



HUMAN RESOURCES POLICY MANUAL

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1. General Provisions, Applicability, and Objectives

A. Authority

This Human Resources Policy Manual is promulgated by the Racine County Human Resources Director, at the direction of the County Executive, under the authority of Chapter 15 of the Racine County Code of Ordinances. It has been presented to the Racine County Board Finance & Human Resources Committee. The current Policy Manual can be found on the County website.

B. Applicability

This manual shall govern personnel administration for all employees and departments of the County of Racine except:

- Members of the Racine County Board of Supervisors.
- The County Executive and other elected county officials, except as to their supervision of county employees covered by this manual.
- Employees under Civil Service to the extent that the policies are inconsistent with the Racine County Code of Ordinances, Chapter 17.
- Court-appointed employees to the extent that any term or condition of their judicial appointment is inconsistent with these provisions.

This manual applies to all employees not covered by collective bargaining agreements and to employees so covered when their specific collective bargaining agreements do not apply to the contrary, or when conflicting provisions of those agreements are no longer enforceable under Wisconsin law.

C. Purpose and Effect

This manual is designed to promote consistent, uniform personnel administration throughout Racine County and to help employees familiarize themselves with matters important to them, their jobs and their careers. This manual is not, nor is it intended to be, a contract of employment or a promise of employment.

The human resources policies, procedures, and practices of Racine County are reviewed and revised periodically. The county reserves the right to modify, revoke, suspend, terminate or change any or all of such policies, procedures, and practices, in whole or in part, at any time, consistent with applicable law. The final interpretation and implementation of any of the policies in this manual are reserved solely for management. All provisions of this manual are to be applied in a manner consistent with applicable federal and state laws.

Some departments may have special characteristics that require special application of these provisions and may develop work rules within the parameters of this manual. However, no person has authority to make any agreement for employment for any specified period of time or to make any agreement on behalf of Racine County that is contrary to the provisions of this manual.

The provisions of this manual supersede all previous Racine County Human Resources Policy Manuals. When there is a conflict regarding the present manual and any other past policies, procedures, or practices related to personnel matters, unless stated

herein to the contrary, or unless rights of individuals have already vested, the present manual will prevail.

D. Fundamentals of Racine County Human Resources Policy

1. Affirmative Action Statement

Racine County is an equal employment opportunity employer. It is the policy of Racine County to comply with the Racine County Affirmative Action Program and the requirements of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, the Rehabilitation Act, the Age Discrimination in Employment Act, the Fair Labor Standards Act, the Equal Pay Act, and the Wisconsin Fair Employment Act now or as hereinafter amended.

It is the policy and commitment of the Racine County Board of Supervisors that all employment policies and practices shall be non-discriminatory with regard to race, color, political affiliation, religion, national origin, sex, age, handicap, or sexual orientation (except where age, sex, or physical requirements constitute a bona fide occupational qualification) or other protected category.

Detailed information on this policy is contained in the Racine County Affirmative Action Plan. Copies are available on the Racine County website or the Racine County website, or by contacting the Racine County Affirmative Action Officer or the County Clerk.

2. At-Will Employment

Racine County employees, with the exception of employees covered under the Deputy Sheriffs' Association and Command Staff Association collective bargaining agreements, are considered at-will employees. At-will employees may resign at any time; they may also be discharged at any time for any reason or no reason, provided that discharge does not violate the law.

3. Introductory Period

A new employee hired into a regular position shall be subject to a six (6) month introductory period. Completion of the introductory period does not guarantee continued employment for any specified period, nor does it modify or change the employee's at-will status or require an employee be discharged only for cause. During this time period, employees may not utilize the grievance procedure.

4. Employee Orientation

On the first day of employment, the new employee will meet with the Human Resources Department for these purposes:

- Completion of payroll, tax withholding, and other necessary documents;
- Verification of employee eligibility;
- Explanation of benefits to which an employee is entitled;
- Explanation of basic policies, i.e. EEO, Harassment-Free Workplace, etc.
- Presentation of the policy manual.

The employee's supervisor is responsible for familiarizing the new employee with the assigned workspace and equipment and for serving as the new employee's source of

information about his/her job responsibilities and work environment. This includes reviewing the information outlined in the Safety Checklist.

2. Hours of Work and Overtime

A. Hours of Work

The regular work week for most full-time employees runs Monday through Friday and consists of 40 hours, excluding meal breaks. Some operations that need to be staffed continuously can, and do, schedule work weeks that do not run Monday through Friday. At the discretion of the individual department, managers may offer flextime options to meet operational needs, while being sensitive to family or other personal needs. Under flextime arrangements, full-time employees are still required to work 40 hours a week, but start and quit times can vary.*

B. Payroll

Paydays for all county employees are on alternate Fridays, covering the two-week payroll period ending the preceding Friday (or, for Ridgewood Care Center, the preceding Saturday). County employees are required to arrange for direct deposit of their pay to accounts in financial institutions.

C. Work Schedules

Work schedules for employees may vary throughout county government. Scheduled hours of work are set by the individual departments. Selection priority, if any, will be at the department head's discretion. Supervisors will advise employees of their individual work schedules. Staffing needs and operational demands may necessitate variations in starting and ending times, in days of the week worked, and in the total hours that may be scheduled each day and week.

D. Overtime Calculation and Compensation

1. Employee Status

Exempt employee: An employee who is designated by the Fair Labor Standards Act (FLSA) as being exempt from its minimum wage and overtime requirements.

Non-exempt employee: An employee who is subject to the wage and hour laws established by the FLSA. Employees designated as such are subject to minimum wage and must receive overtime pay at the rate of one and one-half times their regular rate of pay for each hour worked above 40 hours worked per week.

2. Overtime Compensation-General Rules

Non-exempt employees shall receive time and one half their regular rate of pay for all hours worked in excess of forty hours worked per week.** Compensatory time, at the rate of one and one-half hours per overtime hour worked, may be taken in lieu of pay,

* Supervisors must notify Payroll of flextime schedules, to ensure compliance with the Federal Fair Labor Standards Act (FLSA).

** In the case of corrections officers, detention workers, and dispatch technicians who work 5-2/5-3 or similar schedules, department heads may deem such persons to have worked 40 hours in a week in which their regular schedules call for them to work only four days.

but the granting of such time shall be subject to the efficient operation of the department. Benefit time off such as vacation, holiday, casual, or short-term disability does not count as hours worked. All overtime must be pre-approved by one's supervisor or the employee will be subject to discipline.

3. Overtime Compensation-Special Rules

a. Non-Exempt Public Works & Development Services Employees

Non-exempt Public Works & Development Services employees who are working snow removal operations will receive time-and-a-half overtime pay (or compensatory time) for hours worked in excess of eight hours per day, or in excess of 40 hours per week, or time otherwise worked outside of the regular assigned hours.

b. Part-Time, Non-Exempt Ridgewood Care Center Employees

Ridgewood Care Center may authorize time-and-a-half overtime pay for part-time, non-exempt employees who work more than eight hours in a day in order to avoid critical staffing shortages. "Critical staffing shortages" are unexpected shortfalls in staffing levels so substantial that they could affect Ridgewood's ability to maintain its high level of resident care. Ridgewood Care Center management shall remain conversant with practices of other health care facilities and skilled nursing facilities in the area, to ensure that time-and-a-half overtime pay for part-time employees in such situations is warranted by market conditions.

Full-time Ridgewood Care Center non-exempt employees are eligible for time-and-a-half overtime pay in accordance with the general rules set forth in paragraph C.2, above.

4. Compensatory Time Bank and Payout

a. Compensatory time bank. Employees may bank compensatory time to a maximum of eighty (80) hours. Accumulation above eighty hours due to operational needs is at the discretion of the department head. Compensatory time above the authorized accumulation will be paid out.

b. Compensatory time payout. Regardless of the reason for compensatory time payout, payout will be made as part of a regular pay deposit, not as a separate deposit or check.

Compensatory time will be charged to the department under which it was earned. When an employee has earned compensatory time and separates or transfers out of that department, the compensatory time must be resolved prior to the employee leaving the department. It is not the new department's responsibility to accept the financial implications of another department's compensatory time earned while in the previous position.

3. Employee Insurance Benefits

A. Eligibility and Enrollment Process-General Rules

1. Enrollment

County employees may generally enroll in benefits for which they are eligible during employee orientation at the time of hire or, if they move into a benefit-eligible position, at the time of assuming the new position.

In addition, Racine County holds an annual Open Enrollment period for two (2) weeks every November. Benefit-eligible employees may make changes to current benefit plan elections or may enroll in plans not chosen during benefit orientation. Enrollment or changes may be made to the following benefit plans; Health, Dental, and Life. Changes may be made to Deferred Compensation at any time. Annual elections must be made to the Health and Dependent Care Flexible Spending Accounts. Any elections or changes made during the Open Enrollment period will become effective on January 1 of the following year.

B. Part-time and Limited-Term Employees

1. Regular Part-time Employees

The classification “regular part-time employee” shall include all county employees who are scheduled to work less than 40 hours per week on a regular basis. (See Racine County Code of Ordinances, Section 15-81.)

All regular part-time county employees who are scheduled to work at least thirty-two (32) hours per week and are licensed health care professionals (LPN's and R.N.'s) may receive the same fringe benefits received by all full-time Racine County employees, upon approval of the County Board Finance and Human Resources Committee, except that certain fringe benefits such as vacation, disability leave and holiday pay shall be pro-rated.

Except as noted in the preceding paragraph, regular part-time employees who are scheduled to work twenty (20) hours or more per week, but less than forty (40) hours per week on a regular basis, are ineligible to participate in county group health or dental coverage. Other benefits such as vacation and holiday pay shall be pro-rated. In addition, such employees—but not their dependents—are eligible to use the County/City Health Center.

Employees who are scheduled to work less than twenty (20) hours per week shall receive no fringe benefits, except that employees who are eligible to participate in the Wisconsin Retirement System (WRS) will be enrolled therein. In addition, such employees—but not their dependents—are eligible to use the County/City Health Center.

2. Limited Term Employees

Employees appointed to limited term positions for an anticipated duration of less than six (6) months will receive no fringe benefits, except that employees who are eligible to participate in the Wisconsin Retirement System (WRS) will be enrolled therein. In

addition, such employees—but not their dependents—are eligible to use the County/City Health Center.

Full-time and part-time employees appointed to limited term positions for an anticipated duration of six (6) months or more will be eligible for fringe benefits as listed under the Employee Benefits section dependent upon how benefits are specified in the resolution creating the position, but will in no case be eligible for long-term disability coverage.

C. Dental Insurance

1. Available Coverage

Covered employees are eligible for enrollment in the Basic or the Premium Dental Insurance Plans. Covered employees include regular full-time employees and those part-time employees who are licensed health care professionals (RN and LPN's) scheduled to work thirty-two (32) hours or more per week. Newly hired employees will receive the enrollment materials during the employee's benefit orientation. If the employee fails to submit an enrollment form during the initial group enrollment period or if an employee fails to enroll a newly eligible dependent, the application is considered a late enrollment. Late enrollments are not accepted and the employee will be required to wait until the annual Open Enrollment period. Applications for change of coverage must be made within thirty (30) calendar days to the Human Resources Department and the effective date of the change will be the qualifying event date. If the application is not made within the time limit, the employee must wait for Open Enrollment in November to enroll.

The benefits and coverage available under the Basic Dental Insurance Plan and the Premium Plan are listed in plan documents and are available on the County website. Coverage becomes effective on the first of the month following thirty (30) calendar days of employment or receiving an insurance benefit eligible position. There is no cost to the employee for the Basic Plan. If enrolled in the Premium Plan, the employee pays the difference in cost between the Basic Dental Plan and the Premium Plan for single or family coverage. Deductions will be taken from the first and second paychecks of the month.

2. COBRA Eligibility

Employees and/or their spouses and dependents may be eligible for continuation of group dental insurance coverage for a limited period of time (up to 18 months) under the provisions of the Consolidated Omnibus Budget Reconciliation Act (COBRA). In such situations, the eligible persons must pay 100% of the cost of coverage. Continuation under COBRA is available for:

- An employee who is no longer eligible for county payment of group dental insurance coverage due to separation from employment (but not discharge for gross misconduct), reduction in work hours, layoff, disability, entry into active military service, or retirement;
- An employee's spouse and/or dependents in the event of a legal separation or divorce or loss of dependent status by the employee's child or children.

Refer to the Dental Plan document for specific information on the rights of an employee/spouse/dependent under COBRA, including eligibility, length of coverage,

notification, election periods, payment of premiums, and termination of group dental coverage. That document can be obtained from the Human Resources Department or on the County website.

D. Health Insurance

1. Available Coverage

Benefit-eligible employees may participate in the county’s group health insurance program, which includes vision and prescription drug coverage. Specific benefit and coverage provisions, as well as employee rights and obligations are listed in the plan documents which are available on the County website. The following summarizes principal features of the health plan available to active county employees.

	<u>PLAN 8</u>
In Network	
Annual Individual Deductible	\$400
Annual Family Deductible	\$800
Annual Individual Out of Pocket Maximum	\$2000
Annual Family Out of Pocket Maximum	\$4000
Network Coverage	80%
Out of Network	
Annual Individual Deductible	\$800
Annual Family Deductible	\$1600
Annual Individual Out of Pocket Maximum	\$3000
Annual Family Out of Pocket Maximum	\$6000
Out of Network Coverage	60%
<u>Prescription Drug Coverage at Retail*</u>	
Generic	<u>PLAN 8</u> 20% of cost, Min \$5, Max \$25
Preferred Brand	20% of cost, Min \$30, Max \$75
Non Preferred Brand	20% of cost, Min \$55, Max \$100

*Retail prescriptions are limited to a one month supply. Prescription drug coverage is available by mail. A three (3) month supply through the mail is available for the cost of two (2) monthly co-pays at retail, subject to two (2) times the monthly minimums and maximums.

Covered employees include regular full-time employees and part-time licensed health care professionals scheduled to work thirty-two (32) hours or more per week. Newly hired employees may choose to enroll in the county’s group health insurance plan within thirty (30) calendar days from their date of hire. Coverage becomes effective on the first of the month following thirty (30) calendar days of employment or receiving an insurance benefit eligible position.

For covered employees, the county will pay eighty five percent (85%) of the cost of the single or family plan and the employees will pay fifteen percent (15%) of the cost of the single or family plan. Deductions for the monthly premium will be taken from the first and second paychecks of the month. Requests for changes in coverage must be submitted on the designated form and received in the Human Resources Department within thirty (30) calendar days of the date of the event. Applications received more than 30 calendar days after the date of the event will become effective on the first of the month following receipt in the Human Resources Department.

2. COBRA Eligibility

Employees and/or their spouses and dependents may be eligible for continuation of group health insurance coverage for a limited period of time (up to 18 months) under the provisions of the Consolidated Omnibus Budget Reconciliation Act (COBRA). In such situations, the eligible persons must pay 100% of the cost of coverage. Continuation under COBRA is available for:

- An employee who is no longer eligible for county payment of group health insurance coverage due to separation from employment (but not discharge for gross misconduct), reduction in work hours, layoff, disability, entry into active military service, or retirement;
- An employee's spouse and/or dependents in the event of a legal separation or divorce or loss of dependent status by the employee's child or children.

Refer to the Health Plan document for specific information on the rights of an employee/spouse/dependent under COBRA, including eligibility, length of coverage, notification, election periods, payment of premiums, and termination of group health coverage. That document can be obtained from the Human Resources Department or on the County website. Any changes made to the plan's terms that apply to similarly situated active employees and their families will also apply to plans under COBRA.

