

**COUNTY OF RACINE
FINANCE & HUMAN RESOURCES COMMITTEE**

Supervisor Q. A. Shakoor, II, Chairman
Supervisor Robert N. Miller, Vice Chairman
Supervisor Thomas H. Pringle, Secretary
Supervisor Janet Bernberg
Supervisor Brett Nielsen

Supervisor Donnie E. Snow
Supervisor John A. Wisch
Ryan Anderson, Youth in Governance Representative
Ruby Ward, Youth in Governance Representative

*** THIS LOCATION IS HANDICAP ACCESSIBLE. If you have other special needs, please contact the Racine County Board Office, 730 Wisconsin Avenue, Racine, Wisconsin 53403 (262) 636-3571, fax (262) 636-3491 or the TTD/RELAY 1-800-947-3529. ***

NOTICE OF MEETING OF THE
FINANCE AND HUMAN RESOURCES COMMITTEE

DATE: **Wednesday September 6, 2017**

TIME: **5:00 pm**

PLACE: **IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE

STURTEVANT, WISCONSIN 53177**

AGENDA –

1. Convene Meeting
2. Chairman Comments – Youth In Governance/Comments
3. Public Comments
4. Approval of Minutes from the August 2, 2017 committee meeting.
5. Human Services Department – Hope Otto – Approve a five-year agreement 7/1/17 – 6/30/22 with the Medical College of Wisconsin to receive funding to continue the Healthier Wisconsin Partnership Program – 2017 – Resolution – 1st & 2nd Reading at the September 12, 2017 County Board Meeting.
6. Finance Department – Alexandra Tillmann – Racine County 2nd Quarter Investment Report (Staff from DANA Investments will be available to discuss the materials) – 2017 – Report.
7. Finance Department – Alexandra Tillmann – Authorize signors on behalf of Racine County with Johnson Bank – 2017 – Resolution – 1st Reading at the September 12, 2017 County Board Meeting.
8. Finance Department – Kris Tapp – Revenue Transfer for the temporary housing of State of Wisconsin Department of Corrections inmates for the year of 2017 to cover the costs of utilities – water, sewer, electricity and natural gas – 2017 – Resolution - 1st Reading at the September 12, 2017 County Board Meeting.

9. Closed Session – 5:30 P.M. (Approximately 5 Minutes) - IT IS ANTICIPATED THAT THE FINANCE AND HUMAN RESOURCES COMMITTEE WILL MEET IN A CLOSED SESSION PURSUANT TO SECTION 19.85(1)(c) OF THE WISCONSIN STATE STATUTES TO DISCUSS WITH LEGAL COUNSEL THE FOLLOWING CLAIM AGAINST RACINE COUNTY: 1) MELISSA BASSLER.

THE COMMITTEE RESERVES THE RIGHT TO RECONVENE IN REGULAR SESSION TO TAKE POSSIBLE ACTION ON ITEMS DISCUSSED IN CLOSED SESSION

10. Regular Session – 5:35 p.m. (Approximate)

11. Communication Referrals from County Board Meeting:

- a) Department of Workforce Development Equal Rights Division – Notice of Complaint – EEOC to Process Initially on behalf of Ameshia L. Greer.
- b) Nancy Hornak on behalf of Krystal L. Hall has filed a claim for lost property at the Racine County Jail – no dollar amount listed.
- c) Melissa Bassler on behalf of herself has filed a claim against Racine County Public Works for property damage – no amount listed.

- d) Foreclosure items:

Attorney	Lending Company	Person/Persons	Amt. owed Racine CO
Edward J. Bruner JR	Educators Credit Union	David A. & April L. Coughlin	Notice of Right to Surplus Proceeds
Patricia C. Lonzo	Wells Fargo Bank	Leif A. & Marilee Erickson	\$385.11

- e) Bankruptcy items:

Type of Action:	Person/Persons
Notice & Motion to Dismiss – Confirmed Plan	Crystal I. Johnson; David L. & Anita Flores; Anita M. Peters; Keith Allen & Sue Ellen Turner Sr; Maushae L. Byles;
Notice of Chapter 13 Bankruptcy Case	Chestine A. Anastasia; Angel Virginia O’Neal; David John & Melissa Ann Faes Jr; Ervin Eugene Marshall; Jon Frederick & Shannn Marie Sutton Jr.; Michelle Marie Yunker; Latoya Denise Ashley; Michael David Wilson; Ronny James & Karla Nina Hanson;
No Proof of Claim Deadline	Christian E. & Jennifer LynnMarie Johnson; Joyce Marie Rios; Michelle Catherine Debaets; Seth Christian & Candice Marie Oldenburg;
Order Dismissing Case	Joshelyn Yvonne Anderson; Sean N. Rogers Sr;

Order of Discharge	Aaron James Pletz; Joel William Edgar; Jenifer Jean Bent; Jason Wayne Ellenberger; John & Susan Malsch; Elena Rose Rodriguez; La Shunda Poole; Marshikhan Scott; Oshena S. Jackson; Todd Lawrence & Karalee Kae Jackson; Stephanie Lynn Callewaert; Veronica Lydia Raya; Nicholas J. Larson;
Notice and Motion to Dismiss – Unconfirmed Plan	Hervie Martin;
Order Continuing automatic stay	Melvin & Theosha Henderson;
Chapter 13 Plan	Darlene P. Gordon;

12. Staff Report – No Action Items.

- a. Next Finance & Human Resources Committee meeting is September 20, 2017
- b. 2016 Comprehensive Annual Financial Report and the 2016 Single Audit report will be presented at the September 20, 2017 Finance & Human Resources Committee – 2016 CAFR provided at the September 6th meeting and the 2016 Single Audit will be provided as soon as the materials are available.

13. Adjournment

FINANCE & HUMAN RESOURCES COMMITTEE ACTION ONLY

Requestor/Originator Finance

Committee/Individual Sponsoring: Finance & Human Resources Committee

Date of Committee Meeting: 9/6/2017

Signature of Committee Chairperson /Designee: _____

Description: Minutes from the August 2, 2017 Finance & Human Resources Committee

Motion: _____

Action: **County Board Supervisors**
 Approve
 Deny

Youth In Governance
 Approve
 Deny

FINANCE AND HUMAN RESOURCES COMMITTEE MEETING
August 2, 2017

IVES GROVE OFFICE COMPLEX
AUDITORIUM
14200 WASHINGTON AVENUE
STURTEVANT, WISCONSIN 53177

Meeting attended by: Chairman Shakoor, Supervisors Bernberg, Miller, Nielsen, Pringle, Snow and Wisch, Youth Representative Ward, County Executive Jonathan Delagrave, Chief of Staff MT Boyle, Human Resources Director Karen Galbraith, Finance & Budget Manager Kris Tapp, Assistant Corporation Council John Serketich, Assistant Corporation Council Michael Lanzdorf and Fiscal Manager Brian Nelson.

Excused: Youth Representative Anderson.

Agenda Item #1 - Convene Meeting

Meeting Called to Order at 5:00 pm by Chairman Shakoor.

Agenda Item #2 – Chairman Shakoor – Youth In Governance/Comments

Chairman Shakoor read the Youth in Governance statement.

Agenda Item #3 – Public Comments

None.

Agenda Item #4 – Approval of Minutes from the July 19, 2017 Meeting.

Action: Approve the minutes from the July 19, 2017 meeting. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Supervisor Snow arrived at 5:01 pm

Agenda Item #5 – Approval of Minutes from the July 25, 2017 Joint Committee Meeting.

Action: Approve the minutes from the July 25, 2017 joint committee meeting as amended. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #6 - Requests for Transfer

6a – Human Services Department – Hope Otto – Elimination of 1 FTE Non-Rep – Non-Exempt N030 Clerk IV, .5 FTE Non-Rep – Exempt E050 Accounting Supervisor in the Human Services Dept., .5 FTE Non-Rep – Exempt E050 Accounting Supervisor in the Ridgewood Care Center and creation of 1 FTE Non-Rep – Exempt E030 Junior Staff Accountant, .5 FTE Non-Rep – Exempt E020 Admin Asst. in the Human Services Dept. and .5 FTE Non-Rep – Exempt E020 Admin Asst. in the Veterans Service Office and transfer of \$12,694 from the Ridgewood Care Center 2017 budget to the Veterans Service 2017 Budget - 2017 – Resolution – 1st & 2nd Reading at the August 8, 2017 County Board Meeting.

Action: Authorize the elimination of 1 FTE Non-Rep – Non-Exempt N030 Clerk IV, .5 FTE Non-Rep – Exempt E050 Accounting Supervisor in the Human Services Dept., .5 FTE Non-Rep – Exempt E050 Accounting Supervisor in the Ridgewood Care Center and creation of 1 FTE Non-Rep – Exempt E030 Junior Staff Accountant, .5 FTE Non-Rep – Exempt E020 Admin Asst. in the Human Services Dept. and .5 FTE Non-Rep – Exempt E020 Admin Asst. in the Veterans Service Office and transfer of \$12,694 from the Human Service Department 2017 budget to the Veterans Service 2017 Budget– 2017 – Resolution – 1st & 2nd Reading at the August 8, 2017 County Board Meeting. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory

Vote: All Ayes No Nays.

Agenda Item #7- Corporation Counsel – Authorizing the conveyance and transfer of a former tax deed property located at 2816 Arthur Avenue, located in the City of Racine, to current owners Richard Cruz and Celia Vanderwielen to correct a gap in title – 2017 – Resolution – 1st Reading at the August 8, 2017 County Board Meeting.

Action: Authorizing the conveyance and transfer of a former tax deed property located at 2816 Arthur Avenue, located in the City of Racine, to current owners Richard Cruz and Celia Vanderwielen to correct a gap in title – 2017 – Resolution – 1st Reading at the August 8, 2017 County Board Meeting. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #10- Fiscal Notes for second reading:

Action: Approve the fiscal notes for second reading. **Motion Passed.** Moved: Supervisor Miller. Seconded: Supervisor Nielsen. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #11- Communication Referrals from County Board Meeting:

Action: Receive and file items a –c. **Motion Passed.** Moved: Supervisor Miller. Seconded: Supervisor Pringle. Vote: All Ayes No Nays. Advisory Vote: All Ayes No Nays.

Agenda Item #12 – Staff Report – No Action Items.

- a.) Next Finance & Human Resources Committee meeting is August 16, 2017 and the materials may not be available until Monday August 14th.

Agenda Item #8 – Closed Session.

Action: Motion to go into closed session pursuant to s. 19.85(1)(e), WI Stats, to discuss with legal counsel the following claim against Racine County: 1) Thang & Tera Pham owners of River Run Restaurant at 5:16 pm. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Miller. Vote: All Ayes No Nays. A Roll Call Vote was taken of the members present: All Ayes No Nays.

Agenda Item #9- Regular Session.

Action: To reconvene into regular session at 5:17 p.m. **Motion passed.** Moved: Supervisor Pringle. Seconded: Supervisor Miller. Vote: All Ayes No Nays.

Action: Motion to authorize the settlement of the claim of Thang & Tera Pham owners of River Run Restaurant in the amount of \$5,501.99 – 2017 - Report. **Motion Passed.** Moved: Supervisor Miller. Seconded: Supervisor Nielsen. All Ayes No Nays.

Agenda Item #11- Adjournment

Action: Adjourn the meeting at 5:19 pm. **Motion Passed.** Moved: Supervisor Pringle. Seconded: Supervisor Bernberg. Vote: All Ayes No Nays.

REQUEST FOR COUNTY BOARD ACTION

YEAR <u>2017</u>	X 	Resolution Request Ordinance Request Report Request
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Requestor/Originator: Hope Otto

Committee/Individual Sponsoring: Finance and Human Resources Committee

Date Considered by Committee: 9/6/2017 Date of County Board Meeting to be Introduced: 9/12/2017

1st Reading: 1st & 2nd Reading: *

*** Include a paragraph in the memo regarding why 1st & 2nd reading is required.**

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Approve a five year agreement 7/1/17 - 6/30/22 with the Medical College of Wisconsin to receive funding to continue the Healthier Wisconsin Partnership Program.

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

The Committee believes that this action furthers the following goals:

- Make Racine County the most accessible county in Wisconsin for business to grow, develop and create family supporting jobs.
- Develop a system that encourages employees, elected officials and citizens to suggest ideas for service enhancement and productivity improvements including a measurement of customer satisfaction.
- Foster an environment where intergovernmental cooperation is encouraged to produce better services and efficiencies.
- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.

SEPT-6-17

FISCAL NOTE RESOLUTION NO:

EXHIBIT "A"

Fiscal Year: **2017**

The Human Services Department has had a contract with the Medical College of Wisconsin (MCW) for the term of 7/1/2016 - 6/30/2017, to receive funding for the Healthier Wisconsin Partnership Program.

Human Services Department would like to renew and expand to a multi-year contract agreement with MCW for the term of 7/1/2017 - 6/30/2022. The amount of this funding is \$995,646 over the five year term. This funding would allow the program to continue. These funds are included in the Human Services Department budget 2017 and will be included in future years budget.

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

FOR

AGAINST

Racine County Human Services

Department

1717 Taylor Avenue, Racine, WI 53403

August 29, 2017

TO: Q.A. Shakoor
Chairman, Finance and Human Resources Committee

FROM: Hope Otto
Human Services Director

RE: Human Services Department
Multi-Year Agreement for Healthier Wisconsin Partnership Program

The Human Services Department has had a contract with the Medical College of Wisconsin (MCW) for the term of 7/1/2016 – 6/30/2017, to receive funding for the Healthier Wisconsin Partnership Program.

HSD would like to renew and expand to a multi-year contract agreement with MCW for the term of 7/1/2017 – 6/30/2022. The amount of this funding is \$995,646 over the five year term. This funding would allow the program to continue.

Hope Otto
Human Services Director



ADVANCING A HEALTHIER WISCONSIN ENDOWMENT

HEALTHIER WISCONSIN PARTNERSHIP PROGRAM FUNDING AGREEMENT

INSTRUCTIONS

Each Project is required to execute a Funding Agreement with and provided by the Medical College of Wisconsin (MCW) **before the project activities can commence. Only the primary community partner and MCW Partner Team should be included on the funding agreement.** The primary community partner organization is the only partner that may directly invoice MCW for community partner project-specific expenses using the cost-reimbursement model.

Designate one person in your partnership to fill in pertinent information for identified community and circulate it for review by listed project partners. Persons authorized to sign the Funding Agreement may then do so (please print/photocopy additional signature pages as necessary). **Only original (hard copy) signatures will be accepted.** The hard copy funding agreement can be delivered to HWPP at:

Advancing a Healthier Wisconsin Endowment
Attn: Tim Meister
8701 Watertown Plank Road, Suite M3500
PO Box 26509
Milwaukee, WI 53226

HELPFUL TIPS

- ✓ Refer to the Funding Agreement section of the Award Administration Manual for all instructions. This manual can be found in the Content section of your partnership's Desire2Learn (D2L) collaboration space.
- ✓ This is a form-fill document – only the shaded boxes can be completed. Remaining sections, such as the Agreement date, will be completed by the MCW Senior Vice President for Finance and Administration upon signing.
- ✓ Help is available by clicking on the shaded box and pressing F1.
- ✓ For assistance, please call or email HWPP at healthierwisconsin@mcw.edu or 414-955-4350.

**MEDICAL COLLEGE OF WISCONSIN
HEALTHIER WISCONSIN PARTNERSHIP PROGRAM
FUNDING AGREEMENT**

between and among
The Medical College of Wisconsin, Inc. (MCW)
And
Racine County Human Services Department

This Agreement is entered into this 6TH day of July, 2017 between The Medical College of Wisconsin, Inc. a non-stock tax-exempt corporation, located at 8701 Watertown Plank Road, P.O. Box 26509, Milwaukee, Wisconsin (hereafter referred to as "MCW") and

Racine County Human Services Department, 1717 Taylor Avenue, Racine, WI 53403

(hereafter referred to as "COMMUNITY ORGANIZATION") (each individually, a "Party," and together, the "Parties").

WHEREAS, the Medical College of Wisconsin has awarded funding through the Healthier Wisconsin Partnership Program (hereafter referred to as "HWPP") for a collaborative project entitled, **Improving Children's Mental Health through School and Community Partnerships**, and detailed in the HWPP proposal application attached (the "Project");

WHEREAS, COMMUNITY ORGANIZATION and MCW intend to perform this collaborative project and possess the facilities and personnel necessary to pursue the objectives, and fulfill the requirements of the Project and this Funding Agreement; and

WHEREAS, COMMUNITY ORGANIZATION and MCW have each respectively agreed to perform certain work necessary as described in the Project proposal and under the terms of this Funding Agreement;

NOW THEREFORE, MCW and COMMUNITY ORGANIZATION agree to the following:

ARTICLE 1. PERIOD OF PERFORMANCE

The effective period of the Agreement shall be from July 1, 2017 through June 30, 2022, unless otherwise provided for by this Agreement or by written modification to this Agreement.

ARTICLE 2. ALLOWABLE COSTS

The total allowable costs for this Project shall not exceed **\$995,646**. MCW shall reimburse COMMUNITY ORGANIZATION for direct costs incurred in the performance of the Project and Agreement and subject to compliance with all of the requirements of this Funding Agreement and the Program documents and instructions that may be issued from time to time, provided that:

1. The total costs to be reimbursed do not exceed the costs as provided in Exhibit A (approved Project proposal and Approved Project budget);
2. Such costs are allowable by the terms of this Agreement and in accordance with the MCW allowable costs guidelines.

For the avoidance of doubt, lobbying-related activities and costs/expenses are NOT allowable under this Funding Agreement and are expressly prohibited. The Parties may enter into one or more addenda to this Funding Agreement detailing the perimeters around certain activities, costs and/or expenses to ensure compliance with this article.

ARTICLE 3. PROJECT PERSONNEL

The Personnel cited below have primary responsibility for the performance and fiscal oversight of the Project and must include at least one principal representative for COMMUNITY ORGANIZATION and at least one principal representative of the MCW Partner Team. Personnel have mutual responsibility for the Project and agree to work collaboratively and to commit to the partnership model defined by MCW and the MCW Consortium for Public and Community Health, Inc. (the "Consortium") through the HWPP. Notwithstanding the foregoing, the authority of Project Personnel is subject to the policies, procedures, and internal approval requirements of each Party.

MCW Project Personnel - listed by MCW Department followed by Project Personnel name(s); Primary MCW Partner (Principal Investigator-PI) listed first.

Department of Psychiatry and Behavioral Medicine
Michelle Broaddus, PhD

COMMUNITY ORGANIZATION Project Personnel - listed by COMMUNITY ORGANIZATION followed by Project Personnel name(s).

Racine County Human Services Department - Hope Otto, Director

ARTICLE 4. PURPOSE AND SCOPE OF WORK

Funding for the Project shall be used exclusively for the purposes and expenses specified in the Project proposal and set forth in Exhibit A (the approved Project proposal and final budget approved by HWPP), as well as in accordance with this Funding Agreement and HWPP documents and instructions that may be issued from time to time by MCW or the Consortium or a duly authorized representative of MCW and the Consortium. Such documents shall include, but are not limited to, the Award Administration Manual issued by the HWPP, which is incorporated by reference into this Funding Agreement. None of the MCW Personnel identified above shall be considered a duly authorized representative of MCW or the Consortium for purposes of this article.

ARTICLE 5. INDEPENDENT CONTRACTOR

COMMUNITY ORGANIZATION and MCW shall be considered independent contractors for all purposes under this Funding Agreement, and the employees of one Party shall not be considered the employees or agents of any other Party for any reason or purpose.

ARTICLE 6. METHOD OF PAYMENT

3. The COMMUNITY ORGANIZATION will submit invoices, on the form provided by MCW through the HWPP for work done not more often than monthly nor less than quarterly. The invoice shall reference the Project and shall reflect summary detail, by budget category, of the costs incurred.
4. Invoices should be sent (mailed, hand-delivered, or emailed) to Primary MCW Partner for payment at the address set forth below:

Karen Opgenorth
Department of Psychiatry and Behavioral Medicine
Medical College of Wisconsin
2071 North Summit Avenue
Milwaukee, WI 53202-1319

kopgnort@mcw.edu

5. Payments to COMMUNITY ORGANIZATION will be remitted to address/es indicated on invoice from the following COMMUNITY ORGANIZATION address block:

6. Final invoices must be signed (Original signature) and marked "Final" by the COMMUNITY ORGANIZATION and must be submitted within sixty (60) days after the end date of the Project. No invoices may be considered for payment after the submission of a final invoice.

