, and Sector Distributions reflect applicable investment holdings as of market close on the date indicated. Returns presented are exclusive of investment management and custodial fees, and net of transaction costs. Investment management fees would reduce the returns presented, for example: on a one-million dollar portfolio with an advisory fee of .75% earning a 10% return, the total compounded advisory fee over a five year period would be \$50,368. The resulting average annual return for the period would therefore be 9.17%. All returns were calculated on a time weighted total return basis. Performance does include the accrual of income and the reinvestment of dividends and interest received.

During various market cycles, the strategies discussed herein have demonstrated portfolio characteristics and returns that have been both more and less volatile than that of the comparable index. Indices shown were selected because they demonstrated a broad range of characteristics, some of these characteristics being deemed useful for limited comparison purposes only. Historical performance results for investment indices and/or categories have been provided for general comparison purposes only, and generally do not reflect the deduction of transaction and/or custodial charges, the deduction of an investment management fee, nor the impact of taxes, the incurrence of which would have the effect of decreasing historical performance results. It should not be assumed that your account holdings do or will correspond directly to any comparative indices.

While data contained herein was gathered from sources deemed reliable, the accuracy of the data presented cannot be guaranteed. Please remember that past performance may not be indicative of future results. Different types of investments involve varying degrees of risk, and there can be no assurance that the future performance of any specific investment or investment strategy made reference to directly or indirectly in this report, will be profitable, equal any corresponding indicated historical performance level(s), or will continue to be suitable for your portfolio. Due to various factors, including changing market conditions, the content of this report may no longer be reflective of current opinions, positions, investments or account allocations. Moreover, you should not assume that any discussion or information contained in this report serves as the receipt of, or as a substitute for, personalized investment advice from Dana Investment Advisors, Inc.

Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s). The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors, Inc. While the prices are obtained from sources we consider reliable, we cannot guarantee them.

Please remember to contact Dana Investment Advisors, Inc. at (800) 765-0157, or P.O. Box 1067 Brookfield, WI 53008 with any questions or if there are any changes in your personal financial situation or investment objectives for the purpose of reviewing, evaluating, and revising any previous recommendations or investment services. Please also advise Dana if you would like to impose, add, or modify any reasonable restrictions to your account. A copy of Dana's current Form ADV Brochure detailing a complete list of Dana's advisory services and fees continues to remain available for your review upon request.

Current List of Holdings: The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors. While the prices are obtained from sources we consider reliable, we cannot guarantee them. Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s).



20700 Swenson Drive
Suite 400
Waukesha, Wisconsin 53186

Mailing Address:
P.O. Box 1067
Brookfield, Wisconsin 53008-1067

800-765-0157

www.DanaInvestment.com