3. Coverage upon Death of an Active Employee

In the event of the death, on and after January 1, 2012, of an active employee enrolled in the county's health insurance program at the time of death, health insurance coverage will terminate upon the end of the month of the employee's death. The surviving spouse and/or qualifying dependents of said employee, as defined in the health insurance plan document, may elect, within sixty (60) days of said death, to continue to receive family or single health insurance coverage. If the spouse and/or dependents elect to continue to receive health insurance coverage, the plan available to the eligible survivor(s) and the premium share charged to the eligible survivor(s) shall be the same as then available to active employees and shall be subject to the same plan and premium share changes over time as apply to active employees.

Qualifying dependent eligibility shall be in accordance with the conditions set forth in the health insurance plan. For surviving spouse and dependent coverage, see Appendix C. This provision does not change the existing rights or benefits of the surviving spouses or other dependents of active employees who died on or before December 31, 2011.

4. Coverage in the Event of Disability Retirement

Employees hired prior to November 1, 2003 who are required to retire due to a disability are eligible to continue health insurance coverage by paying the active premium share.

When the employee reaches the minimum retirement age of 50 or 55 as defined by WRS, the premium share shall be based upon years of service (see Section 6,C,2). Employees hired on or after November 1, 2003 who are required to retire due to a disability may elect to continue coverage under the county's group health plan under the Consolidated Omnibus Budget Reconciliation Act (COBRA) by paying the full monthly premium. If WRS disability approval has not been received prior to retirement, the employee will pay the COBRA premium until WRS disability approval.

E. Life Insurance

1. Available Coverage

Regular full-time employees are insured for an amount equal to their annual salary, rounded to the nearest \$1,000. The amount of insurance reflects the employee's annual salary in effect as of January 1 of each year. Racine County pays the full premium amount for regular full-time employees and part-time registered nurses and LPN's scheduled to work 32 hours per week. A beneficiary must be named for this coverage.

These employees are also eligible for enrollment in the optional and dependent life insurance plans, so long as they are enrolled in the basic life plan. Employees pay the full premium by payroll deduction for optional and dependent life.

2. Optional Coverage

Optional life coverage is available in various increments. Employees must complete evidence of insurability during open enrollment when applying for or changing this benefit.

For the purpose of the dependent life insurance, a dependent is defined as a spouse, and/or unmarried children including step-children, legally adopted children and children for whom the employee has legal guardianship. A child is covered after through the end of the calendar month he/she turns age twenty-six (26). This plan provides \$10,000 life insurance coverage for the employee's spouse and \$2,000 coverage for each eligible dependent.

3. Enrollment

A newly eligible employee must enroll no later than thirty (30) calendar days from the date of his/her hire or employment status change resulting in eligibility. Coverage becomes effective the first of the month following thirty (30) calendar days of employment or receiving a benefit-eligible position, provided that the required paperwork is submitted by the enrollment deadline. An employee without spouse and/or eligible dependent may enroll in the plan upon marriage, birth, adoption or legal guardianship of dependents. Under these circumstances, the employee must submit the application to the Human Resources Department within thirty (30) calendar days of the marriage, birth, adoption or legal guardianship of dependents. Coverage becomes effective as of the date of marriage, birth, adoption or legal guardianship.

Employees may increase their coverage during Open Enrollment. Employees can reduce their optional coverage at any time during the year by notifying the Human Resources Department in writing, using the Benefit Change form. Reduction of coverage (and change in premium deduction) becomes effective on the first of the next

month following notification if written notice is received prior to the deadline date for the second payroll period of the month.

F. Long-Term Disability Insurance

1. Long-term Disability Eligibility

Covered employees (regular full-time employees who are scheduled to work at least 32 hours per week) are eligible for long-term disability insurance. Coverage becomes effective after six (6) months of continuous employment in a benefit-eligible position and upon completion of the elimination period. For current employees promoted into a benefit-eligible classification, coverage becomes effective after six (6) months of continuous employment in the benefit-eligible position and upon completion of the elimination period from the benefit eligibility date. The elimination period is 180 days of continuous employment subject to the conditions of short-term disability (180 days). Racine County pays the full premium amount for covered employees.

2. Benefits Payable

In the event of a total disability due to injury or illness, the long-term disability plan pays 60% of covered salary to a maximum monthly payment of \$6000.00. The amount of the monthly payment is based on the employee's regular monthly salary (base monthly salary overtime) in effect on the date the disability begins. Benefits payable to employees may be reduced by Worker's Compensation, Wisconsin Retirement Annuity or Disability payments, Social Security or other specified income. While an employee is on long-term disability, vacation, casual days and floating holiday do not accrue. Also, contributions are not made to Wisconsin Retirement System (WRS) for payments made by Lincoln Financial. The employee is required to supplement long-term disability with any other pay unless on WFMLA.

3. Requirements

Employment will be terminated if long-term disability ceases or is denied, but the employee is unable to return to work, with or without reasonable accommodation. When an employee is approved for long-term disability benefits, his/her employment may be terminated and the position will be filled based upon the operational needs of the department in compliance with State and Federal law.

Upon the expiration of the employee's short-term disability benefits, the employee will be converted to long-term disability at the discretion of the carrier. If the carrier approves the claim, payments will begin after satisfying the appropriate elimination period.

Long-term disability will run concurrently with Federal Family & Medical Leave Act (FMLA) and Wisconsin Family & Medical Leave Act (WFMLA), when applicable.

G. Short-Term Disability

1. Short-term Disability Eligibility

Short-term disability is a partial income continuation program for an employee's own medical condition. Employees become eligible for short-term disability benefits after completion of six (6) months of service in a benefit-eligible position and upon successful completion of the introductory period.

An eligible employee is defined as a regular employee who:

- has a position that is entitled to paid leave time benefits, and
- has successfully completed the introductory period for a benefit-eligible position, including active and continuous work throughout the six (6) month introductory period, for the requisite number of hours (for a full-time employee, 1,040 hours; for a part-time employee, 50% of the annual hours for his/her position).

After a 12 day elimination period, eligible employees may receive short-term disability coverage for a period of up to 13 weeks. (Paid holidays shall not be counted for purposes of establishing the elimination period.) All short-term disability coverage will be at 60% of regular pay.

2. Procedure

The procedural requirements for receiving short-term disability are:

- 1) The employee must notify his/her immediate supervisor at least one (1) hour prior to the start of the shift of his/her inability to report to work and must continue to follow the call-in procedure until short-term disability or FMLA is approved.
- 2) Employee must call Lincoln Financial for **all** absences of 12 or more consecutive days. Failure to file a claim with Lincoln Financial can result in denial of Short Term Disability pay and discipline up to and including discharge. FMLA forms must be obtained from FMLA Source.
- 3) During the 12-day elimination period, exempt employees may use sick time. Non-exempt employees may use casual, banked sick, floating holiday, vacation or compensatory time.
- 4) Employees are responsible for timely requests of short-term disability. Employees will be required to use their own paid benefit time until the short-term disability is approved by Lincoln Financial.
- 5) If the employee complies with reporting and application requirements, including paragraphs 2 and 4, above, short-term disability will begin on day 13 at 60% pay, whether for an illness, an injury or an accident.
- 6) Short-term disability will run concurrently with FMLA and WFMLA where applicable.
- 7) Unless his/her disability is covered by WFMLA, the employee is required to supplement the 60% pay with his/her own available paid time in this order: casual, banked sick, floating holiday, vacation and compensatory time.
- 8) An employee receiving short-term disability must be in compliance with Lincoln Financial case management and must follow treating physician orders. Non-

compliance will result in discontinuation of short-term disability benefits and may result in discipline up to and including termination.

- 9) Short-term disability will not be paid if the employee is working another job or is attending school during the disability period, unless such work or school attendance is reviewed by Lincoln Financial and is determined to be appropriate.
- 10) A thirty (30) calendar day notice is required for all elective or non-urgent procedures/surgeries. Notice of less than thirty (30) calendar days will result in nonpayment of short-term disability until the notice period is reached.
- 11) If an employee is allowed to return to a reduced schedule, short-term disability will be paid for the hours not worked, for up to 13 weeks from the beginning of the short-term disability period.
- 12) Follow-up appointments (including but not limited to therapy) are not to be scheduled during work hours. Assistance will be provided by Lincoln Financial to facilitate this scheduling if necessary.
- 13) Contributions are not made to the Wisconsin Retirement system (WRS) for long-term disability insurance payments.

3. Recurrent Disability

Recurrent Disability means a disability caused by an injury or sickness which is the same as, or related to, the cause of a prior disability for which weekly benefits were payable.

1. A recurrent disability will be treated as a new period of disability, if an employee:
 - a. Has returned to his/her own occupation; and
 - b. Has worked on a full-time basis for two consecutive weeks or more.A new day benefits begin and new maximum benefit period will apply
2. A recurrent disability will be treated as part of the prior disability, if an employee:
 - a. Has returned to his/her own occupation; and
 - b. Has worked on a full-time basis, for less than two consecutive weeks

The same day benefits begin and same maximum benefit period will apply to the recurrent disability as to the prior disability.

To qualify for a weekly benefit for a recurrent disability, an employee must earn less than the percentage of predisability income specified in the partial disability benefit section. Benefit payments will be subject to all other terms of the policy that applied to the prior disability.

This recurrent disability provision will cease to apply when you become eligible for coverage under any other group short-term disability policy.

H. Unemployment Insurance

The Wisconsin Unemployment Insurance law covers employment by Racine County. Under that law, employees who are totally or partially unemployed may apply for limited financial allowance for a specified period of time during which they are seeking reemployment.

I. Worker's Compensation

1. Worker's Compensation Requirements

The provisions of the Worker's Compensation Act, Chapter 102 of the Wisconsin Statutes, cover all employees of Racine County. Any employee who is disabled as a result of a job-related injury or illness is eligible to receive certain benefits.

An employee who is injured on the job must report the injury immediately to his/her supervisor. If the first line supervisor is not immediately available, the injury is reported to the next person in charge. The injured employee will complete an "Employee Injury Report" and return it to the department head or supervisor. The department head or a designated employee investigates the injury, completes "Supervisor's Report of Work Injury or Illness" and forwards this form and the "Employee Injury Report" to the Human Resources Department within twenty-four (24) hours of the event. If for some reason, an employee is unable to report an injury to his/her supervisor, the department head or designated supervisor must complete both forms as soon as he/she is aware of the injury. The forms are available from the Human Resources Department or on the County website.

The injured employee must cooperate with any federal or state officer conducting an inspection if the officer inquires about conditions at the injured employee's job site. State law requires that the Department of Workforce Development be notified within eight (8) hours when an incident results in the death of an employee. In these cases, the "Supervisor's Report of Work Injury or Illness" form must be completed immediately and hand-carried to the Human Resources Department. During times the Human Resources Department is closed, the supervisor needs to fax or email this form immediately to the Employee Benefits Manager when the department is open.

2. Coordination of Worker's Compensation with Disability and FMLA

Employees will be required to use their own paid benefit time until the worker's compensation claim is approved by the Worker's Compensation plan administrator or insurance carrier. If the injury is determined to be compensable under the Worker's Compensation program, payroll adjustments will be made. A covered employees' Workers' Compensation payment or lost time will be automatically supplemented up to 100% by Racine County. An employee receiving Worker's Compensation must be in compliance with case management and follow treating physician orders. Non-compliance will result in discontinuation of benefits. Family Medical Leave will run concurrently with Worker's Compensation leave as allowed under the law.

3. Subrogation

If a third party or its insurer may be liable for any losses on which wages and/or benefits have been paid, Racine County is subrogated to all rights of its employee to recover for those payments from any responsible person or entity and shall be entitled to be repaid first and shall have a lien on any recovery by the employee from the third party or its insurer.

4. Worker's Compensation for Ridgewood Care Center Employees

Ridgewood Care Center employees are covered under a separate Worker's Compensation insurance policy. Forms should be distributed and returned within Ridgewood Care Center.

4. Employee Non- Insurance Benefits

A. Wisconsin Retirement System (WRS)

The contributions to the WRS are based on a percentage of an employee's eligible earnings. Short-term and Long-term disability payments are not considered eligible earnings. The contributions are made up of two parts: an employee's share and an employer's share. The county pays the employer's share. By law, the county may not pay the employee's share, except as required under a collective bargaining agreement with certain public safety employees. For all others, the employee pays the employee's share, which is done through a pretax payroll deduction.

B. Deferred Compensation Plan

Racine County offers a deferred compensation plan to regular full-time employees. This is a voluntary program under which employees may defer the receipt of a portion of their current income through a payroll deduction. This action defers the payment of income taxes on the amount deferred until withdrawal of the funds during retirement, termination of employment or an unforeseen financial emergency. Social Security and Medicare taxes are not deferred. There are plan limits on the amount of compensation that may be deferred.

Employees may enroll in the Deferred Compensation Plan at any time. A representative of the Plan Administrator will be available by appointment at various locations and times during the year to answer employee questions. Deferrals can start or increase no earlier than the month following the enrollment or change. Participants may change the amount of compensation deferred, not to exceed one change per month.

C. Employee Assistance Program

Racine County recognizes that some personal problems can be difficult to resolve without outside assistance. To help employees and their family members cope with a range of personal matters, Racine County has instituted an Employee Assistance Program (EAP). EAP services are provided through Employee Connect.

Participation in the EAP by an employee or family member does not jeopardize the employee's job security or career opportunities. However, employees who participate are not exempt from meeting their performance requirements. All information relating to an employee's or family members EAP participation is strictly confidential. Only the EAP provider maintains EAP records. The EAP provider does not release specific information about an employee's or family member's use of EAP services without written consent.

All active employees and their family members are eligible for EAP services. EAP counselors provide assistance with problems such as depression, drug and alcohol abuse, job-related stress, and marital/family problems. If the EAP counselor recommends additional services or treatment, the employee or family member is referred to an appropriate professional. Employees are responsible for paying the cost of additional counseling or health care services that may not be covered by their health

insurance. Appointments with EAP counselors or referral to another health care provider are handled as any other absence. Extended absences for the employee's own medical condition may be considered short-term disability, subject to those provisions.

For additional information, employees may contact Employee Connect directly or the Human Resources Department.

D. Flexible Spending Accounts

1. Policy

Racine County permits eligible regular full-time employees to contribute to two flexible spending accounts: Health Care Flexible Spending Account and Dependent Care Flexible Spending Account. Each is an optional employee benefit plan authorized under the Internal Revenue Code to help employees pay qualified expenses.

Racine County makes no contribution to either plan on behalf of the employee; all funds in the plans are contributed by the employee, on a pre-tax basis through payroll deduction. IRS regulations limit the amounts that may be contributed to the accounts. See your tax accountant for specific information.

2. Health Care Flexible Spending Account

This is an optional employee benefit plan authorized under Section 125 of the Internal Revenue Code to help employees pay qualified health care expenses. The plan year begins on January 1 and continues through December 31 of each calendar year. Any money left in the account will be forfeited unless a reimbursement is requested by March 31 of the subsequent calendar year. The county's third-party administrator (currently United Health Care) will administer payment of all claims. To obtain reimbursements, the employee must submit a completed "Health Care Flexible Spending Account Claim" form, which is available in the Human Resources Department or on the County website. The claim form, together with proof of payment from the provider, is mailed to United Health Care. Employees should retain copies.

Racine County employees eligible for health insurance coverage are qualified to participate in the plan. Newly hired employees may enroll by submitting an enrollment form to the Human Resources Department within thirty (30) calendar days from their date of hire. Participation becomes effective the first of the month following thirty (30) days of employment.

3. Dependent Care Flexible Spending Account

The Dependent Care Flexible Spending Account is an employee benefit plan authorized under Sections 125 and 129 of the Internal Revenue Code. The plan allows employees to pay qualified child and elder care expenses, on a pre-tax basis through payroll deduction. IRS regulations limit the amount that may be contributed to the account, based on the employee's marital and tax filing status. See your tax accountant for specific information.