ARTICLE 7. ACCOUNTING, AUDIT, AND REIMBURSEMENT

COMMUNITY ORGANIZATION shall record the funding separately on their respective books of account in accordance with their standard procedures. COMMUNITY ORGANIZATION shall maintain adequate financial records, in accordance with generally accepted accounting practices, to identify expenses in a manner consistent with Exhibit A and so as to describe the nature of each expense and to establish its relationship to the Project and to this Funding Agreement. All records related to this Funding Agreement shall be available for audit by MCW or an external auditor selected by MCW or both, as MCW may elect. In addition, the financial records of this Agreement will be retained by COMMUNITY ORGANIZATION for a period of not less than five (5) years, with the following qualifications:

7. Records related to any audit initiated prior to the expiration date shall be retained until the audit findings involving the records have been resolved.
8. The retention period starts from the date of the submission of the final invoice.

COMMUNITY ORGANIZATION is required to furnish an annual audit to MCW, the cost of which must be borne by the COMMUNITY ORGANIZATION. A federal A-133 audit or an audit performed in accordance with *Government Auditing Standards* will fulfill the audit requirement. If such audits are not performed, a COMMUNITY ORGANIZATION-wide audit may be provided which includes program-level testing. If an ORGANIZATION-wide audit is not performed, a specific program audit may be performed, in which case, an auditor will review just the Project(s) funded. MCW reserves the right to specify the extent and nature of the required audit and to suspend payments to COMMUNITY ORGANIZATION under this Funding Agreement to the extent that the audit identifies deficiencies that in the reasonable opinion of MCW may result in, or that may have resulted in, any material breach of this Funding Agreement, until such deficiencies have been remedied or eliminated.

To the extent that MCW reasonably determines that amounts previously paid to COMMUNITY ORGANIZATION are not in accordance with all of the requirements of this Funding Agreement and the Program documents and instructions that may be issued from time to time, COMMUNITY ORGANIZATION shall immediately repay such amounts as MCW may direct. At MCW's option, if such amounts are not immediately repaid as directed, MCW may withhold future amounts or terminate this Agreement immediately or both and pursue whatever legal remedies may exist as a result.

ARTICLE 8. REPORTS; ADEQUATE PROGRESS

Reports shall be submitted in a timely fashion, as determined by MCW through the HWPP. Reports should be completed and signed by the personnel for the COMMUNITY ORGANIZATION on behalf of the project, as required. Required reports may include audits, performance reports, financial status reports, supplanting reports, human subject compliance reports (as applicable) and any other reports that may be required. Reports shall conform to the requirements set forth in the Award Administration Manual and to other such requirements as may be reasonably established by MCW from time to time. The failure to provide any report as required may result in the suspension or cancellation of funding for the Project. Reports will be reviewed, and funded projects will undergo an annual assessment of progress toward achieving outcomes. Notwithstanding other provisions in this Agreement, if HWPP determines that progress and/or outcomes are not adequate, HWPP reserves the right to reduce or terminate funding, or shorten the funding period.

ARTICLE 9. EQUIPMENT ACCOUNTABILITY

Unless otherwise set forth in the Program proposal or budget or otherwise agreed by the Parties, (a) title to equipment

purchased by the COMMUNITY ORGANIZATION the cost of which is reimbursed to COMMUNITY ORGANIZATION under this Funding Agreement shall remain with the COMMUNITY ORGANIZATION; and (b) title to equipment purchased by MCW with Program funds or the cost of which is reimbursed to MCW shall remain with MCW. Each party shall retain complete responsibility for equipment leased by that party, except for costs related to the performance of the Project for which COMMUNITY ORGANIZATION are to be reimbursed by MCW under the budget.

ARTICLE 10. PUBLICATIONS, COPYRIGHTS, PATENTS AND INVENTIONS

Each Party shall jointly own the copyright on any copyrightable work delivered in connection with the Project or created with the use of Project funds, irrespective of which Party or Party authored such copyrightable work, subject to (a) all of the requirements of this Funding Agreement, including but not limited to confidentiality under section 16, and (b) the duty of each Party to coordinate with the other party with respect to publicity under section 15.

Any document, note, presentation, or product containing data or information generated during the course of Project is copyrightable work and will be jointly noted as follows:

→ Copyrighted 20xx by Medical College of Wisconsin, Inc. and [insert COMMUNITY ORGANIZATION] ©

MCW and COMMUNITY ORGANIZATION agree to disclose to each other, in writing, each and every Invention in sufficient detail to determine inventorship. Inventorship of any Invention shall be determined in accordance with the patent laws of the United States. Any and all invention disclosures, and any and all patent applications filed by or on behalf of the inventors will list the inventors according to their fractional contribution to the Invention. In the event that in the inventors include only employees of MCW, MCW shall own all rights to the intellectual property, in accordance with the MCW policies governing such matters. In the event that in the inventors include only employees of COMMUNITY ORGANIZATION, COMMUNITY ORGANIZATION shall own all rights to the intellectual property, in accordance with the COMMUNITY ORGANIZATION policies governing such matters. In the event that in the inventors include employees of both MCW and COMMUNITY ORGANIZATION the administrative officials responsible for intellectual property at MCW and COMMUNITY ORGANIZATION will jointly determine if the Invention should be patented or otherwise protected under the patent laws of any country. If the decision is to pursue protection, the officials will determine the respective rights and obligations of MCW and COMMUNITY ORGANIZATION including but not limited to responsibilities for filing and prosecuting patent applications, marketing and licensing, fees and associated costs, the designation of whether MCW or COMMUNITY ORGANIZATION is responsible for these actions, and allocation of ownership shares between MCW and COMMUNITY ORGANIZATION.

ARTICLE 11. CIVIL RIGHTS AND EQUAL EMPLOYMENT OPPORTUNITY

The COMMUNITY ORGANIZATION and MCW will comply with Title VI of the Civil Rights Act of 1964, and Section 504 of the Rehabilitation Act of 1973, as amended.

ARTICLE 12. HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT

The COMMUNITY ORGANIZATION and MCW must be knowledgeable and compliant with all Health Insurance Portability and Accountability Act (HIPAA) federal regulations.

ARTICLE 13. SUPPLANTING

The MCW and COMMUNITY ORGANIZATION may not use funds provided by MCW under this Agreement to supplant funds or resources that are available from other sources. Criteria to determine whether supplanting has occurred have been established by MCW and are available at www.mcw.edu and are incorporated by reference into this Agreement. COMMUNITY ORGANIZATION are required to ensure that supplanting does not occur at any point during the project period by submitting all verification forms required by MCW.

ARTICLE 14. RESEARCH INVOLVING HUMAN SUBJECTS

Certification is required when funding may be used for research involving human subjects. Approval from the Medical College of Wisconsin Institutional Review Board and any other Institutional Review Board as required by COMMUNITY ORGANIZATION is required to collect information from project participants and to publish or disseminate project results. If the funding is to be used in whole or part for research involving human subjects, the COMMUNITY ORGANIZATION and MCW must certify that the research will be conducted in compliance with the ethical standards and the criteria for approval of research set forth in the United States Department of Health and Human Services policy for the protection of human research subjects, including without limitation obtaining and maintaining approval by an institutional review board of MCW of the research. Unless an exemption is obtained, the Project shall be considered to require certification. The Parties agree to discontinue immediately any Program activities that are reasonably determined by the other Party or the institutional review board not to be in compliance with these requirements.

ARTICLE 15. PUBLICITY

Whenever possible, with as much notice as is practical, COMMUNITY ORGANIZATION and MCW Project Personnel will notify HWPP if a media opportunity arises. Conversely, MCW will inform COMMUNITY ORGANIZATION of media projects in which the COMMUNITY ORGANIZATION is named. The COMMUNITY ORGANIZATION and MCW Project Personnel shall provide copies of any press releases, articles or other project publicity to MCW through the HWPP. Publicity must identify the Medical College of Wisconsin as the funding source for the project with the following statement:

This project is funded [in-part or wholly] by the Advancing a Healthier Wisconsin endowment at the Medical College of Wisconsin.

ARTICLE 16. PUBLIC AND PROPRIETY INFORMATION

The COMMUNITY ORGANIZATION and MCW acknowledge that the Consortium is the public and community health oversight and advisory committee of MCW for Advancing a Healthier Wisconsin and the HWPP and that it operates in accordance with standards consistent with Wisconsin's Open Meetings and Open Records Laws. Under the Open Records Law, documents related to projects funded through the HWPP may become public records and may be subject to release. At the same time, there is a vital and important public interest in fostering innovation. Therefore the need to protect confidential, trade secret and proprietary information in order to encourage innovative projects will be carefully considered in the balance whenever the public interest in open records is raised. Consideration will also be given to the public interest in an effective project evaluation process. This balancing will be fact and time dependent and the outcome cannot be assured.

ARTICLE 17. CHANGES

This Agreement may only be modified or amended by a written agreement signed by an authorized representative of COMMUNITY ORGANIZATION and MCW. The following requirements apply to changes involving Party(ies), Personnel, the Period of Performance or Re-budgeting:

1. **Party(ies):** Any changes involving the COMMUNITY ORGANIZATION or MCW participation must be requested in writing with the necessary justification to MCW through the HWPP.
2. **Personnel:** Any changes involving personnel having primary responsibility for the performance and fiscal oversight of the Project must be requested in writing with the necessary justification to MCW through the HWPP for advance written approval.
3. **Period of performance requested by the COMMUNITY ORGANIZATION:** Any changes involving the period of performance must be requested in writing with the necessary justification to MCW through the HWPP. No expenses will be reimbursed that are incurred prior to the effective date of this Funding Agreement or subsequent to the termination date unless specifically approved, in writing, by MCW through the HWPP. Any changes involving the period of performance must also be approved in writing by MCW through the HWPP.

4. **Re-budgeting:** Re-budgeting between budget categories on the part of the COMMUNITY ORGANIZATION or MCW must be in accordance with this Funding Agreement. Budget changes or re-budgeting between major budget categories (salaries and wages, supplies, travel) must be requested in writing to MCW through the HWPP for advance written approval. Budget changes must be justified in a clear, complete, and convincing manner. The justification must address the specific benefit provided to the Project by the budget change. The reason why funds were not initially budgeted for the requested change must also be cited. Any requested changes must be approved in writing by the duly authorized representative of MCW through the HWPP.

ARTICLE 18. TERMINATION

Except as otherwise set forth in this Funding Agreement, this Funding Agreement may only be terminated by either Party upon (a) a material breach by a Party, after thirty (30) days advance written notice of intent to terminate, with the basis for the notice set forth with particularity and an opportunity to cure, or (b) the mutual agreement of the Parties. A material breach shall include but is not limited to fraud, material misrepresentation, or misuse of funds provided under the Funding Agreement. Notice of termination shall be given by personal delivery or by certified or registered mail, postage prepaid, and shall be deemed to be given on the date so delivered or, if delivery is refused, delivery shall be deemed to have occurred three (3) days after the notice was deposited in the United States mail. A Party may also terminate this Funding Agreement immediately to the extent that it may reasonably determine that any provision of this Funding Agreement of the Project is inconsistent with its status as a tax-exempt organization. Reimbursement of costs will be limited to documented costs incurred prior to termination. In addition, MCW reserves the right to require COMMUNITY ORGANIZATION to provide such documentation, reports, and other information necessary to determine and to document Project activities and accomplishments, irrespective of any termination.

ARTICLE 19. FINANCIAL CONDITIONS AFFECTING THE GRANT AWARD

The amount awarded is the maximum grant funding available from AHW for this project. MCW reserves the right to reduce unspent grant funding and/or grant funding duration, if needed, to comply with state and/or federal law (including but not limited to law governing endowment fund management), or to address MCW financial constraints which negatively impact the MCW endowment from which the AHW funding is taken.

ARTICLE 20. LIABILITY

Each of COMMUNITY ORGANIZATION, respectively, shall indemnify, defend, and hold MCW harmless from any loss, cost, or expense of any kind, including but not limited to attorney's fees, arising out of or related to the use or acceptance of funds under this Funding Agreement by the COMMUNITY ORGANIZATION contrary to its terms or contrary to applicable law. Except as expressly set forth in the preceding sentence, it is understood and agreed that each of the Parties are responsible for the acts and omissions of itself and its employees and neither of the Parties agree to indemnify the other Party for any such act or omission, provided however, that this Funding Agreement shall not constitute a waiver by either Party of any rights to indemnification, contribution, or subrogation which such Party may have by operation of law.

ARTICLE 21. NOTICES

Notices, payments, and other contract communications to either party by the other shall be delivered personally or sent by first class, postage prepaid to the individuals as designated by the Parties with respect to the specific type of notice provided at the following addresses and shall be deemed given on the date so delivered or deposited in the mail unless otherwise provided herein.

Healthier Wisconsin Partnership Program
Medical College of Wisconsin
8701 Watertown Plank Road
Milwaukee, Wisconsin 53226

With a copy to:

Medical College of Wisconsin
8701 Watertown Plank Road

Milwaukee, Wisconsin 53226
Attention: General Counsel

ARTICLE 22. GOVERNING LAW AND DISPUTES

This Agreement shall be governed by the law of the State of Wisconsin, without regard to its choice of law provisions. All disputes arising out of or related to this Funding Agreement or the Project shall be subject, upon written notice by any Party, to a face-to-face meeting of senior management of the Parties. If such disputes continue to exist after reasonable exhaustion of such efforts, such disputes shall be resolved by a court of competent jurisdiction.

ARTICLE 23. MISCELLANEOUS

This Agreement shall be governed in strict accordance with the latest adopted version of all applicable federal, state and local codes, ordinances, and regulations governing the work involved. Any provision of this Funding Agreement that may be inconsistent with these requirements shall be void and of no force or effect; except that, the remainder of this Funding Agreement shall continue to remain in effect.

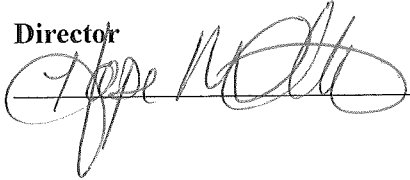
AUTHORIZED COMMUNITY ORGANIZATION SIGNATURES - In witness whereof, the Parties hereto, represented by officials authorized to bind them, have caused this Agreement to be executed in duplicate as of the date(s) set forth below.

Print/Photocopy additional pages as necessary.

Community Organization: **Racine County Human Services Department, 1717 Taylor Avenue, Racine, WI 53403**

Name of Authorized Person: **Hope Otto**

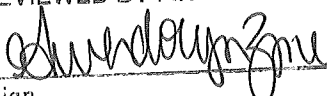
Title: **Director**

Signature:  _____

Date: 6/21/17 _____

Date _____
Certified to be correct as to form

By _____
Racine County Corporation Counsel

REVIEWED BY FINANCE DIRECTOR
 _____
Sign Date 8/10/17

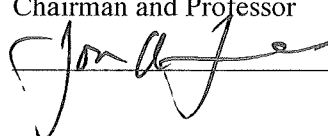
AUTHORIZED MCW SIGNATURES - In witness whereof, the Parties hereto, represented by officials authorized to bind them, have caused this Agreement to be executed in duplicate as of the date(s) set forth below.

MCW Department: Psychiatry and Behavioral Medicine

Name of Authorized Person: Jon Lehrmann, MD

Title: Chairman and Professor

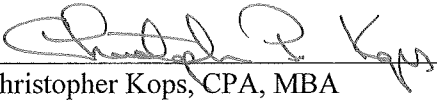
Signature: _____



Date: _____

7/5/17

AUTHORIZED MCW SIGNATURES - In witness whereof, the Parties hereto, represented by officials authorized to bind them, have caused this Agreement to be executed in duplicate as of the date(s) set forth below.

Signature:  Date: 7-6-17
Christopher Kops, CPA, MBA
Senior Vice President for Finance and Administration
Chief Operating Officer
Medical College of Wisconsin

ADDENDUM TO FUNDING AGREEMENT

This Addendum ("Addendum") to the Healthier Wisconsin Partnership Program ("HWPP") Funding Agreement ("Agreement") is entered into as of July 6, 2017 by and between The **Medical College of Wisconsin, Inc.** ("MCW") and **Racine County Human Services** (Racine County Human Services being "Community Organization" and each of the parties being a "Party" or collectively the "Parties").

WHEREAS, the Parties have reached mutually agreeable terms in the Agreement and wish to modify certain provisions therein with this Addendum.

NOW THEREFORE, in consideration of the premises and the representations and mutual undertakings hereinafter set forth, and for good and valuable consideration, the Parties agree to the foregoing and as follows:

1. Article 6 ("Method of Payment"), subsection 1 is amended to read as follows:

"The COMMUNITY ORGANIZATION(S) will submit monthly invoices, on the form provided by MCW through the HWPP for work done. The invoice shall reference the Project and shall reflect summary detail, by budget category, of the costs incurred. Supporting documentation will be attached for each cost invoiced, until a fiscal audit is submitted and deemed to meet HWPP requirements."

2. The first paragraph following numbered subsection 2 of Article 7 ("Accounting, Audit, and Reimbursement") is amended to read as follows:

"COMMUNITY ORGANIZATION(S) is required to furnish an annual audit or annual internal financial reports to MCW, the cost of which must be borne by the COMMUNITY ORGANIZATION(S). A federal A-133 audit or an audit performed in accordance with Government Auditing Standards will fulfill the audit requirement. If such audits are not performed, a COMMUNITY ORGANIZATION(S)-wide audit may be provided which includes program-level testing. If an ORGANIZATION(S)-wide audit is not performed, a specific program audit may be performed, in which case, an auditor will review just the Project(s) funded. If a specific program audit is not performed, annual financial reports must be furnished on an annual basis. MCW reserves the right to specify the extent and nature of the required audit/financial reports and to suspend payments to COMMUNITY ORGANIZATION(S) under this Funding Agreement to the extent that the audit/financial reports identifies deficiencies that in the reasonable opinion of MCW may result in, or that may have resulted in, any material breach of this Funding Agreement, until such deficiencies have been remedied or eliminated."

[Signature page to follow]

3. **Miscellaneous.** In the event of any conflict between the terms and conditions of this Addendum and the Agreement, the terms and conditions of this Addendum shall control. This Addendum and the Agreement contain the entire agreement among the Parties relating to the subject matter herein and all prior proposals, discussion and writings by and among the Parties and relating to the subject matter herein are superseded hereby and thereby.

IN WITNESS WHEREOF, the Parties have caused this Addendum to be executed by their duly authorized representatives as of the day and year first above written.

THE MEDICAL COLLEGE OF WISCONSIN, INC.

Signature: 

Printed Name: Christopher Kops, CPA, MBA

Title: Senior Vice President for Finance and Administration Date: 7-6-17
Chief Operating Officer
Medical College of Wisconsin

MCW –DEPARTMENT CHAIR

Signature: 

Printed Name: Jon Lehrmann, MD

Title: Chairman and Professor
Dept. of Psychiatry and Behavioral Medicine

Date: 7/5/17

RACINE COUNTY HUMAN SERVICES

Signature: 


Printed Name: Hope Otto

Title: Director

Date: 6/21/17

Date
Certified to be correct as to form

REVIEWED BY FINANCE DIRECTOR


Sign Date

By
Racine County Corporation Counsel

Personnel Expenses:	FTE	Year 1 Expenses (FTE x salary)	Year 2 Expenses (FTE x salary)	Year 3 Expenses (FTE x salary)	Year 4 Expenses (FTE x salary)	Year 5 Expenses (FTE x salary)	Total	Description of Expenses
Individual Name, Position Title and Project Number, Project Coordinator, Name	1	58,242	59,427	60,616	61,828	63,045	303,198	Project Director will provide leadership, oversee administrative responsibility and ensure adequate progress is made toward meeting project objectives. Project Assistant will work closely with HWPP Project Coordinator, environmental or community partners to implement strategies and achieve outcomes identified for Phase 2 of HWPP. Duties include assisting with project communication (updates, meeting invitations, meeting notes) and assisting with strategy workplan development and implementation. Project Assistant position is included in years 1-3 to assist with initial strategy implementation efforts with the intention of embedding ongoing responsibilities into community partner roles by the end of year 3 to
Countdown Human Services Department								
TBD, HWPP Project Assistant	0.5	29,969	30,589	31,189			91,766.78	
Evaluation, Center for Urban Population Health	0.5	55,000	56,000	57,000	58,060	56,622	282,712	[Described the Specific Personnel Role on Project]
							0	[Described the Specific Personnel Role on Project]
							0	[Described the Specific Personnel Role on Project]
							0	[Described the Specific Personnel Role on Project]
Total Personnel Expenses		143,251	146,012.78	148,825	119,888	119,647	677,664.78	
Fringe Benefits:								
Individual Name, Position Title and Project Number	Fringe Rate	Amount	Amount	Amount	Amount	Amount	Amount	
Jacobs Harley, Project Coordinator, Racine County Hum	51	29,515	30,651	31,612	32,578	33,528	157,894	Family health, dental & vision insurance, payroll taxes, 401k match, workers comp ins, profit sharing
TBD, HWPP Project Assistant	13.5	4448	4741	4834			14,222.96	[Describe as necessary]
Evaluation Center for Urban Population Health's							0	[Describe as necessary]
							0	[Describe as necessary]
							0	[Describe as necessary]
							0	[Describe as necessary]
Total Fringe Benefits		34,143	35,391.96	34,446	32,578	33,528	172,106.96	
TOTAL PERSONNEL AND FRINGE EXPENSES		177,414	181,407.74	183,271	152,466	153,175	849,771.74	
Direct Expenses								
Consultants - Individual/entity hired to perform professional, short-term services related to the project. Include payment methodology in description							0	Community Field Agent Organization
Equipment - Includes computers, printers and other small equipment purchased specifically for the project with a useful life of less than one year and a unit cost not project supplies/services - direct transportation, project related expenses, including office supplies, printing, telephone, other communication, and participant		2700	500	500	500	500	4700	Computer for Project Assistant, tablets to assist with attendance and notes of meetings.
Meeting Expenses - Room rentals, refreshments and other project meeting-related expenses; Entertainment and alcohol are unallowable expenses		11,000	6,060	8,350	10,500	4,500	40,610	Event management software, communication, office supplies, participant incentives for strategy teams, project staff cell phone to allow accessibility of staff per company policy, video/medical/print marketing materials to disseminate project information and build capacity.
Travel Expenses - Room rentals, refreshments and other project meeting-related expenses; Entertainment and alcohol are unallowable expenses		2,500	2,500	2,500	2,500	2,500	12,500	Refreshments/related supplies (food and non-alcoholic beverages, plates, napkins, cups, utensils, etc.), supplies for meetings (office supplies), room rental, fees for equipment usage/IT support, custodial fees
Per Diem Expenses - Per diem for use of personal vehicles; Include payment methodology in description		3,600	3,600	3,650	2,400	2,400	15,650	Project staff are reimbursed monthly for travel to off-site meetings or other project-related driving in their vehicle at company current reimbursement rate per mile (subject to change based on gas cost).

Amount (in \$)	Date Received	Description
6,300	3,500	3,500
8,849	13,944	12,444
3,494	3,014	3,144
21,236	21,151.74	21,641.5
		1,824.1
		2,190
		1,487.2
		9,554.54
		21,300
		5,111.2
		Anticipated travel expenses (conference fees, hotel, per diem, etc.) for 1 or 2 national conferences as well as state conferences to learn, collaborate and disseminate project information (Coordinator and Evaluator). Travel expenses (hotel, rental vehicle) to attend Learning Community sessions quarterly for duration of Phase 2. Personnel survey administration for phases 3-5 (approximately 4000 students or 1250 students for years 1-2 with incremental 25% decrease for years 3-5); training-related costs such as "train the trainer" fees and/or implementation materials for youth-serving staff as identified by
		Matching, In-kind and Other Leverage Support
		(Include name of organization/individual providing the leveraged support and how the leverage will be used to support the project)
15,413		Rochie County & Rochie County/Human Services Department administrative fees (5% overhead 8.25% & profit 1.25%) Yr 1 15,413, Yr 2 16,424, Yr 3 16,932, Yr 4 12,682, Yr 5 12,569
7,678.95		Rochie County Human Services Department will provide supportive services, reception, mail clerk, security, janitorial and tech support, Etc.
2,698.08		Rochie County supervision and oversight of Project Staff

COMMUNITY-BASED BEHAVIORAL HEALTH
PHASE II APPLICATION

PHASE II APPLICATION

The multi-stage application includes completing this form. This form and any required attachments are **due by 4:00pm on May 16, 2017** (draft due by 4:00pm on March 23, 2017).

PROJECT OVERVIEW

Project Title (maximum 100 characters, including spaces):

Improving Children's Mental Health through School and Community Partnerships

Indicator (maximum 500 characters, including spaces):

Increase by 5% Racine Unified School District (RUSD) 3rd-5th grade elementary school students' Panorama Social Emotional Learning student survey scores in the areas of Emotion Regulation and Social Awareness.

Our complementary indicator is to increase by 5% the flourishing of Racine City children aged 6-17 years old measured by the National Survey of Children's Health in the areas of social and emotional flourishing.

Description of Community: A community may include, but is not limited to, a municipality or city, one or more counties, or a specific population, such as a racial or ethnic group (maximum 500 characters, including spaces):

Our community, as identified for this project, is the Racine Unified School District- 5th largest in Wisconsin- comprising approximately 20,000 students. The district includes the City of Racine and six surrounding villages. We have further identified a target sub-population of elementary school (4K-5th grade) students (9,633 in 2015-2016).

Geographic Area Impacted – choose the area that best reflects the project's primary geographic activity area:

Statewide

Rural – list the primary counties:

Urban – list the primary counties: Racine

Primary Community Partner – Projects must designate one (1) eligible primary community partner organization to serve as the fiscal agent for the project. Identify one (1) contact person at the primary community partner organization who will be responsible for transferring all communications, notifications and instructions from HWPP to all members of the partnership and will be responsible for the fiduciary and reporting requirements on behalf of the larger partnership.

Contact Name: Kerry Milkie

Title: Manager, Youth and Family Division

Phone Number: 262-638-6511

Email: kerry.milkie@racinecounty.com

Organization: Racine County Human Services

Organization Website: racinecounty.com/government/human-services

Non-profit organization (check the applicable type below).

health, social service or other community-based organization

faith-based organization

private university or school

other (specify):

Government organization (check the applicable type below).

state or local government

tribal organization

public university or school

other (specify):

PROJECT NARRATIVE

Community Coalition (maximum 5,000 characters, including spaces, for each response):

The questions below are designed to allow the Community Coalition to describe its ability to satisfy the criteria outlined within this document and contribute to the overall success of the Initiative (5,000 character count limit – including spaces – for each question).

1. Describe the coalition and how it was formed

The Racine Collaborative for Children's Mental Health (C2MH) formed in 2012 following a research project conducted by the Johnson Foundation at Wingspread, involving over thirty interviews with mental health providers, parents, and community leaders. Findings from that project were published in a report, "Top of Mind", and documented key challenges related to behavioral health in Racine including poor system and

**COMMUNITY-BASED BEHAVIORAL HEALTH
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service coordination, multiple barriers to access, high service costs, limited funding, and workforce shortages. The Johnson Foundation at Wingspread convened C2MH by engaging a diverse group of community stakeholders and providing structure and resources throughout a rigorous planning phase in which members identified community solutions to address the most challenging issues in Racine. C2MH membership includes individuals from law enforcement, courts, county government, as well as behavioral health, philanthropy, advocacy, and educational organizations.

2. Describe how the Community Coalition evolved during Phase 1

Phase 1 of the Healthier Wisconsin Partnership Program (HWPP) initiative allowed the C2MH coordinator to convey to the Coalition insights from the Learning Community, which challenged C2MH to reflect on its progress and achievements to date. Partners applied the lessons learned from this experience to examine how best to use the opportunity with the Medical College of Wisconsin academic partners and the HWPP funding to leverage existing partnerships and align efforts to create system and community level change.

Many of the concepts shared through the Learning Community have empowered C2MH members to honestly evaluate, and be accountable for, the level of equity, diversity and inclusion in our community efforts. These concepts included the Results Based Accountability framework, the theory of aligned contribution, the community engagement spectrum, and race equity and inclusion. C2MH recognizes that while the challenges facing our community can be complicated, a strategic focus on creating community-level change provides a tremendous opportunity for meaningful and sustainable impact.

3. Explain how the coalition demonstrates inclusion and equity in bringing the right individuals and organizations to the table

C2MH took advantage of the opportunity provided by the HWPP to further explore the level of inclusion and equity in the coalition during Phase 1 through activities that assessed the diversity of individuals and organizations at the table. As we engaged in conversations about equity and inclusion and identified the Coalition's strengths and areas for growth, new individuals and organizations were brought to the table. In addition to the wide range of partners previously involved in C2MH, there have been several other voices representing parent peer support and advocacy, kindergarten readiness and early learning, childcare training professionals, and school support staff included in an effort to make community engagement more inclusive and representative of the intended beneficiaries of services. Members of C2MH recognize that inclusion and equity need to be embedded into the Coalition's structure and practice in order to maintain the right balance of individuals and organizations at the table. This is demonstrated by listening to the needs of community members, valuing the individual and collective assets (e.g. talents, skills, experiences, relationships) of community members and providing opportunities for collaboration.

4. Indicate to what extent the coalition includes all of the necessary players to address the targeted factors and contribute to population-level change. Identify who else will need to be involved in order to influence each factor and how you will engage them

C2MH comprises a diverse group of individuals including community leaders, direct service providers and community members. There are key stakeholders representing several different community sectors such as human services, community agencies, health care, education, juvenile justice, philanthropy and law enforcement. The involvement of leaders of large organizations like Racine Unified School District (RUSD), the Racine Police Department and Racine County Human Services Department are crucial in achieving population-level change outcomes. Through Phase 1 of this project, C2MH members have identified other organizations and individuals that will need to be involved in order to influence identified factors including the RUSD Office of School Engagement, local pediatricians and local child care center administration and staff. Some new partners have already been engaged in the past several months through conversations about how their organizational values and goals align with the factors identified for this initiative. Additional partners will be engaged by reaching out to them personally, sharing the work-to-date and purpose of the project, and asking for their input to determine the best fit for contribution to C2MH and the HWPP project.

5. Describe how members of the community and the target population will be engaged in the process

The engagement of community members and the target population will continue to expand and improve in Phase 2. Parents and school staff within RUSD have been involved in providing input as the Implementation Plan developed in Phase 1. The Project Coordinator has met with several individuals employed by the school district and community partner agencies, the RUSD Mental Health Task Force, the Safe and Healthy Schools Core Management Team and RUSD Elementary School Counselors and School Social Workers. Plans to expand upon engagement include reaching out to the school district's Department of Family and

**COMMUNITY-BASED BEHAVIORAL HEALTH
PHASE II APPLICATION**

Community Engagement, elementary school parent-teacher organizations and local youth-serving organizations that work within RUSD schools/families to engage in further conversation about barriers, needs and desires of parents and child-serving staff members around social emotional (SE) development.

6. Detail the coalition's capacity to execute the plan

C2MH comprises over 80 community stakeholders, all of whom are committed to improving the overall health and wellness of Racine youth. The Leadership Coordinating Committee places community stakeholders in leadership roles to provide guidance and support for Collaborative initiatives. Within the greater C2MH, there are also Solution Teams, which meet as needed to organize and implement activities related to a specific Community Solution. The process of one team, the School-Based Mental Health (SBMH) Clinics team demonstrates the Coalition's capacity to execute plans. The community partners involved specifically with the SBMH Clinics are Racine Unified School District, Children's Hospital of Wisconsin Community Services, United Way of Greater Racine and Professional Services Group.

Over the past few years the SBMH Solution Team has succeeded in establishing a pilot program at two elementary schools in RUSD. The Solution Team developed a business plan, identified criteria for selecting the pilot schools, developed and issued a Request for Proposal (RFP) for a community provider, secured funding to embed a full-time therapist at each school and formed a partnership with the local agency to provide services within each school. In addition, the district was selected to participate in the Collaborative Improvement and Innovation Networks (CollIN) through the School-Based Health Alliance and Center for School Mental Health. Racine was one of seven districts in the nation chosen to participate in the first cohort of CollIN's 15-month grant to improve business practice and systems integration to support a sustainable school-based health care model, while improving health outcomes. RUSD and C2MH were extremely honored to be part of this National Quality Initiative, and gained a tremendous amount of knowledge and direction through that experience. While the first cohort of CollIN ended in December 2016, RUSD continues to serve as part of the School Mental Health Improvement and Innovation Task Force for continued partnership between CollIN teams and the Center for School Mental Health.

7. Describe the major roles and responsibilities individual partners will have on this project

The following is a description of the major roles and responsibilities individual partners will have on this project:

Strategy Teams are comprised of 3-6 individuals who each have knowledge, skills and experience related to the specific strategy. Team member responsibilities include participation in developing the action plan for the strategy based on the Project Evaluation Model, being accountable for strategy implementation, collaborating with team members, and identifying and recruiting new team members as needed.

Strategy Team Leaders are responsible for overseeing the planning and operation of the Strategy Team, developing agendas, facilitating team meetings and sharing meeting minutes, maintaining and expanding team membership with support from the HWPP Project Coordinator, promoting collaboration, addressing conflicts and promoting decision-making within the team, interfacing with other Strategy Team leaders and teams as appropriate and consulting with HWPP Project Staff as needed.

The academic partner will continue to participate in coalition meetings on a quarterly basis, review output and outcome data with the evaluation team, and provide research and evidence to support strategy implementation and quality improvement.

Each Strategy Team will receive process, outcome, and output data regularly from the evaluation team and MCW academic partners in order to review progress and discuss potential changes, adaptations, and improvements to activities for each strategy.

8. Describe the coalition structure and processes for governance, decision-making, and shared resources among partners

C2MH is structured around a Leadership Coordinating Council (LCC) and Solution Teams. The LCC is a group of 12-15 members in leadership roles that offer recommendations, support and guidance to Solution Teams and other C2MH initiatives in an advisory role. Within the greater Collaborative there are also Solution Teams, small groups organized around specific community priorities such as School-Based Mental Health Clinics, each of which are at various stages of implementation. There is also a full-time Collaborative Manager who is responsible for overall coordination of services for C2MH. That position promotes collaboration and communication between member organizations, facilitates key agreements and forward progress within the

COMMUNITY-BASED BEHAVIORAL HEALTH
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Collaborative and supports the implementation of community solutions. Decisions relevant to Solution Team topics are made collaboratively within the team. For decisions outside of the scope of any Solution Team, decisions are made by the LCC. Resources are shared through communications largely coordinated by the Collaborative Manager. Informal resource sharing occurs through networking at the Solution Team and/or individual level.

Behavioral Health Improvement Priorities (maximum 5,000 characters, including spaces, for each response):

Describe how this project's strategies are aligned and will contribute to the improvement of the behavioral health of the target community(ies) by addressing the following :

1. Why is this indicator a good proxy for the behavioral health of your community? Why is this indicator a priority that should be addressed by this Community Coalition?
Children's SE skills are central to their healthy development, and can set a foundation that will positively influence their future. SE skills are associated with youth self-esteem, sense of worth, ability to set and reach goals, and lower depression (15). Years of research on child development has shown that the greatest return on investment to improve youth outcomes is early intervention and policies that target young children. To develop interventions and policies, however, systematically collected data on the SE health of these younger grades is needed. The Panorama Social Emotional (SE) assessment is a validated, reliable instrument that has been used with diverse student populations across the United States (2). It was developed by researchers using literature from the field of child development and tested for validity. The questions are designed for two ages: grades 3-5 and 6-12. Panorama measures SE health along 9 scales within the category of Student Competencies, which measure various domains and is completed by students. The scales we have chosen are Emotion Regulation and Social Awareness. Emotion Regulation is defined as how well students regulate their emotions and measured with 5 questions. Social Awareness is defined as how well students consider the perspectives of others and empathize with them and consists of 8 questions. A projected increase of 5% in 3rd-5th graders' Panorama scores in emotion regulation and social awareness across RUSD is ambitious but feasible given the multi-level strategies dedicated to increasing RUSD children's SE health. Importantly, a 5% increase in scores is also meaningful as indicated by correlations between Panorama SEL measures and students' academic achievement, school attendance, scores on standardized tests, and behavioral referrals.

There are many advantages to using the Panorama SE assessment as our primary indicator, including the large sample size from RUSD elementary students and measuring the indicator from the population that our strategies target. Understanding that this is a new measure and that no baseline data is available until June 2017, we have identified a complementary indicator from the National Survey of Children's Health(3). This survey asks questions about children's health and well-being and is conducted via calls with homes with at least one child between the ages of 0-18(15). Two sections focus on flourishing; one for children ages 6 months to 5 years and another for children aged 6-17. Data from 2011 from Racine City indicate that 74% of children 6 months to 5 years met all 4 survey items that measure flourishing for that age group (as compared to 78.6% in WI). Only 42.8% of youth aged 6-17 in Racine City met all 3 survey items that measure flourishing for that age group (as compared to 49.7% in WI), suggesting a decrease in SE health as children get older. Our complementary indicator goal would be to increase the percentage of 6-17 year old children in Racine City who achieve all three items that measure flourishing by 5%. However, this complementary indicator is less representative of the target population of our strategies. Also, the sample size for this indicator is small and therefore generalization to the larger Racine population is not recommended.

Although SE health of RUSD students has not been assessed systematically beyond 4K, available data suggest that the emotional health of RUSD students declines during elementary school. Data from Teaching Standards Gold that assesses SE development of RUSD 4K students indicate that most students meet or exceed social emotional development expectations. The next available data on the SE health of students is collected in 7th grade on the Youth Risk Behavior Survey. Data from 2014 revealed that nearly 25% of 7th graders reported feeling so sad or hopeless for two weeks or more in a row that they stopped doing some usual activities. While these data are derived from different sources, they provide evidence of a downward trajectory in the SE health of Racine youth between 4K and 7th grade

COMMUNITY-BASED BEHAVIORAL HEALTH
PHASE II APPLICATION

and underscore a need to monitor and enhance SE health through the elementary and secondary school years. Moreover, C2MH has identified SE health and development of children as a priority to improve behavioral health in the community. A RUSD principal reports that many students and families become less engaged in school and less connected to teachers between 4K and kindergarten, where there is a shift in focus from SE learning to academics (1). Our indicator from the Panorama assessment will fill a significant gap in data in this community stimulating change in how RUSD approaches student assessment. Embedding systematic SE health data collection at RUSD has the potential to shift the way students, parents, and teachers understand, act on, and support SE health in Racine.

2. Describe the rationale for your chosen strategies. What factors and data (cite sources) informed your selection of strategies?

There are several driving factors that informed the selection of strategies aimed to improve behavioral health of elementary school children in RUSD.

Nurturing Caregivers: Parents and caregivers play a critical role in supporting children's healthy development. Implementing and evaluating interventions for parents and caregivers that provide education about a child's social emotional (SE) development and parenting skills to support SE in children will improve the behavioral health of children. Engaging parents in these educational opportunities through the school system creates a link between the home and learning context for children and enables parents to develop and apply parenting skills that foster social emotional development in their children. This knowledge and parental skill acquisition has been shown to improve parenting and thereby the positive health behaviors and outcomes of children (5, 6, 7).

Quality Training Programs for Child-Serving Staff: High quality, evaluated, and effective training programs that provide teachers and child care providers knowledge and skills to promote children's positive social and emotional development are associated with children's increased social skills and a reduction in behavioral problems. Teachers and child-serving staff who are provided with professional development opportunities to become socially and emotionally competent are able to design teaching strategies, classroom environments, and individual interactions with students that foster SE health in youth. Students in classrooms with SE competent teachers are better able to manage conflict and emotions, develop intrinsic motivation, cooperate with peers, and communicate effectively with others (7).