The plan year begins on January 1 and continues through December 31 of each year. Eligible expenses will be reimbursed after an employee has accumulated sufficient

funds in his/her account. Any money left in the account at plan year-end will be forfeited unless a reimbursement is requested by March 31 of the subsequent calendar year.

The county's third-party administrator (currently United Health Care) administers payment of all claims. To obtain reimbursements, the employee must submit a completed "Dependent Care Flexible Spending Account Claim" form, which is available in the Human Resources Department or on the County website. The claim form, together with proof of payment from the provider, is mailed to United Health Care. Employees should retain copies.

Regular full-time employees are qualified to participate in the plan. Newly hired employees may enroll by submitting an enrollment form to the Human Resources department within thirty (30) calendar days from their date of hire. Participation becomes effective the first of the month following thirty (30) days of employment. Previously ineligible employees who become eligible through birth, adoption, obtaining custody of a child or the need to provide elder care may enroll by submitting an enrollment form to the Human Resources Department within thirty (30) calendar days from the change in family status date.

E. Racine County & City Health Center

Racine County is committed to helping its employees lead healthier lives, which not only benefits the employees personally, but also improves their ability to perform their duties. For this reason, Racine County has partnered with the City of Racine and with Wheaton Franciscan Healthcare to create a health center for:

- Racine County full-time and part-time employees, regardless of whether they are covered under the county health plan;
- Dependents of Racine County employees who are covered under the county health plan; and
- Racine County retirees and their dependents that are covered under the county health plan.

The health center, located on the Wheaton Franciscan Spring Street campus, is open Monday through Friday. Visits are by appointment only and are scheduled for a full 30 minutes. Available services include primary care, chronic disease management, wellness consultations, immunizations, physicals (including school and sports physicals), diagnosis and treatment of urgent care needs (e.g., ear or sinus infections, strep throat), and lab work. There is no fee or co-pay for visits to the center, but there may be costs for services referred outside of the health center (radiology, some lab services, etc.). County health coverage may be applied to any of those costs.

F. Training, Tuition Reimbursement, and Professional Certification

1. County-funded Training, Generally

Racine County encourages professional development of employees. However, training must be consistent with county scheduling and budgetary needs. Prior approval of one's supervisor must be obtained before any employee attends meetings, conferences, training sessions, workshops, seminars, or special classes on workdays,

or, although not on workdays, if the employee seeks reimbursement for attendance at, or travel to, the event. Approval by supervisors is subject to departmental budgets.

2. Tuition Reimbursement

The Racine County Tuition Reimbursement Program is designed to provide financial assistance to full-time employees who voluntarily attend job-related, educational courses that can be expected to benefit the county as an employer. Actively employed, regular full-time employees who have completed their introductory period with the county are eligible for reimbursement for tuition and certain other costs, provided that the school/coursework is accredited by one of the following agencies:

- North Central Association of Colleges and Secondary Schools;
- National Home Study Council or American Council on Education;
- Wisconsin State Board of Vocational, Technical and Adult Education.

Expenses that may be reimbursed under this program include tuition, books, materials and lab fees.

To be eligible for reimbursement, the employee must submit a “Pre-approval for Tuition Reimbursement” form prior to taking the course and a “Tuition Reimbursement” form upon completion of the course. Both forms, including specific instructions, are available on the County website.

Racine County will pay a portion of tuition and materials depending upon the grade as follows:

- Up to 70% reimbursement for a grade of B or better;
- Up to 50% reimbursement for a passing grade of C;
- Up to 50% reimbursement for passing a pass/fail course.

The maximum tuition reimbursement to an employee in any one calendar year is \$800. Actual reimbursement amounts will be subject to availability of funds.

3. Professional Certifications, Professional Memberships and Licenses

The employee is personally responsible for obtaining and maintaining professional certifications and licenses which are required as minimum qualifications for his/her position. The employee must supply his/her supervisor with the necessary paperwork proving certification/licensure in a timely manner, and the supervisor is responsible for maintaining a file documenting the employee’s certification/licensure. The county may pay the cost of obtaining or maintaining the training/licensure at the discretion of the department head if there are funds available. The county may also pay professional membership dues for employees at the discretion of the department head if there are funds available.

5. Employee Time Off Benefits – Holidays, Leave, and Vacation

A. Holidays

1. Holidays Observed by Racine County

The following holidays are observed by Racine County and shall be granted with pay to covered employees.

New Year’s Day

Friday before Easter
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Friday following Thanksgiving Day
Day before Christmas Day
Christmas Day
Day before New Year's Day

In addition, subject to rules set forth below, covered employees are entitled to one "floating holiday" per year.

2. Covered Employees

Covered employees are regular full-time employees, Ridgewood part-time employees who are scheduled to work at least sixteen (16) hours per week on a regular basis, and other part-time employees who are scheduled to work at least twenty (20) hours per week on a regular basis.

3. Eligibility for Holiday Pay

To receive holiday pay, a covered employee must work or be in pay status on the scheduled workday immediately preceding the holiday, the holiday itself when scheduled as a workday, and the scheduled workday immediately following the holiday. An employee must have at least four (4) hours in pay status in both the scheduled workday before and the scheduled workday after a holiday to receive holiday pay.

4. Definition of Terms for Holiday Pay

Pay status

An employee received pay for a scheduled workday such as: worked, vacation, casual day, short-term disability/sick day, Worker's Compensation or funeral leave.

Approved Family/Medical Leave

Approved Family/Medical Leave is treated the same as other unpaid leaves for the purpose of being eligible for holiday pay. If the unpaid time occurs on either side of a holiday, the employee would not be eligible for the holiday pay.

5. Floating Holidays

Eligibility

A newly hired employee is not eligible for a floating holiday until after successful completion of his/her introductory period. This means that if the calendar year ends before an employee has completed the introductory period, he/she is not eligible for a floating holiday for that year.

Use of floating holidays

The floating holiday must be used before the end of the calendar year or the employee will lose the holiday. Floating holidays must be scheduled by requesting the time off and obtaining approval in advance from the department head/supervisor.

6. Amount of Holiday Pay

A covered full-time employee is eligible for eight (8) hours of holiday pay per holiday. A covered part-time employee is eligible for pro-rated holiday hours based on his/her position hours.

7. Holidays during Weekends

When a holiday falls on a Saturday, it shall be observed on the preceding Friday. When a holiday falls on a Sunday, it shall be observed on the following Monday. When the Day before Christmas Day or the Day before New Year's Day falls on a Friday, it shall be observed on the preceding Thursday. When Christmas Day or New Year's Day falls on a Monday, it shall be observed on the following Tuesday.

8. Holidays during Paid Vacation or Disability

If a holiday occurs during a covered employee's vacation or disability, the employee receives holiday pay rather than vacation pay or disability pay for the holiday.

9. Holidays during Unpaid Leave

When a holiday falls within a period of leave without pay, the employee shall receive no pay for the holiday.

10. Holidays on Scheduled Days Off

Except for corrections officers and dispatch technicians who work 5-2/5-3 or similar schedules,* whenever a designated holiday falls on the scheduled day off of a covered non-exempt employee, the employee will be given banked holiday time to be used in the future, provided the employee is in pay status the scheduled workdays before and after the holiday. A full-time employee will receive eight (8) banked holiday hours, and a part-time employee will receive banked holiday hours prorated to his/her position hours. These banked hours shall be used at the mutual convenience of the department and the employee.

11. Employees Who Work on a Holiday

Except for corrections officers and dispatch technicians who work 5-2/5-3 or similar schedules,* a covered non-exempt employee who is required to work on a holiday is paid straight time for the holiday hours worked and is given banked holiday time to be used in the future. A full-time employee will receive eight (8) banked holiday hours, and a part-time employee will receive banked holiday hours pro-rated to his/her position hours. Banked holiday hours shall be used with the approval of the department head.

*The 5-2/5-3 schedule is designed so that persons working on it may work through one or more holidays, but will have the same number of days off per year as persons who work a normal 40-hour week schedule, but are entitled to holidays.

12. Employees Who Are Not in Benefit Status

If an employee who is not covered under this section is required to work on a holiday, the employee will receive only his/her regular rate of pay for the hours worked that day. If the employee does not work on a holiday, he/she will not be paid for that day.

13. Holidays after Separation

Holidays are not earned after an employee separates from county employment. The last day on the payroll is considered to be the separation date.

B. Casual Time/Sick Time

1. Non-exempt Employees

Non-exempt employees accrue five casual days per calendar year. Casual time for eligible part-time employees will be prorated. There will be no carryover of these days from year to year. Unused casual days will **not** be paid out. Casual days are not accrued during the introductory period of employment. Upon completion of the introductory period, newly hired employees will receive pro-rated casual time at the rate of one half day for every full month remaining in the calendar year, not to exceed five (5) full days. An employee who terminates employment or moves to an exempt position prior to June 30 of any calendar year shall be reimbursed for one half of any unused casual days. An employee who terminates employment or moves to an exempt position after July 1st shall be reimbursed for any unused casual time.

2. Exempt Employees

Exempt employees accrue no casual days, but may take sick time for medical-related absences upon completion of the introductory period. However, such absences may constitute occurrences for purposes of the attendance policy.

C. Civil Leave

An employee will be given time off without loss of pay when subpoenaed to appear before a court, public body or commission in connection with county business. Any witness fee received by the employee for work-related matters shall be turned over to Racine County.

If an employee needs to appear under subpoena or in his/her own behalf in litigation involving personal or private matters, he/she may request time off work using the employee's available paid time or requesting unpaid time if no paid time is available.

D. Family and Medical Leave

1. Policy

Racine County will in good faith implement the policies, provisions and terms of the Federal Family and Medical Leave Act (FMLA) and the Wisconsin Family and Medical Leave Act (WFMLA), giving the more generous rights to the employee when the provisions of the acts differ. Nothing in Racine County's policy or procedure is intended to confer additional benefits or otherwise supplement, change or alter the conditions and directives of the FMLA or WFMLA. The taking of approved Family and Medical

Leave will not be used in any employment decision including the determination of promotions, raises or disciplinary actions.

Racine County will count all absences that qualify as WFMLA and FMLA against an employee's annual allotment of leave under both acts. Racine County uses the calendar year for such calculations.

2. Notice of Need for Family and Medical Leave

The employee must notify his/her supervisor (or designee) as soon as the employee knows he/she needs the leave; thirty (30) days notice is required for a foreseeable leave period. The leave is provisionally granted upon a qualifying request. Reasons for leave include the serious health condition of the employee or the employee's child, spouse, or parent, or for birth, adoption or foster care placement, service member's serious health condition, or qualifying exigency for military members.

3. Forms

Medical certification, on a form designated by the FMLA administrator (currently FMLASource), is to be received by administrator within fifteen (15) days from the date the employee was notified of the need for certification. Forms are available by contacting FMLASource; contact information is available on the County website.

4. Determinations

Only the FMLA Administrator can make the determination to grant or deny leave. The FMLA Administrator will inform the employee in writing of its decision to grant or deny leave. Employees wishing to discuss the final determination made by the FMLA Administrator may appeal to the FMLA Administrator.

5. Substitution of Paid Leave

Under approved Wisconsin FMLA, an eligible employee may substitute paid leave, including banked sick time, for the unpaid Wisconsin FMLA under certain circumstances or may choose to remain in unpaid status. For Federal FMLA only (after Wisconsin FMLA has been used or if employee is ineligible for Wisconsin FMLA), Racine County will require the employee to substitute paid leave, if available, for unpaid FMLA leave.

6. Incomplete or Falsified Information

Racine County reserves the right to require medical re-certification, in conformity with the laws. Lack of required information or falsified, incorrect or incomplete information provided either verbally or on the request or certification form, is cause for the denial of a leave request, and may be subject to discipline.

7. Other Information

Contact the Racine County Human Resources Department and/or refer to the Information Sheet for Family/Medical Leave available on the County website for additional information on eligibility requirements and how to apply for FMLA and/or WFMLA leave.

E. Funeral Leave

1. Policy

Regular full-time employees and regular part-time employees scheduled to work at least 20 hours per week on a regular basis are allowed funeral leave. Covered employees

are entitled to funeral leave during their introductory period. Funeral leave is time off with pay for the purpose of arranging and/or attending a funeral of an immediate family member. Eligible full-time employees are allowed up to three (3) consecutive days of funeral leave for a maximum of 24 hours and eligible part-time employees are allowed a pro-rated period of days of consecutive funeral leave. Funeral leave is not available to seasonal employees or employees not in a benefit status.

Funeral leave is intended only for preparation for, and attendance at, a funeral or memorial service that occurs promptly after the death of the immediate family member. An employee desiring time off for delayed services or for other responsibilities after the funeral (e.g., activities of closing out the estate), may request vacation, floating holiday, compensatory time or an unpaid leave of absence (when no paid time is available), subject to department head approval.

2. Definition of "Immediate Family Member" For purposes of funeral leave eligibility, "immediate family member" is defined as the employee's spouse, parent or parent-in-law, stepfather or stepmother, child or stepchild, brother or sister, son-in-law or daughter-in-law, brother-in-law or sister-in-law, grandparent or grandchild. Employees requesting funeral leave may be required to furnish proof of the funeral and the relationship of the employee to the decedent.

F. Jury Service

1. Policy

An employee will be excused from work on a workday when assigned to jury service, including during the introductory period, provided prior notice is given to the supervisor. An employee will be expected to work part-time whenever doing so will not conflict with jury service, if the nature of the employee's work will permit this practice. An employee required to be absent due to jury service will be paid the difference between jury pay and his/her regular wages.* Employees are entitled to keep mileage reimbursements they receive for jury service. If an employee is called for jury service, responds to the call and loses time from work, but is not accepted for jury service, he/she will receive an amount equal to regular wages for such time lost on his/her regular shift provided he/she returns to the job promptly.

2. Excuse from Jury Service

If the employee's absence is expected to seriously affect operations, the county may request that the employee be excused from jury service. The decision to excuse the employee, however, rests with the court.

G. Military Leave

1. Policy

In accordance with applicable state and federal law, a military leave of absence will be granted to employees (including those in their introductory period):

- Who enlist or are inducted into the Armed Forces of the United States; or

* For this section, "regular wages" means the straight time hours the employee would have normally worked on the day times the base wage rate.

- Who, by reason of membership in the United States Military Reserve or the National Guard, is ordered by the appropriate authorities to attend training, encampment or other form of active military duty under the supervision of the United States Armed Forces.

It is intended that the employee's service in such capacity not result in unnecessary financial penalty to the employee. Therefore, Racine County will pay such employee, for a period not to exceed ten (10) workdays in any calendar year, an amount equaling the difference between his/her daily military pay and his/her normal county daily wage.

2. Requirements

To receive such leave and pay, the employee must file a copy of his/her orders with the department head at least two weeks prior to the date such military leave is to begin and provide the payroll department with proof of military pay when it is received.

Employees on military leave are required to pay the employee contribution to WRS. For benefit information see Chapters 3 and 4 of this manual.

H. Unpaid Leave of Absence

1. Policy

Regular full-time employees and part-time employees who are scheduled to work at least 20 hours per week on a regular basis may be granted time off without pay subject to all of the applicable following conditions:

- All available paid time off must be used before an employee may be in unpaid status, except that, under approved WFMLA, the employee may choose to be in paid or unpaid status.
- Department heads may grant unpaid leaves of absence to regular employees for periods of less than five (5) workdays. Requests for leaves of absence must be preapproved by department heads and the Human Resources Director. If approved by the Human Resources Director, department heads may grant such leaves of absence for periods of five (5) or more workdays and up to thirty (30) calendar days.
- Prior approval is required for unpaid leaves of absences. Employees requesting unpaid leaves of absence shall use the designated forms and submit such requests in a timely fashion. For normal unpaid leaves of absence, except in emergencies, requests shall be submitted at least ten (10) workdays prior to the commencement date.
- No unpaid leave of absence shall be granted to permit the employee to seek other employment, except that this restriction shall not be applied to prevent an employee from taking unpaid leave while seeking elective public office.
- If the employee does not return to his/her position after the approved leave period, he/she shall be considered to have resigned from county employment.

2. Benefits Earned

Credit toward vacation shall not be earned while an employee is on unpaid leave. Insurance benefits will remain in effect.

I. Vacation

1. Vacation Accumulation Schedule

Effective March 1, 2017, regular full-time employees and part-time employees working at least twenty (20) hours per week on a regular basis are eligible for paid vacation according to the vacation plan listed below. The County Executive may approve additional vacation for exempt employees in the first year of hire. Vacation benefits are based on a work year of two thousand eighty (2,080) paid hours. The “Anniversary Date of Employment” is computed from the employee’s latest date of hire.

<u>Anniversary date of employment</u>	<u>Exempt</u>	<u>Non-exempt (represented or non-represented)</u>
6 months anniversary	1 week	0
1 st through 4 th	3 weeks	2 weeks
5 th through 7 th	4 weeks	2 weeks, 3 days
8 th through 12 th	4 weeks, 3 days	3 weeks, 3 days
13 th through 17 th	5 weeks	4 weeks
18 th through 22 nd	5 weeks	4 weeks, 3 days
23 rd and beyond	5 weeks	5 weeks

Vacation entitlement for eligible part-time employees is pro-rated according to position hours.

2. Earning and Use of Vacation Benefit

Vacation is earned, or accrued, during the year prior to the anniversary of the employee’s date of hire and is intended to be used during the twelve (12) month period following that anniversary date. Vacation pay is determined on the basis of each employee’s straight time rate of pay received at the time he/she takes vacation, times the number of hours of vacation to which the employee is entitled. Vacation time earned will be based on paid hours including paid leaves such as vacation, holidays and short-term disability.

3. Qualifying Period

An employee must complete his/her introductory period to be eligible for vacation benefits. Except for exempt employees, no employee is eligible to use vacation time prior to the first anniversary of his/her date of hire.

4. Loss of Vacation Benefits

Vacation days may be carried over from one anniversary year to another up to 80 hours without written approval. On an employee’s anniversary date, the time keeping system will automatically adjust their balance down to 80 hours if they are exceeding an 80 hour balance. Carryover beyond 80 hours will require written approval from the County Executive and Human Resources Director.

5. Holidays during Vacation

When a holiday occurs during a scheduled vacation period, the day is charged to the holiday benefits and not to the earned vacation benefits.

6. Funeral Leave during Vacation

Charges normally made to funeral leave are not allowed during scheduled vacation periods without appropriate verification and approval.

7. Scheduling Vacations

Employees must schedule their vacation with their department head or designee in advance and vacation time must be approved by the department head subject to the staffing needs of the department. Vacation picks will be determined by the department.

8. Vacation Increments

Department heads may require that their employees take at least one (1) week of vacation in an uninterrupted increment that includes five (5) vacation days.

9. Effect of Change in Employee Status or Vacation Schedule

An employee who moves from one position to another in the county service by transfer, promotion, demotion or re-assignment shall retain accumulated vacation leave in the new position.

An employee whose status is changed from a non-benefit eligible position to a benefit eligible position without a break in service will receive vacation credits from the date of the change.

An employee who during the anniversary year changes from regular part-time (eligible for benefits) to regular-full time or vice versa will receive vacation credits based on a pro-rating of the time worked in each status.

An employee who during the anniversary year changes from one vacation schedule to another will receive vacation credits based on a pro-rating of the time worked in each vacation schedule.

10. Payout at Separation

Upon separation from employment, an employee shall be paid for the unused portion of his/her vacation and accrued vacation, except as specified under Section 12.C. This payout is made at the rate paid on the employee's last day of work. An employee who separates prior to one calendar year of employment or has been involuntarily terminated is not entitled to pro-rated vacation. Vacation benefits are not earned after an employee separates. The last day on the payroll is considered to be the separation date.

6. Retiree Benefits

A. Retirement

A retirement is defined as a voluntary separation from regular county employment by an employee who is enrolled in Wisconsin Retirement System (WRS), and (1) has attained the minimum age for retirement and receives retirement benefits under WRS, or (2) has applied for and receives a disability retirement under WRS. Racine County participates in both the Wisconsin Retirement System (WRS) and Federal Social Security pension systems. This section is for general information. Specific questions should be directed to the Wisconsin Department of Employee Trust Funds (ETF) or the U.S. Social Security Administration (SSA), as appropriate.

B. Wisconsin Retirement System

1. Generally

Employees who are eligible under state law will be enrolled in the Wisconsin Retirement System at time of hire or at the time an employee becomes eligible under state law at any time during his/her employment. Racine County participates in three of the system's employment categories:

- Persons in the General and Elected Employee categories may retire under the WRS at any time after reaching the minimum age of 55, provided they meet any minimum contribution or longevity requirements established by statute or WRS regulations;
- Persons in the Protective Occupation Participant category may retire under the WRS after reaching the minimum age of 50, provided they meet any minimum contribution or longevity requirements established by statute or WRS regulations

2. Retirement Process

Actual eligibility for retirement under WRS, as well as eligibility for a retirement annuity, is determined by the WRS. Prior to retirement, an employee may obtain a statement of his/her estimated retirement payout, including any annuity amount under the various annuity options available, by obtaining a "Benefit Information Request" form from the Human Resources Department or the Department of Employee Trust Funds (ETF) website. An employee may choose the standard beneficiary sequence or specify a particular beneficiary by completing a "Beneficiary Designation Form" and submitting it directly to ETF. Forms are available in the Human Resources Department.

Forms are available by contacting the WRS/ETF. Disability Retirement Annuity and Duty Disability Retirement Annuity eligibility is determined by state law. Upon employment with the same or another WRS-participating employer, an annuitant may, under certain conditions, elect to continue receiving the annuity and forgo active WRS participation status. Alternatively, the individual may choose—or may be required—to stop the annuity and resume making WRS contributions. If additional information is needed, contact:

Madison Office Mailing Address at either

801 W. Badger Road Madison WI 53702	P.O. Box 7931 Madison WI 53707-7931
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Madison Office Telephone - (608) 266-3285
Toll Free Customer Service number (877) 533-5020.

Email/Internet site: <http://etf.wi.gov/>

C. Retiree Health Insurance Benefits

1. Policy

Racine County employees who retire under the Wisconsin Retirement System (WRS) may be eligible for post-retirement health and dental insurance benefits, provided that they:

- Are enrolled in the county's health insurance at the time of retirement;
- Meet the age and other requirements for retirement under WRS;
- Meet the minimum requirements for years of service with Racine County (at least 10 years of service for persons retiring between January 1, 2012 and December 31, 2012; at least 15 years of service for persons retiring on or after January 1, 2013); and
- Make the necessary premium contributions as specified herein.

A retiree who exercises his/her right to continue health and/or dental insurance benefits must provide acceptable documentation proving the eligibility for monthly WRS annuity payments (or lump sum payment of the present value of the retirement annuity) and must pay his/her share of the cost of such insurance coverage to the Human Resources Department by the first of the month in which coverage is to be effective.

For persons retiring on or after January 1, 2013, retiree health coverage will be the same as active employee coverage. Therefore, coverage for such persons will change over time as active employee coverage changes. In addition, for persons retiring on or after January 1, 2013, retiree premium shares will be tied to the shares of active employees; accordingly, premium shares may change over time; the relationships between retiree and active employee premium shares are stated in the next paragraph.

2. Minimum Years of County Service and Premium Share

For employees who are hired on or before December 31, 2011 and retire, premium shares will be based on the employee's continuous years of county service:

20 or more years of service	Same premium share as actives*
15, 16, 17, 18, 19 years of service	Ten (10) percentage points more than actives†

For those employees who are eligible for county retiree health coverage after becoming Medicare eligible, premium share percentages may change once they reach Medicare eligibility. See paragraphs 3 and 4, below.

For employees hired on or after January 1, 2012, retiree health coverage will be available at the full premium provided that the employee is enrolled in the county's health coverage at the time of retirement and meets the age, years of service and other minimum requirements for WRS. Coverage will terminate at Medicare eligibility.

3. Post-Medicare Coverage

Certain retirees are eligible for county health coverage after they and/or their spouses are eligible for Medicare; in that event, county health coverage becomes a Medicare supplement. Such retirees are required to purchase the Medicare Parts A and B plans for themselves and/or their spouses when each reaches Medicare age (currently 65) or earlier, should either or both become eligible for Medicare eligible prior to that time.

Certain retirees are not eligible for post-Medicare county health coverage. These are employees who were first hired by Racine County roughly in the period from 1998 through 2001, depending on whether they were non-represented employees or, if represented, the bargaining units of which they were members. A list of the effective hiring dates for all categories of employees—non-represented and represented—appears at Appendix B of this manual. For an employee hired after the specified date and for his/her spouse, eligibility for county retiree health coverage ends for each one at the time that he/she attains Medicare eligibility.‡

The following table is intended as a highly simplified summary of retirees' eligibility for post-retirement county health coverage. It does not apply to persons who have already retired, or to their survivors or to survivors of individuals who died while active employees. It is not intended to and does not in any way supersede fuller explanations of such eligibility in this manual or other controlling documentation:

* Active employees currently pay 15%. Employees retiring on or after January 1, 2013 with at least 20 years of county service would pay 15%, unless and until active employees' premium shares change.

† Active employees currently pay 15%. Employees retiring on or after January 1, 2013 with at least 15, but less than 20, years of county service would pay 15% + an additional 10%, for a total premium share of 25%. This amount would also change if and when active employees' premium shares change.

‡ If the retiree reaches Medicare eligibility before the spouse, the spouse may retain single county health coverage, until he/she also reaches Medicare eligibility.

RETIREMENT DATE	HIRE DATE (VARIES BY EMPLOYEE GROUP, AS LISTED IN APPENDIX B)	MINIMUM YEARS OF COUNTY SERVICE	POST-MEDICARE COVERAGE (SUPPLEMENT)	SURVIVING SPOUSE COVERAGE
1/1/12-12/31/12	Before dates in Appendix B	10	Yes	Until Medicare or remarriage
1/1/12-12/31/12	After dates in Appendix B	10	No	Until Medicare or remarriage
1/1/13 onward	Before dates in Appendix B	15	Yes	Until Medicare or remarriage
1/1/13 onward	After dates in Appendix B, but before 1/1/12	15	No	Until Medicare or remarriage
1/1/13 onward	After 1/1/12	15, but 100% premium share	No	Until Medicare or remarriage

4. Post-Medicare Coverage Premium Share

As noted above, for some active employees, retiree health coverage will not be available after the retiree or spouse is Medicare-eligible. However, if a retiree is eligible to continue county health coverage after becoming Medicare-eligible, once Medicare eligibility is reached, Medicare becomes the primary coverage, and county health coverage becomes a supplement. Because it is a supplement, the total premium is less.

For retirees eligible for post-Medicare coverage who retire on or after January 1, 2012, the post-Medicare premium **share** for county health coverage will be the **greater of** the share listed above (based on years of county service) **or** the share listed below (based on the year of retirement):

Retirement Year	Share	Retirement Year	Share	Retirement Year	Share
2012	10%	2016	30%	2020	50%
2013	15%	2017	35%	2021	50%
2014	20%	2018	40%	2022	
2015	25%	2019	45%	and after	100%

Retirees may arrange to make payments of their premium shares on a quarterly, semi-annual or annual basis; and they may arrange for payments to be automatically withdrawn from a checking or savings account. Payments are due January 15, April 1st, July 1st and October 1st. If payment is not received by date due, a \$25 late fee will be assessed. Coverage is subject to permanent termination if a payment is 30 days past due.

5. Death of a Retiree-Effect on Coverage

In the event of the death, on and after January 1, 2012, of a retiree enrolled in the county's health insurance program at the time of death, health insurance coverage will terminate upon the end of the month of the retiree's death. The surviving spouse and/or qualifying dependents of said retiree, as defined in the health insurance plan document, may elect, within sixty (60) days of said death, to continue to receive family or single health insurance coverage. If the spouse and/or dependents elect to continue to receive health insurance coverage, the premium share charged to the eligible survivor shall be at the same percentage of the total cost of the insurance for which the retiree would

have been eligible. Qualifying dependent eligibility shall be in accordance with the conditions set forth in the health insurance plan. Such coverage will end upon the death or remarriage of the surviving spouse, or upon the surviving spouse becoming Medicare eligible, or otherwise subject to the conditions of the Racine County health insurance plan for dependents. This provision does not change the existing rights or benefits of the surviving spouses or other dependents of retirees who died on or before December 31, 2011.

E. Retiree Dental Insurance

A retiring employee and eligible dependents may opt for continued coverage in accordance with the Comprehensive Omnibus Budget Reconciliation Act (COBRA) by paying the full monthly premium. Individuals are responsible for the full cost of the single or family monthly premium due and payable to the Human Resources Department on the first day of each month of the quarter.

F. Life Insurance

All life insurance terminates on the last day of the month in which the employee ceases to be employed. A conversion policy is available, at employee expense, through the county's life insurance carrier.

G. Unused Time Payout

Vacation currently available to the employee may be paid out on the final check or may be used to defer the actual date of retirement with the approval of the Department Head. Accrued Vacation Pay will be paid on the last paycheck provided the employee gives a written separation notice to his/her immediate supervisor and the Human Resources Department at least ten (10) working days prior to his/her last day of work. The 10 day notice must be completed as days worked. An employee who does not give sufficient notice shall lose accrued vacation pay unless the Department Head grants a waiver. This payout is made at the rate paid on the employees last day of work. Banked Sick Days will be paid at a rate of \$10.00 per day.

7. Position Classification and Employment Status

A. Policy

The purpose of position classification is to provide a system of standardized titles and standardized job descriptions for the effective administration of personnel activities. Positions are organized into groups of grades on the basis of similar duties, responsibilities and qualifications. Each position shall have a job description. The job description will include a job title, a general statement of duties and responsibilities, typical examples of duties performed and minimum qualification requirements. Special requirements, such as licensure or certification, are also included where appropriate.

When a vacancy occurs and the review by the Human Resources Director indicates that the position should be placed in a lower classification, the Human Resources Director may implement the lower classification prior to the posting of the position. The decision of the Human Resources Director may be appealed by the department head to the County Executive. The department head, with the approval of the Human Resources Director, may also elect to fill the vacancy with a lower classified position when a vacancy occurs. When it is determined that a classification is no longer useful or appropriate, the Human Resources Director may eliminate that classification.

B. Over-classified Positions

Occasionally, positions are identified by department heads or Human Resources Department staff as being over-classified. Reduction in classification can be accomplished by one of several methods based upon individual circumstances.

- The position remains over-classified until it is vacated, at which time the position is administratively downgraded. In the meantime, the incumbent continues to be treated as if the classification were correct.
- The classification is adjusted pursuant to County Board action, but the incumbent's salary is "red-circled." "Red-circled" means the incumbent will remain in place on the salary structure and will receive no salary increases or general annual increase, until the salary falls within the lower classification.
- The classification is adjusted pursuant to County Board action, and the incumbent is placed in the new salary plan in accordance with salary placement procedures.

In cases of classification pursuant to County Board action, treatment of the incumbent's salary will normally also be determined by County Board action.