Healthy School Climate: Student and staff perceptions of school climate are a result of the multitude of experiences and interactions between individuals within the school setting. Research has shown that students' mental health can be dramatically impacted by school climate. Positive school climates have also been linked to student self esteem, decreased substance use and behavioral issues, improved attendance, risk prevention, motivation to learn, and student achievement. Additional evidence has consistently supported the importance of increased child-serving staff SEL competencies in fostering a healthy school climate and has linked teaching behaviors that support a social and emotional classroom climate with desired student outcomes. (9, 10)

Child's Ability to Play: Play provides children with opportunities to develop cognitive, social and emotional skills that will improve their behavioral health. While currently understudied it is argued that anxiety, depression, aggression and sleep problems can be minimized through play. In young children a significant amount of interaction with their environment, and the individuals within it, comes through play. In the school setting, play activities can be used to maximize their effectiveness in promoting SEL (12, 13).

Access to adequate mental health care: Evidence shows that interventions which identify youth mental health issues and provide supports early on can head off more serious mental health conditions that could develop later in life. Early intervention not only produces better outcomes for youth, but is also a more cost effective strategy than reactively treating more serious problems in older kids or young adults. The National Scientific Council on the Developing Child has identified the need for the age-appropriate strategies (12, 15).

COMMUNITY-BASED BEHAVIORAL HEALTH
PHASE II APPLICATION

3. How are the outcomes/outputs aligned with the strategies and sufficient to positively impact the indicator?
The outcomes and outputs for each strategy have been chosen to directly measure the intermediate and long-term impact of the strategy on the social emotional health of RUSD elementary school students. Each strategy includes outputs and outcomes at various levels of the social ecological model. C2MH embraces the perspective that in order to positively impact our indicator, our strategies must target the individual, interpersonal, organizational, and system/community levels. Therefore, we will be measuring: 1) individual level participation in educational and social emotional capacity building programs and change in knowledge and skills to support youth social emotional health; 2) organizational implementation of social emotional programming for parents, staff, and students and embedding of these in sustained school district policies; and 3) community level change in mental health service provision through the development of referral pathways for RUSD elementary school students to community providers. Measuring our strategy's outputs/outcomes at multiple levels of the social ecological model enables us to capture data that will sufficiently document changes positively impacting the social emotional health of RUSD elementary school students over the course of the grant and, through sustainability planning and systems change, in the future as well. Strategies were selected based on evidence they positively impact the social emotional development of children. Evidence aligning each strategy to our indicator construct is provided below.

Nurturing Caregivers: Engaging parents in educational opportunities about social emotional development through the school system creates a link between the home and learning context for children and enables parents to develop and apply parenting skills that foster social emotional development in their children. This knowledge and parental skill acquisition has been shown to improve parenting and thereby the positive health behaviors and outcomes of children(5, 6, 7).

Quality Training for Child Serving Staff: Teachers and child-serving staff who are provided with professional development opportunities to become socially and emotionally competent are able to design teaching strategies, classroom environments, and individual interactions with students that foster SE health in youth. Students in classrooms with SE competent teachers are better able to manage conflict and emotions, develop intrinsic motivation, cooperate with peers, and communicate effectively with others(8).

Positive School Climate: Research has shown that students' social emotional and mental health can be dramatically impacted by school climate. Additional evidence has consistently supported the importance of increased child-serving staff SEL competencies in fostering a healthy school climate and has linked teaching behaviors that support a social and emotional classroom climate with desired student outcomes(9, 10).

Access to adequate mental health care: Access to mental health services and supports and the coordination of those services and supports is critical to improving the overall behavioral health of children in Racine. The 2016 Children's Mental Health Report notes that left untreated, mental health disorders are highly likely to have a negative impact on student learning and overall functioning in school. Without access to critical supports at an early age, children at risk for mental health issues are often instead handled through disciplinary measures rather than treated according to their mental health needs. Long term impacts include falling behind academically, onset of more serious mental health conditions, dropping out of school, and an overall lower quality of life(12, 15).

Child's Ability to Play: Play provides children with opportunities to develop cognitive, social and emotional skills that will improve their behavioral health. While currently understudied it is argued that anxiety, depression, aggression and sleep problems can be minimized through play. In young children a significant amount of interaction with their environment, and the individuals within it, comes through play. In the school setting, play activities can be used to maximize their effectiveness in promoting SEL(14,15).

4. How will the Community Coalition *measure* (i.e. using target benchmarks and other measurement plans) and *monitor* (i.e. the frequency and nature of review) progress regularly throughout the life of the award?
The evaluation model will be reviewed with coalition members, the MCW academic partner and the

COMMUNITY-BASED BEHAVIORAL HEALTH
PHASE II APPLICATION

CUPH evaluation team quarterly and used to inform decisions to ensure continuous quality improvement of activities to achieve strategy outcomes. The evaluation team will review data for each strategy and provide the coalition with a "dashboard" of progress towards target benchmarks for all outputs and outcomes to review. Adaptations and improvements to the evaluation model will be implemented based on feedback from coalition members on this data. In addition, this process will be used to inform changes in activities within each strategy; if data indicate a lack of achievement of benchmarks, activities may be altered or completely replaced. If data indicate significant success, activities may be amplified and expanded for greater community impact.

The evaluation team will also implement a mixed-methods process evaluation throughout the life of the grant that will examine the work and functioning of the coalition, how the plans for each strategies are being implemented, and what could be improved based on outcome data in order to be responsive to coalition and community needs, changes, and opportunities. This will include conducting interviews, focus groups, system mapping, and surveys with coalition leaders, coalition strategy team members, and community stakeholders.

5. How will the strategies, outcomes, and associated activities of the coalition better position itself for sustainability of impact?

Sustainability is paramount in the work of community coalitions, which is why the focused and targeted strategies, outputs, outcomes and activities outlined for Phase 2 were chosen. Focusing efforts at the target developmental period of early childhood has shown to produce a high rate of return on investment- not only for the individuals impacted by these strategies, but to society as a whole. These efforts increase individual resilience and build protective factors, thereby reducing the occurrence of risky behaviors that often lead to costly outcomes such as substance abuse or involvement in the criminal justice system. Developing professional and parental development learning opportunities focused on SE health, integrating SE programming for youth into RUSD, and identifying measurements that align with the school district's strategic planning goals, RUSD is creating a sustainable, coherent system for change and laying a foundation that supports every student's social and emotional needs. Embedding policies in RUSD to sustain supportive programs and services for parents and child-serving staff and providing mental health service pathways for students will create long-term transformative changes in the Racine community.

6. What impacts may be expected across the socio-ecological continuum?

At the individual level, we will be impacting parent and child-serving staff and teacher knowledge about social emotional health and developing their skills to support SE health among children in RUSD through targeted education. We will also be supporting RUSD elementary students' SE health through targeted interventions that focus on positive relationships with others and healthy self-regulation of emotions.

At the interpersonal level, we will be enhancing cross-sector collaboration to establish referral pathways for RUSD students to receive mental health services. We will also be promoting collaboration among RUSD child-serving staff to work together to support SE health for elementary students through interventions and referral systems. Finally, we will be teaching children how to engage with their peers, teachers, and caregivers in a way that reduces conflict and enhances positive school and home environments.

At the organizational level, we will be embedding new policies in RUSD that will sustain parental education on SE health, require training of all RUSD elementary school child-serving staff to complete SE health professional development, and establish concrete processes for referral pathways for RUSD elementary students to receive mental health services either in RUSD or in the Racine Community. In addition, the innovative assessment of SE health of RUSD elementary students represents an organizational change in RUSD that will inform the strategy work for this HWPP grant and provide novel data on this population that is necessary to comprehensively understand, raise, and educate youth in Racine.

COMMUNITY-BASED BEHAVIORAL HEALTH
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At the community level, we will be creating referral pathways to improve access to needed mental health services for RUSD elementary school students. In addition, the educational and intervention work that will be done to build the capacity of multiple stakeholders to understand and develop skills to support SE health in children cut across school and home boundaries so that youth are supported where they live, learn, and play. This approach will link the school, home, and community together as parents, child-serving staff and teachers, and mental health providers from each context are linked through innovative strategies to provide youth not only with a safety net when problems arise, but with prevention strategies to develop a socially and emotionally healthy and rich base on which children can develop into resilient, successful, and healthy citizens of Racine.

Budget Narrative (maximum 5,000 characters, including spaces):

Leveraging via cash and/or in-kind match is a requirement of Phase 2. Describe leveraged resources Community Coalition partners are contributing and indicate if they are confirmed or expected.

There are several ways that community partners will be contributing to Phase 2 of the HWPP project. The primary partner and fiscal agent, Racine County Human Services Department, will continue to provide in-kind support by furnishing office space and supportive services and assist with supervision of Project Staff. The community partner, Professional Services Group, will also provide supervision and support for the Project Coordinator. Gateway Technical College will provide meeting space for meetings at no cost (usually \$175 per session). Several community partners are willing to provide meeting space as needed at their offices for small groups as well. The Johnson Foundation at Wingspread provides space, food and beverage and staff to accommodate meeting needs for C2MH initiatives, including HWPP meetings (usually \$700 per session).

A valuable way that community partners are contributing in-kind support is by dedicating their time to meetings and taking action outside of coalition meeting times. Based on attendance at monthly meetings held during Phase 1, there is an expectation of support and involvement from at least 20-30 community partners in Phase 2 demonstrated by attendance at Strategy Team meetings and implementation of strategies (\$25-\$60 per hour per person). In addition, the Project Coordinator routinely meets with C2MH members, individually or in small groups, to discuss community needs, make improvements to strategies, processes, or services and align efforts across networks and leverage resources.

Reference List

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8. <http://46y5eh11fhgw3ve3ytpwxt9r.wpengine.netdna-cdn.com/wp-content/uploads/2015/05/inbrief-programs-update-1.pdf>
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10. Thapa, A., Cohen, J., Guffey, S., & Higgins-D'Alessandro, A. (2013). A review of school climate research. *Review of Educational Research*, 83(3), 357-385.
11. Durlak, J. A., Weissberg, R. P., Dymnicki, A. B., Taylor, R. D., & Schellinger, K. B. (2011). The impact of enhancing students' social and emotional learning: A meta-analysis of school-based universal interventions. *Child development*, 82(1), 405-432.
12. <https://childmind.org/report/2016-childrens-mental-health-report/mental-health-disorders-common/>
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14. Ginsburg, K. R. (2007). The importance of play in promoting healthy child development and maintaining strong parent-child bonds. *Pediatrics*, 119(1), 182-191.
15. National Scientific Council on the Developing Child. (2008/2012). Establishing a Level Foundation for Life: Mental Health Begins in Early Childhood: Working Paper 6. Updated Edition. <http://www.developingchild.harvard.edu>

RESULT: Improved behavioral health

Increase by 5% Racine Unified School District (RUSD) 3rd-5th grade elementary school students' Panorama Social Emotional Learning survey scores in the areas of Emotion Regulation and Social Awareness. As a second, complementary indicator we will increase by 5% Racine young people's (ages 6-10) scores on the National Survey of Children's Health "Flourishing" measure of social and emotional health.

Strategy	Factors	Output/Outcome Statement	Method/Measure	Current Benchmark	Target Benchmark	Timeframe	Level of Impact
<p>Efforts that move factors that achieve results at the population level</p>	<p>The forces that influence the indicator</p>	<p>Identify the intended outcome(s) that will achieve the strategy. How much, how well, what difference?</p>	<p>how you will regularly measure progress</p>	<p>The current level or state in the conditions that influence the strategies</p>	<p>The desired level or state in the conditions that influence the strategies</p>	<p>Indication of when the target benchmark will be achieved within the five year period</p>	<p>Individual, Relationship, Community/Organization, and Society</p>
<p>Strategy #1 Increase coordination of and exposure to evidence-based opportunities to learn about social emotional development for parents of 4K-5th grade RUSD students</p>	<p>Parents and caregivers play a critical role in supporting children's healthy development. Providing interventions to parents and caregivers that provide education about child development and parenting skills will improve the behavioral health of children.</p>	<p>Outputs: 1. 800 parents will attend evidence-based educational activities related to social emotional development 2. 10 parent evidence-based educational activities will be offered related to social emotional development per academic year 3. Resource Map of current educational activities/programs offered to RUSD parents (RUSD and community organizations) will be developed and posted on school and community websites</p>	<p>1. Number of parents attending/completing educational activities focused on social emotional development 2. Number of parent social emotional educational activities offered per year 3. Internal RUSD document review and meeting(s) with stakeholders</p>	<p>A review of RUSD elementary school calendars and a query of coalition members suggests that there were no parent educational opportunities related to child development in RUSD elementary schools last school year. A more concrete number of evidence-based educational activities offered focused on social emotional development and how many parents have attended will be determined during the first 6 months of year 1 implementation.</p>	<p>The target benchmarks will be specified during the second six months of year 1 implementation. We will conduct 1 educational experience in approximately 5-10 of RUSD's elementary schools in Year One. Attendance at Year One's events will serve as the baseline for future years</p>	<p>Year 1: Baseline data collection/identification and educational session planning Year 2: 30% of targets for outputs 1 and 2 Year 3: 70% of target for outputs 1 and 2 Year 4: 100% of target for outputs 1 and 2 Year 5: Final analysis, dissemination, and sustainability planning and execution</p>	<p>Individual, Relationship, Community/Organization, and Society</p>

<p>Strategy #2 Create a school environment that supports students' social emotional (SE) health by educating all child-serving personnel on ways to encourage SE learning interactions with RUSD youth</p>	<p>High quality training programs focused on helping teachers and child care providers promote children's positive social and emotional competence are associated with children's increased social skills and a reduction in behavioral problems. Research has consistently supported the importance of increased staff SEL knowledge and skills to support a healthy school climate.</p>	<p>Outputs: 1. 1000 child-serving RUSD staff and 100 RUSD off-site 4K childcare staff will complete social emotional development training 2. 20 RUSD schools and 3 off-site childcare centers will offer professional development on social emotional development 3. 30 social emotional development training opportunities will be offered to RUSD staff and 5 social emotional development training opportunities will be offered to off-site 4K childcare staff per year 4. RUSD will institute/embed a policy requiring RUSD staff training in social emotional development 5. A map of existing SE development training opportunities for RUSD will be developed 6. A map of existing SE development training opportunities for off-site 4K childcare staff will be developed 7. SEL Training Guideline for RUSD staff will be developed</p>	<p>1. Number of child-serving RUSD staff and off-site 4K childcare staff completing social emotional development training 2. Number of RUSD schools and off-site childcare centers that offer professional development on social emotional development 3. Count of social emotional development training opportunities offered to RUSD staff and off-site 4K childcare staff 4. Count of policies or requirements for staff training in SEL 5. Internal RUSD document review and meeting(s) with stakeholders</p>	<p>An accurate list of the number of staff trained in social emotional development, site 4K centers offering social emotional development training, social emotional development professional development opportunities, and policies for staff training requirements will be determined during the first 6 months of year 1 implementation.</p>	<p>The target benchmarks will be specified during the second six months of year 1 implementation.</p>	<p>Year 1: Baseline data collection/identification and educational session planning Year 2: 25% of targets for all outputs Year 3: 60% of target for all outputs Year 4: 100% of target for all outputs Year 5: Final analysis, dissemination, and sustainability planning and execution</p>	<p>Organization, Community, Individual</p>
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<p>Strategy #4 Improve coordination and pathways to mental health services for RUSD students</p>	<p>Access to mental health services and supports and the coordination of those services and supports is critical to improving the overall behavioral health of children in Racine.</p>	<p>Outputs: 1. A list of community mental health providers that accommodate the needs of RUSD students (as measured by Medicaid acceptance, flexible hours, and accessibility) will be developed and disseminated 2. 10 community mental health providers who will accommodate the needs of RUSD students (as measured by Medicaid acceptance, flexible hours, and accessibility) 3. A model process to provide referrals will be developed and disseminated 4. 15 RUSD elementary schools will implement the model process to provide referrals 5. Formal, documented, and vetted process to establish referral and service partnerships between community-based mental health service providers and RUSD</p>	<p>1. Number of community mental health providers that accommodate the needs of RUSD students (as measured by Medicaid acceptance, flexible hours, and accessibility of providers) 2. Number of community mental health providers with formal agreements that accept RUSD student referrals. 3. Convene local stakeholders to examine and agree upon model processes 4. Yes/No existence of formal process to establish processes.</p>	<p>1. Baseline of conflict resolution skills/emotional regulation - will obtain during first 6 months of year 1 implementation (Panorama instrument, emotion regulation section) 2. RUSD behavioral incident data to be used to establish baseline for each school 3. No such policy currently exists</p>	<p>1. 25% of students report an increase in conflict resolution skills/emotional regulation (Panorama). 2. 5% reduction in student referrals for violent, aggressive or disruptive behaviors (RUSD behavioral incident data). 3. Policy development and enactment by end of year 5</p>	<p>1 and 2: 100% of target for output 1 Years 3-5: 100% of target for outputs 2 and 3 Year 3-5: 100% of target for output 4 Year 5: 100% of target for output 5</p>	<p>Organization, Individual, Relationship</p>
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		<p>Outcomes:</p> <p>1. 75% of RUSD students who need access will have access to a referral pathway to community mental health providers</p> <p>2. 75% of RUSD elementary schools will embed a process to provide students a referral pathway to community mental health providers</p> <p>3. Criteria to determine mental health service needs within each RUSD school will be established and embedded into the RUSD system</p>	<p>1. Calculation of percentage of RUSD students with access to a referral pathway to community mental health providers (data from RUSD).</p> <p>2. Calculation of percentage of RUSD schools with a process to provide students a referral pathway to community mental health providers (data from RUSD).</p> <p>3. Analysis of RUSD data (free and reduced lunch rates, attendance rates, suspension rates, MAP scores, enrollment and school climate)</p>	<p>1. 0%</p> <p>2. Currently there are 3 elementary schools in RUSD with a community mental health provider on site: SC Johnson Elementary, Wadewitz Elementary, Knapp Community School</p> <p>3. Benchmark determined by end of year one; 88 referrals in year one of pilot program; 70 clients served</p>	<p>1. 50% of students attend a school with access to a referral pathway to community mental health providers.</p> <p>2. 75% RUSD schools have access to a referral pathway to community mental health providers.</p> <p>3. Target benchmark to be determined upon completion of RUSD data analysis</p>	<p>Years 2-5: 100% of target for outcome 1</p> <p>Years 2-5: 100% of target for outcome 2</p> <p>Year 1: 100% of target for outcomes 3 and 4</p>	<p>Organization, Community, Relationship, Individual</p>
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REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2017</u>		Resolution Request
			Ordinance Request
		X	Report Request

Requestor/Originator: Alexandra Tillmann - Finance Department

Committee/Individual Sponsoring: Finance and Human Resources Committee

Date Considered by Committee: 9/6/2017 Date of County Board Meeting to be Introduced: _____

1st Reading: 1st & 2nd Reading: *

* Include a paragraph in the memo regarding why 1st & 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Racine County 2nd Quarter Investment Report

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

The Committee believes that this action furthers the following goals:

- Make Racine County the most accessible county in Wisconsin for business to grow, develop and create family supporting jobs.
- Develop a system that encourages employees, elected officials and citizens to suggest ideas for service enhancement and productivity improvements including a measurement of customer satisfaction.
- Foster an environment where intergovernmental cooperation is encouraged to produce better services and efficiencies.
- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.