C. Employment Status

All county employees fall into one of the following groupings:

- a. *Regular full-time employee:* A regular full-time employee is an employee who works a regular schedule and is expected to normally work forty (40) or more hours per workweek. A regular full time employee may be exempt or non-exempt.
- b. *Regular part-time employee:* A regular part-time employee is scheduled to work less than forty (40) hours per week on a regular basis. A regular part-time employee may be exempt or non-exempt.
- c. *Temporary or seasonal employee:* A temporary or seasonal employee is hired for a specified project or time frame and may work an irregular or regular schedule. A temporary or seasonal employee may be exempt or non-exempt.
- d. *On-call/casual employee:* An on-call/casual employee has no guaranteed hours of work and is used to fill in for absences of regular employees or to perform intermittent work when needed.

8. Recruitment and Selection

A. Policy

Recruitment and selection of applicants for employment with Racine County is done in accordance with equal employment opportunity laws and regulations and the Racine County Affirmative Action Plan. The Human Resources Department coordinates all formal recruitment efforts.

Racine County seeks to select for all its positions the most qualified candidates who meet the professional qualifications and other needs of the county and who fit the culture of the organization. When in the interest of Racine County, a department may attempt to fill a job vacancy by promotion from within the organization or by considering internal and external applicants at the same time.

The application process is an entirely electronic process conducted via NEOGOV. Current employment opportunities may be viewed by visiting racineco.com and clicking "Apply for a Job" or at <http://agency.governmentjobs.com/racinecountywi/default.cfm>. All external and internal candidates must submit an application on the jobs page. All communication will be conducted via email and the applicant account.

9. Performance Evaluation Program

A. Policy

The public expects Racine County employees to deliver high quality service in a manner that serves the interests of the county, the public and the efficient and effective use of resources. Because the county expects all employees to perform their job duties at a high quality level, it must only employ individuals who are committed to and capable of meeting those expectations. Therefore, apathy, an inability to work as a member of a team, attitudinal issues, and marginal or unacceptable work performance are inconsistent with the interests and expectations of the county and the public.

Periodic evaluations are an important part of ensuring that the county is employing the right people to work for its citizens. Periodic evaluations are an opportunity to let each employee know how he or she is performing and how performance may be improved. They are also an opportunity to receive input from the employee concerning training, supervision or any job difficulties that may be occurring. Evaluations of employee performance should include reviews of accuracy, quality and quantity of work, dependability, adaptability, job knowledge, organization, judgment, initiative, cooperation, ability to get along with others, public service mindset, attitude and attendance. The practices for departments or positions for the evaluation process may vary.

B. Salary Increases

1. General Annual Increases

Annually, on July 1, employees not covered under a bargaining agreement may be granted a general salary increase up to the amount approved in the county's annual budget.

2. Step/Merit Increases

Employees below the mid-point of the salary range will move to the next step of their range on the anniversary of their date of hire or most recent promotion, whichever is more recent, provided that they receive an overall evaluation of "Satisfactory" or higher.

Employees above the mid-point of the salary range, but below the maximum, will be eligible to receive a percentage salary increase on the anniversary of their date of hire or most recent promotion, whichever is more recent, provided that they receive an overall evaluation that is higher than "Satisfactory" or higher. The salary increase is subject to the discretion of the reporting supervisor, up to the maximums in the Merit Increase Chart on the following page. No supervisory employee will receive the step or merit increase if there are outstanding performance evaluations due on his/her subordinates.

3. Effective Dates of Step/Merit Increases

Step and merit increases become effective on the first day of the payroll period if that day coincides with the employee's anniversary date; otherwise, step and merit increases become effective no later than the first day of the payroll period immediately following the employee's anniversary date.

Merit Increase Chart
Salary in Relation to Salary Range

Performance	Start to Midpoint	Midpoint to Maximum
Unsatisfactory	No Step Increase	0%
Progressing or Needs Improvement	No Step Increase	0%
Satisfactory	Step Increase	1%
Exceeds Requirements	Step Increase	2%
Outstanding	Step Increase	3%

10. Personnel Records and Transactions

A. Policy

All personnel transactions shall be made using processes designated by the Human Resources Department in compliance with federal and state law. Employees must notify Human Resources of any changes in personal information, including but not limited to change in name, address, telephone number, military status and emergency contact name and phone number.

B. Location of Files

Employee personnel files are established and maintained at the Human Resources Department except the following which are kept at the sites mentioned:

- Sheriff's Office – All Sheriff's Command Staff and Deputy staff;
- Ridgewood Care Center – All Ridgewood Care Center staff.

C. Contents of Files

Records or reports in the employee personnel files contain public information as well as confidential materials. The employee or the employee's representative may view certain confidential material. Other confidential information is only available to the employee's supervisor; director; authorized agents for the county; or persons with legal authorization such as summonses, subpoenas or judicial orders.

D. Personnel File Access

Information retained in the personnel file includes address changes, specific work-related information such as application for employment, resume, performance evaluations, salary adjustments, job changes, and other designated records. An employee may request an opportunity to review the records in his/her personnel file that the employee has a lawful right to review by submitting a written request to the Human Resources Department. Requests for inspection will be scheduled at a mutually convenient time and within the timeframes required by law. Personnel files may not be taken outside of the custody of the Human Resources Department. Employees or their representatives may obtain copies of materials for a fee that is set by the county.

Racine County uses employee medical information only in a manner that is lawful, job-related, and consistent with business necessity. Employee medical information is maintained in separate medical files and is treated confidentially to the extent required by law.

11. Corrective Action and Discipline Program

A. Policy

Racine County's corrective action and discipline program is designed to encourage individuals to be high quality employees and, if required, to remove employees from service who can not or will not meet that high standard of performance. The appropriate level of discipline is determined by management on a case-by-case basis and may include discharge of the employee. The county's use of any lesser form of discipline does not change any employee's status as an at-will employee or create any additional contractual rights.

B. Principles of Corrective Action and Discipline Program

When contemplating corrective action/discipline, the following factors may be considered:

- The seriousness of the employee's behavior or conduct;
- The length of service of the employee;
- The employee's prior work record.

The employee may be given an opportunity to explain the behavior or conduct for which corrective action is contemplated. If the employee does not acknowledge the behavior or conduct, the supervisor should ascertain the facts of the situation to his/her satisfaction before deciding whether to take corrective action. If circumstances warrant, a department head may place an employee on administrative leave pending an internal investigation, disposition of a criminal complaint, or resolution of any other matter that makes the employee's continued presence at work inconsistent improper or inadvisable. The department head may determine whether the administrative leave shall be with or without pay.

Corrective action should be imposed in private* and appropriately documented. At every imposition of corrective action, the supervisor should inform the employee that there is a problem and the change that is expected.

C. Possible Forms of Corrective Action or Discipline

The following are possible forms of corrective action or discipline. They are in no particular order, and no form should be considered a prerequisite to any other.

- Discharge - The employee is given a memo summarizing all relevant events and notifying the employee that he/she is discharged from employment with Racine County.
- Suspension without pay – The employee is given a written summary of all relevant events and is informed that he/she is suspended without pay for a specified period of time.
- Verbal warning – The employee is orally notified that the particular behavior or conduct is unacceptable and must be corrected without delay.

* This does not preclude the presence of a Human Resources Department representative, if requested by the supervisor.

- Written warning – The employee is notified in writing that the particular behavior or conduct is unacceptable and must be corrected without delay.

Discharge and suspension without pay must be approved by the Human Resources Director or designee prior to imposition. Refer to Chapter 17 of this manual for the grievance procedure.

12. Employment Separation

A. Policy

Separation is the severance of the employer-employee relationship that exists between the county and an employee. The severance of this relationship occurs through resignation, discharge, retirement, or death. The county reserves the right to discharge any employee immediately should it be deemed appropriate.

B. Definitions

Death: Death is considered a separation when it occurs while a person is still an employee of the county.

Discharge: An involuntary separation of an employee from county employment.

Resignation: A voluntary separation by an employee from county employment.*

Retirement: A voluntary separation from regular county employment by an employee who is enrolled in the Wisconsin Retirement System (WRS) and has

1. Attained the minimum age for retirement, and is eligible for retirement benefits, under WRS; or
2. Applies for and receives a disability retirement under WRS.

C. Requirements Concerning Resignation or Retirement

1. Notification

An employee who intends to resign or retire must complete a separation notice to his/her immediate supervisor and the Human Resources Department at least ten (10) working days prior to his/her last day of work. The 10 day notice period must be completed as days worked. Employees who do not give sufficient notice shall lose accrued vacation pay unless the department head grants a waiver. Employees who are involuntary terminated shall lose accrued vacation pay.

2. Separation Procedures

Employees leaving county employment must return all county property such as county uniforms, credit cards, identification cards, keys, passwords, computer files, paper files, tools and equipment on or before their last day of work. It shall be the responsibility of the department head to insure that this is accomplished. Employees may complete an exit interview form, or meet with the Affirmative Action Officer to conduct an exit interview.

3. Sick Day Payouts

Employees shall be paid at the rate of \$10 per day for sick day payouts.

4. Separation Process-Employee Not Eligible to Retire

If an employee who is enrolled in WRS separates from employment, but is not then eligible for retirement, the employee is responsible for contacting WRS to discuss

* An employee who is absent for three (3) or more consecutive days without notifying his/her supervisor is considered to have resigned from county employment.

his/her options: (a) obtain a Separation Application from the ETF, which will authorize a lump sum, cash payment of the employee's contributions minus benefit adjustment contributions, if any; or (b) leave his/her deposits with the WRS which allows the individual to withdraw funds at a later date or to draw a retirement annuity after age 55 (age 50 for employees in protective service occupations) if otherwise eligible for an annuity. This option may depend upon the individual's age. In the event of the death of an employee, the employee's beneficiary may receive a survivor benefit.

13. Reduction in Force

A. Policy

The County Executive may authorize the layoff of an employee whenever it is necessary to reduce the work force. Layoffs shall be based on departmental needs. The Director of Human Resources shall notify each person laid off of all his/her rights. Regular employees may receive (10) days notice prior to layoff when practicable. The County Board Finance and Human Resources Committee shall be informed.

B. Employee Benefits during Reduction in Force

Benefits will be continued in accordance with applicable state and federal law and, in the case of insurance plans, subject to the conditions of the applicable plan. Employees may continue to participate in the group health and dental insurance plans as provided by the Consolidated Omnibus Budget Reconciliation Act (COBRA). Employees may purchase a conversion life insurance policy.

Employee pension contributions are not made during layoff. However, previous pension contributions remain in the Wisconsin Retirement System (WRS) plan during layoff. The employee may apply for a separation benefit or annuity according to the WRS procedure.

Accumulated accrued paid benefit time is paid out upon layoff. A lump sum payout of vacation or other payout will not be considered as time worked.

Employees who are in layoff status while receiving Worker's Compensation benefits continue to receive their benefits as required by statute. Disability pay ceases upon date of layoff. Funeral leave, witness pay, and jury service are not earned or available during layoff.

C. Recall

Recall shall be at the discretion of management. In the event that the employee is recalled, the Human Resources Director will send a recall notice by certified mail to the employee's last address on file with the Human Resources Department. The employee will be given a maximum of five (5) working days in which to respond. Employees failing to respond within the time limits or declining recall will be presumed to have resigned from county employment.

Employees recalled within six (6) months of the reduction in force date will retain their original date of hire. Employees recalled after six (6) months will be treated as newly hired employees for purposes of benefit eligibility.

14. Emergencies

A. Policy

During emergency conditions, the County Executive may declare an emergency and may close County facilities or portions of facilities for specified shifts or for longer periods of time. The County Executive may also allow employees to be dismissed early and may excuse tardiness during a declared emergency. Only the County Executive or designee may declare that an emergency condition exists.

B. Notification of a Declared Emergency

If an emergency is declared during working hours, employees will be notified by their department heads or designee. In addition, information concerning emergency conditions will be announced over designated radio stations.

C. Essential Employees

Each department shall maintain a list of positions that must continue to provide services during emergency conditions. These employees are required to work during declared emergencies and will not be excused from work. All employees in the following organizations designated as essential services are expected to report to work unless individual departmental policy indicates otherwise:

- Sheriff's Office
- Juvenile Detention Center
- Highway Division
- Buildings and Facilities Division
- Ridgewood Care Center

Employees working on days in which a snow emergency is declared will not be eligible for compensation other than their normal pay.

D. Attendance and Compensation of Non-essential Employees

The County Executive may close county facilities or portions of facilities and completely excuse employees from work due to the declared emergency. However, persons engaged in emergency, weather-related or other essential operations will not be excused. Employees excused from work will be compensated at their regular rates of pay.

Employees have the responsibility of reporting to work on time whenever county facilities are open. However, under certain circumstances which require the declaration of emergency but which are not severe enough to close county facilities, the County Executive may allow non-essential employees additional time to report to work.

The County Executive may dismiss employees early during a declared emergency. The County Executive will notify department heads of the extent of the declared emergency and the department head will utilize his/her discretion as to which employees may be dismissed and which employees must remain so that required services are maintained. Employees dismissed early will be paid their normal rate of pay until the end of their work shift.

When an employee has previously scheduled a period of absence from work and an emergency is declared during that absence, the employee will be charged with the use of vacation, casual, compensatory, sick or other form of leave that had previously been scheduled.

E. Extended Declared Emergencies

Under extended declared emergency conditions (beyond one [1] twenty-four [24] hour time period), departments may be required to place employees on unpaid leave of absence.

15. Safety and Wellness

A. Bloodborne Pathogens Exposure Control Plan

The Racine County Bloodborne Pathogens Exposure Control Plan, in accordance with the OSHA Bloodborne Pathogens Standard, 29 CFR §1910.1030, as adopted by Wisconsin Administrative Code Chapter Comm 32, applies to all employees who may incur occupational exposure to blood or other potentially infectious materials. The Exposure Control Plan identifies those job classifications that may have occupational exposure and how to reduce the exposure. Each department's Exposure Control Plan identifies Coordinators. The purpose of the Exposure Control Plan is to protect employees from the risk of health hazards related to bloodborne pathogens and to reduce or eliminate the risk of occupational exposure to bloodborne diseases. A copy of the Bloodborne Pathogens Exposure Control Plan is located in the Human Resources Department.

B. Drug-Free Workplace

1. Policy

Racine County prohibits an employee from engaging in the following conduct:

- On county premises, or elsewhere while engaged in county business, using, possessing, dispensing, manufacturing, distributing, or receiving
 - open alcohol intoxicants,
 - illegal drugs, or
 - other controlled substances (if not pursuant to and consistent with, lawful prescription) *;
- Reporting to work under the influence of alcohol and/or with a measurable amount of illegal drugs in his/her system or with a measurable amount of other controlled substances in his/her system (if not pursuant to and consistent with, lawful prescription) *;
- Refusing to undergo or cooperate in any alcohol and/or drug testing required by this policy;
- Knowingly disregarding the requirements of this policy, or deliberately departing from the policy in regard to subordinates;
- Providing false information in connection with a drug/alcohol test or falsifying test results through tampering, contamination, adulteration, or substitution.

Violations of this policy will result in disciplinary action, up to and including discharge, and may have legal consequences.

* The presence of a drug in one's system, even if prescribed, is prohibited if it poses a threat to the safe and efficient performance of the employee's or co-workers' duties.

2. Employee Assistance Program

Racine County recognizes alcohol abuse and/or drug dependency as an illness and a major health problem. The county also recognizes alcohol and/or drug abuse as a potential safety and security problem. Racine County encourages employees needing help in dealing with such problems to use its Employee Assistance Program (EAP) and health insurance plan as appropriate.

Conscientious efforts to seek such help will not be noted in any personnel record. Voluntary participation in the EAP will not affect an employee's employment status. However, it will not protect an employee from disciplinary action for a violation that may have already occurred or for failure to meet conditions of continued employment, nor will it relieve an employee of the responsibility to perform assigned duties safely and efficiently. Self-referral to the EAP after the employee is notified to undergo testing will not protect the employee from discipline resulting from the test.

3. Report of Conviction

An employee must, as a condition of employment, abide by the terms of this policy and report in writing to his/her supervisor any criminal drug statute conviction for a violation occurring on or off county premises while conducting county business no later than five (5) days after the conviction. This requirement is mandated by the Drug-Free Workplace Act of 1988.

4. Confidential Information

All drug test-related information, including the test results, will be treated as confidential information. Racine County will designate a medical center to collect the sample(s) for testing, utilizing appropriate chain of custody procedures. Test results are reviewed and released to the county by an authorized Medical Review Officer (MRO).

5. Post-Job Offer Drug Test

After a candidate accepts a job offer but before he/she starts work, the candidate will be required to submit to a drug test. A positive test result for illegal drugs or for prescription drugs, for which the candidate has no prescription, will result in that candidate being disqualified from further consideration for employment.

6. Post-Accident Testing

A drug and/or alcohol test may be administered to staff involved in a work-related incident resulting in personal injury requiring treatment beyond simple first aid or resulting in property damage or where it appears an employee's use of drugs or alcohol likely caused or contributed to the accident.

7. Random Testing

The county has designated certain positions as being subject to random drug and/or alcohol testing.

8. Reasonable Suspicion

The county may require appropriate drug and/or alcohol testing procedures for any employee whom the county reasonably suspects to be in violation of this policy. Involvement in an on-the-job accident may constitute a basis for reasonable suspicion testing under this policy.

Reasonable suspicion is based on specific, articulated observations, receipt of information about an employee's impaired behavior from a reliable source that has been substantiated, and on any reasonable inferences drawn from those observations about the conduct of an individual that would lead the reasonable person to suspect that the individual is in violation of Racine County's drug and alcohol policy while on County time. An employee who refuses to submit to a required drug and/or alcohol test will be considered to have a positive test result.

C. Safe Workplace

1. Policy

Racine County is committed to providing a safe workplace. All violent behaviors—whether on the part of employees, contract staff or the public—are inappropriate in the workplace and will not be tolerated. All employees shall notify their supervisors of any workplace violence which they have witnessed, experienced, become aware of or in any way have knowledge of.

2. Prohibition

The possession, use or threat of use of a weapon in the workplace, and any other act of workplace violence, by county employees and contract staff is prohibited. Violations of this policy shall lead to disciplinary action up to and including termination and may also result in criminal prosecution.

3. Definition of Workplace Violence

Any act of written, verbal or physical aggression that occurs in the workplace intended to physically harm an individual or that could cause a reasonable person to be in fear of imminent physical harm. It also includes the intentional unauthorized destruction or abuse of property. Examples of workplace violence may include, but are not limited to: harassment, obscene phone calls, e-mail, threats (direct or implied), stalking, unauthorized possession or inappropriate use of weapons, assault, battery, robbery, kidnapping, murder, bomb threats and arson. Workplace violence does not include the use of reasonable force in the defense of oneself or others.

4. Public Access

Racine County recognizes that workplace violence is a growing national concern that needs to be addressed by all employers. Employees of Racine County should be provided with a work environment that is as safe from harm as is reasonably possible. However, due to the need to allow public access to county facilities and services and the nature of certain employees' work, the county cannot guarantee the protection of employees or customers against acts of violence. The county may, however, regulate and direct the conduct of its employees and customers, when possible, in an effort to minimize the frequency and severity of violent incidents.

5. Exceptions to Weapons Prohibition

The weapons prohibition policy does not apply to employees who are armed in the line of duty, such as Sheriff's Deputies, except in designated restricted areas unless otherwise directed by the Sheriff. Also, employees required to utilize knives or jackknives on the job within the normal scope of their employment, as authorized by their department head, are not considered to be in violation of this policy.

6. Exceptions to Violence Prohibition

When acting within the scope of their employment, Sheriff's Office sworn personnel, jail corrections staff, Detention Center staff, and Ridgewood Care Center staff are exempt from these prohibitions on the use of force and possession of weapons and/or instruments of force in the workplace.

7. Emergency Response Plan

Each building where Racine County departments are located has an Emergency Response Plan designed specifically for that building. The emergency response plan outlines the proper response to incidents of workplace violence.

8. Reporting Procedures

a. Non-emergency – If an individual is acting in a suspicious, hostile or aggressive manner, even if that person is not overtly violent and an immediate threat of violence may not be present, employees should:

- Notify their supervisor,
- Keep distance between themselves and the individual,
- Become aware of escape routes,
- Call the Communications Center at 886-2300 to report non-emergency events involving suspicious activity that is not life threatening;
- Be ready to notify 9-911 if the situation escalates to an emergency situation.

b. Emergency – If it is reasonable to believe that an individual is acting in a manner that poses an immediate threat to him/herself or others, employees should:

- Seek safety by leaving the area if possible;
- Notify their supervisor;
- Dial 9-911, and activate emergency alarms when available;
- When reporting an emergency event, employees should tell the dispatcher their name and location, report the specifics of the event in a clear and concise manner and be prepared to stay on the line and answer any questions the dispatcher may have;
- Make no attempt to control a violent individual.

9. Restraining Orders

Employees who are seeking or have obtained restraining orders or injunctions against abusive persons are encouraged to notify their supervisors so that appropriate measures can be taken to facilitate prudent safety measures within the workplace. When a protective or restraining order lists county workplaces as being protected areas, an employee must provide his/her supervisor with copy of the petition and declaration

used to seek the order, a copy of any temporary protective or restraining order that has been granted, and a copy of any protective or restraining order that has been made permanent.

10. Alternative to Reporting Workplace Violence to Supervisor

If an employee is not comfortable reporting incidents of workplace violence to his/her supervisor, the employee may instead report it to his/her department head, to a supervisory employee in any other county department including the Sheriff's Office, or to the Human Resources Department professional staff.

11. Reporting Responsibilities of Supervisors

Supervisors receiving employee reports of workplace violence, or who have knowledge or information of an internal or non-emergency nature of situations that may affect the security of Racine County and its assets, must report such incidents to their department head. The department head must complete a confidential memorandum and submit it to the Human Resources Director. The memorandum should include:

- A detailed description of what happened and where and when it happened;
- The identity of the person(s) committing the threat or violent behavior;
- The identity of the person(s) against whom the threat was made or violent behavior directed;
- The identity of the person(s) reporting or witnessing the threat or violent behavior;
- Observations that support the contention that the individual intends to follow through on a threat;
- How the matter was resolved or suggestions for minimizing the risk of a violent act based on the information available.

Should it appear that the incident requires immediate attention, a verbal report shall be made to the Human Resources Director or his/her designee followed by with a confidential written memorandum. Although every effort will be made to keep reports and records made and kept pursuant to this policy confidential, they may be subject to public disclosure under Wisconsin's Public Records Law.

12. Criminal Investigation

In those cases where it appears that criminal activity may be involved, department heads and supervisors should not take any action that would jeopardize the outcome of an investigation. This includes not touching or changing anything at the scene of a violent incident until given permission by those in authority.

13. Investigation and Resolution

The Human Resources Director will respond to complaints of workplace violence with the assistance of the Sheriff's Office, if necessary.

14. False Reports

Allegations and/or reports of workplace threats or acts of violence will be taken seriously. Employees intentionally making false reports will be subject to discipline up to and including discharge.

15. Privacy

Privacy rights will be observed in the event of the need to investigate workplace violence incidents. Only those individuals with a clear need to know of a potential risk will be notified in cases where a person is perceived to be a threat to others. Anonymity of employees reporting violence or threats of violence will be maintained to the greatest extent possible by those investigating and resolving the complaint. However, there is no right to or guarantee of anonymity since it is often necessary to make the employee or customer against whom the allegation has been made aware of the complaint in order to ascertain facts pertinent to the investigation.

16. Retaliation

Anyone who engages in or assists in retaliatory actions against an employee who reports threats of workplace violence in good faith will be subject to disciplinary action up to and including termination of employment.

D. Safety and Wellness Program

1. Policy

Racine County has established a Safety and Wellness Program to provide for the safety of employees and citizens. The county will provide a clean, safe and healthy place to work. The Safety and Wellness Program shall comply with local and state requirements. Employees have an obligation to familiarize themselves with the standards of safety which apply to their work. Employees are also expected to work safely; wear required safety equipment; observe all posted safety rules and regulations; maintain neat, safe and sanitary conditions in their work area and report unsafe conditions at work.

2. Reporting Injuries

All employees must immediately report any suspected unsafe conditions and any accident or injury, no matter how slight, to their supervisor either verbally or on the designated form obtained from their supervisor or located on the County website. The supervisor will arrange for immediate medical care if necessary. The supervisor will also investigate the situation, obtain all the necessary details and report the situation to the Human Resources Department within twenty-four (24) hours.

3. Worker's Compensation Insurance

Work-related illness and accidents are covered by Worker's Compensation. The Employee Benefits Manager is responsible for administering the county's program and for submitting all necessary reports unless a specific department has purchased a Worker's Compensation insurance plan.

Employees not immediately reporting injuries may jeopardize their Worker's Compensation coverage.

4. County-Provided Equipment

The county will provide identifiable and necessary safety equipment except personal items such as safety shoes and glasses.

5. Employee Safety and Wellness Committee

The county has established an Employee Safety and Wellness Committee consisting of exempt and non-exempt employees to establish safety, health and wellness initiatives to reduce workplace accidents, injuries and illnesses.

16. Work Rules

A. Attendance

1. Policy

Racine County has a no-fault attendance policy. Employees are expected to report for work each scheduled day at the scheduled start time and are expected to work until the end of the work period.

2. Definitions

Absence: An absence is any time off other than Protected Time-Off or Approved Paid Time-Off-Work.

Availability for Work: The concern is with the employee's attendance at work. Validity of either medical or other reasons for absence is not considered relevant for disciplinary purposes except for Protected Time-Off or Approved Paid Time-Off-Work

Occurrence: Any continuous period of time off work for the same reason. An exception is unreported absences for which each day is a separate occurrence.

Pattern: Multiple absences occurring around other time off such as vacations, holidays, and/or weekends or always on the same day of the week.

Tardiness: The employee is not at his/her workstation at the designated start time.

Unpaid Leave of Absence: An employee requests and is approved for unpaid time.

Unreported Absence: The employee fails to report for work or call in his/her absence. Each unreported absence is a separate occurrence even if it is for consecutive days.

3. No-fault Attendance Policy

Any absence (also referred to herein as "time-off-work") is counted as an occurrence for disciplinary purposes with the following exceptions:

a. Protected time-off-work

Approved Family/Medical Leave and Workers Compensation are protected time-off-work and are not part of an employee's attendance record. The FMLA Administrator or designee is authorized to approve time-off for medical reasons in accordance with the Family and Medical Leave Acts.

b. Approved paid time-off-work

Approved Paid Time-Off-Work includes certain types of paid time taken with the prior approval of the supervisor:

- Vacation
- Floating or banked holiday
- Compensatory time
- Casual day

Holidays other than the floating holiday require no prior approval, unless the employee is scheduled to work on that day.

A request for approval of paid time-off-work must be submitted to the supervisor 24 hours in advance of the absence unless waived by the supervisor.

c. Other reasons

The following types of time-off-work will not be considered as absence occurrences, provided the employee complies with relevant provisions of the Human Resources Policy Manual and/or an applicable collective bargaining agreement:

- Paid funeral leave
- Jury service
- Military leave

4. *Time-off-work that is part of the attendance record*

The following forms of time-off-work will be part of the employee's attendance record and may form the basis for possible disciplinary action:

- All unapproved time whether or not the employee is in paid status.
- Any other time-off-work which is not "Protected Time-Off-Work" and which does not meet the definition of "Approved Paid Time-Off-Work" or "Other Reasons" listed above.

5. *Reporting Absence before Shift*

An employee who is absent from work is required to call his/her supervisor at least one (1) hour prior to the start of the shift giving the reason for the absence, except in the following situations:

- In an emergency situation, the employee needs to call the supervisor as soon as possible.
- For scheduled absences where the employee knows in advance of the need for the absence, the employee needs to notify his/her supervisor as soon as he/she knows of the need for the absence.

6. *Resignation – Three (3) day Unreported Absence*

An employee who is absent for three (3) consecutive working days without notifying his/her supervisor is considered to have resigned.

7. *Tardiness*

Employees will not be paid for time missed from work due to tardiness, nor will they be permitted to make the time up.

8. *Discipline*

Disciplinary action up to and including discharge may result if an employee is excessively tardy or absent, demonstrates a pattern of not punching in/out or fails to give advance notice of absence.

B. Confidentiality of Information

No employee shall use or disclose privileged or confidential information gained in the course of or by reason of his/her official position or activities. It is the county's policy that any confidential information concerning any citizen or employee will not be released to any outside person or agency without the signed consent and authorization of the citizen or employee. It is also the policy of the county that employees are to discuss confidential information only in the performance of their duties. It is also the policy of the

county that employees do not access confidential information not needed to complete specific work duties, including paper or electronic files. All employee medical information is confidential and maintained by the Employee Benefits Manager of the Human Resources Department as outlined in the Personnel Records and Transaction policy and HIPAA policy. Any infraction of these policies may be sufficient cause for immediate discharge.

C. Conflict of Interest

All county employees are subject to the provisions of the Code of Ethics, which is found in Article VI of Chapter 2 of the Racine County Code of Ordinances.

D. Demeanor and Appearance

1. Policy

Employees are expected to dress in a professional manner befitting their jobs with due consideration to the needs of the county and the perceptions of the public, vendors, and fellow employees and leaders. All employees must be well groomed. Unkempt appearance can offset many other fine qualities and can negatively affect the county's image. Department supervisors may establish specific dress code requirements for each department that are designed to reflect the professionalism of the workplace. All protective clothing must be worn as required and removed when leaving the designated work area. Employees are expected to wear clean, neat and not wrinkled clothing including appropriate undergarments and to maintain clean and appropriate oral and body hygiene.

Employees who fail to dress in a professional manner will be expected to immediately change their appearance. This may include returning home to change clothing or groom, without compensation for the time absent from work.

2. Unacceptable Attire

Examples of items of attire deemed unacceptable include, but are not limited to:

- Dresses or skirts that are excessively short.
- Halter, midriff or spaghetti strap tops or dresses.
- Tight fitting, sheer clothing or clothing that is otherwise revealing, distracting or provocative.
- Jeans, shorts, leggings.
- Clothing with offensive pictures or language.
- Soiled, torn or ripped clothing.
- Beach attire and beach-type sandals or shoes.
- Sweat suits or workout attire.
- Flip-flops.

3. Body Piercing and Tattoos

Body piercings should be modest and conservative and should not pose any safety threat to the employee or the customer. Employees may be asked to remove body piercing apparatus during work hours if it does not reflect a professional atmosphere of service to the public or if it limits clear communications with the public. Employees may be required to cover tattoos.

4. Casual Dress Days

Casual dress days may be authorized by the County Executive and may have certain requirements. Employees who are required to wear uniforms or employees required to appear in court may not be able to dress in casual attire. All items deemed inappropriate above are also inappropriate for casual day except jeans.

E. Gifts and Gratuities

No County employee or public official may solicit or accept from any person, directly or indirectly, anything of substantial value if the item or acceptance of the item could reasonably be expected to influence his/her official action or inaction. This provision does not prohibit a public official from accepting complimentary tickets or invitations to dinners and similar functions when invited in his/her official capacity.

F. Harassment-Free Workplace

1. Policy

Racine County is committed in all areas to providing a work environment that is free from harassment. Harassment based on individual sex, race, ethnicity, national origin, age, religion or any other legally protected characteristic will not be tolerated. All employees, including supervisors and other management personnel, are expected to abide by this policy. No person will be adversely affected in employment with Racine County as a result of complaints of unlawful harassment.