Racine County Wisconsin

Since 1836

AS OF JULY 31, 2017



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21	Portfolio Holdings
23	Disclosure

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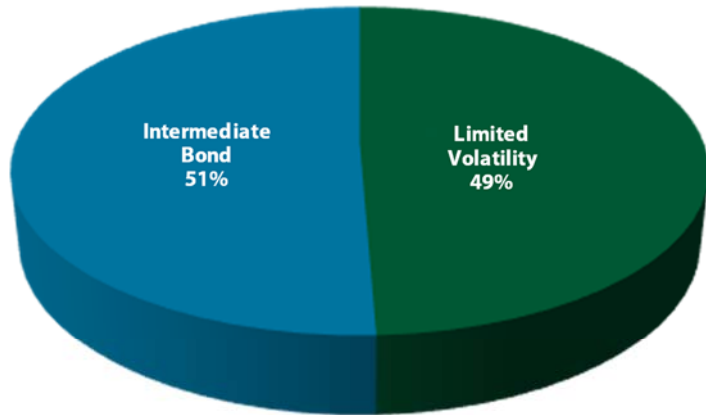


Through 7/31/2017

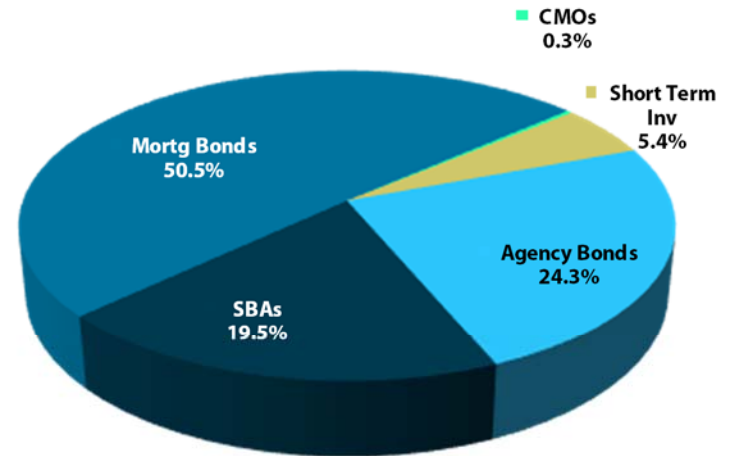
- Calendar 2nd quarter 2017 total return was 0.31%*
- Current yield as of 7/31/17 of the combined portfolios is 1.85%, compared to the LGIP yield of 0.88%
- Net return over LGIP since inception through 7/31/17 was \$ 854,275*



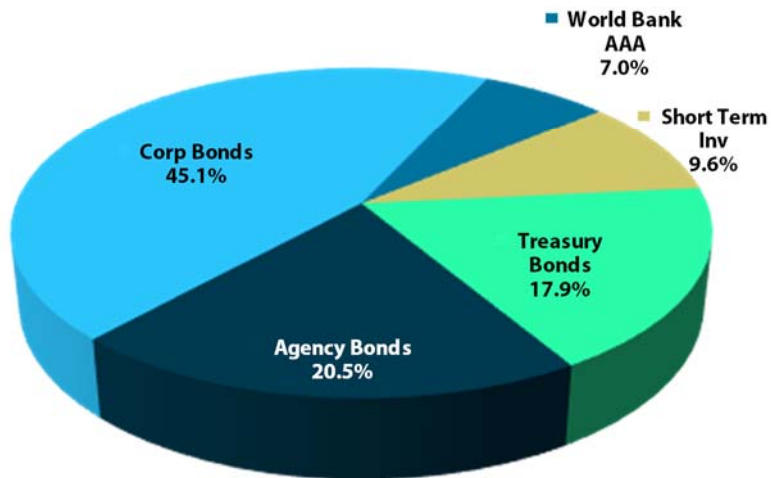
2198m - Racine County



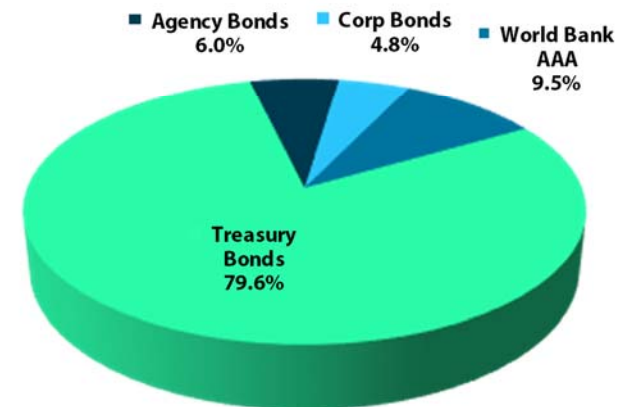
2198ma - Racine County - Limited Volatility Bond Portfolio

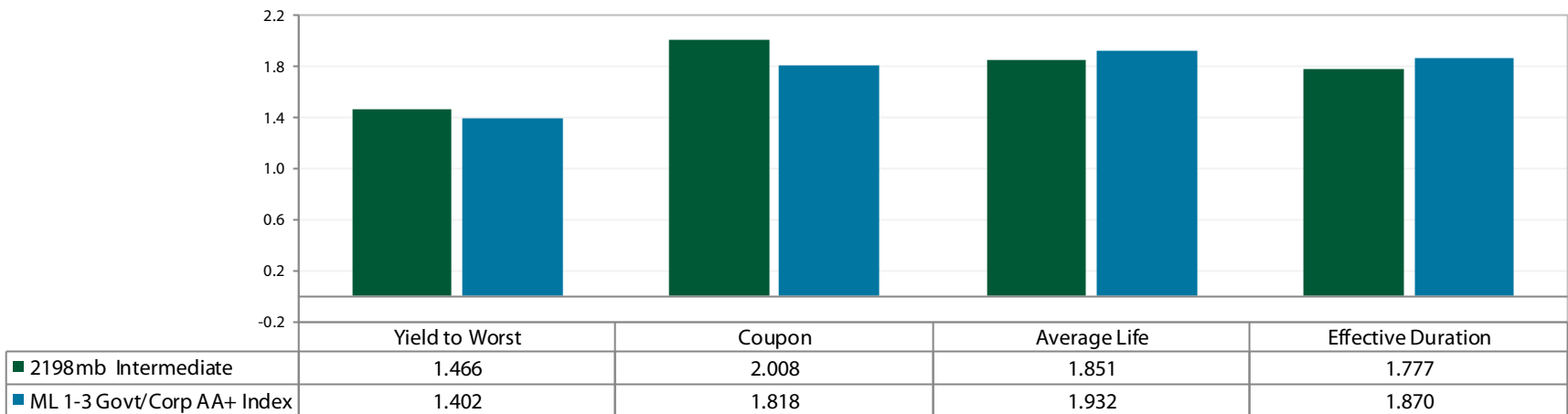
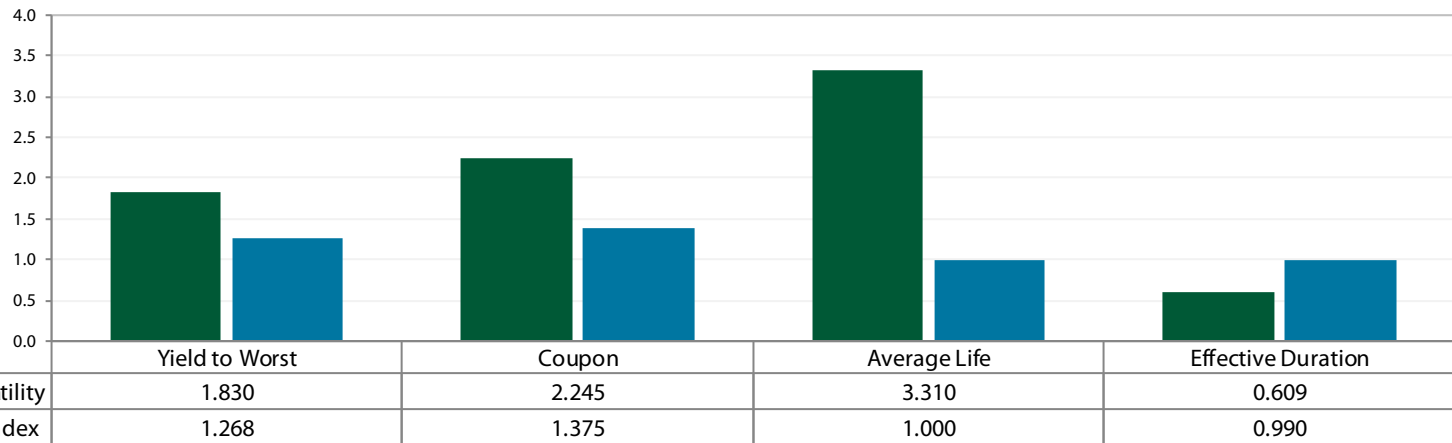


2198mb - Racine County - Intermediate Bond Portfolio



ML 1-3 Govt/Corp AA+ Index



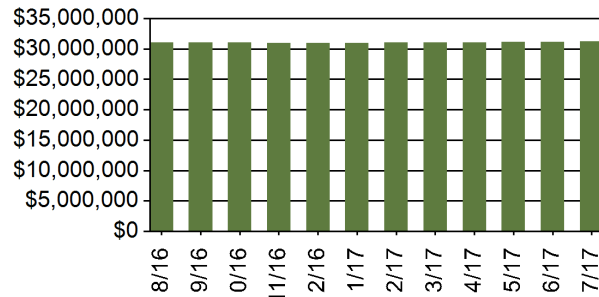




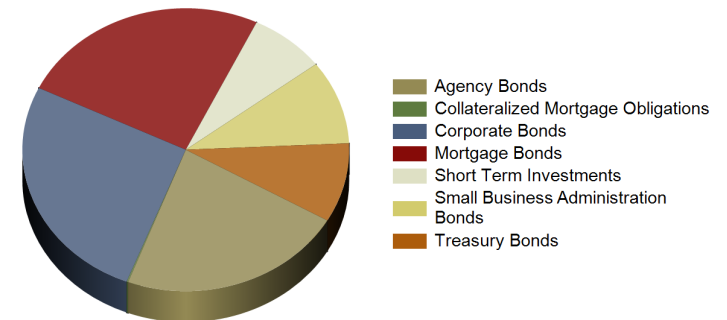
Account Activity 12/30/2016 to 7/31/2017	
Portfolio Value on 12/30/2016	\$30,953,953.14
Contributions/Withdrawals	(\$6,612.51)
Investment Income	\$351,459.43
Unrealized Gain/Loss	(\$2,458.26)
Realized Gain/Loss	(\$105,734.69)
Change in Accrued Income	\$4,469.40
Portfolio Value on 07/31/2017	\$31,195,076.51
Total Gain after fees	\$205,307.81

Portfolio Allocation				
Summary as of 7/31/2017	Market Value	% Assets	Income	Yield
Short Term Investments	2,338,881	7.5	16,592	.71
Treasury Bonds	2,831,990	9.1	61,913	2.20
Agency Bonds	6,978,309	22.4	83,538	1.20
Small Business Administration Bonds	3,000,472	9.6	75,798	2.54
Mortgage Bonds	7,776,480	24.9	193,514	2.50
Corporate Bonds	8,223,818	26.3	195,610	2.39
Collateralized Mortgage Obligations	45,127	.1	1,073	2.38
Total Portfolio	31,195,077	100.0	628,037	2.02

Account Value (\$) Over Last 12 Months



Asset Allocation 7/31/2017



Data Through 7/31/2017

Racine County Data is Net of Fees

	Yield to Worst	Unannualized 2nd Quarter 2017	Unannualized Year-to-Date 2017	Average Annual (7/31/17) 3 Year	Average Annual (7/31/17) Since Inception
2198m - Racine County	1.65	0.31	0.69	0.72	0.69
2198ma - Racine County - Limited Volatility	1.83	0.22	0.36	0.57	0.73
2198mb - Racine County - Intermediate	1.47	0.40	1.02	0.86	0.72
BofA ML 1 Year Treasury Note	1.27	0.14	0.43	0.45	0.36
BofA ML US Gov/Corp 1-3 Year AA or Better	1.40	0.22	0.74	0.92	0.73
Wisconsin LGIP	0.88	0.18	0.39	0.32	0.23
Estimated \$ Return over LGIP *					\$ 801,371

* Calculation uses average market value multiplied by the cumulative difference in return of Racine County and LGIP



Maturity (Years)		
	Portfolio %	Benchmark %
CASH	1.98	
<0.00		
0.00 - 0.99	37.80	
1.00 - 1.99	15.52	53.10
2.00 - 2.99	16.40	46.90
3.00 - 3.99	18.68	
4.00 - 4.99	4.85	
5.00 - 6.99	4.76	
7.00 - 9.99		
10.00 - 14.99		
15.00 - 19.99		
20.00 - 24.99		
25.00+		

Effective Duration		
	Portfolio %	Benchmark %
CASH	1.98	
<0.00		
0.00 - 0.99	37.80	3.00
1.00 - 1.99	15.52	56.20
2.00 - 2.99	24.29	40.80
3.00 - 3.99	10.79	
4.00 - 4.99	4.85	
5.00 - 5.99	4.76	
6.00 - 6.99		
7.00 - 7.99		
8.00 - 8.99		
9.00 - 9.99		
10.00+		

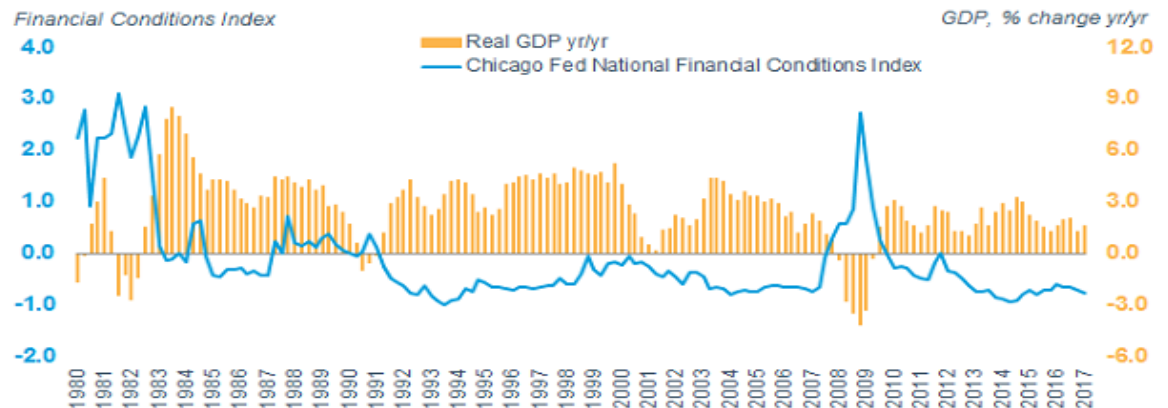
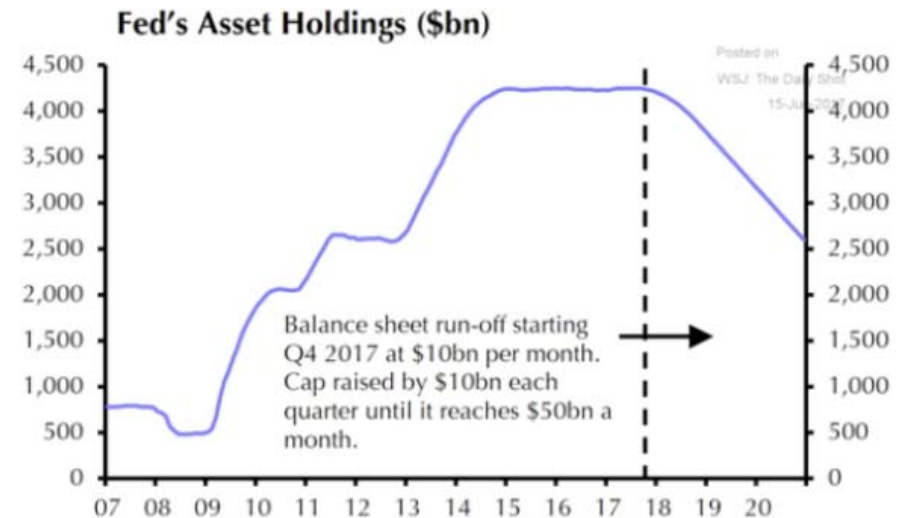
Coupon		
	Portfolio %	Benchmark %
CASH	1.98	
<0.00		
0.00 - 0.99	8.52	9.60
1.00 - 1.99	40.94	69.40
2.00 - 2.99	36.26	5.10
3.00 - 3.99		11.10
4.00 - 4.99	6.29	1.90
5.00 - 5.99	3.01	0.50
6.00 - 6.99	3.00	0.20
7.00 - 7.99		0.10
8.00 - 8.99		1.80
9.00 - 9.99		0.30
10.00+		

- Overall portfolio duration is slightly shorter and smoothed out compared to the benchmark, and has performed remarkably well against the benchmark for the quarter
- Racine County portfolio has a yield and coupon advantage versus the benchmark, with slightly shorter duration
- Price movement tends to be minimal for securities within 2-year maturity when rates rise or fall
- The portfolio structure has been a positive contributor to performance since 12/31/2016

As expected, the Federal Reserve raised the federal funds rate by a quarter of a percentage point at the June meeting, bringing the benchmark rate to a 1% to 1.25% range. Despite a tick down in inflation, the Fed also stuck to its projection of another rate hike later this year, followed by three more quarter-point hikes in 2018. The most valuable information from the June meeting was that the Fed presented its plan to shrink its balance sheet. The plan is to taper reinvestments of principal and interest gradually, and then let bonds mature off the balance sheet over the next few years. The Fed will limit the initial amount of bonds that roll off the balance sheet to \$10 billion per month—\$6 billion in Treasuries and \$4 billion in mortgage-backed securities—for the first three months and then increase those caps over time. However, the total amount of maturing bonds will be limited to \$50 billion per month.

Gradually reducing the Fed’s balance sheet shouldn’t have a big impact on the market. We are optimistic about the economy based on the prospects of improving global growth and solid consumer spending. For the first time since the financial crisis, the global economy is experiencing a synchronized upturn. Based on forecasts by the International Monetary Fund (IMF), gross domestic product (GDP) growth in both developed and developing countries is moving higher on a year-over-year basis. Although not a robust upturn, it is a change from the past few years when recession and deflation worries gripped parts of the globe and some foreign government bond yields were in negative territory. Additionally, financial conditions remain easy in the U.S. and, going back to 1980, there has never been a recession in the 12 months following a period when financial conditions were this easy.

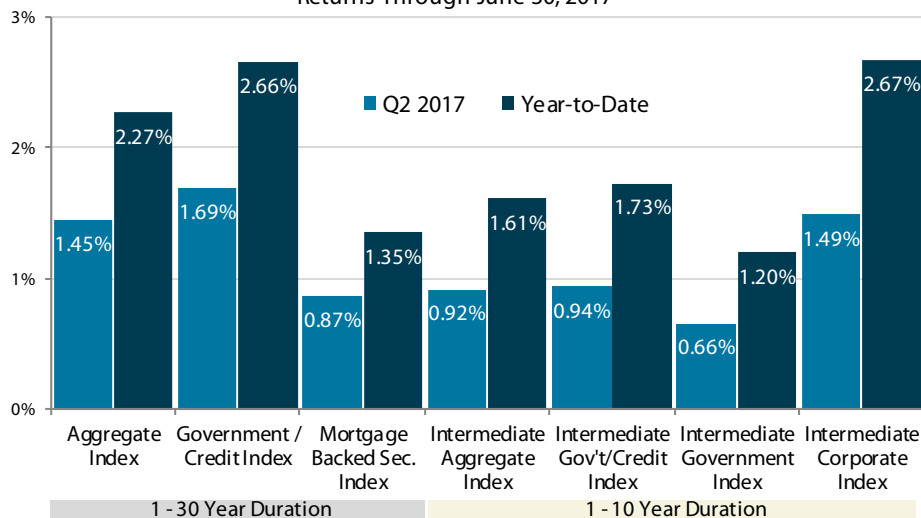
Consumer spending should continue to support the economy, even without tax cuts or increased federal spending. Low unemployment and steadily rising wages should be enough to keep consumer spending, which represents nearly 70% of GDP, driving the economy forward. Throughout this expansion, real GDP growth has averaged about 2% and we look for that trend to continue or even improve slightly due to the strengthening of the labor market.