Sexual harassment is behavior of a sexual nature that is unwelcome and offensive to the person or persons victimized by it. Examples of harassing behavior may include, but are not limited to, unwanted sexual contact, foul language or an offensive sexual nature, sexual propositions, sexual jokes or remarks, obscene gestures, and displays of pornographic or sexually explicit pictures, drawings, or caricatures.

If an employee feels that he/she is being harassed, he/she should immediately report the matter to his/her supervisor. If the supervisor is not available, or is the subject of the harassment complaint, the employee should immediately contact the Human Resources Department. Once the matter has been reported, it will be promptly investigated and any necessary corrective action will be taken where appropriate. All complaints of unlawful harassment will be handled in as discreet and confidential a manner as possible under the circumstances.

Any employee engaging in improper harassing behavior will be subject to disciplinary action, including the possible termination of employment. Retaliation against any employee who reports improper harassing behavior will not be tolerated.

G. HIPAA Compliance/Protection of Health Information

1. Policy

It is the policy of Racine County that when employees or agents of the county use or disclose protected health information or when requesting protected health information from another entity, the employees and agents must make reasonable efforts to limit the use or disclosure or request for protected health information to the minimum necessary to accomplish the intended purpose of the use, disclosure or request. It is the further

policy of Racine County that employees who are not specifically authorized to access protected health information shall not in any way read, access or disclose protected health information. If an employee becomes aware of protected health information that is not being treated with confidentiality, the employee shall immediately notify his or her supervisor. Every employee shall obey any departmental rules that are enacted to comply with HIPAA and protect private health information.

2. Definitions

- Health information is any information whether oral or recorded in any form or medium that relates to the past, present or future physical or mental health condition of an individual, provision of health care to an individual or payment for the provision of health care to an individual.
- HIPAA stands for the Health Insurance Portability and Accountability Act of 1996, which was enacted as part of a broad congressional attempt at incremental health care reform. The primary purpose of HIPAA is to provide better access to health insurance, limit fraud and abuse and reduce administrative cost.
- Protected health information includes all individually identifiable health information that is transmitted or maintained by the county regardless of form.

3. No Retaliation

Racine County will not retaliate against any employee or other person exercising rights provided by HIPAA, for assisting in an investigation by the Department of Health and Human Services or another appropriate agency, or for opposing an act or practice that the employee believes in good faith violates the HIPAA rules. Racine County will not require any employee to waive any rights under HIPAA.

4. Discipline

Racine County will discipline any employee who fails to comply with the requirements of this section and with any rules and regulations developed by a particular department to comply with HIPAA and its regulations. Discipline can include termination of employment.

I. Information Technology Use

1. Policy

Pursuant to the Electronic Communications Privacy Act of 1986 (18 USC 2510 et seq), notice is hereby given that there are **no** facilities provided by this system for sending or receiving private or confidential electronic communications. System administrators have access to all mail and employee access requests, and will monitor messages as necessary to assure efficient performance and appropriate use. Messages relating to or in support of illegal activities will be reported to the appropriate authorities.

2. Interference with Network Security Systems

Racine County has installed a variety of systems to assure the safety and security of its networks. Any employee who attempts to disable, defeat or circumvent any county information security system will be subject to disciplinary action.

3. Monitoring and Privacy of Internet Communications and Usage

The county also has software and systems in place that can monitor and record every aspect of internet usage, and the county reserves the right to do so at any time. No employee has any expectation of privacy as to his/her internet usage.

The county reserves the right to log network use and monitor file server space utilization by employees and assumes no responsibility or liability for files deleted due to violation of file server space allotments. The county further reserves the right to remove an employee account from the network.

4. Telephone and Voicemail Systems

The telephone system is to be used primarily for Racine County business purposes, but the county realizes that some personal calls may be necessary. While at the workplace, employees are permitted to make or receive brief personal telephone calls that are urgent or extremely difficult to schedule outside work hours. Whenever possible, employees should make and receive personal telephone calls during meal or break times. In addition, wherever possible such calls should be made or received on employees' personal cell phones (or on county-issued cell phones, provided that employees reimburse the county for such use).

Employees who make fraudulent, unlawful or abusive calls on county telephones are subject to discipline, up and including discharge, and possible criminal prosecution. Employees should report any threatening, intimidating or harassing telephone calls received at work to their supervisor or to the Human Resources Department. Whenever possible, employees should avoid using fee-based telephone services such as directory assistance. Use of fee-based telephone services for personal purposes is subject to reimbursement to the county.

Voicemail, like other components of the Racine County telephone system, is intended for business use only. Employees should respond promptly to their voicemail messages and delete old messages. Although employees need a password to access the voice-mail system, they should not expect that voicemail messages are private.

5. E-mail

Employees should be aware that once an e-mail message has been sent to or accessed by others, it is possible to readily forward that e-mail to any number of

individuals, without the consent or knowledge of the originator. E-mail messages are similar to printed communications and should be written with the same care. Employees should be aware that their communications may be perceived by recipients as expression of official County policy and should conduct themselves accordingly.

6. Data Security

Employees must safeguard their login ID and password from disclosure to any person. Employees must use their own login ID and password, are responsible for all activity on their login ID, and must immediately report any known or suspected compromise of their ID to the Information Systems Department.

7. Downloading

Downloading of non-executable files for business use is permitted. These would include such items as reports, adobe pdf files, and information flyers that may be useful to the county, from other institutions or government agencies.

Executable software, such as downloadable screen savers - demo software - or software upgrades (excluding anti-virus updates that are approved and provided by Information Systems), should not be downloaded without first consulting with Information Systems staff. This type of software may contain viruses, which could harm the county's network. If such a file is required, contact the Information Systems Department to see if a needed file can be safely brought into the county network. In addition, announcements or other written or printed material not related to the conduct of County business may not be posted or displayed on County premises without written approval from the County Executive or Human Resources Director.

J. Nepotism

Members of immediate families shall not be hired, transferred into or employed in a County department or operation where another member of that same family has direct supervisory or administrative authority. "Immediate family" for this policy shall include parents, stepparents, spouse, children, stepchildren, siblings, step-siblings, in-law relatives, grandparents, aunts, uncles, nieces and nephews.

K. No-Smoking Policy

No smoking is allowed in buildings owned by the county and operated by its employees or in any county-owned motor vehicle. Individual county departments may also have a separate policy for no smoking rules. Refer to the Smoking section in the Racine County Code of Ordinances for additional information.

L. Outside Employment

County employees may engage in outside employment unless such employment conflicts with or affects the performance of their duties.

No person shall hold more than one full-time or part-time county position at the same time.

All fees, gratuities, honoraria or any other form of compensation for outside services performed during normal county work hours or while being paid by the county shall be

turned over to the county. This subsection shall not be construed to apply to activities performed after regular work hours, while an employee is on a bona fide vacation, taking floating or other holidays or to part-time employees except during those times when they are actually providing services to the county. It shall not apply to the reimbursement of actual and necessary expenses incurred under such circumstances. Failure to comply with these conditions shall be considered grounds for immediate termination.

Sworn law enforcement officers employed in any capacity by the county will fall under the Sheriff's Office part-time work policy in addition to all other provisions of this section.

M. Political Activity

No employee is precluded from engaging in political activity provided that such activity does not interfere with normal work performance, is not conducted during normal working hours and does not involve the use of county equipment or property. Employees are specifically prohibited from directly or indirectly coercing any person to contribute or not to contribute monetary or other types of assistance to any political candidate, party or purpose.

N. Rules of Conduct

Disciplinary action up to and including discharge, depending on the severity of the behavior, may result if an employee is determined to have engaged in any of the following prohibited actions:

1. Dishonesty in any form or degree, including misleading or deceptive conduct.
2. Falsification or unauthorized alteration of records, employment applications, time sheets, time cards, records or other documents.
3. Failure to assist a citizen or employee in an emergency situation where such assistance is within the normal scope of the employee's duties.
4. Excessive tardiness, absenteeism or a pattern of failing to punch in/out.
5. Failure to report absence at least one half hour prior to the start of the scheduled shift, except in the case of emergencies.
6. Violation of the Racine County Drug Free Work Place policy.
7. Behavior detrimental to the operation of the department or disruption to the workplace, including but not limited to: actions or verbal exchanges considered to be rude, behavior that is disrespectful, dismissive, demeaning, or inappropriate (such as bullying, gossiping, or back-biting).
8. Fighting, gambling or horseplay which creates a disturbance or hazard; using profane, obscene or abusive language while at work; threatening, intimidating or coercing others on County premises or carrying unauthorized weapons.
9. Insubordinate conduct towards a supervisor or undermining the authority of a supervisor.
10. Refusal to carry out the reasonable instructions of a supervisor.
11. Causing or working unauthorized overtime.
12. Failing to cooperate with others or discourteous treatment of others.
13. Engaging in immoral, illegal, profane or disrespectful conduct.
14. Leaving the job without permission during regularly assigned working hours.

15. Working another job while absent.
16. Conducting personal business, using County equipment for personal use, or using personal electronic devices during regularly assigned working hours, including but not limited to, cell phones, electronic readers, iPads, and laptops.
17. Sleeping while on duty.
18. Creating unsafe or unsanitary conditions.
19. Stealing or unauthorized use of County equipment or possessions.
20. Misuse or unauthorized use of County property.
21. Loss, damage, destruction or unauthorized removal or use of property belonging to the county, employees or citizens.
22. Negligence in observing fire prevention or safety regulations or failure to report on-the-job injuries or unsafe conditions.
23. Inefficient performance of duties, incompetence, neglect of duty or loafing.
24. Substandard quality or quantity of work, including deliberate reduction of output.
25. Failure to complete assignments promptly and accurately.
26. Racine County unequivocally condemns harassing, intimidating, threatening or assaulting fellow employees at and away from the workplace. If the county, at its discretion, deems an employee to be dangerous to him or herself or others, immediate discharge may result. Refer to the Harassment-Free Workplace Policy (Section XVII) and/or Safe Work Place Policy (Section XIV.)
27. Violation of any other commonly accepted reasonable rule of conduct.

This list is not intended to cover all possible rule violations, but is intended to provide examples. Racine County reserves the right to modify this list at any time or determine whether any other conduct is contrary to the interests of the county and warranting of disciplinary action up to and including discharge.

Racine County treats all violations of policy, rules of conduct, and general expectations of professional conduct very seriously. Violation of these policies, rules of conduct, and general expectations of conduct can subject an employee to discipline, up to and including discharge.

O. Solicitation Policy

Employees are prohibited from engaging in any of the following non-county business activities during working hours, and employees and non-employees are prohibited from engaging in such activities at any time on county property:

- Solicitation of any kind,
- Collection of funds,
- Transaction of business not related to the county.

With the exception of the United Way Fund Campaign and/or other activities benefiting recognized not for profit organizations (authorized by the County Executive), collections or contributions are not allowed, nor are salespersons, solicitors or solicitations allowed in county buildings, except as related to county business.

17. Employee Grievance Procedure

A. Policy

Effective employee-employer relationships are necessary to carry out Racine County's responsibilities to its citizens in an efficient and economical manner. For the purpose of maintaining harmonious employee-employer relationships, it is advisable to promptly consider and equitably adjust employee grievances informally. Therefore, both supervisors and employees are expected to make every effort to resolve problems as they arise. However, it is recognized that some grievances will be resolved only by a more formal procedure.

Racine County reserves all rights and the grievance procedure does not create a contract of employment. Employees of Racine County are employed at-will and may resign with or without reason. Consistent with applicable law, Racine County may sever the employment relationship at any time with or without reason.

B. Scheduling

Grievance meetings and hearings will be held during the grievant's off-duty hours. Time spent in grievance meetings and hearings will not be considered as compensable work time.

C. Employees Covered

This grievance procedure applies to regular employees who are not covered by a collective bargaining agreement. It also applies to regular employees, other than those in Protective Occupation Participant status, who are covered by a collective bargaining agreement.

D. Definitions

1. Discipline

For purposes of this chapter, the term "Discipline" shall only mean these forms of corrective action or discipline set forth in Chapter 11 of this manual:

- Suspension without pay
- Discharge

2. Grievance

For purposes of this chapter, the term "Grievance" shall mean a formal complaint by a regular county employee, on behalf of him/herself alone, alleging a specific violation of the personnel rules and regulations of the county as set forth in the Human Resources Policy Manual, or a complaint alleging a violation of workplace safety. Grievances should be addressed promptly; therefore, any grievance must be presented within ten (10) working days after the time the employee affected knows, or should know, the facts causing the grievance.

3. Termination

For purposes of this chapter, the term "Termination" shall only mean "discharge" as defined in Chapter 12 of this manual, except that it shall **not** include:

- Discharge of an employee during an introductory period, or an extension of an introductory period, as established in Chapter I of this manual;

- Failure of an individual to pass a post-employment offer drug screen and/or, if applicable, a post-employment offer physical examination;
- Discharge of an employee other than a “regular” employee as defined in Chapter XI of this manual.

Layoff, which is a separation from *active* employment, but not a severance of the employer-employee relationship, does not constitute a “termination” for purposes of this chapter. Layoff is governed by Chapter 13 of this manual.

4. Workplace safety

For purposes of this chapter, the term “Workplace safety” shall only mean conformance, as required, with applicable State or Federal statutory or regulatory prescriptions concerning employee safety personal to the employee filing the grievance in a place of employment or public building.

E. Employee Representation

An employee shall be afforded an opportunity to be represented at each of the steps in the grievance procedure by one representative of his/her choice at the employee’s expense.

F. Time Limits

The limits contained in the grievance procedure outlined herein may be extended by mutual consent.

G. Steps and Procedures

Step 1: Discussion of the Problem with the Supervisor

Employees having a problem regarding their employment shall first discuss the problem with their immediate supervisor to try to obtain a resolution.

Step 2: Discussion of the Problem with the Department Head

In the event the immediate supervisor’s decision does not resolve the problem, the employee may, within five (5) working days, present his/her grievance in writing to the department head. This grievance shall fully state the details of the problem and suggest a remedy. The department head shall, within ten (10) working days of receipt of the grievance, meet and discuss the grievance with the employee and then reply in writing within ten (10) working days.

Step 3: Discussion of the Problem with the Director of Human Resources

In the event that the department head’s decision does not satisfy the employee’s grievance, the employee may, within five (5) working days, present the grievance to the Director of Human Resources who shall hear the grievance within ten (10) working days after receipt. If the grievance is not presented within five (5) working days of the department head’s response at step 2, it shall terminate the grievance procedure for that grievance. The Director of Human Resources shall meet with the employee and his/her representative, if any, within ten (10) working days of the grievance. The Director of Human Resources shall investigate to ascertain the facts surrounding the dispute and shall reply in writing to the employee within ten (10) working days after the completion of the investigation. Except in cases involving discipline, discharge, or workplace safety, the decision of the Director of Human Resources shall be final.

Step 4: Procedure in Cases Involving Discipline, Discharge, or Workplace Safety

This step applies only in cases involving discipline or discharge of the employee affected, or a concern about workplace safety affecting the employee.

4a. Presentation of the Problem before an Impartial Hearing Officer

In the event the decision of the Director of Human Resources does not satisfy the employee, the employee may reduce the grievance to writing for presentation before an Impartial Hearing Officer. The employee must file his/her notice of intent to present the matter before an Impartial Hearing Officer with the Director of Human Resources no later than ten (10) working days following the receipt of the decision of the Director of Human Resources. Failure of the Grievant to follow the timelines and other requirements of the Grievance Procedure shall result in the Impartial Hearing Officer not having jurisdiction over the matter and shall terminate the Grievance Procedure.