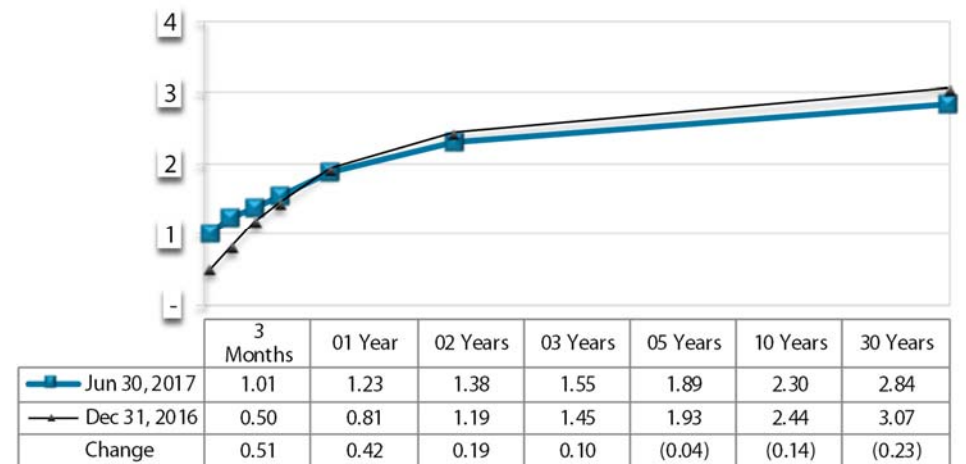
Lower credit quality and longer duration, investment grade corporate bonds and TIPs were contributors during the quarter, while Government bonds (Treasuries and Agencies), and MBS were detractors. Interest rates held relatively steady, and credit spreads tightened slightly. Corporate bond markets continue to be supported by generally improving credit metrics, and market expectations associated with hope of improving tax and regulatory climate under the new administration. Dana portfolios are strategically positioned with higher allocation in corporate bonds and overall lower duration – a combination that has worked well in the recent quarters.

- The yields at the front end of the curve rose while the longer end declined thereby flattening the curve at both ends.
- T-bills 1, 3, 6, and 12-months rose 11, 26, 23, and 21 basis points respectively
- 2-year, 3-year Treasuries rose 13, and 6 basis points respectively
- 10-year note and 30-year bond yields declined 8, and 18 basis points respectively
- The 10 Year U.S. Treasury Note yield traded in a very narrow range between 2.13% and 2.42% during the second quarter, ending at 2.31%

Barclays U.S. Bond Indices
Returns Through June 30, 2017



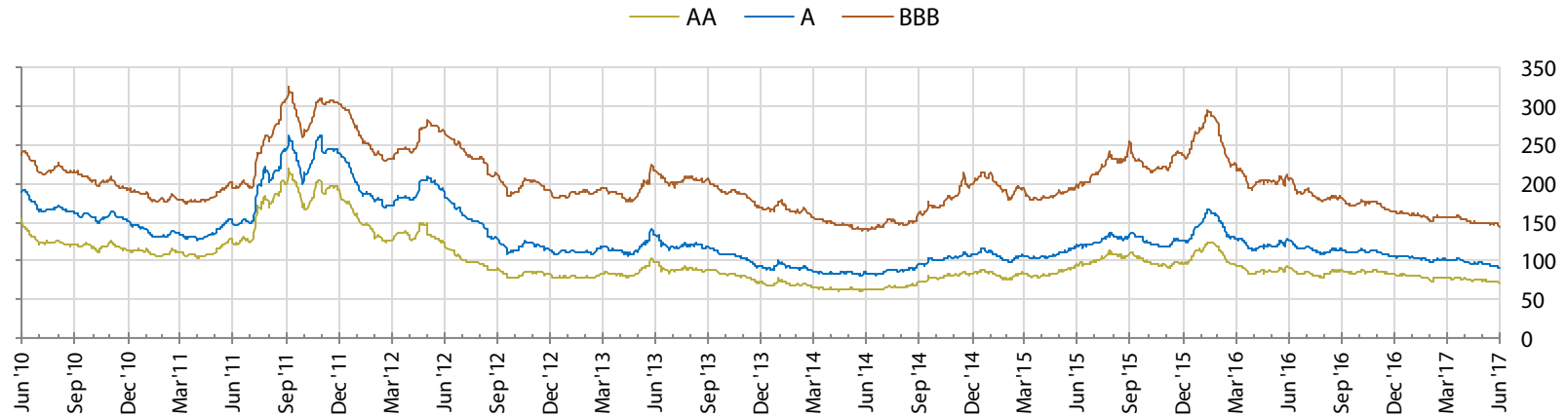
Treasury Yield Curve



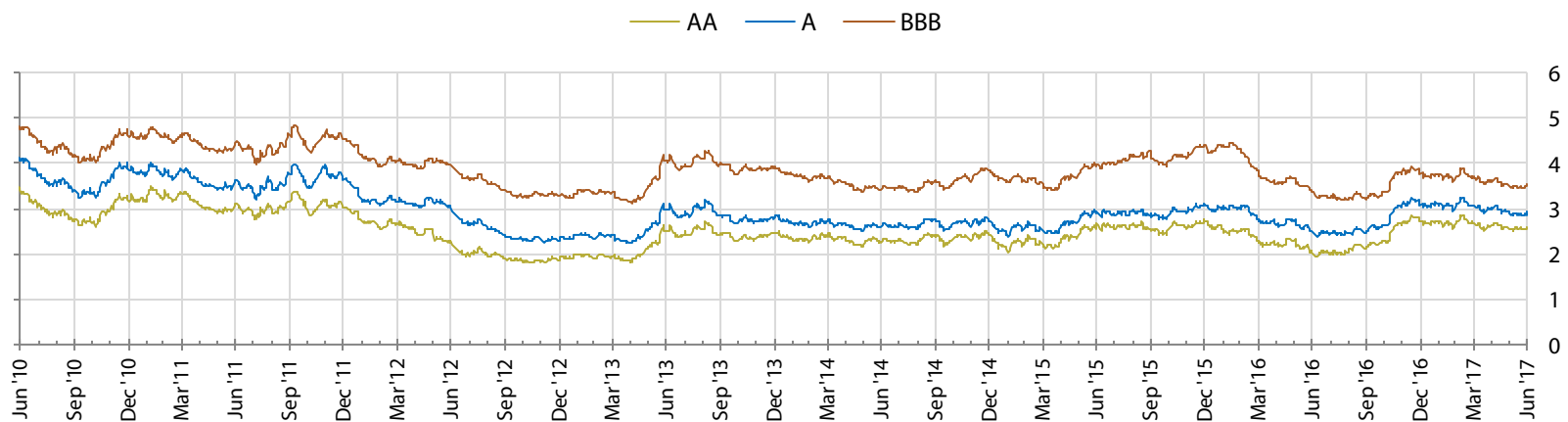
Source: Bloomberg

Overall, the Fed has laid out its case for tighter policy. It believes the economy is on a sustainable growth track, unemployment is very low and inflation is moving toward the Fed's target 2% rate, allowing for a return to more "normal" policy. However, keep in mind that the Fed's mantra has been to manufacture financial stability through transparent, gradual and predictable movements. With the Fed's balance sheet now standing at about 23% of GDP, the second highest in modern history, there is nothing normal about the Fed's policy. Therefore, investors need to maintain a well-diversified portfolio to continue compounding returns no matter what path interest rates may follow into the future. Even in a rising-rate environment, fixed income investments provide important benefits, including interest income, diversification from equities, lower volatility and the predictability of an income stream.

Corporate Bond Spreads by Quality as of June 30, 2017



Corporate Bond Yields by Quality as of June 30, 2017

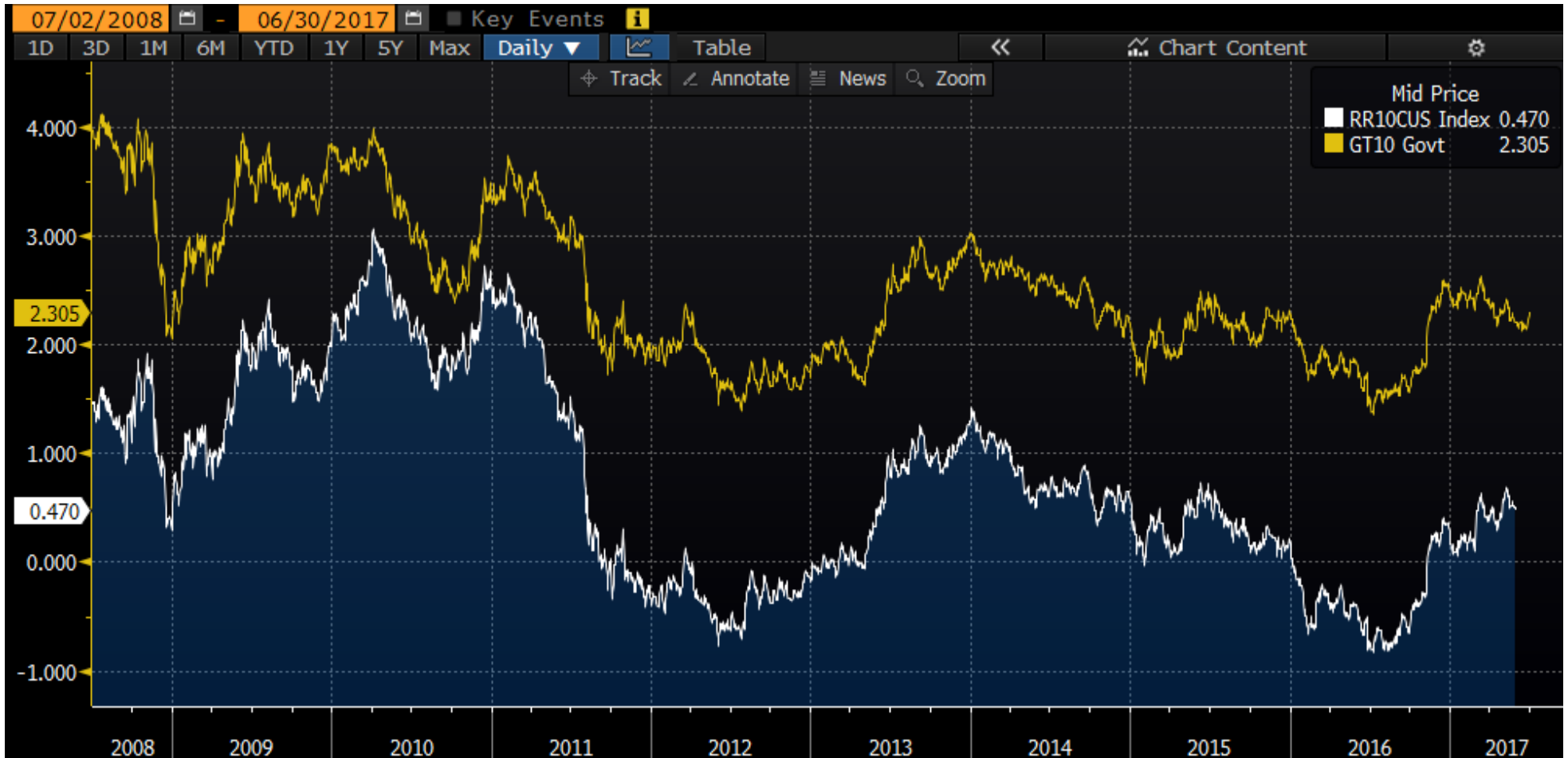


5-yr Corporate Bond Spreads vs. 5-yr and 10-yr Treasury Yields



- Corporate bond spreads continue to maintain low range
- 5 and 10-yr Treasury yields have risen since the election, but have remained range-bound

10 Year Treasury Nominal and Real Yields
(July 2008 – June 2017)



➤ Nominal Yield: 2.31%, Real Yield: 0.47% as of May 29, Inflation: 1.84%

2-yr, 5-yr, and 10-yr Breakeven Spreads
(Last 12 Months)



➤ Short term inflation expectations have been more volatile since the election, long-term inflation expectations relatively stable

US LIBOR – OIS Spread (bps)
(3-Month LIBOR and 3-Month Overnight Index Swap)



➤ The health of the banking system continues to remain in excellent condition and most banks passed recent stress test well.

(Highest at 364 bps during the financial crisis of 2008)

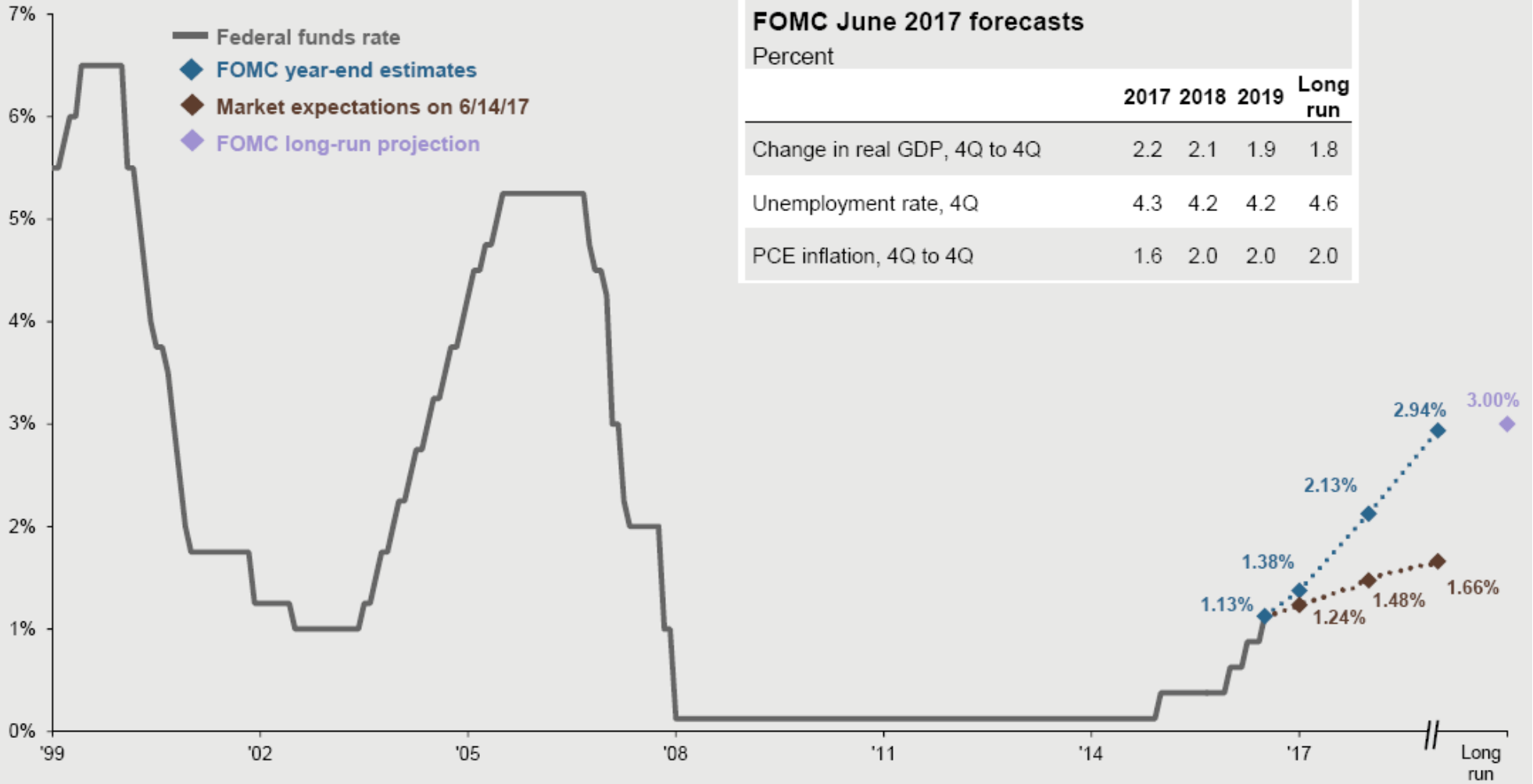
TED Spread (bps)
(3-Month BBA LIBOR and 3-Month T-Bill)



➤ The health of the credit markets continue to improve (Highest at 463 bps during the financial crisis of 2008)