The Impartial Hearing Officer shall meet with the employee and his/her representative, if any, and with a representative of Racine County, within thirty (30) working days of the date that the notice of intent was filed with the Director of Human Resources. The Impartial Hearing Officer shall investigate to ascertain the facts surrounding the dispute, and he/she may arrange for an electronic and/or written record of the proceedings.

The Impartial Hearing Officer shall render a decision whether based on the preponderance of evidence presented, has the grievant proven the decision of the Administration to be arbitrary and capricious in writing and shall provide such decision to the employee, with a copy to the Director of Human Resources, within twenty (20) working days after the completion of the investigation of the facts surrounding the dispute. It shall state the findings of fact and conclusions underlying the Impartial Hearing Officer's decision with sufficient detail to permit, if necessary, a review by the Racine County Board of Supervisors on the basis of that decision.

The Impartial Hearing Officer shall have no power to issue any remedy, but the Impartial Hearing Officer may recommend a remedy. Remedial authority shall be subject to the determination and approval of the County Executive or the Finance and Human Resources Committee.

4b. Appeal to the Racine County Board of Supervisors

In the event the decision of the Impartial Hearing Officer does not satisfy the employee, the employee may appeal the matter to the Racine County Board of Supervisors. Likewise, in the event the decision of the Impartial Hearing Officer does not satisfy the county, the county may also appeal the matter to the Racine County Board of Supervisors. The employee must file his/her notice of intent to appeal the matter to the Racine County Board of Supervisors with the Director of Human Resources, and, if the county intends to appeal, it must notify the employee of its intent to do so. In each case, the notice of intent to appeal shall contain a concise statement of the reasons for disagreeing with the decision of the Impartial Hearing Officer. The notice of intent to appeal

must be provided to the other party no later than ten (10) working days following the receipt of the decision of the Impartial Hearing Officer.

The appeal shall be considered by the Finance and Human Resources Committee within thirty-five (35) working days of the date of the notice of intent to appeal the decision of the Impartial Hearing Officer. The Finance and Human Resources Committee shall review the matter on the basis of the notice of intent to appeal and the record provided by the Impartial Hearing Officer. The proceedings shall be conducted in conformance with the Wisconsin Open Meetings Law. The Finance and Human Resources Committee shall not uphold the decision of the Impartial Hearing Officer if it finds such decision to be arbitrary and capricious.

The Finance and Human Resources Committee shall agree on a recommended disposition thirty-five from the date of the hearing of the appeal and shall introduce a resolution embodying its recommended disposition to the full County Board. Disposition shall be final upon adoption of a resolution by the County Board.

H. Impartial Hearing Officer

An Impartial Hearing Officer must possess the knowledge and ability to conduct hearings, and to render and write decisions, in accordance with appropriate, standard practice. An Impartial Hearing Officer must not have a personal or professional interest that conflicts with his/her objectivity in the hearing. A Racine County employee whose professional duties include service as a hearing officer and who is otherwise qualified to serve as an Impartial Hearing Officer is not precluded from so serving merely because of his/her employment relationship with Racine County.

Racine County may enter into agreements with other local governmental units, under which Racine County employees may serve as Impartial Hearing Officers for those local governmental units and their employees may serve as Impartial Hearing Officers for Racine County.

I. Administration of the Grievance Procedure

The Director of Human Resources shall be responsible for administering this grievance procedure. Department heads may invite a member of the Human Resources Department to be present at Step 2 of the grievance procedure for the purpose of advice and counsel on resolving the issue.

J. Limitations on Use and Effect of the Grievance Procedure

- This grievance procedure shall not be construed to grant job tenure to employees.
- This grievance procedure shall not apply to department heads appointed by the County Executive, all of whom who serve at the pleasure of the County Executive.
- The processing of a grievance under this procedure shall not be construed as limiting an employee's rights of appeal to applicable federal and state agencies, nor abrogate any legal means of redress to the courts.

- The time limits contained in the grievance procedure shall not be controlling concerning appeals of alleged claims of discrimination under the Civil Rights Act or other federal and state statutes covering equal employment opportunity.
- Regular employees dismissed during their introductory period shall not have recourse to this grievance procedure.

18. Salary Administration

A. Applicability

This section is applicable to salaries and wages for all non-represented county employees. Matters pertaining to wages and salaries in the county's bargaining agreements with represented bargaining units will continue to be governed by the terms of those agreements, insofar as those matters are appropriate subjects of bargaining under Wisconsin law and insofar as they are inconsistent with the provisions of this manual.

B. Policy

The purpose of the Salary Administration Plan is to maintain salary grades and salary ranges within those grades consisting of minimum and maximum rates of pay and intermediate pay steps for each salary grade.

C. Salary Plan

The Human Resources Director is responsible for the overall development, maintenance and administration of the Salary Plan, in cooperation with the County Executive, department heads, key staff employees and other appropriate resources. The objectives of the Salary Plan are to provide an appropriate salary structure to recruit and retain competent individuals.

In determining salary ranges within the Salary Plan, consideration is given to such factors as:

- The amount and kind of training and experience required to perform the work;
- The fiscal policies of the county;
- Providing appropriate pay incentives for satisfactory or outstanding job performance; and
- Other pertinent economic considerations.

D. Entrance Pay upon Initial Employment

The entrance pay rate shall be the minimum rate of the pay range for the position.

E. Above Entrance Pay Rate

A department head may recommend that a particular appointment be made above the entrance pay rate. Such requests must be made in writing to the Human Resources Director. The request must include factual justification such as present compensation, exceptional qualifications, competitive market situations or similar reasons. Salaries and years of service of current incumbents in the same job category throughout the county will also be considered.

The County Executive may authorize a starting salary of up to the mid-point of the appropriate salary range. If the request is to start the employee at a salary above the mid-point, the approval of the County Board Finance and Human Resources Committee is also required.

F. Maximum Rate

The salary paid to the incumbent of any position in a given classification shall not exceed the maximum rate in the salary range for that classification.

G. Promotions, Demotions, and Transfers

1. Definitions

Change in Work Assignment: Movement of an employee from one assignment to another in the same classification within the same department.

Demotion: Movement of an employee to a position with a different salary range in which both the minimum and the maximum are lower than those of the employee's current salary range.

Promotion: Movement of an employee to a position with a different salary range in which both the minimum and the maximum are higher than those of the employee's current salary range.

Transfer: Movement, other than a change in work assignment, of an employee to a position that does not (a) place the employee in a salary range for which both the minimum and the maximum are higher than those of the employee's current salary range, or (b) place the employee in a salary range for which both the minimum and the maximum are lower than those of the employee's current salary range.

2. Promotions

a. Salary step placement upon promotion

The employee shall receive an immediate increase on the effective date of the promotion. This increase is separate and distinct from any general or annual salary adjustment.

Results in a 0-5% increase in salary not to exceed the new position maximum salary. Increase may slightly exceed 5% to align with next step placement.

b. Salary review date for promotions

The salary review date will be one year from the effective date of the promotion.

3. Demotions

a. Salary step placement upon demotion

- Due to transfer request or position elimination

1. Non-Represented to non-Represented: The employee is placed at the salary step closest to the employee's current rate without an increase in pay.

2. Non-represented to represented: The employee is placed at the salary step closest to the employee's current rate without an increase in pay unless there is a different provision in the bargaining agreement.

In no case will the pay exceed the maximum of the pay range of the job to which the employee is demoted.

- Due to disciplinary action

1. Represented Employee: The employee will be placed at a salary level in the lower range consistent with the employee's total years of continuous service, but not resulting in an increase in pay or will follow

procedure in the bargaining agreement of the lower position if it specifies another procedure.

2. Non-represented Employee: The employee will be placed at the step in the salary range that results in a minimum of 1% decrease in pay.

b. Salary review date for demotions

The employee shall retain his/her current salary review date.

4. *Transfers*

a. Salary step placement upon transfer

- Non-represented to non-represented or represented to represented in the same bargaining unit: Results in no salary change.
- Represented to non-represented or represented between bargaining units: The employee will be placed at the step closest to the employee's current rate of pay whether it results in an increase or decrease in pay, or will follow the bargaining agreement of the new position if it specifies another procedure.

b. Salary review date for transfers

The employee shall retain his/her current salary review date.

H. Compensation During Temporary Assignment

1. *Promotion*

Temporary assignment pay is available upon approval of the County Executive. The employee will be given the promotional title designated as "Acting". After serving in the promotional position for thirty (30) working days, the employee will be given a salary increase for the remaining period of the temporary vacancy. Under extenuating circumstances and upon recommendation of the Human Resources Director, the County Executive may authorize the salary increase to begin as soon as the employee begins the temporary assignment. The salary increase will be at least five percent (5%) of the employee's current base salary (to the closest step) or the minimum of the salary range assigned to the promotional position, whichever is higher, but not to exceed the position's maximum salary.

2. Demotion

An employee who is temporarily assigned to a position with a lower pay range for any period shall not receive a reduction in pay.

I. Longevity Pay

Except as may be required by collective bargaining agreements with the Deputy Sheriffs Association and/or the Command Staff Association, Racine County no longer pays longevity pay to any represented or non-represented employees, regardless of their date of hire or length of continuous service.

J. Special Types of Compensation

1. Call-in Pay

Employees called in will be paid only for time actually worked (straight time or overtime, as applicable).

2. On-call Pager Pay for Buildings & Facilities Management Staff

On-call pager pay will be uniform for all Buildings & Facilities Management staff, regardless of the building to which they are assigned.

3. Shift Premiums

Shift premiums will normally not be paid. Shift premiums may be authorized at Ridgewood Care Center where necessary to ensure adequate, quality staffing.

Appendix A-Summary of Active Employee Benefits

Employee Category	Insurance-Type Benefits							Non-Insurance Benefits				
	Dental	Health	Life Insurance	Long Term Disab.	Short Term Disab.	Unemp.	Worker's Comp	Def. Comp.	EAP	Flex. Spend	Health Clinic	Training & Tuition
Full-time												
Exempt	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Non-exempt	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Deputies ¹	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes ²	Yes	Yes
Command Staff ¹	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes ²	Yes	Yes
Part-time												
≥ 20 but <40												
Ridgewood RN ≥ 32	Yes	Yes	Yes	Yes ³	Yes ³	Yes	Yes	Yes	Yes	Yes	Yes	Yes
All others	No	No	Yes ⁴	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	No
<20	No	No	No	No	No	Yes	Yes	No	No	No	Yes	No

¹ Benefits are subject to collective bargaining; this reflects benefits as of 12/31/11.

² County contribution; all others entirely employee contribution.

³ Pro-rated

⁴ At 50% premium share

⁵ Previously earned sick time may be paid out at \$10/day.

Appendix A-Summary of Active Employee Benefits

Employee Category	Paid Time Off									
	Holidays	Casual Time	Sick Time	Civil Leave	FMLA	Funeral Leave	Jury Service	Military Leave	Unpaid Leave	Vacation
Full-time										
Exempt	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Non-exempt	Yes	Yes	No ⁵	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Deputies	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Command Staff	Yes	No	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Part-time										
≥ 20 but <40										
Ridgewood RN ≥ 32	Yes ³	Yes ³	No	Yes ³	Yes ³	Yes ³	Yes ³	Yes ³	Yes ³	Yes ³
All others	Yes ³	Yes ³	No	Yes ³	Yes ³	Yes ³	Yes ³	Yes ³	Yes ³	Yes ³
<20	No	No	No	No	No	No	No	No	No	No

¹ Benefits are subject to collective bargaining; this reflects benefits as of 12/31/11.

² County contribution; all others entirely employee contribution.

³ Pro-rated

⁴ At 50% premium share

⁵ Previously earned sick time may be paid out at \$10/day.

Appendix B- Post-Medicare Retiree Health Eligibility

Based on Date of Hire, by Employee Group

Employee Group	Eligible for Post-Medicare Retiree Health Coverage If Hired <i>BEFORE</i>
Non-represented	September 1, 1998
AFSCME 310 (Ridgewood)	January 1, 2000
Ridgewood RN's	January 1, 2000
IAMAW-HSD	March 1, 2000
IAMAW-Courthouse	January 1, 2001
Attorneys Association	January 1, 1999
Teamsters	September 1, 2000
Deputy Sheriffs	June 1, 1998
Command Staff	June 1, 1998

Appendix C- Retiree Benefits Eligibility
Based on Dates of Hire and Retirement, by Employee Group

Employee Category	Hire Date	Retirement Date	County Service Years	Retiree Health	Post-Medicare Retiree Health (Supplement)	Survivor Health Coverage	Post-Medicare Survivor Coverage (Supplement)	Retiree Dental	Retiree Life Insurance
Non-represented	Pre-9/1/98	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-9/1/98	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-9/1/98	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	9/1/98-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	9/1/98-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	9/1/98-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None
AFSCME	Pre-1/1/00	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-1/1/00	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-1/1/00	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	1/1/00-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	1/1/00-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/00-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None
Ridgewood RN	Pre-1/1/00	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-1/1/00	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-1/1/00	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	1/1/00-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	1/1/00-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/00-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None

Appendix C- Retiree Benefits Eligibility
Based on Dates of Hire and Retirement, by Employee Group

Employee Category	Hire Date	Retirement Date	County Service Years	Retiree Health	Post-Medicare Retiree Health (Supplement)	Survivor Health Coverage	Post-Medicare Survivor Coverage (Supplement)	Retiree Dental	Retiree Life Insurance
HSD	Pre-3/1/00	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-3/1/00	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-3/1/00	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	3/1/00-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	3/1/00-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	3/1/00-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None
Courthouse	Pre-1/1/01	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-1/1/01	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-1/1/01	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	1/1/01-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	1/1/01-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/01-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None
Attorneys	Pre-1/1/99	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-1/1/99	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-1/1/99	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	1/1/99-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	1/1/99-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/99-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None

Appendix C- Retiree Benefits Eligibility
Based on Dates of Hire and Retirement, by Employee Group

Employee Category	Hire Date	Retirement Date	County Service Years	Retiree Health	Post-Medicare Retiree Health (Supplement)	Survivor Health Coverage	Post-Medicare Survivor Coverage (Supplement)	Retiree Dental	Retiree Life Insurance
Teamsters	Pre-9/1/00	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	None
	Pre-9/1/00	1/1/13-	< 15	No	No	No	No	COBRA	None
	Pre-9/1/00	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	None
	9/1/00-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	None
	9/1/00-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	None
	9/1/00-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	None
	1/1/12-	1/1/13-	< 15	No	No	No	No	COBRA	None
	1/1/12-	1/1/13-	≥ 15	100% prem.	No	100% prem.	No	COBRA	None
Deputies ¹	Pre-6/1/98	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	\$5,000
	Pre-6/1/98	1/1/13-	< 15	No	No	No	No	COBRA	\$5,000
	Pre-6/1/98	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	\$5,000
	9/1/98-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	\$5,000
	9/1/98-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	\$5,000
	9/1/98-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	\$5,000
Command Staff ¹	Pre-6/1/98	1/1/12-12/31/12	≥ 10	Yes	Yes	Yes	No	COBRA	\$5,000
	Pre-6/1/98	1/1/13-	< 15	No	No	No	No	COBRA	\$5,000
	Pre-6/1/98	1/1/13-	≥ 15	Yes	Yes	Yes	No	COBRA	\$5,000
	9/1/98-12/31/11	1/1/12-12/31/12	≥ 10	Yes	No	Yes	No	COBRA	\$5,000
	9/1/98-12/31/11	1/1/13-	< 15	No	No	No	No	COBRA	\$5,000
	9/1/98-12/31/11	1/1/13-	≥ 15	Yes	No	Yes	No	COBRA	\$5,000

¹ Benefits are subject to collective bargaining; this reflects benefits as of 12/31/11.