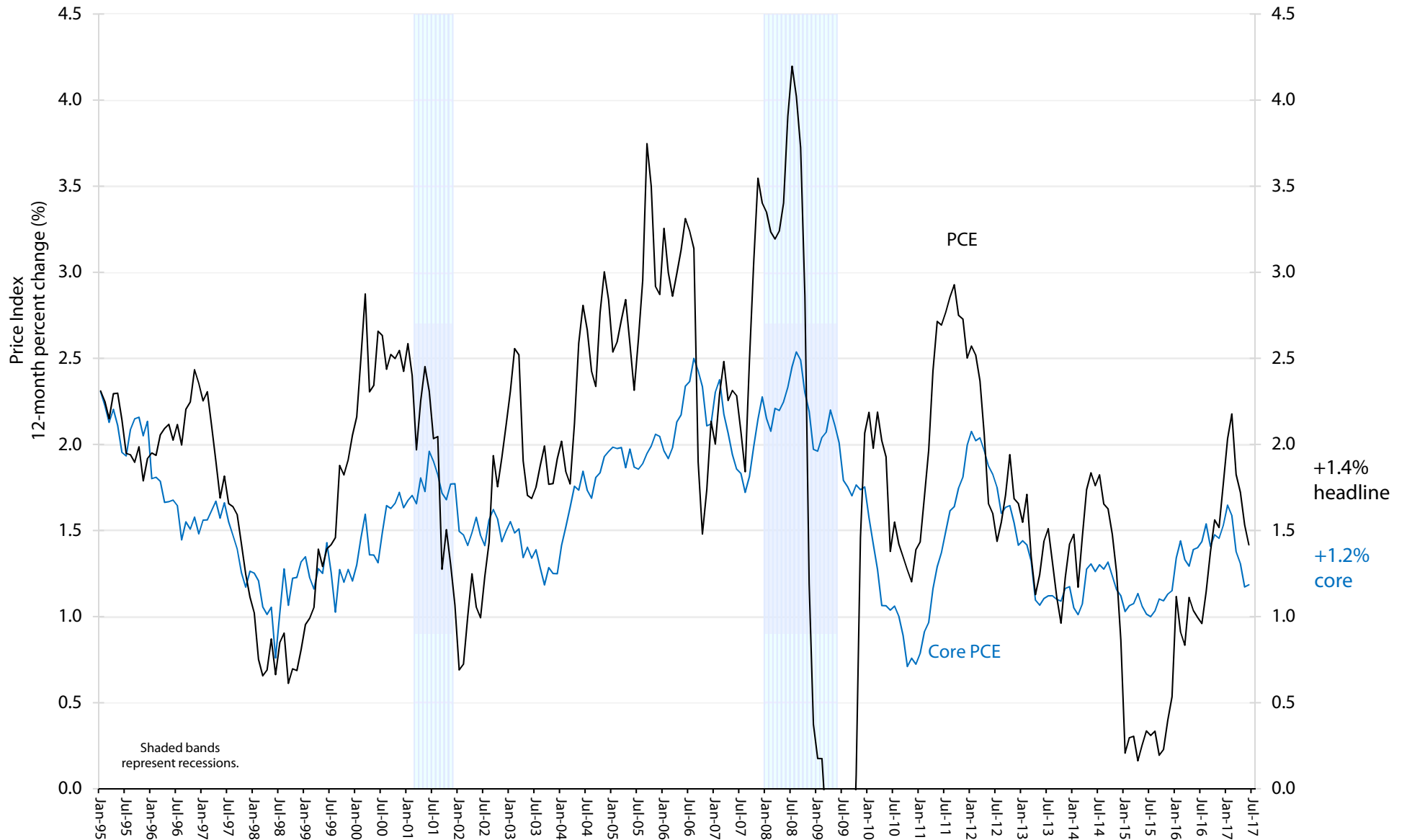
Federal funds rate expectations

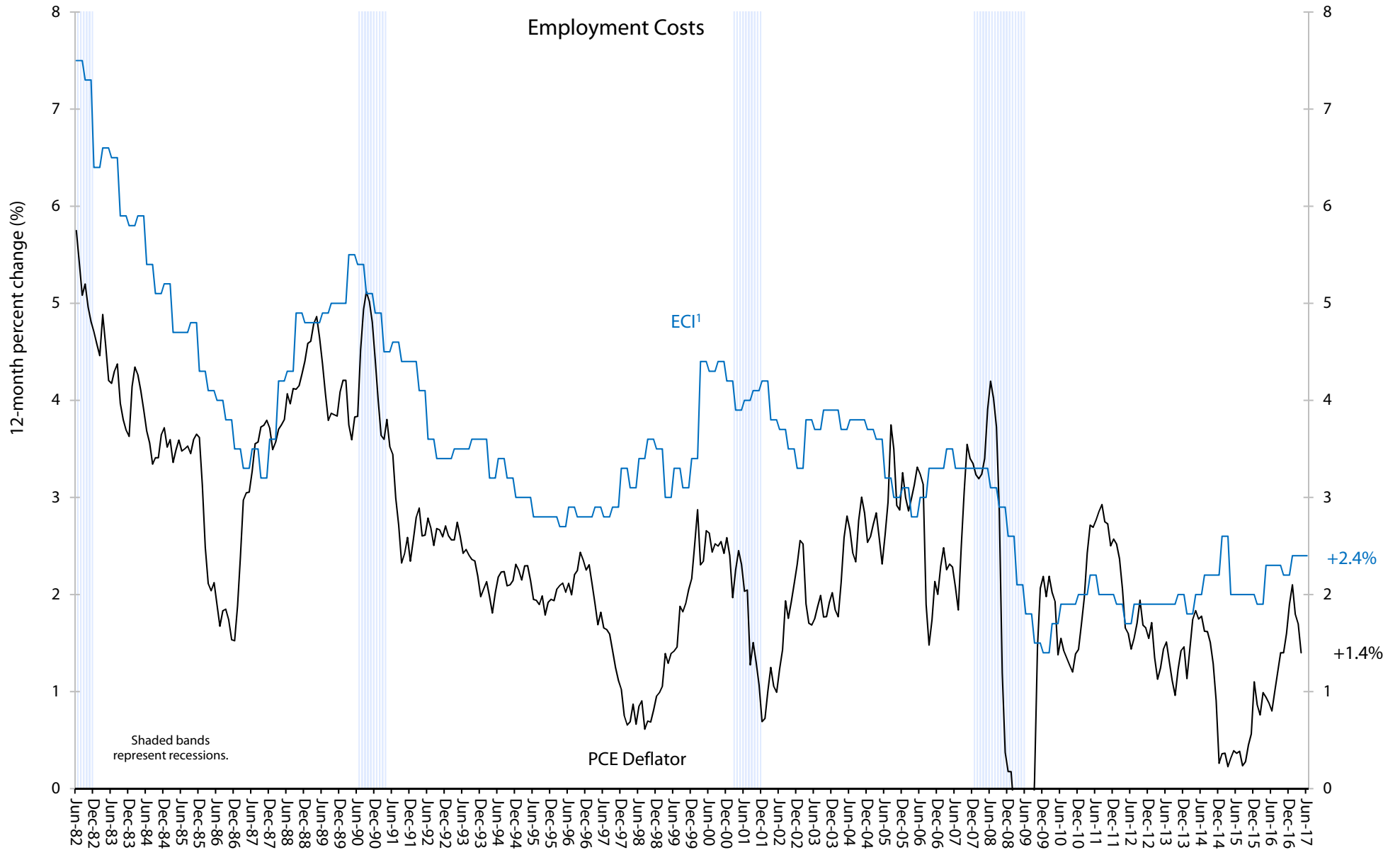
FOMC and market expectations for the fed funds rate



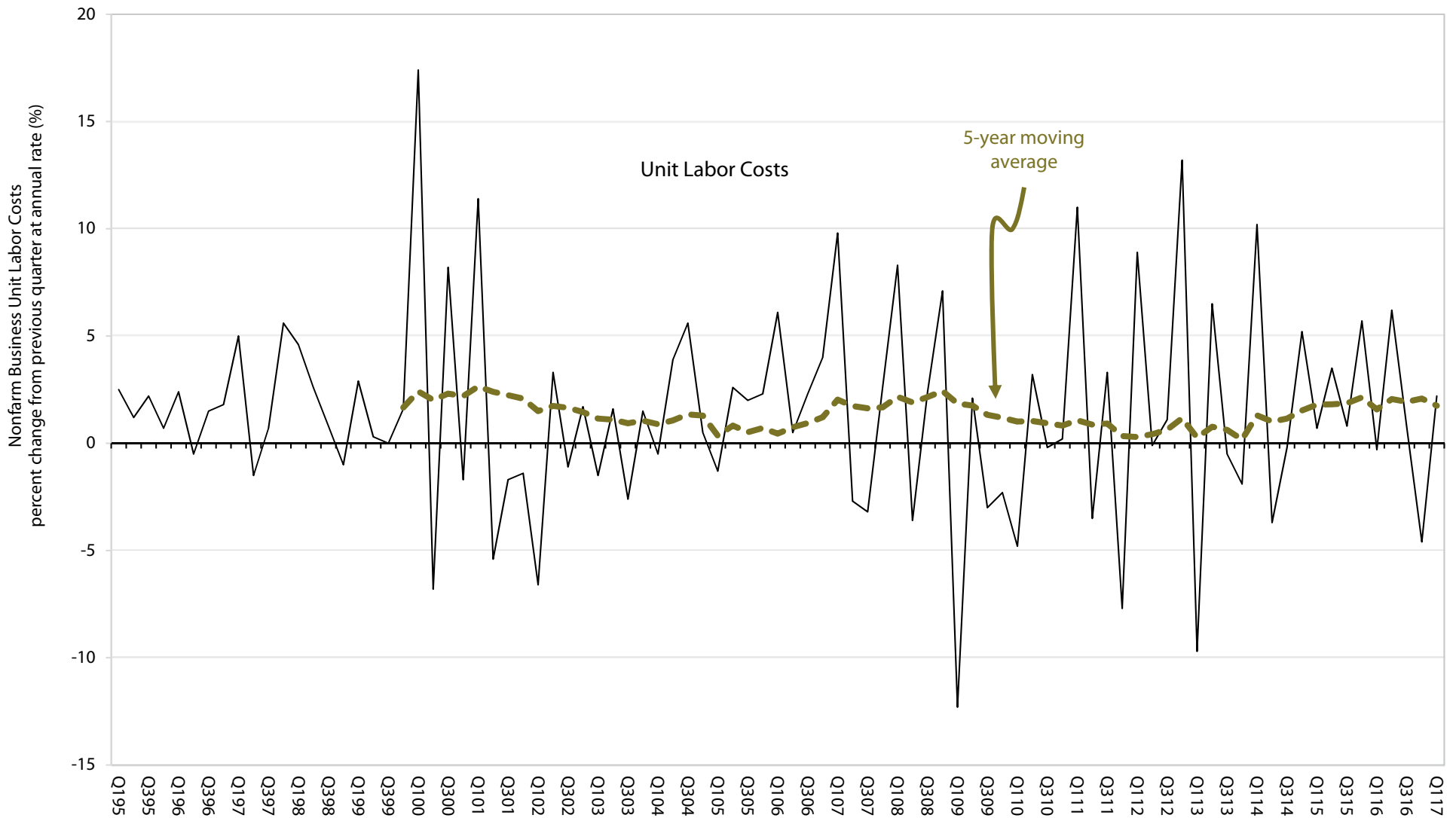
Source: FactSet, Federal Reserve, JPMorgan AM

Inflation (PCED) recovered from the plunge in gasoline, diesel and fuel oil prices, but lately has turned back down

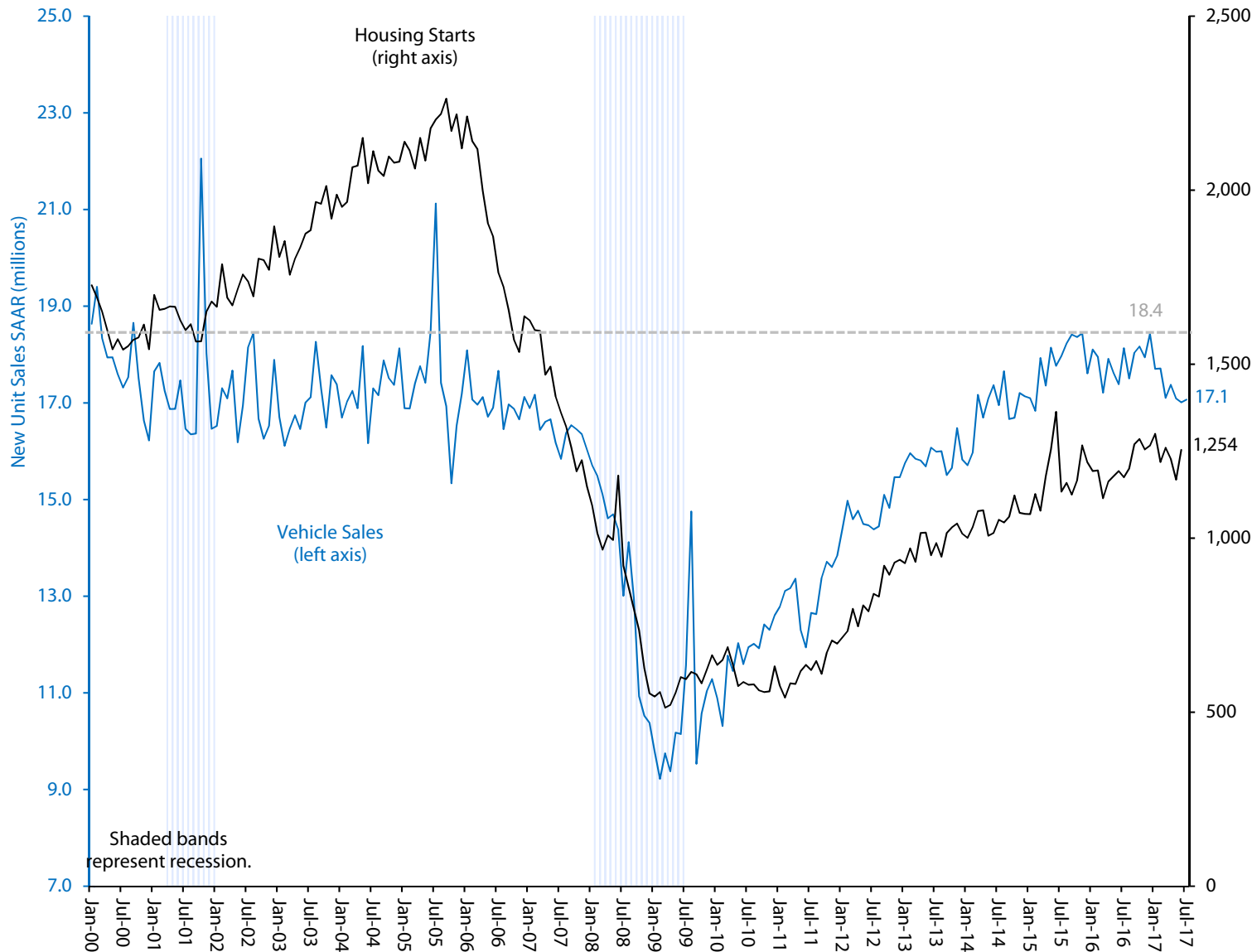




Labor costs are, by far, the biggest driver of inflation. Productivity gains partially offset higher employment costs but with weaker productivity gains unit labor costs have been rising at an average +1.8% per year over the last five years. This is a key measure for the Fed.

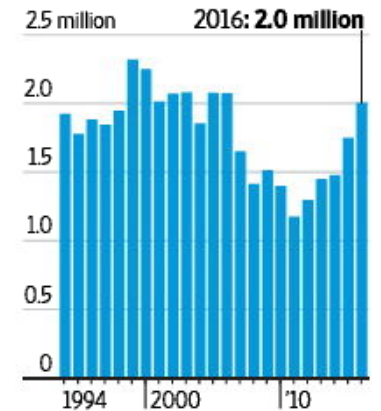


Vehicle sales are off the December 2016 peak, however, at 17.1 million saar sales are running at the pre-recession average.



Missing Out

First-time home buyers sat on the sidelines after the housing bubble but are now making a comeback.



Source: Genworth Mortgage Insurance
THE WALL STREET JOURNAL.

Economists said a wave of first-time buyers is likely coming over the next decade as a large cohort in their mid-20s begin to buy homes.

“As we’re seeing millennials age into homeownership, there’s a huge tailwind coming,” said Nela Richardson, chief economist at Redfin.

Dana Investment Advisors, Inc.

PORTFOLIO HOLDINGS



Report as of: 07/31/2017

Portfolio: 2198m - Racine County

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
Cash							
Short Term Investments							
	000009	Cash - Money Fund		2,212,208.17	7.12	.00	.75
Total Short Term Investments				2,212,208.17	7.12	.00	.75
Bonds							
Agency Bonds							
370,000	3137EADL0	FREDDIE MAC 1% Due 09/29/2017	99.98	369,927.11	1.19	1,253.89	1.00
475,000	3137EADN6	FREDDIE MAC 0.75% Due 01/12/2018	99.81	474,097.50	1.53	188.02	.75
750,000	3137EADP1	FREDDIE MAC 0.875% Due 03/07/2018	99.80	748,530.00	2.41	2,625.00	.88
425,000	3136G2J57	FANNIE MAE 1.05% Due 05/22/2018	99.80	424,150.00	1.36	855.31	1.05
500,000	3135G0YM9	FANNIE MAE 1.875% Due 09/18/2018	100.64	503,186.00	1.62	3,463.54	1.86
750,000	3134G9MY0	FREDDIE MAC 1.25% Due 06/14/2019	99.50	746,250.00	2.40	1,223.96	1.26
800,000	313586RC5	FANNIE MAE 0% Due 10/09/2019	96.16	769,274.56	2.47	.00	.00
750,000	3134GBVN9	FREDDIE MAC 1.65% Due 07/10/2020	99.71	747,787.50	2.41	893.75	1.65
750,000	3134GBKC5	FREDDIE MAC 1.5% Due 07/27/2020	99.94	749,554.50	2.41	125.00	1.50
750,000	3134GBYQ9	FREDDIE MAC 2% Due 04/27/2021	99.99	749,925.00	2.41	166.67	2.00
700,000	3130A8QS5	FEDERAL HOME LOAN BANK 1.125% Due 07/14/2021	97.78	684,459.65	2.20	371.87	1.15
Total Agency Bonds				6,967,141.82	22.41	11,167.01	1.20
Collateralized Mortgage Obligations							
23,318.11	3137B5J79	FHMS KF02 A1 1.375% Due 07/25/2020	100.00	23,318.11	.08	.00	1.37
21,501.74	31397SY57	FNR 2011-37 LD 3.5% Due 04/25/2039	101.14	21,746.43	.07	62.71	3.46
Total Collateralized Mortgage Obligations				45,064.54	.15	62.71	2.38
Corporate Bonds							
425,000	046353AB4	ASTRAZENECA PLC 5.9% Due 09/15/2017	100.50	427,116.50	1.37	9,472.78	5.87
425,000	92976GAH4	WELLS FARGO BANK NA 6% Due 11/15/2017	101.30	430,525.00	1.39	5,383.33	5.92
420,000	023135AH9	AMAZON.COM INC 1.2% Due 11/29/2017	100.02	420,067.20	1.35	868.00	1.20
425,000	064159BE5	BANK OF NOVA SCOTIA 1.375% Due 12/18/2017	100.00	425,017.00	1.37	698.00	1.37
450,000	74977EPZ0	COOPERAT RABOBANK UA/NY 1.7% Due 03/19/2018	100.17	450,742.50	1.45	2,805.00	1.70
100,000	459058DN0	INTL BK RECON & DEVELOP 1.375% Due 04/10/2018	100.06	100,058.00	.32	423.96	1.37
300,000	931142DF7	WAL-MART STORES INC 1.125% Due 04/11/2018	99.86	299,571.00	.96	1,031.25	1.13
425,000	037833AJ9	APPLE INC 1% Due 05/03/2018	99.75	423,954.50	1.36	1,038.89	1.00
425,000	166764AE0	CHEVRON CORP 1.718% Due 06/24/2018	100.24	426,007.25	1.37	750.43	1.71
900,000	459058ER0	INTL BK RECON & DEVELOP 1% Due 10/05/2018	99.58	896,229.00	2.88	2,900.00	1.00
425,000	742718EE5	PROCTER & GAMBLE CO/THE 1.6% Due 11/15/2018	100.24	426,003.00	1.37	1,435.56	1.60
425,000	89236TBB0	TOYOTA MOTOR CREDIT CORP 2.1% Due 01/17/2019	100.77	428,259.75	1.38	347.08	2.08
425,000	20271RAH3	COMMONWEALTH BK AUSTR NY 2.3% Due 09/06/2019	100.90	428,816.50	1.38	3,937.15	2.28
420,000	68389XAX3	ORACLE CORP 2.25% Due 10/08/2019	101.36	425,716.20	1.37	2,966.25	2.22
425,000	961214BK8	WESTPAC BANKING CORP 4.875% Due 11/19/2019	106.52	452,722.75	1.46	4,143.75	4.58
300,000	36962G6P4	GENERAL ELECTRIC CO 2.1% Due 12/11/2019	100.92	302,766.00	.97	875.00	2.08
420,000	053015AD5	AUTOMATIC DATA PROCESSNG 2.25% Due 09/15/2020	101.52	426,371.40	1.37	3,570.00	2.22
425,000	89114QBC1	TORONTO-DOMINION BANK 2.5% Due 12/14/2020	101.56	431,621.50	1.39	1,387.15	2.46
425,000	91159HHA1	US BANCORP 4.125% Due 05/24/2021	107.16	455,434.25	1.47	3,262.76	3.85
100,000	459058DY6	INTL BK RECON & DEVELOP 1.625% Due 02/10/2022	98.75	98,750.00	.32	771.88	1.65
Total Corporate Bonds				8,175,749.30	26.30	48,068.22	2.39
Mortgage Bonds							
66,854.24	3128HD2K4	FH 847078 2.757% Due 04/01/2029	101.80	68,058.68	.22	312.61	2.71
166,013.55	36225CZ82	G2 80766 2.25% Due 11/20/2033	103.13	171,205.96	.55	311.28	2.18
118,808.93	36225DAS3	G2 80916 2.125% Due 05/20/2034	103.79	123,307.04	.40	210.39	2.05
142,313.51	36225DDY7	G2 81018 2.125% Due 08/20/2034	104.18	148,262.22	.48	252.01	2.04
276,105.55	36225DKE3	G2 81192 2.25% Due 10/20/2034	103.21	284,968.54	.92	517.70	2.18
288,089.13	36225DLD4	G2 81223 2.375% Due 01/20/2035	103.16	297,202.54	.96	570.18	2.30

Dana Investment Advisors, Inc.
PORTFOLIO HOLDINGS



Report as of: 07/31/2017

Portfolio: 2198m - Racine County

Shares/ PAR	Identifier	Description	Price	Market Value	Pct. Assets	Income Accrued	Cur. Yield
140,908.07	31407PJH2	FN 836464 2.763% Due 10/01/2035	104.65	147,461.84	.47	324.44	2.64
231,646.42	31412V7B8	FN 936590 2.472% Due 04/01/2037	103.09	238,811.24	.77	477.19	2.40
386,383.41	31385XG92	FN 555624 2.892% Due 03/01/2038	106.17	410,230.99	1.32	931.18	2.72
102,420.89	36225E2F8	G2 82573 2.125% Due 07/20/2040	103.10	105,593.89	.34	181.37	2.06
472,701.68	31347AQP2	FH 840462 2.909% Due 01/01/2042	104.03	491,772.83	1.58	2,302.72	2.80
242,073.06	3138EHYX4	FN AL1625 3.513% Due 04/01/2042	104.17	252,172.35	.81	708.67	3.37
263,692.75	3138EH6J6	FN AL1772 3.409% Due 04/01/2042	105.11	277,166.92	.89	749.11	3.24
190,264.09	36179MDD9	G2 MA0100 2.5% Due 05/20/2042	103.11	196,173.69	.63	396.38	2.42
137,091.08	31300MXB4	FH 849674 2.486% Due 11/01/2042	104.83	143,710.11	.46	585.91	2.37
449,893.48	31347AFT6	FH 840178 3.11% Due 12/01/2042	104.31	469,301.88	1.51	2,350.24	2.98
231,774.53	31300MPF4	FH 849422 2.417% Due 02/01/2043	103.41	239,668.77	.77	965.66	2.34
310,600.99	3138EQDW9	FN AL7316 3.163% Due 02/01/2043	104.11	323,369.80	1.04	818.69	3.04
325,736.99	3138EK2Y0	FN AL3490 1.894% Due 05/01/2043	102.34	333,355.98	1.07	514.12	1.85
353,357.97	31347AFZ2	FH 840184 2.642% Due 06/01/2043	103.69	366,393.35	1.18	1,584.02	2.55
263,082.18	31347AJ88	FH 840287 2.515% Due 06/01/2043	103.58	272,508.41	.88	1,132.33	2.43
311,839.61	31347AJT2	FH 840274 2.896% Due 07/01/2043	103.75	323,530.48	1.04	1,568.74	2.79
274,675.55	3138XMRB8	FN AV9481 2.605% Due 07/01/2043	103.02	282,957.29	.91	596.27	2.53
134,075.41	36179NJU3	G2 MA1175 2.125% Due 07/20/2043	102.12	136,917.81	.44	237.43	2.08
304,000.49	3138ET2R6	FN AL8883 2.015% Due 07/01/2044	103.02	313,169.14	1.01	510.47	1.96
310,525.02	3138ERE71	FN AL9157 2.038% Due 07/01/2044	103.31	320,789.12	1.03	527.37	1.97
687,884.10	31347ATG9	FH 840551 2.464% Due 03/01/2045	102.84	707,431.70	2.28	2,862.81	2.40
298,327.50	3138EQEH1	FN AL7335 2.338% Due 04/01/2045	103.21	307,906.80	.99	581.24	2.27
Total Mortgage Bonds				7,753,399.37	24.94	23,080.53	2.50
Small Business Administration Bonds							
146,901.03	83164LDW8	SBA509117 3.325% Due 08/25/2022	102.71	150,880.43	.49	820.30	3.24
287,134.74	83164KNU3	SBA508503 3.325% Due 12/25/2024	104.16	299,068.92	.96	1,599.18	3.19
342,722.30	83164JER3	SBA507344 2.48% Due 05/25/2030	101.61	348,229.16	1.12	1,420.52	2.44
288,261.42	83164JNC6	SBA507587 2.5% Due 03/25/2031	102.17	294,516.98	.95	1,204.18	2.45
197,226.78	83164LEJ6	SBA509137 2.631% Due 05/25/2033	101.90	200,973.30	.65	866.68	2.58
248,213.41	83164LG70	SBA509222 2.825% Due 08/25/2037	105.06	260,780.45	.84	1,176.22	2.69
266,953.83	83164LMP3	SBA509366 2.5% Due 05/25/2038	102.58	273,843.11	.88	1,114.01	2.44
578,441.45	83164LSA0	SBA509513 2.5% Due 06/25/2039	103.46	598,465.36	1.93	2,413.63	2.42
547,725.56	83164LX63	SBA509701 2.25% Due 07/25/2040	102.43	561,042.96	1.80	2,056.82	2.20
Total Small Business Administration Bonds				2,987,800.67	9.61	12,671.54	2.54
Treasury Bonds							
800,000	912828PK0	US TREASURY N/B 2.25% Due 11/30/2017	100.40	803,187.50	2.58	3,032.61	2.24
690,000	912828NT3	US TREASURY N/B 2.625% Due 08/15/2020	103.27	712,586.72	2.29	8,305.73	2.54
600,000	912828RR3	US TREASURY N/B 2% Due 11/15/2021	101.09	606,562.50	1.95	2,510.87	1.98
690,000	912828M80	US TREASURY N/B 2% Due 11/30/2022	100.51	693,503.91	2.23	2,300.00	1.99
Total Treasury Bonds				2,815,840.63	9.06	16,149.21	2.20
Total Bonds				28,744,996.33	92.48	111,199.22	2.13
Total Portfolio				30,957,204.50			
Paydown Receivable				126,672.79			
Interest Accrued				111,199.22			
Dividends Accrued				0.00			
Total Portfolio with Accruals & Receivables				31,195,076.51			

Dana Investment Advisors, Inc. is an independent federally registered investment adviser providing equity and fixed income investment management services to a broad range of clients. All data is presented in U.S. Dollars. Portfolio Characteristics, Performance Report, Portfolio Holdings, and Sector Distributions reflect applicable investment holdings as of market close on the date indicated. Returns presented are exclusive of investment management and custodial fees, and net of transaction costs. Investment management fees would reduce the returns presented, for example: on a one-million dollar portfolio with an advisory fee of .75% earning a 10% return, the total compounded advisory fee over a five year period would be \$50,368. The resulting average annual return for the period would therefore be 9.17%. All returns were calculated on a time weighted total return basis. Performance does include the accrual of income and the reinvestment of dividends and interest received.

During various market cycles, the strategies discussed herein have demonstrated portfolio characteristics and returns that have been both more and less volatile than that of the comparable index. Indices shown were selected because they demonstrated a broad range of characteristics, some of these characteristics being deemed useful for limited comparison purposes only. Historical performance results for investment indices and/or categories have been provided for general comparison purposes only, and generally do not reflect the deduction of transaction and/or custodial charges, the deduction of an investment management fee, nor the impact of taxes, the incurrence of which would have the effect of decreasing historical performance results. It should not be assumed that your account holdings do or will correspond directly to any comparative indices.

While data contained herein was gathered from sources deemed reliable, the accuracy of the data presented cannot be guaranteed. Please remember that past performance may not be indicative of future results. Different types of investments involve varying degrees of risk, and there can be no assurance that the future performance of any specific investment or investment strategy made reference to directly or indirectly in this report, will be profitable, equal any corresponding indicated historical performance level(s), or will continue to be suitable for your portfolio. Due to various factors, including changing market conditions, the content of this report may no longer be reflective of current opinions, positions, investments or account allocations. Moreover, you should not assume that any discussion or information contained in this report serves as the receipt of, or as a substitute for, personalized investment advice from Dana Investment Advisors, Inc.

Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s). The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors, Inc. While the prices are obtained from sources we consider reliable, we cannot guarantee them.

Please remember to contact Dana Investment Advisors, Inc. at (800) 765-0157, or P.O. Box 1067 Brookfield, WI 53008 with any questions or if there are any changes in your personal financial situation or investment objectives for the purpose of reviewing, evaluating, and revising any previous recommendations or investment services. Please also advise Dana if you would like to impose, add, or modify any reasonable restrictions to your account. A copy of Dana's current Form ADV Brochure detailing a complete list of Dana's advisory services and fees continues to remain available for your review upon request.

Current List of Holdings: The market prices shown on these pages represent the last reported sale on the stated report date as to listed securities or the bid price in the case of over-the-counter quotations. Prices on bonds and some other investments are based on round lot price quotations and are for evaluation purposes only and may not represent actual market values. Bonds sold on an odd lot basis (less than \$1 million) may have a dollar price lower than the round lot quote. Where no regular market exists, prices shown are estimates by sources considered reliable by Dana Investment Advisors. While the prices are obtained from sources we consider reliable, we cannot guarantee them. Dana Investment Advisors is not a custodian. Clients should be receiving detailed statements from their custodian at least quarterly. While Dana Investment Advisors regularly reconciles to custodian information, we encourage clients to review their custodian statement(s).



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REQUEST FOR COUNTY BOARD ACTION

YEAR	<u>2017</u>	X	Resolution Request
			Ordinance Request
			Report Request

Requestor/Originator: Alexandra Tillmann - Finance Department

Committee/Individual Sponsoring: Finance and Human Resources Committee

Date Considered by Committee: 9/6/2017 Date of County Board Meeting to be Introduced: 9/12/2017

1st Reading: 1st & 2nd Reading: *

* Include a paragraph in the memo regarding why 1st & 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Authorize signors on behalf of Racine County with Johnson Bank

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

THIS FORM MUST BE FILLED OUT COMPLETELY PRIOR TO YOUR APPEARANCE BEFORE A COMMITTEE.

The Committee believes that this action furthers the following goals:

- Make Racine County the most accessible county in Wisconsin for business to grow, develop and create family supporting jobs.
- Develop a system that encourages employees, elected officials and citizens to suggest ideas for service enhancement and productivity improvements including a measurement of customer satisfaction.
- Foster an environment where intergovernmental cooperation is encouraged to produce better services and efficiencies.
- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.

REQUEST FOR COUNTY BOARD ACTION

YEAR <u>2017</u>	<input checked="" type="checkbox"/>	Resolution Request Ordinance Request Report Request
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Requestor/Originator: Kris Tapp - Finance Department

Committee/Individual Sponsoring: Finance and Human Resources Committee

Date Considered by Committee: 9/6/2017 Date of County Board Meeting to be Introduced: 9/12/2017

1st Reading: 1st & 2nd Reading: *

* Include a paragraph in the memo regarding why 1st & 2nd reading is required.

Signature of Committee Chairperson/Designee: _____

TITLE OF RESOLUTION/ORDINANCE/REPORT:

Revenue Transfer for the temporary housing of State of Wisconsin Department of Corrections inmates for the year of 2017 to cover the costs of utilities - water, sewer, electricity and natural gas

SUBJECT MATTER:

The attached memo describes in detail the nature of resolution /ordinance /report and any specific facts which you want included in resolution/ordinance/report must be attached.

Any request which requires the expenditure or transfer of funds must be accompanied by the specific amount being transferred and the account number from which these funds will be taken and to which they will be transferred.

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- Reduce or limit the growth of the tax levy as set forth in Resolution No. 2002-59S.
- To make Racine County a healthy, safe, clean, crime-free community and environment.

SEPT-6-17

FISCAL NOTE RESOLUTION NO:

EXHIBIT "A"

Fiscal Year: **2017**

Resolution 2017-24 authorized a contract between Racine County and the Wisconsin Department of Corrections for temporary housing of inmates at the Racine County Jail. That resolution set up the budget necessary for cots within the Jail for these inmates. It has been determined that there are additional costs that need to be covered for these inmates. The daily rate of 5.99 per inmate will cover the cost of water, sewer, electricity and natural gas. A revenue transfer will be done monthly between the WDOC Bed Rental account 11890000.304250 and LEC - Utilities expense account 15115110.400000

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

FOR	AGAINST



Kristine Tapp
Finance & Budget Manager
730 Wisconsin Avenue
Racine, WI 53403
262-636-3119
Kris.Tapp@racinecounty.com

Date: September 1, 2017

To: Racine County Finance & Human Resources Committee

From: Kris Tapp
Finance & Budget Manager

Re: Revenue Transfer for utilities for WDOC housing of Inmates

Resolution 2017-24 authorized the Racine County Sheriff's Office to enter into a contract with the Wisconsin Department of Corrections for temporary housing of inmates at the Racine County Jail. This resolution included budget for costs for housing of these inmates within the Racine County Jail.

It has been determined that a cost of 5.99 per day per inmate is necessary to cover the increased costs for utilities. The utilities covered are water, sewage, electricity and natural gas.

This request is to allow a monthly revenue transfer for 2017 from the Jail – WDOC Bed Rental account 11890000.304250 and LEC – Utilities expense – 15115110.400000.

RESOLUTION NO. 2017-24

RESOLUTION BY THE FINANCE AND HUMAN RESOURCES COMMITTEE AUTHORIZING A CONTRACT WITH THE WISCONSIN DEPARTMENT OF CORRECTIONS FOR TEMPORARY HOUSING WITH THE RACINE COUNTY JAIL, TRANSFER OF \$258,402.00 WITHIN THE JAIL 2017 BUDGET, TRANSFER OF \$11,376.00 FROM THE JAIL 2017 BUDGET TO THE SHERIFF'S 2017 BUDGET, TRANSFER OF \$10,566.00 FROM THE JAIL 2017 BUDGET TO THE JAIL COMMISSARY 2017 BUDGET, AND AUTHORIZING THE CREATION OF FIVE (5) CORRECTIONAL OFFICERS SUNSET POSITIONS AND PURCHASE OF EQUIPMENT

To the Honorable Members of the Racine County Board of Supervisors:

BE IT RESOLVED by the Racine County Board of Supervisors that a contract with Wisconsin Department of Corrections (DOC) to provide temporary inmate housing is authorized and approved pursuant to such terms and conditions as the Corporation Counsel and the Racine County Sheriff deem necessary and appropriate.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the transfer of funds, as set forth in the attached "Exhibit A", within the Jail 2017 budget, and from the Jail 2017 Budget to the Sheriff's 2017 Budget, and from the Jail 2017 Budget to the Jail Commissary 2017 Budget for additional supplies and Correctional Officers is authorized and approved.

BE IT FURTHER RESOLVED by the Racine County Board of Supervisors that the creation of five (5) Correctional Officer sunset positions in the Racine County Sheriff's Office that are conditioned upon the contract with the state is authorized and approved.

Respectfully submitted,

1st Reading _____

FINANCE AND HUMAN RESOURCES COMMITTEE

2nd Reading _____

BOARD ACTION
Adopted _____
For _____
Against _____
Absent _____

Q. A. Shakoor, II, Chairman

Robert Miller, Vice-Chairman

VOTE REQUIRED: 2/3 M.E.

Thomas Pringle, Secretary

Prepared by:
Corporation Counsel

Janet Bernberg

John A. Wisch

Donnie Snow

3 _____
4 Brett A. Nielsen

5 **The foregoing legislation adopted by the County Board of Supervisors of**
6 **Racine County, Wisconsin, is hereby:**

7 **Approved: _____**

8 **Vetoed: _____**

9
10 **Date: _____,**

11
12 _____
13 **Jonathan Delagrave, County Executive**

14
15
16 **INFORMATION ONLY**

17
18 **WHEREAS**, the Racine County Sheriff's Office requests to enter into a
19 Memorandum of Agreement ("Agreement) with the Wisconsin Department of Corrections
20 (DOC) which would allow the DOC to temporarily house up to 145 DOC inmates in the
21 Racine County Jail; and

22
23 **WHEREAS**, the 145 beds would consist of 130 beds for male inmates and 15 for
24 female inmates. The DOC indicates they are currently not in need of the female beds but
25 may have a need in the future; and

26
27 **WHEREAS**, the DOC would not displace any Racine County inmates, either by
28 having to move County inmates to another facility or house County inmates on the floor;
29 and

30
31 **WHEREAS**, the DOC would pay the County \$51.46 per day / per state inmate
32 housed in the Racine County Jail. Potential revenue, if all 145 beds are consistently filled,
33 would be up to \$2,723,521.00 per year; and

34
35 **WHEREAS**, for the Racine County Jail to accommodate the increase in jail
36 population, budget increases are necessary for additional mattresses, bedding, clothing,
37 cleaning and laundry supplies, and increased accounts for medical and food; and

38
39 **WHEREAS**, if the male inmate population goes over 630, the jail will need to open
40 an additional housing unit to accommodate the increase in population. Opening the
41 additional housing unit requires an additional five (5) Correctional Officer positions; and

42
43 **WHEREAS**, in addition to the hiring process, training new Correctional Officers
44 takes about eight (8) weeks so it is necessary to begin the hiring process now in
45 anticipation of the increased jail population from this agreement; and

46
47 **WHEREAS**, the agreement shall begin retroactive to May 1, 2017 and shall end on
48 April 30, 2018 with the option for automatic renewal.

EXHIBIT "A"

Fiscal Year:

2017

ACCOUNT NAME	ACCOUNT NUMBER	CURRENT BUDGET	CURRENT BALANCE	TRANSFER	BUDGET AFTER TRANSFER	BALANCE AFTER TRANSFER
JAIL						
DOC BED RENTAL	NEW ACCOUNT	0	0	(280,344)	(280,344)	(280,344)
	TOTAL SOURCES			(280,344)		
JAIL						
WAGES	11890000.401000	4,789,934	2,909,125	90,035	4,879,969	2,999,160
WORKERS COMP	11890000.402210	93,755	54,375	1,568	95,323	55,943
SOCIAL SECURITY	11890000.402220	412,197	244,939	6,887	419,084	251,826
RETIREMENT	11890000.402230	582,627	336,488	7,472	590,099	343,960
DISABILITY INS	11890000.402240	26,048	20,425	630	26,678	21,055
GROUP INSURANCE	11890000.402260	1,196,315	659,473	28,082	1,224,397	687,555
LIFE INSURANCE	11890000.402270	23,848	14,942	448	24,296	15,390
CLOTHING ALLOW CO'S	11890000.402300	12,000	(300)	3,200	15,200	2,900
PUBLIC LIABILITY	11890000.436000	96,073	48,654	1,801	97,874	50,455
MEDICAL SERVICES	11890000.407000	1,477,644	767,224	7,917	1,485,561	775,141
PS - FOOD/DIETARY SERVICE	11890000.409045	934,520	536,421	77,805	1,012,325	614,226
TRAINING	11890000.438500	5,100	3,421	4,780	9,880	8,201
SUPPLIES - LAUNDRY	11890000.446015	340,000	31,396	3,484	343,484	34,880
SUPPLIES - CLEANING	11890000.446065	31,000	19,257	3,176	34,176	22,433
LINEN & BEDDING	11890000.449500	25,000	18,005	2,561	27,561	20,566
EQUIP - TASERS	11890000.451040	0	0	4,018	4,018	4,018
MAT - TASER REPLCMT CART	11890000.453095	0	0	488	488	488
EQUIP - PORT RADIOS	11890000.451025	0	0	4,018	4,018	4,018
EQUIP - BODY CAMERAS	11890000.451180	0	0	7,778	7,778	7,778
MATERIALS	11890000.453000	22,000	13,891	2,254	24,254	16,145
JAIL COMMISSARY						
ADMISSION KITS	11890191.445000	23,000	8,021	7,800	30,800	15,821
MATERIAL - CLOTHING	11890191.453035	27,000	14,771	2,766	29,766	17,537
SHERIFFS OFFICE						
SS - BODY CAMERA	11800000.428505	123,552	113,640	11,376	134,928	125,016
	TOTAL USES			280,344		
				0		

ANY FUNDS RECEIVED IN EXCESS OF THE \$280,344 WILL BE PUT INTO A RESERVE FOR FUTURE BUDGETS FOR THE SHERIFF'S OFFICE.

Equipment :

JUNE-21-17

FISCAL NOTE RESOLUTION NO: 2017-24

EXHIBIT "A"

Fiscal Year: **2017**

ACCOUNT NAME	ACCOUNT NUMBER	CURRENT BUDGET	CURRENT BALANCE	TRANSFER	BUDGET AFTER TRANSFER	BALANCE AFTER TRANSFER
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DESCRIPTION :	QTY	UNIT PRICE	TOTAL PRICE
PORTABLE RADIOS	3	2,927.000	8,781
TASERS	3	1,339.420	4,018
BODY CAMERAS	12	399	4,788
BODY CAMERAS - DOCKING ST	2	1,495	2,990
Total for items to be purchased:			<u>20,577</u>

FINANCE COMMITTEE RECOMMENDATION

After reviewing the Resolution/Ordinance and fiscal information supplied, your Finance Committee recommends FOR--AGAINST adoption.

REASONS

FOR

AGAINST

JUNE-21-17

FISCAL NOTE RESOLUTION NO: 2017-24

EXHIBIT "B"

Fiscal Year:

2017

FTE	POSITION	GRADE	WAGES	FRINGES	CONTRACT	TOTAL
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THE CREATION OF THE POSITION IS ESTIMATED JULY 1, 2017

JAIL

5.000	Correctional Officers		90,035	45,087		135,122
<u>5.000</u>	Total for DEPARTMENT NAME		<u>90,035</u>	<u>45,087</u>		<u>135,122</u>

These Positions will be sunset positions. If the funding does not continue the positions will be eliminated.